

GENERALIZATIONS OF SOME POLYNOMIAL INEQUALITIES FOR THE FAMILY OF B-OPERATORS

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Abstract. Let P_n be the class of polynomials of degree at most n. In 1969, Rahman [Functions of exponential type, Trans. Amer. Math. Soc., 135(1969), 295-309] introduced a class B_n of operators B that map P_n into itself and proved that

$$\max_{|z|=1} \Big| B[P(Rz)] \Big| \leqslant \Big| B[E_n(Rz)] \Big| \max_{|z|=1} |P(z)|, \quad R \geqslant 1,$$

for every $B \in B_n$, where $E_n(z) := z^n$.

In this paper, we prove some generalizations and refinements of this result, which in particular yields some known polynomial inequalities as special cases.

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1. Introduction and statement of results

Let P_n be the class of polynomials $P(z) := \sum_{j=0}^n a_j z^j$ of degree at most n and P'(z) its derivative, then it is known that

$$\max_{|z|=1} |P'(z)| \leqslant n \max_{|z|=1} |P(z)| \tag{1}$$

and

$$\max_{|z|=R>1} |P(z)| \le R^n \max_{|z|=1} |P(z)|. \tag{2}$$

Inequality (1), which is an immediate consequence of Bernstein's inequality (for reference see [6]) on the derivative of a trigonometric polynomial is best possible with equality holding for the polynomial $P(z) = \lambda z^n$, where λ is a complex number. Inequality (2) is a simple deduction from the maximum modulus principle (see [13, p. 346], [9, p. 158], problem 269).

For the class of polynomials $P \in P_n$ having all their zeros in $|z| \leq 1$, we have

$$\min_{|z|=1} |P'(z)| \geqslant n \min_{|z|=1} |P(z)| \tag{3}$$

and

$$\min_{|z|=R>1} |P(z)| \ge R^n \min_{|z|=1} |P(z)|. \tag{4}$$

Inequalities (3) and (4) are due to Aziz and Dawood [3]. Both the results are sharp and equality holds for a polynomial having all its zeros at the origin.



If we restrict ourselves to a class of polynomials having all their zeros in $|z| \ge 1$, inequalities (1) and (2) can be sharpened. In fact, if $P(z) \ne 0$ in |z| < 1, then

$$\max_{|z|=1} |P'(z)| \le \frac{n}{2} \max_{|z|=1} |P(z)| \tag{5}$$

and

$$\max_{|z|=R>1} |P(z)| \le \left(\frac{R^n + 1}{2}\right) \max_{|z|=1} |P(z)|. \tag{6}$$

Inequality (5) was conjectured by Erdős and later verified by Lax [7], where as Ankeny and Rivlin [1] used (5) to prove (6). Inequalities (5) and (6) were further improved in [3] and under the same hypothesis, it was shown that

$$\max_{|z|=1} |P'(z)| \le \frac{n}{2} \left\{ \max_{|z|=1} |P(z)| - \min_{|z|=1} |P(z)| \right\}$$
 (7)

and

$$\max_{|z|=R>1} |P(z)| \le \left(\frac{R^n+1}{2}\right) \max_{|z|=1} |P(z)| - \left(\frac{R^n-1}{2}\right) \min_{|z|=1} |P(z)|. \tag{8}$$

Equality in (5), (6), (7) and (8) holds for polynomials of the form $P(z) = \alpha z^n + \beta$, where $|\alpha| = |\beta|$.

