

EXAMPLES FOR THE QUANTUM KIPPENHAHN THEOREM

BEN LAWRENCE

Abstract. Semidefinite programming optimises a linear objective function over a spectrahedron, and is one of the major advances of mathematical optimisation. Spectrahedra are described by linear pencils, which are linear matrix polynomials with hermitian matrix coefficients. Our focus will be on dimension-free linear pencils where the variables are permitted to be hermitian matrices. A major question on linear pencils, and matrix theory in general, is Kippenhahn’s conjecture. The conjecture states that given a linear pencil $xH + yK$ if the hermitian matrices H and K generate the full matrix algebra, then the pencil must have at least one simple eigenvalue for some x and y . The conjecture is known to be false, via a single counterexample due to Laffey. A dimension-free version of the conjecture, known as the Quantum Kippenhahn theorem, has recently been proven true non-constructively. We present a novel family of counterexamples to Kippenhahn’s conjecture, and use this family to construct concrete examples of the Quantum Kippenhahn theorem.

Mathematics subject classification (2010): 15A22, 15A42, 46L52, 90C22.

Keywords and phrases: Free analysis, non-commutative algebra, linear pencil, double eigenvalues, Kippenhahn conjecture.

REFERENCES

- [1] GRIGORIY BLEKHERMAN, PABLO A. PARRILO AND REKHA R. THOMAS (EDS.), *Semidefinite Optimization and Convex Algebraic Geometry*, SIAM - Society for Industrial and Applied Mathematics (2012).
- [2] ANITA BUCKLEY, *Indecomposable matrices defining plane cubics*, *Operators and Matrices* **10**, No. 4, (2016), 1059–1072.
- [3] TOBIAS FRITZ, TIM NETZER AND ANDREAS THOM, *Spectrahedral containment and operator systems with finite-dimensional realization*, *SIAM J. Appl. Algebra and Geometry*, **1**, (2017), 556–574.
- [4] J. WILLIAM HELTON, IGOR KLEP AND SCOTT MCCULLOUGH, *The matricial relaxation of a linear matrix inequality*, *Math. Program., Ser. A* **138**, (2013), 401–445.
- [5] ROGER HORN AND CHARLES JOHNSON, *Matrix Analysis*, Cambridge University Press (1990).
- [6] BEATRIX HUBER AND TIM NETZER, *A note on non-commutative polytopes and polyhedra*, arxiv.org/abs/1809.00476.
- [7] TOSIO KATO, *Perturbation Theory for Linear Operators*, Springer-Verlag (1980).
- [8] RUDOLF KIPPENHAHN, *Über den Wertevorrat einer Matrix*, *Mathematische Nachrichten* **6**, (1951–52), 193–228.
- [9] IGOR KLEP, JURIJ VOLČIČ, *Free loci of matrix pencils and domains of noncommutative rational functions*, *Comment. Math. Helv.* **92**, (2017), 105–130.
- [10] ETIENNE DE KLERK, *Aspects of Semidefinite Programming*, Springer (2002).
- [11] KONRAD KNOPP, *Theory of Functions (Part Two)*, Dover Publications, (1947).
- [12] THOMAS J. LAFFEY, *A counterexample to Kippenhahn’s conjecture on hermitian pencils*, *Linear Algebra and its Applications* **51**, (1983), 179–183.
- [13] BEN LAWRENCE, *Burnside graphs, algebras generated by sets of matrices, and the Kippenhahn conjecture*, *Linear and Multilinear Algebra* **67**, Issue **1**, (2019), 51–69.
- [14] PETER LAX, *On the discriminant of real symmetric matrices*, *Communications on Pure and Applied Mathematics* **51**, Issue **11-12**, (1998), 1387–1396.

- [15] CHI-KWONG LI, ILYA SPITKOVSKY AND SUDHEER SHUKLA, *Equality of higher numerical ranges of matrices and a conjecture of kippenhahn on hermitian pencils*, *Linear Algebra and its Applications* **270**, (1998), 323–349.
- [16] TIM NETZER, DANIEL PLAUMANN AND ANDREAS THOM, *Determinantal representations and the Hermite matrix*, *Michigan Math. J.* **62**, (2013), 407–420.
- [17] VERN PAULSEN, *Completely Bounded Maps and Operator Algebras*, Cambridge University Press (2009).
- [18] IGOR R. SHAFAREVICH, *Basic algebraic geometry. 2. Schemes and complex manifolds (Translated from the 2007 Russian edition by Miles Reid)*, 3rd edition, Springer (2013).
- [19] HELENE SHAPIRO, *On a conjecture of Kippenhahn about the characteristic polynomial of a pencil generated by two hermitian matrices (Part 1)*, *Linear Algebra and its Applications* **43**, (1982), 201–221.
- [20] HELENE SHAPIRO, *On a conjecture of Kippenhahn about the characteristic polynomial of a pencil generated by two hermitian matrices (Part 2)*, *Linear Algebra and its Applications* **45**, (1982), 97–108.
- [21] HELENE SHAPIRO, *Hermitian pencils with a cubic minimal polynomial*, *Linear Algebra and its Applications* **48**, (1982), 81–103.
- [22] JOHN R. SILVESTER, *Determinants of block matrices*, *The Mathematical Gazette* Vol. **84**, No. **501** (Nov. 2000), 460–467.
- [23] VICTOR VINNIKOV, *Selfadjoint determinantal representations of real plane curves*, *Math. Ann.* **296**, (1993), 453–479.
- [24] HENRY WOLKOWICZ, ROMESH SAIGAL AND LIEVEN VANDENBERGHE (EDS), *Handbook of semidefinite programming: theory, algorithms, and applications*, Kluwer Academic Publishers (2000).