

## TRACE INEQUALITIES AND SPECTRAL SHIFT

ANNA SKRIPKA

*Abstract.* We derive monotonicity and convexity inequalities for traces of operator functions defined on self-adjoint elements of a semi-finite von Neumann algebra. Among tools involved in the proofs are a generalized Birman-Solomyak spectral averaging formula (obtained in the paper), a generalized Birman-Schwinger principle, and Koplienko's spectral shift function, a new, more straightforward, approach to which is developed in the paper.

*Mathematics subject classification (2000):* Primary 26A48, 26A51, 47A56; Secondary 47A55, 47C15.

*Keywords and phrases:* monotonicity, convexity, von Neumann algebra, spectral shift function, operator function.

### REFERENCES

- [1] N. A. AZAMOV, A. L. CAREY, P. G. DODDS, F. A. SUKOCHEV, *Operator integrals, spectral shift, and spectral flow*, *Canad. J. Math.*, to appear.
- [2] N. A. AZAMOV, P. G. DODDS, F. A. SUKOCHEV, *The Krein spectral shift function in semifinite von Neumann algebras*, *Integr. Equ. Oper. Theory*, **55** (2006), 347–362.
- [3] M. SH. BIRMAN, M. Z. SOLOMYAK, *Remarks on the spectral shift function*, *Zap. Naučn. Sem. Leningrad. Otdel. Mat. Inst. Steklov. (LOMI)* **27** (1972), 3–26; English transl. in *J. Soviet Math.* **3**, 4 (1975), 408–419.
- [4] K. N. BOYADZHIEV, *Mean value theorems for traces*, *Math. Japon.* **38** (1993), 217–224.
- [5] L. G. BROWN, H. KOSAKI, *Jensen's inequality in semi-finite von Neumann algebras*, *J. Operator Theory*, **23** (1990), 3–29.
- [6] R. W. CAREY, J. D. PINCUS, *Mosaics, principal functions, and mean motion in von Neumann algebras*, *Acta Math.*, **138** (1977), 153–218.
- [7] J. Dixmier, *Von Neumann Algebras*, North-Holland, Amsterdam, 1981.
- [8] T. FACK, *Sur la notion de valeur caractéristique*, *J. Operator Theory*, **7** (1982), 307–333.
- [9] T. FACK, H. KOSAKI, *Generalized  $s$ -numbers of  $\tau$ -measurable operators*, *Pacific J. Math.*, **123**, 2 (1986), 269–300.
- [10] F. GESZTESY, K. A. MAKAROV, A. K. MOTOVILOV, *Monotonicity and concavity properties of the spectral shift function*. Stochastic processes, physics and geometry: new interplays, II (Leipzig, 1999), 207–222, *CMS Conf. Proc.*, **29**, Amer. Math. Soc., Providence, RI, 2000.
- [11] F. GESZTESY, K. A. MAKAROV, S. N. NABOKO, *The spectral shift operator*, in J. Dittrich, P. Exner, and M. Tater (eds.) “Mathematical Results in Quantum Mechanics”, *Operator Theory: Advances and Applications*, Vol. 108, Birkhäuser, Basel, 1999, 59–90.
- [12] F. GESZTESY, A. PUSHNITSKI, B. SIMON, *On the Koplienko Spectral shift function, I. Basics*, *Zh. Mat. Fiz. Anal. Geom.*, **4**, 1 (2008), 63–107.
- [13] F. HANSEN, G. K. PEDERSEN, *Jensen's operator inequality*, *Bull. London Math. Soc.*, **35** (2003), 553–564.
- [14] B. FUGLEDE AND R. V. KADISON, *Determinant theory in finite factors*, *Ann. Math.*, **55** (1952), 520–530.
- [15] R. V. KADISON AND J. R. RINGROSE, *Fundamentals of the Theory of Operator Algebras Vol. II*, Academic Press, Orlando, FL, 1986.
- [16] L. S. KOPLIENKO, *Trace formula for nontrace-class perturbations*, *Sibirsk. Mat. Zh.*, **25**, 5 (1984), 6–21 (Russian). English translation: *Siberian Math. J.*, **25**, 5 (1984), 735–743.

- [17] V. KOSTRYKIN, *Concavity of eigenvalue sums and the spectral shift function*, J. Funct. Anal., **176**, 1 (2000), 100–114.
- [18] V. KOSTRYKIN, K. A. MAKAROV, A. SKRIPKA, *The Birman-Schwinger principle in von Neumann algebras of finite type*, J. Funct. Anal., **247** (2007), 492–508.
- [19] M. G. KREIN, *On a trace formula in perturbation theory*, Matem. Sbornik, **33** (1953), 597–626 (Russian).
- [20] E. H. LIEB, H. SIEDENTOP, *Convexity and concavity of eigenvalue sums*, J. Statist. Phys., **63** (1991), 811–816.
- [21] I. M. LIFSHITS, *On a problem of the theory of perturbations connected with quantum statistics*, Uspehi Matem. Nauk, **7** (1952), 171–180 (Russian).
- [22] K. A. MAKAROV, A. SKRIPKA, *Some applications of the perturbation determinant in finite von Neumann algebras*, Canad. J. Math., to appear.
- [23] H. NEIDHARDT, *Spectral shift function and Hilbert-Schmidt perturbation: extensions of some work of L.S. Koptienko*, Math. Nachr., **138** (1988), 7–25.
- [24] V. V. PELLER, *Hankel operators in the perturbation theory of unbounded self-adjoint operators*. Analysis and partial differential equations, Lecture Notes in Pure and Applied Mathematics, 122, Dekker, New York, 1990, 529–544.
- [25] V. V. PELLER, *An extension of the Koptienko-Neidhardt trace formulae*, J. Funct. Anal., **221** (2005), 456–481.
- [26] V. V. PELLER, *Multiple operator integrals and higher operator derivatives*, J. Funct. Anal., **233** (2006), 515–544.
- [27] D. PETZ, *Spectral scale of self-adjoint operators and trace inequalities*, J. Math. Anal. Appl., **109** (1985), 74–82.
- [28] M. REED, B. SIMON, *Methods of Modern Mathematical Physics I, Functional Analysis*, 2nd. ed., Academic Press, New York, 1980.
- [29] D. RUELLE, *Statistical Mechanics. Rigorous Results*, Benjamin, New York, 1969.
- [30] S. SAKAI,  *$C^*$ -algebras and  $W^*$ -algebras*, Ergebn. Math. und ihrer Grenzgeb., 60, Springer-Verlag, New York-Heilderberg, 1971.
- [31] M. A. SHUBIN, *Discrete magnetic Laplacian*, Comm. Math. Phys. **164**, 2 (1994), 259–275.
- [32] B. SIMON, *Spectral averaging and the Krein spectral shift*, Proc. Amer. Math. Soc., **126**, 5 (1998), 1409–1413.
- [33] A. SKRIPKA, *On properties of the  $\xi$ -function in semi-finite von Neumann algebras*, Integr. Equ. Oper. Theory, **62**, 2 (2008), 247–267.
- [34] M. TAKESAKI, *Theory of operator algebras. I*, Springer-Verlag, New York-Heidelberg, 1979.
- [35] D. VOICULESCU, *On a trace formula of M. G. Krein*, Operator Theory: Adv. Appl., **24** (1987), 329–332.