Aziz [2], Aziz and Shah [4] and Shah [14] extended such well known inequalities to the polar derivative of a polynomial P(z) with respect to a point α defined by

$$D_{\alpha}P(z) := nP(z) + (\alpha - z)P'(z)$$

and obtained several sharp inequalities. Like polar derivative there are many other operators which are just as interesting (for reference see [11,12]). It is an interesting problem, as pointed out by Professor Q. I. Rahman to characterize all such operators. As a part of this characterization Rahman [10] (see also Rahman and Schmeisser [12, page 538–551]) introduced a class B_n of operators B that map $P \in P_n$ into itself. That is, the operator B carries $P \in P_n$ into

$$B[P](z) := \lambda_0 P(z) + \lambda_1 \left(\frac{nz}{2}\right) \frac{P'(z)}{1!} + \lambda_2 \left(\frac{nz}{2}\right)^2 \frac{P''(z)}{2!} \tag{9}$$

where $\lambda_0, \lambda_1, \lambda_2$ are real or complex numbers, such that all the zeros of

$$u(z) := \lambda_0 + c(n,1)\lambda_1 z + c(n,2)\lambda_2 z^2, \qquad c(n,r) = \frac{n!}{r!(n-r)!}$$
 (10)

lie in the half plane

$$|z| \leqslant \left|z - \frac{n}{2}\right| \tag{11}$$

and observed:

THEOREM A. If $P \in P_n$, then

$$\max_{|z|=1} \left| B[P(Rz)] \right| \leqslant \left| B[E_n(Rz)] \right| \max_{|z|=1} |P(z)|, \quad R \geqslant 1.$$
 (12)

As an improvement Shah and Liman [15] proved:

THEOREM B. If $P \in P_n$, $P(z) \neq 0$ for |z| < 1, then

$$\left|B[P(Rz)]\right| \leqslant \frac{1}{2} \left\{ \left|B[E_n(Rz)]\right| + |\lambda_0| \right\} \max_{|z|=1} |P(z)|, \tag{13}$$

for every $B \in B_n$, where $E_n(z) := z^n$.

Theorems A and B provide compact generalizations of inequalities (1), (2) and (3), (4) respectively and these inequalities follow when we substitute for B[P](z) and then use λ_0 , λ_1 and λ_2 suitably.

In this paper, we prove some more general results concerning the operator $B \in B_n$ preserving inequalities between polynomials, which in turn yields compact generalizations of some well known polynomial inequalities. We first prove:

THEOREM 1. Let F(z) be a polynomial of degree n having all zeros in $|z| \le k$, where $k \ge 0$ and f(z) be a polynomial of degree not exceeding that of F(z). If $|f(z)| \le |F(z)|$ for |z| = k, then for all complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$ and $|z| \ge 1$, we have

$$\left| B[f(Rz)] + \psi(R, r, \alpha, \beta, k) B[f(rz)] \right| \leq \left| B[F(Rz)] + \psi(R, r, \alpha, \beta, k) B[F(rz)] \right|, \quad (14)$$

where

$$\psi(R, r, \alpha, \beta, k) := \beta \left\{ \left(\frac{R+k}{r+k} \right)^n - |\alpha| \right\} - \alpha.$$
 (15)

A variety of interesting results can be deduced from Theorem 1 as special cases. For example, by taking k = 1, we immediately have the following:

COROLLARY 1. Let F(z) be a polynomial of degree n having all its zeros in $|z| \le 1$ and f(z) be a polynomial of degree not exceeding that of F(z). If

$$|f(z)| \le |F(z)| \text{ for } |z| = 1,$$

then for any real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge 1$ and $|z| \ge 1$, we have

$$\left| B[f(Rz)] + \phi(R, r, \alpha, \beta) B[f(rz)] \right| \le \left| B[F(Rz)] + \phi(R, r, \alpha, \beta) B[F(rz)] \right|, \tag{16}$$

where

$$\phi(R, r, \alpha, \beta) := \beta \left\{ \left(\frac{R+1}{r+1} \right)^n - |\alpha| \right\} - \alpha. \tag{17}$$

The following result immediately follows from Theorem 1 by taking f(z) = P(z) and $F(z) = Mz^n$, where $M = \max_{|z|=1} |P(z)|$.

COROLLARY 2. If P(z) is a polynomial of degree n, then for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k \ge 0$, we have

$$\left| B[P(Rz)] + \psi(R, r, \alpha, \beta, k) B[P(rz)] \right|$$

$$\leq \frac{1}{k^n} \left| B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k) B[E_n(rz)] \right| \max_{|z|=k} |P(z)|,$$

where ψ and E_n are defined above.

