

INDEFINITE STURM-LIOUVILLE OPERATORS ($\operatorname{sgn} x$) $\left(-\frac{d^2}{dx^2} + q(x)\right)$ WITH FINITE-ZONE POTENTIALS

I. M. KARABASH AND M. M. MALAMUD

Abstract. The indefinite Sturm-Liouville operator $A = (\operatorname{sgn} x)(-d^2/dx^2 + q)$ is studied. It is proved that similarity of A to a selfadjoint operator is equivalent to integral estimates of Cauchy type integrals. Some simple sufficient and necessary conditions for the similarity to a selfadjoint operator in terms of Weyl functions are given. For operators with a finite-zone potential q , the components A_{ess} and A_{disc} of A corresponding to the essential and the discrete spectrums, respectively, are investigated. The main result of the paper is a criterion of similarity of the operator A (resp. A_{ess}) with a finite-zone potential q to a normal (resp. selfadjoint) operator. It is given in terms of the Weyl functions corresponding to the Sturm-Liouville operator $-d^2/dx^2 + q$. Jordan structure of the operator A_{disc} is described. An example of a non-definitizable operator A that is similar to a normal operator is presented too.

Mathematics subject classification (2000): 47E05, 34B24, 34B09, 34L10, 47B50.

Key words and phrases: J-selfadjoint operator, indefinite weight, nonselfadjoint operator, Sturm-Liouville operator, similarity, characteristic functions, algebraic and geometric multiplicities of an eigenvalue, weighted norm inequalities.

REFERENCES

- [1] N. I. AKHIEZER, I. M. GLAZMAN, *Theory of linear operators in Hilbert space*, Dover, New York, 1993.
- [2] N. I. AKHIEZER, I. M. GLAZMAN, *Theory of linear operators in Hilbert space, Part II*, Vischa Shkola, Kharkov, 1978 [Russian].
- [3] T. YA. AZIZOV, I. S. IOKHVIDOV, *Linear operators in spaces with an indefinite metric*, John Wiley and Sons, Chichester, New York, 1989.
- [4] R. BEALS, *Indefinite Sturm-Liouville problems and Half-range completeness*, J. Differential Equations **56** (1985), 391–407.
- [5] P. BINDING, B. CURGUS, *Riesz bases of root vectors of indefinite sturm-Liouville problems with eigenparameter dependent boundary conditions. I. Operator theory and indefinite inner product spaces*, Oper. Theory Adv. Appl., 163, Birkhäuser, Basel, (2006), 75–95.
- [6] M.S. BRODSKII, *Triangular and Jordan Representations of Linear Operators*, Providence, R. I., AMS, 1971.
- [7] J. A. VAN CASTEREN, *Operators similar to unitary or selfadjoint ones*, Pacific J. Math. **104** (1983) no. 1, 241–255.
- [8] B. ČURGUS, H. LANGER, *A Krein space approach to symmetric ordinary differential operators with an indefinite weight function*, J. Differential Equations **79** (1989), 31–61.
- [9] B. ČURGUS, B. NAJMAN, *The operator $(\operatorname{sgn} x)\frac{d^2}{dx^2}$ is similar to a selfadjoint operator in $L^2(\mathbb{R})$* , Proc. Amer. Math. Soc. **123** (1995), 1125–1128.
- [10] B. ČURGUS, B. NAJMAN, *Positive differential operators in Krein space $L^2(\mathbb{R})$* , Recent development in operator theory and its applications (Winnipeg, MB, 1994), Oper. Theory Adv. Appl., Birkhäuser, Basel, **87** (1996), 95–104.
- [11] B. ČURGUS, B. NAJMAN, *Positive differential operators in the Krein space $L^2(\mathbb{R}^n)$* , Oper. Theory Adv. Appl., Birkhäuser, Basel. **106** (1998), 113–130.

