

## EIGENVALUE INTERLACING FOR FIRST ORDER DIFFERENTIAL SYSTEMS WITH PERIODIC $2 \times 2$ MATRIX POTENTIALS AND QUASI-PERIODIC BOUNDARY CONDITIONS

SONJA CURRIE, THOMAS T. ROTH AND BRUCE A. WATSON

*Abstract.* The self-adjoint first order system,  $JY' + QY = \lambda Y$ , with locally integrable, real, symmetric,  $\pi$ -periodic,  $2 \times 2$  matrix potential  $Q$  is considered, where  $J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . By means of a unitary transformation applied to the boundary value problem considered in [6], it is shown that all eigenvalues to the above equation with boundary conditions  $Y(\pi) = \pm R(\theta)Y(0)$ , where  $R(\theta)$  is the rotation matrix  $\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$ , occur when the discriminant  $\Delta_\theta = \text{Tr}(\mathbb{Y}(\pi)^T R(\theta))$  is equal to  $\pm 2$ . Here  $\mathbb{Y}$  is the solution of the first order system obeying the initial condition  $\mathbb{Y}(0) = \mathbb{I}$ . In addition, an expression for the  $\lambda$ -derivative of the discriminant  $\Delta_\theta$  is given and some monotonicity results are obtained. Interlacing/indexing properties for the eigenvalues of various operator eigenvalue problems are proved.

*Mathematics subject classification (2010):* 34L40, 34C10, 34C25.

*Keywords and phrases:* Dirac system, quasi-periodic eigenvalue problems, interlacing.

### REFERENCES

- [1] J. BELLISSARD, B. IOCHUM, E. SCOPPOLA, D. TESTARD, *Spectral properties of one dimensional quasi-crystals*, Commun. Math. Phys. **125** (1989), 527–543.
- [2] I. BINDER, M. VODA, *On Optimal Separation of Eigenvalues for a Quasiperiodic Jacobi Matrix*, Commun. Math. Phys. **325** (2014), 1063–1106.
- [3] P. A. BINDING, H. VOLKMER, *Existence and asymptotics of eigenvalues of indefinite systems of Sturm-Liouville and Dirac type*, J. Diff. Eq. **172** (2001) 116–133.
- [4] M. B. BROWN, M. S. P. EASTHAM, K. M. SCHMIDT, *Periodic Differential Operators*, Birkhäuser, 2013.
- [5] E. A. CODDINGTON, N. LEVINSON, *Theory of ordinary differential equations*, McGraw-Hill Publishing, 1955.
- [6] S. CURRIE, B. A. WATSON, T. T. ROTH, *First order systems in  $\mathbb{C}^2$  on  $\mathbb{R}$  with periodic matrix potentials and vanishing instability intervals*, Math. Meth. Appl. Sci. **38** (2015), 4435–4447.
- [7] L. H. ELIASSON, *Discrete one-dimensional quasi-periodic Schrödinger operators with pure point spectrum*, Acta. Math. **179** (1997), 153–196.
- [8] S. G. KREIN, *Functional Analysis*, Nauka, Moscow, 1972.
- [9] B. M. LEVITAN, I. S. SARGSIAN, *Sturm-Liouville and Dirac operators*, Kluwer Academic Publishers, 1991.
- [10] E. J. MCSHANE, *Integration*, Princeton University Press, 1944.
- [11] T. V. MISYURA, *Characterization of the spectra of the periodic and antiperiodic boundary value problems that are generated by the Dirac operator I*, Teor. Funktsii Funktsional. Anal. i Prolozhen., **30** (1978), 90–101.
- [12] T. V. MISYURA, *Characterization of the spectra of the periodic and antiperiodic boundary value problems that are generated by the Dirac operator II*, Teor. Funktsii Funktsional. Anal. i Prolozhen., **31** (1979), 102–109.

- [13] I. M. NABIEV, *Solution of the Inverse Quasiperiodic Problem for the Dirac System*, *Matematicheskije Zametki* **89** (2011), 885–893.
- [14] A. SÜTÖ, *The spectrum of a quasiperiodic Schrödinger operator*, *Commun. Math. Phys.* **111** (1987), 409–415.
- [15] J. WEIDMANN, *Spectral theory of ordinary differential operators*, *Lecture notes in Mathematics* 1258, Springer-Verlag, 1987.
- [16] C.-F. YANG, X.-P. YANG, *Some Ambarzumyan-type theorems for Dirac operators*, *Inverse Problems*, **23** (2007), 2565–2574.