In particular for k = 1, we have the following interesting result:

COROLLARY 3. Let P(z) be a polynomial of degree n, then for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge 1$,

$$\left|B[P(Rz)] + \phi(R, r, \alpha, \beta)B[P(rz)]\right|
\leq \left|B[E_n(Rz)] + \phi(R, r, \alpha, \beta)B[E_n(rz)]\right| \max_{|z|=1} |P(z)| \quad for \quad |z| \geq 1, \tag{18}$$

where ϕ and E_n are defined above.

For $\alpha = 0$ in Corollary 3, we get the following:

COROLLARY 4. Let P(z) be a polynomial of degree n, then for every real or complex number β with $|\beta| \le 1$, $R > r \ge 1$,

$$\left| B[P(Rz)] + \beta \left(\frac{R+1}{r+1} \right)^n B[P(rz)] \right|$$

$$\leq \left| B[E_n(Rz)] + \beta \left(\frac{R+1}{r+1} \right)^n B[E_n(rz)] \right| \max_{|z|=1} |P(z)| \quad for \quad |z| \geq 1.$$
(19)

REMARK 1. For $\beta=0$, Corollary 4 reduces to inequality (12). Next if we chose $\lambda_1=\lambda_2=0$ and $\beta=0$ in (18) and note that all the zeros of u(z) defined by (10) lie in the region (11), we obtain for every real or complex number α with $|\alpha| \le 1$, $R > r \ge 1$,

$$\left| P(Rz) - \alpha P(rz) \right| \le \left| R^n - \alpha r^n \right| \left| z^n \right| \max_{|z|=1} |P(z)| \quad for \quad |z| \ge 1. \tag{20}$$

Inequality (20) includes inequality (2) as a special case when $\alpha = 0$. Further, if we divide both sides of the inequality (20) by R - r with $\alpha = 1$ and making $R \to r$, we get

$$|P'(rz)| \le nr^{n-1}|z|^{n-1} \max_{|z|=1} |P(z)| \quad for \quad |z| \ge 1,$$
 (21)

which in particular yields inequality (1).

THEOREM 2. If P(z) is a polynomial of degree n, having no zeros in the disk |z| < k, where $k \ge 0$, then for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$ and $|z| \ge 1$, we get

$$\Big|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)]\Big| \leqslant \Big|B[Q(Rz)] + \psi(R, r, \alpha, \beta, k)B[Q(rz)]\Big|,$$

where $Q(z) := (\frac{z}{k})^n \overline{P(\frac{k^2}{\overline{z}})}$ and $\psi(R, r, \alpha, \beta, k)$ is defined by (15).

THEOREM 3. If P(z) is a polynomial of degree n, having all its zeros in the disk $|z| \le k$, where $k \ge 0$, then for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$, we have

$$\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)]\right|$$

$$\geqslant \frac{1}{k^n} \left|B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)]\right| \min_{|z|=k} |P(z)|,$$

where ψ and E_n are defined above.

THEOREM 4. Let P(z) be a polynomial of degree n, then for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$, $k \le 1$ and |z| = 1, we have

$$\left|B[P(Rz)] + \psi(R,r,\alpha,\beta,k)B[P(rz)]\right| + \left|B[Q(Rz)] + \psi(R,r,\alpha,\beta,k)B[Q(rz)]\right|$$

$$\leq \left\{ |\lambda_0| \left|1 + \psi(R,r,\alpha,\beta,k)\right| + \frac{1}{k^n} \left|B[E_n(Rz)] + \psi(R,r,\alpha,\beta,k)B[E_n(rz)]\right| \right\} \max_{|z|=1} |P(z)|, \tag{22}$$

where $Q(z) := (\frac{z}{k})^n \overline{P(\frac{k^2}{\overline{z}})}$ and $E_n(z) := z^n$.