- [12] V. A. DERKACH, M. M. MALAMUD, *On the Weyl function and Hermitian operators with gaps*, Dokl. AN SSSR **293** (1987), 1041–1046.
- [13] V. A. DERKACH, M. M. MALAMUD, *Generalized resolvents and the boundary value problems for Hermitian operators with gaps*, J. Funct. Anal. **95** (1991), 1–95.
- [14] V. A. DERKACH, M. M. MALAMUD, *Characteristic functions of almost solvable extensions of Hermitian operators*, Ukrainian Math. J., **44** (1992), 435–459.
- [15] V. A. DERKACH, M. M. MALAMUD, *The extension theory of hermitian operators and the moment problem*, J. of Math. Sciences. **73** (1995) no. 2, 141–242.
- [16] M. M. FADDEEV, R. G. SHTERENBERG, *On similarity of singular differential operators to a selfadjoint one*, Zapiski Nauchnyh Seminarov POMI **270**, Issledovaniya po Lineinym Operatoram i Teorii Funktsii **28** (2000), 336–349.
- [17] A. FLEIGE, *A spectral theory of indefinite Krein-Feller differential operators*, Mahemathical Research **98**, Akademie Verlag, Berlin, 1996.
- [18] A. FLEIGE, B. NAJMAN, *Nonsingularity of critical points of some differential and difference operators*, Oper. Theory: Adv. Appl., **102**, Birkhäuser, Basel, 1998.
- [19] J. B. GARNETT, *Bounded analytic functions*, Academic Press, New York, London, 1981.
- [20] F. GESZTESY AND V. TKACHENKO, *A criterion for Hill operators to be spectral operators of scalar type*, ArXiv: Math. SP/0610087 v 2; <http://arxiv.org/abs/math.SP/0610087>
- [21] F. GESZTESY AND V. TKACHENKO, *When is a non-self-adjoint Hill operator a spectral operator of scalar type?*, C. R. Acad. Sci. Paris, Ser. I **343** (2006), 239–242.
- [22] F. GESZTESY AND E. TSEKANOVSKII, *On matrix-valued Herglotz functions*, Math. Nachr. **218** (2000), 61–138.
- [23] V. I. GORBACHUK, M. L. GORBACHUK, *Boundary value problems for operator differential equations, Mathematics and Its Applications*, Soviet Series 48, Dordrecht etc., Kluwer Academic Publishers, 1991.
- [24] A. M. GOMILKO, *On conditions on the generator of uniformly bounded C_0 -semigroup of operators*, Func. Anal. Appl., **33** (1999) no. 4, 66–69.
- [25] H. HELSON, G. SZEGÖ, *A problem in prediction theory*, Ann. Mat. Pura Appl. **51** (1960), 107–138.
- [26] R. HUNT, B. MUCKENHOUPT, R. L. WHEEDEN, *Weighted norm inequalities for the conjugate function and Hilbert transform*, Trans. Amer. Math. Soc. **176** (1973) 227–251.
- [27] P. JONAS, H. LANGER, *Compact perturbations of definitizable operators*, J. Operator Theory **2** (1979), 63–77.
- [28] P. JONAS, C. TRUNK, *On a class of analytic operator functions and their linearizations*, Math. Nachrichten **243** (2002), 92–133.
- [29] I. S. KAC, M. G. KREIN, *R-functions — analytic functions mapping the upper halfplane into itself, Supplement to the Russian edition of F. V. Atkinson, Discrete and continuous boundary problems*, Mir, Moscow, 1968 (Russian). Engl. transl.: Amer. Math. Soc. Transl. Ser. 2, **103** (1974), 1–18.
- [30] V. KAPUSTIN, *Spectral analysis of almost unitary operators*, St. Petersburg Math. J. **13** (2002) no. 5, 739–756.
- [31] H. G. KAPER, M. K. KWONG, C. G. LEKKERKERKER, A. ZETTL, *Full- and partial- range expansions for Sturm-Liouville problems with indefinite weights*, Proc. Roy. Soc. Edinburgh Sect. A **98** (1984), 69–88.
- [32] I. M. KARABASH, *The operator $-(\operatorname{sgn}x)\frac{d^2}{dx^2}$ is similar to a selfadjoint operator in $L^2(\mathbb{R})$* , Spectral and Evolution problems, Proc. of the Eighth Crimean Autumn Math. School-Symposium, Simferopol **8** (1998), 23–26.
- [33] I. M. KARABASH, *J-selfadjoint ordinary differential operators similar to selfadjoint operators*, Methods of Functional Analysis and Topology **6** (2000) no. 2, 22–49.
- [34] I. M. KARABASH, *On J-selfadjoint differential operators similar to selfadjoint operators*, Math. Notes **68** (2000) no. 6, 798–799.
- [35] I. M. KARABASH, *On differential operators of the first order nonsimilar to selfadjoint ones*, Spectral and Evolution problems, Proc. of the Tenth Crimean Autumn Math. School-Symposium, Simferopol **10** (2000), 22–25.
- [36] I. M. KARABASH, *On similarity of differential operators to selfadjoint ones*, Candidate thesis, The Institute of Applied Mathematics and Mechanics NASU, Donetsk, 2005 [Russian].
- [37] I. M. KARABASH, *On eigenvalues in the essential spectrum of Sturm-Liouville operators with the indefinite weight $\operatorname{sgn}x$* , Spectral and Evolution problems, Proc. of the Fifteenth Crimean Autumn Math. School-Symposium, Simferopol **15** (2005) 55–60.
- [38] I. M. KARABASH, M. M. MALAMUD, *The similarity of a J-self-adjoint Sturm-Liouville operator with finite-gap potential to a self-adjoint operator*, Doklady Mathematics **69** (2004) no. 2, 195–199.
- [39] I. M. KARABASH, M. M. MALAMUD, *Indefinite Sturm-Liouville operators with finitezone potentials*, ArXiv: Math. SP/0610087 v 2; <http://arxiv.org/abs/math.SP/0610087>

