

OPERATORS WITH MINIMAL PSEUDOSPECTRA AND CONNECTIONS TO NORMALITY

SAMIR RAOUAFI

Abstract. This paper mainly studies the class of bounded linear operators A with minimal pseudospectra $\sigma_\varepsilon(A) = \sigma(A) + \mathbb{D}_\varepsilon$ for some $\varepsilon > 0$, where $\sigma(A)$ denotes the spectrum of A , and \mathbb{D}_ε denotes the open disk of radius ε centered at the origin. Some characterizations of the normality of operators with minimal pseudospectra are provided in terms of only one ε -pseudospectrum. Furthermore, a characterization of the normality of arbitrary $N \times N$ complex matrices is given for $N \leq 4$. Some applications to numerical ranges are also presented.

Mathematics subject classification (2010): 47A10, 47A12, 47A20, 47B15.

Keywords and phrases: Pseudospectra, numerical range, normal operator, dilation.

REFERENCES

- [1] Y. A. ABRAMOVICH AND C. D. ALIPRANTIS, *An Invitation to Operator Theory*, American Mathematical Society, Providence, RI, 2002.
- [2] S.K. BERBERIAN, *Some Conditions of an Operator Implying Normality. II*, Proc. Amer. Math. Soc., 26, 2, 1970, 277–281.
- [3] S.K. BERBERIAN, *Some Conditions of an Operator Implying Normality. III*, Proc. Japan Acad., 46, 7, 1970, 630–632.
- [4] S.K. BERBERIAN, *An extension of Weyl's theorem to a class of not necessarily normal operators*, Michigan Math. Jour, 16, 3, 1969, 273–279.
- [5] S.K. BERBERIAN, *Approximate proper vectors*, Proc. Amer. Math. Soc., 13, 1, 1962, 111–114.
- [6] A. BÖTTCHER AND S. M. GRUDSKY, *Spectral Properties of Banded Toeplitz Matrices*, SIAM, Philadelphia, 2005.
- [7] A. BÖTTCHER AND B. SILBERMAN, *Introduction to Large Truncated Toeplitz Matrices*, Springer-Verlag, New York, 1999.
- [8] M. CROUZEIX AND C. PALENCIA, *The numerical range is a $1 + \sqrt{2}$ -spectral set*, SIAM J. Matrix Anal. Appl., 38, 2, 2017, 649–655.
- [9] M. CROUZEIX, *A functional calculus based on the numerical range: applications*, Linear and Multilinear Algebra, 56, 1-2, 2008, 81–103.
- [10] J. CUI, C. K. LI, AND Y. T. POON, *Pseudospectra of special operators and pseudospectrum preservers*, J. Math. Anal. Appl., 419, 2, 2014, 1261–1273.
- [11] L. ELSNER AND KH. D. IKRAMOV, *Normal Matrices: An Update*, Linear Algebra Appl., 285, 1-3, 1998, 291–303.
- [12] M. R. EMBRY, *Conditions implying normality in Hilbert space*, Pacific J. Math., 18, 3, 1966, 457–460.
- [13] R. GRONE, C. R. JOHNSON, E. M. SA, AND H. WOLKOWICZ, *Normal Matrices*, Linear Algebra Appl., 87, 1, 1987, 213–225.
- [14] B. JIA AND Y. FENG, *On pseudospectral radii of operators on Hilbert spaces*, Ann. Funct. Anal., 9, 4, 2018, 474–484.
- [15] C. R. JOHNSON, *Normality and the numerical range*, Linear Algebra Appl., 15, 1, 1976, 89–94.
- [16] V. PAULSEN, *Completely Bounded Maps and Operator Algebras*, Cambridge Studies in Advanced Mathematics 78, Cambridge University Press, 2003.
- [17] T. RANSFORD AND S. RAOUAFI, *Pseudospectra and holomorphic functions of matrices*, Bull. London Math. Soc., 45, 4, 2013, 693–699.

- [18] S. RAOUAFI, *A generalization of the Kreiss Matrix Theorem*, Linear Algebra Appl., 549, 1, 2018, 86–99.
- [19] L. REICHEL AND L. N. TREFETHEN, *Eigenvalues and Pseudo-eigenvalues of Toeplitz Matrices*, Linear Algebra Appl., 162-164, 1, 1992, 153–185.
- [20] J. G. STAMPFLI, *Normality and the numerical range of an operator*, Bull. Amer. Math. Soc., 72, 6, 1966, 1021–1022.
- [21] J. G. STAMPFLI AND J. P. WILLIAMS, *Growth conditions and the numerical range in a Banach algebra*, Tohoku Math. Journ., 20, 4, 1968, 417–424.
- [22] L.N. TREFETHEN AND M. EMBREE, *Spectra and Psedospectra, The behavior of nonnormal matrices and Operators*, Princeton Unviersity Press, Princeton, 2005.
- [23] J. P. WILLIAMS, *Similarity and the Numerical Range*, J. Math. Anal. Appl., 26, 2, 1969, 307–314.