THEOREM 5. Let P(z) be a polynomial of degree n having all its zeros in $|z| \ge k$, $k \le 1$, then for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$ and |z| = 1, we have

$$\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)]\right|$$

$$\leq \frac{1}{2} \left\{ |\lambda_0| \left| 1 + \psi(R, r, \alpha, \beta, k) \right| + \frac{1}{k^n} \left| B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)] \right| \right\} \max_{|z|=1} |P(z)|,$$

where ψ and E_n are defined above.

THEOREM 6. Let P(z) be a polynomial of degree n having no zeros in the disk |z| < k, $k \le 1$, then for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$ and |z| = 1, we have

$$\begin{split} &\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)]\right| \\ \leqslant & \frac{1}{2} \left\{ \left[\frac{1}{k^n} \left| B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)] \right| + |\lambda_0| \left| 1 + \psi(R, r, \alpha, \beta, k) \right| \right] \max_{|z|=1} |P(z)| \right. \\ &\left. + \left[\frac{1}{k^n} \left| B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)] \right| - |\lambda_0| \left| 1 + \psi(R, r, \alpha, \beta, k) \right| \right] \min_{|z|=k} |P(z)| \right\}, \end{split}$$

where ψ and E_n are defined above.

For $\alpha = 0$ in Theorem 6, we have the following:

COROLLARY 5. Let P(z) be a polynomial of degree n having no zeros in the disk |z| < k, $k \le 1$, then for every real or complex number β with $|\beta| \le 1$, $R > r \ge k$ and |z| = 1,

$$\begin{aligned} &\left|B[P(Rz)] + \beta \left(\frac{R+k}{r+k}\right)^{n} B[P(rz)]\right| \\ &\leqslant \frac{1}{2} \left\{ \left[\frac{1}{k^{n}} \left| B[E_{n}(Rz)] + \beta \left(\frac{R+k}{r+k}\right)^{n} B[E_{n}(rz)] \right| + |\lambda_{0}| \left| 1 + \beta \left(\frac{R+k}{r+k}\right)^{n} \right| \right] \max_{|z|=1} |P(z)| \right. \\ &\left. + \left[\frac{1}{k^{n}} \left| B[E_{n}(Rz)] + \beta \left(\frac{R+k}{r+k}\right)^{n} B[E_{n}(rz)] \right| - |\lambda_{0}| \left| 1 + \beta \left(\frac{R+k}{r+k}\right)^{n} \right| \right] \min_{|z|=k} |P(z)| \right\}. \end{aligned}$$

If we take $\beta = 0$ in Theorem 6, we get

COROLLARY 6. Let P(z) be a polynomial of degree n having no zeros in the disk |z| < k, $k \le 1$, then for every real or complex number α with $|\alpha| \le 1$, $R > r \ge k$ and |z| = 1,

$$\begin{split} &\left|B[P(Rz)] - \alpha B[P(rz)]\right| \\ \leqslant & \frac{1}{2} \left\{ \left[\frac{1}{k^n} \left| B[E_n(Rz)] - \alpha B[E_n(rz)] \right| + |\lambda_0| \left| 1 - \alpha \right| \right] \max_{|z|=1} |P(z)| \right. \\ &\left. + \left[\frac{1}{k^n} \left| B[E_n(Rz)] - \alpha B[E_n(rz)] \right| - |\lambda_0| \left| 1 - \alpha \right| \right] \min_{|z|=k} |P(z)| \right\}. \end{split}$$

Also, the following result immediately follows from Theorem 6, if we take k = 1.

COROLLARY 7. Let P(z) be a polynomial of degree n having no zeros in the disk |z| < 1, then for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge 1$ and |z| = 1,

$$\begin{split} &\left|B[P(Rz)] + \phi(R,r,\alpha,\beta)B[P(rz)]\right| \\ \leqslant & \frac{1}{2} \left\{ \left[\left|B[E_n(Rz)] + \phi(R,r,\alpha,\beta)B[E_n(rz)]\right| + |\lambda_0| \left|1 + \phi(R,r,\alpha,\beta)\right| \right] \max_{|z|=1} |P(z)| \right. \\ &\left. + \left[\left|B[E_n(Rz)] + \phi(R,r,\alpha,\beta)B[E_n(rz)]\right| - |\lambda_0| \left|1 + \phi(R,r,\alpha,\beta)\right| \right] \min_{|z|=1} |P(z)| \right\}, \end{split}$$

where ϕ and E_n are defined above.