- [40] T. KATO, *Perturbation theory for linear operators*, Springer-Verlag, Berlin, Heidelberg, New York, 1966.
- [41] A. V. KISELEV, M. M. FADDEEV, *On a problem of similarity for nonselfadjoint operators with absolutely continuous spectrum*, *Func. Anal.* **34** (2001) no. 2, 78–81.
- [42] P. KOOSIS, *Introduction to H_p spaces*, Cambridge University Press, Cambridge, 1980.
- [43] H. LANGER, *Spectral functions of definitizable operators in Krein space*, *Lecture Notes in Mathematics* **948** (1982), 1–46.
- [44] B. M. LEVITAN, *Inverse Sturm-Liouville problems*, Nauka, Moscow 1984 [Russian]; Engl. transl.: VNU Science Press, Utrecht, 1987.
- [45] B. M. LEVITAN, I. S. SARGSIAN, *Sturm-Liouville and Dirac operators*, Nauka, Moscow, 1988 [Russian]; Engl. transl.: Kluwer, Dordrecht 1990.
- [46] M.M. MALAMUD, *A criterion for similarity of a closed operator to a self-adjoint one*, *Ukrainian Math. J.* **37** (1985), 49–56 [Russian].
- [47] M. M. MALAMUD, *Similarity of a Triangular Operator to a Diagonal Operator*, *J. Math. Sciences* **115** (2000), 2199–2222.
- [48] M. M. MALAMUD, S. M. MALAMUD, *Spectral theory of operator measures in Hilbert spaces*, *Algebra i Analiz* **15** (2003) no. 3, 1–77. (Russian); English translation: *St. Petersburg Math. J.* **15** (2003) no. 3, 1–53.
- [49] B. MUCKENHOUPT, *Weighted norm inequalities for the Hardy maximal function*, *Trans. Amer. Math. Soc.* **165** (1972), 207–226.
- [50] S. N. NABOKO, *On some conditions for the similarity to unitary and selfadjoint operators*, *Funktional. Anal. i Prilozhen* **18** (1984) no. 1, 16–27 [Russian].
- [51] M. A. NAIMARK, *Linear Differential Operators, Part I*, Frederic Ungar Publ., New York, 1967.
- [52] M. A. NAIMARK, *Linear differential operators, Part II*, Ungar, New York, 1968.
- [53] N. K. NIKOL'SKII, *Treatise on the Shift Operator*, Springer-Verlag, Berlin, Heidelberg, New York, Tokyo, 1986.
- [54] N. NIKOL'SKII, S. TREIL, *Linear resolvent growth of rank one perturbation of a unitary operator does not imply its similarity to a normal operator*, *J. D'Analyse Math.* **87** (2002), 415–431.
- [55] A. I. PARFYONOV, *On an embedding criterion for interpolation spaces and its applications to indefinite spectral problems*, *Sib. Mat. Zhurnal.* **44** (2003) no. 4, 810–819 [Russian].
- [56] V. P. POTAPOV, *Multiplicative structure of J -nonexpansive matrix functions*, *Trudy Mosk. Math. Ob.* **4** (1955), 125–236 [Russian].
- [57] S. G. PYATKOV, *Some properties of eigenfunctions of linear pencils*, *Siberian Math. J.* **30** (1989), 587–597.
- [58] M. REED, B. SIMON, *Methods of modern mathematical physics, I*, Academic Press, New York, London, 1980.
- [59] M. REED, B. SIMON, *Methods of modern mathematical physics, II*, Academic Press, New York, London, 1975.
- [60] L. A. SAKHNOVICH, *Nonunitary operators with absolutely continuous spectrum on the unit circle*, *Izv. Acad. Sci. USSR, Ser. Math.*, **33** (1969) no. 1, 52–64.
- [61] A. A. SHKALIKOV, *The indefinite Sturm-Liouville problem: the known and the unknown*, *Russian Mathematical Surveys* **48** (1993), 225–226.
- [62] B. SZ.-NAGY, C. FOIAS, *Harmonic analysis of operators on Hilbert space*, Amsterdam–Budapest, 1970.
- [63] H. VOLKMER, *Sturm-Liouville problems with indefinite weights and Everitt's inequality*, *Proc. Roy. Soc. Edinburg* **126A** (1996), 1097–1112.
- [64] V. A. ZOLOTAREV, *Analytic methods of spectral representations of non-selfadjoint and non-unitary operators*, MagPress, Kharkov, 2003.