If we take k = 1 and $\beta = 0$ in Theorem 6, we get the following:

COROLLARY 8. Let P(z) be a polynomial of degree n having no zeros in the disk |z| < 1, then for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge 1$ and |z| = 1,

$$\begin{split} &\left|B[P(Rz)] - \alpha B[P(rz)]\right| \\ \leqslant & \frac{1}{2} \left\{ \left[\left|B[E_n(Rz)] - \alpha B[E_n(rz)]\right| + |\lambda_0| \left|1 - \alpha\right| \right] \max_{|z|=1} |P(z)| \right. \\ &\left. + \left[\left|B[E_n(Rz)] - \alpha B[E_n(rz)]\right| - |\lambda_0| \left|1 - \alpha\right| \right] \min_{|z|=1} |P(z)| \right\}. \end{split}$$

2. Lemmas

For the proofs of these theorems we require the following lemmas. The first lemma follows from Corollary 18.3 of [8, p. 65].

LEMMA 1. If all the zeros of a polynomial P(z) of degree n lie in a circle $|z| \le k$, where $k \ge 0$, then all the zeros of the polynomial B[P](z) also lie in the circle $|z| \le k$ where $k \ge 0$.

LEMMA 2. If P(z) is a polynomial of degree n, having all zeros in the closed disk $|z| \le k$, where $k \ge 0$, then for every $R \ge r$ and $rR \ge k^2$,

$$|P(Rz)| \geqslant \left(\frac{R+k}{r+k}\right)^n |P(rz)|, \qquad |z| = 1.$$

The above Lemma is due to Aziz and Zargar [5].

3. Proofs of the theorems

Proof of Theorem 1. Since $|f(z)| \le |F(z)|$ for |z| = k, therefore any zero of F(z) that lies on |z| = k, is also zero of f(z). For λ with $|\lambda| < 1$, it follows by Rouche's theorem, that the polynomial $H(z) = F(z) + \lambda f(z)$ has all its zeros in $|z| \le k$. On applying Lemma 2 to H(z), we have

$$H(Rz) \ge \left(\frac{R+k}{r+k}\right)^n |H(rz)| > |H(rz)|, \quad R > r \ge k, \quad |z| = 1$$
 (23)

Therefore, for any α with $|\alpha| \leq 1$, we have

$$\left|H(Rz) - \alpha H(rz)\right| \geqslant \left|H(Rz)\right| - |\alpha| \left|H(rz)\right| \geqslant \left\{ \left(\frac{R+k}{r+k}\right)^n - |\alpha| \right\} |H(rz)|, \quad |z| = 1.$$
(24)

Since H(Rz) has all its zeros in $|z| \le \frac{k}{R} < 1$. Therefore, for every real or complex number α with $|\alpha| < 1$, it follows from inequality (23) by direct application of Rouche's theorem that the polynomial $H(Rz) - \alpha H(rz)$ has all its zeros in |z| < 1. Again from inequality (24) by the direct application of Rouche's theorem, it follows that for all real or complex number β with $|\beta| < 1$ and $R > r \ge k$, that all the zeros of the polynomial $H(Rz) - \alpha H(rz) + \beta \left\{ \left(\frac{R+k}{r+k} \right)^n - |\alpha| \right\} H(rz)$ lie in |z| < 1. Applying Lemma 1 and using the linearity of B, it follows that all the zeros of the polynomial

$$T(z) := B[H(Rz)] - \alpha B[H(rz)] + \beta \left\{ \left(\frac{R+k}{r+k} \right)^n - |\alpha| \right\} B[H(rz)]$$

lie in |z| < 1 for every real or complex number α with $|\alpha| \le 1$ and $R > r \ge k$. Re-

placing H(z) by $F(z) + \lambda f(z)$, we conclude that all the zeros of the polynomial

$$\begin{split} T(z) &:= B[F(Rz)] + \lambda B[f(Rz)] - \alpha \Big(B[F(rz)] + \lambda B[f(rz)] \Big) \\ &+ \beta \Big\{ \Big(\frac{R+k}{r+k} \Big)^n - |\alpha| \Big\} \Big(B[F(rz)] + \lambda B[f(rz)] \Big) \\ &= B[F(Rz)] - \alpha B[F(rz)] + \beta \Big\{ \Big(\frac{R+k}{r+k} \Big)^n - |\alpha| \Big\} B[F(rz)] \\ &+ \lambda \left(B[f(Rz)] - \alpha B[f(rz)] + \beta \Big\{ \Big(\frac{R+k}{r+k} \Big)^n - |\alpha| \Big\} B[f(rz)] \Big) \end{split}$$

lie in |z| < 1 for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$ and |z| < 1.

This implies

$$\left| B[f(Rz)] + \psi(R, r, \alpha, \beta, k) B[f(rz)] \right| \le \left| B[F(Rz)] + \psi(R, r, \alpha, \beta, k) B[F(rz)] \right|, \quad (25)$$

where
$$\psi(R, r, \alpha, \beta, k) := \beta \left\{ \left(\frac{R+k}{r+k} \right)^n - |\alpha| \right\} - \alpha, \ |z| \geqslant 1 \text{ and } R > r \geqslant k.$$

If the inequality (25) is not true, then there exist a point $z = \omega$ with $|\omega| \ge 1$ such that

$$\left|B[f(Rz)] + \psi(R,r,\alpha,\beta,k)B[f(rz)]\right| > \left|B[F(Rz)] + \psi(R,r,\alpha,\beta,k)B[F(rz)]\right|.$$

Taking

$$\lambda = -\frac{B[F(Rz)] + \psi(R, r, \alpha, \beta, k)B[F(rz)]}{B[f(Rz)] + \psi(R, r, \alpha, \beta, k)B[f(rz)]},$$

so that $|\lambda| < 1$ and with this choice of λ , we have $T(\omega) = 0$ for $|\omega| \ge 1$. This is clearly a contradiction to the fact that all the zeros of T(z) lie in |z| < 1. Thus for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$ and $R > r \ge k$, we get (14). \square

Proof of Theorem 2. Let $Q(z):=(\frac{z}{k})^n\overline{P(\frac{k^2}{\overline{z}})}$. Since all the zeros of a polynomial P(z) of degree n lie in $|z|\geqslant k$, therefore, Q(z) is a polynomial of degree n having all its zeros in $|z|\leqslant k$. Applying Theorem 1 with f(z) replaced by P(z) and F(z) by Q(z), we obtain for every $R>r\geqslant k$ and $|z|\geqslant 1$,

$$\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)]\right| \leqslant \left|B[Q(Rz)] + \psi(R, r, \alpha, \beta, k)B[Q(rz)]\right|.$$

This proves Theorem 2. \Box

Proof of Theorem 3. Let $m = \min_{|z|=k} |P(z)|$. For m = 0, there is nothing to prove. Assume that m > 0, so that all the zeros of P(z) lie in |z| < k and we have,

$$m\left|\frac{z}{k}\right|^n \leqslant |P(z)| \quad for \quad |z| = k.$$

Applying Theorem 1 with F(z) replaced by P(z) and f(z) by $m(\frac{z}{k})^n$, we obtain for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$,

$$\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)]\right|$$

$$\geqslant \frac{1}{k^n} \left|B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)]\right| \min_{|z|=k} |P(z)|.$$

This proves Theorem 3. \square

Proof of Theorem 4. Let $M = \max_{|z|=k} |P(z)|$, then $|P(z)| \le M$ for $|z| \le k$. If λ is any real or complex number with $|\lambda| > 1$, then by Rouche's theorem the polynomial $G(z) = P(z) - \lambda M$ does not vanish in |z| < k. Consequently the polynomial

$$H(z) := \left(\frac{z}{k}\right)^n \overline{G(\frac{k^2}{\overline{z}})}$$

has all zeros in $|z| \le k$ and |G(z)| = |H(z)| for |z| = k. On applying Theorem 1, we have for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$, $k \le 1$ and $|z| \ge 1$,

$$\left|B[G(Rz)] + \psi(R, r, \alpha, \beta, k)B[G(rz)]\right| \leq \left|B[H(Rz)] + \psi(R, r, \alpha, \beta, k)B[H(rz)]\right|. \tag{26}$$

Since

$$H(z):=(\tfrac{z}{k})^n\overline{G(\tfrac{k^2}{\overline{z}})}=(\tfrac{z}{k})^n\overline{P(\tfrac{k^2}{\overline{z}})}-\overline{\lambda}(\tfrac{z}{k})^nM=Q(z)-\overline{\lambda}(\tfrac{z}{k})^nM.$$

Therefore, using the fact that B is linear and $B[1] = \lambda_0$, we get from inequality (26)

$$\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)] - \lambda \lambda_0 M \left(1 + \psi(R, r, \alpha, \beta, k)\right)\right|
\leq \left|B[Q(Rz)] + \psi(R, r, \alpha, \beta, k)B[Q(rz)] - \overline{\lambda} M \frac{1}{k^n} \left(B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)]\right)\right|.$$
(27)

Using Corollary 2 for the polynomial Q(z) and noting that |P(z)| = |Q(z)| for |z| = k, we obtain

$$\left|B[Q(Rz)] + \psi(R,r,\alpha,\beta,k)B[Q(rz)]\right| \leqslant \frac{1}{k^n} \left|B[E_n(Rz)] + \psi(R,r,\alpha,\beta,k)B[E_n(rz)]\right| M.$$

Therefore, we can choose an argument of λ in (27) such that

$$\left|B[Q(Rz)] + \psi(R, r, \alpha, \beta, k)B[Q(rz)] - \overline{\lambda}M\frac{1}{k^n}\left(B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)]\right)\right| \\
= \left|\lambda\left|M\frac{1}{k^n}\right|B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)]\right| - \left|B[Q(Rz)] + \psi(R, r, \alpha, \beta, k)B[Q(rz)]\right|.$$
(28)

Using (28) in (27), we get

$$\left| B[P(Rz)] + \psi(R, r, \alpha, \beta, k) B[P(rz)] \right| - |\lambda| |\lambda_0| M \left| 1 + \psi(R, r, \alpha, \beta, k) \right| \\
\leqslant |\lambda| M \frac{1}{k^n} \left| B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k) B[E_n(rz)] \right| \\
- \left| B[Q(Rz)] + \psi(R, r, \alpha, \beta, k) B[Q(rz)] \right|.$$

Equivalently,

$$\left|B[P(Rz)] + \psi(R,r,\alpha,\beta,k)B[P(rz)]\right| + \left|B[Q(Rz)] + \psi(R,r,\alpha,\beta,k)B[Q(rz)]\right|$$

$$\leq |\lambda|M\left\{|\lambda_0|\left|1 + \psi(R,r,\alpha,\beta,k)\right| + \frac{1}{k^n}\left|B[E_n(Rz)] + \psi(R,r,\alpha,\beta,k)B[E_n(rz)]\right|\right\}.$$

Making $|\lambda| \to 1$, we have

$$\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)]\right| + \left|B[Q(Rz)] + \psi(R, r, \alpha, \beta, k)B[Q(rz)]\right|
\leq M\left\{|\lambda_0|\left|1 + \psi(R, r, \alpha, \beta, k)\right| + \frac{1}{k^n}\left|B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)]\right|\right\}.$$
(29)

By the Maximum Modulus Principle for the polynomial P(z) when $k \leq 1$, we get

$$M = \max_{|z|=k} |P(z)| \le \max_{|z|=1} |P(z)|. \tag{30}$$

Combining (30) and (29), we get desired result. \Box

Proof of Theorem 5. The desired result immediately follows by combining Theorem 2 and Theorem 4. \Box

Proof of Theorem 6. If P(z) has a zero on |z|=k, then the result follows from Theorem 5. Therefore we assume that P(z) has all zeros in |z|>k, so that $m=\min_{|z|=k}|P(z)|>0$ and for a real or complex number λ with $|\lambda|<1$, we have $|\lambda m|< m \leqslant |P(z)|$, for |z|=k. By Rouche's theorem, the polynomial $G(z)=P(z)-\lambda m$ does not vanish in |z|< k. Consequently the polynomial

$$H(z) := \left(\frac{z}{k}\right)^n \overline{G(\frac{k^2}{7})}$$

has all zeros in $|z| \le k$ and |G(z)| = |H(z)| for |z| = k. By applying Theorem 1, we have for all real or complex numbers α , β with $|\alpha| \le 1$, $|\beta| \le 1$, $R > r \ge k$, $k \le 1$ and $|z| \ge 1$,

$$\left|B[G(Rz)] + \psi(R, r, \alpha, \beta, k)B[G(rz)]\right| \leq \left|B[H(Rz)] + \psi(R, r, \alpha, \beta, k)B[H(rz)]\right|. \quad (32)$$

Substituting for G(z) and H(z) in (32), using the fact that B is linear and $B[1] = \lambda_0$, we get

$$\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)] - \lambda \lambda_0 m \left(1 + \psi(R, r, \alpha, \beta, k)\right)\right|
\leqslant \left|B[Q(Rz)] + \psi(R, r, \alpha, \beta, k)B[Q(rz)]
- \overline{\lambda} m \frac{1}{k^n} \left(B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)]\right)\right|.$$
(33)

Choosing the argument of λ suitably, which is possible, we get from (33)

$$\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)]\right| - |\lambda||\lambda_0|m| 1 + \psi(R, r, \alpha, \beta, k)
\leqslant \left|B[Q(Rz)] + \psi(R, r, \alpha, \beta, k)B[Q(rz)]\right| - |\lambda|m \frac{1}{k^n} \left|B[E_n(Rz)]\right|
+ \psi(R, r, \alpha, \beta, k)B[E_n(rz)]\right|,$$
(34)

This gives,

$$\begin{aligned} & \left| B[P(Rz)] + \psi(R, r, \alpha, \beta, k) B[P(rz)] \right| \\ & \leq \left| B[Q(Rz)] + \psi(R, r, \alpha, \beta, k) B[Q(rz)] \right| \\ & - |\lambda| \left\{ \frac{1}{k^n} \left| B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k) B[E_n(rz)] \right| - |\lambda_0| \left| 1 + \psi(R, r, \alpha, \beta, k) \right| \right\} m. \end{aligned}$$

Making $|\lambda| \to 1$, we have

$$\left|B[P(Rz)] + \psi(R, r, \alpha, \beta, k)B[P(rz)]\right|
\leq \left|B[Q(Rz)] + \psi(R, r, \alpha, \beta, k)B[Q(rz)]\right|
- \left\{\frac{1}{k^n}\left|B[E_n(Rz)] + \psi(R, r, \alpha, \beta, k)B[E_n(rz)]\right| - |\lambda_0|\left|1 + \psi(R, r, \alpha, \beta, k)\right|\right\}m, \quad (35)$$

Also, by Theorem 4, we have

$$\left|B[P(Rz)] + \psi(R,r,\alpha,\beta,k)B[P(rz)]\right| + \left|B[Q(Rz)] + \psi(R,r,\alpha,\beta,k)B[Q(rz)]\right|$$

$$\leq \left\{ |\lambda_{0}| \left|1 + \psi(R,r,\alpha,\beta,k)\right| + \frac{1}{k^{n}} \left|B[E_{n}(Rz)] + \psi(R,r,\alpha,\beta,k)B[E_{n}(rz)]\right| \right\} \max_{|z|=1} |P(z)|,$$
(36)

Combining the inequalities (35) and (36), we get the desired result. \Box

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