

ALGEBRAS OF TRUNCATED TOEPLITZ OPERATORS

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(Communicated by I. M. Spitkovsky)

Abstract. We find necessary and sufficient conditions for the product of two truncated Toeplitz operators on a model space to itself be a truncated Toeplitz operator, and as a result find a characterization for the maximal algebras of bounded truncated Toeplitz operators.

1. Introduction

Let \mathbb{C} denote the complex plane, \mathbb{C}^* the Riemann sphere, \mathbb{D} denote the unit disc, and let \mathbb{T} denote the unit circle. H^2 is the usual Hardy space, the subspace of $L^2(\mathbb{T})$ of normalized Lebesgue measure m on \mathbb{T} whose harmonic extensions to \mathbb{D} are holomorphic (or, whose negative indexed Fourier coefficients are all zero). H^2 will interchangeably refer to both the boundary functions and the functions on \mathbb{D} . Let P denote the projection from $L^2(\mathbb{T})$ to H^2 , which is given explicitly by the Cauchy integral:

$$(Pf)(\lambda) = \int_{\mathbb{T}} \frac{f(\zeta)}{1 - \lambda \bar{\zeta}} dm(\zeta), \lambda \in \mathbb{D}.$$

The reproducing kernel at $\lambda \in \mathbb{D}$ for the Hardy space is the the Szego kernel $K_\lambda := (1 - \bar{\lambda}z)^{-1}$. S denotes the shift operator $f \mapsto zf$ on H^2 . Its adjoint (the backward shift) is the operator

$$S^*f = \frac{f - f(0)}{z}.$$

A Toeplitz operator is the compression of a multiplication operator on $L^2(\mathbb{T})$ to H^2 . In other words, given $\Phi \in L^2(\mathbb{T})$ (called the symbol of the operator), $T_\Phi = PM_\Phi$ is the operator that sends f to $P(\Phi f)$ for all $f \in H^2$. This operator is bounded if and only if $\Phi \in L^\infty(\mathbb{T})$, and the mapping $\Phi \rightarrow T_\Phi$ from L^∞ to the space of bounded operators on H^2 is linear and one-to-one. In the case that $\Phi \in H^\infty$, the Toeplitz operator T_Φ is just the multiplication operator M_Φ . In [2], Brown and Halmos describe the algebraic properties of Toeplitz operators. Among other things, they found necessary and sufficient conditions for the product of two Toeplitz operators to itself be a Toeplitz operator, namely that either the first operator's symbol is antiholomorphic or the second

Mathematics subject classification (2010): Primary 47L80; Secondary 47A05, 47B35.

Keywords and phrases: Toeplitz operator, truncated Toeplitz operator, model space, reproducing kernel, complex symmetric operator, operator algebra, maximal algebra, conjugation, bounded operator interpolation.

operator’s symbol is holomorphic. In either case, the symbol of the product is the product of the symbols (i.e. $T_\Phi T_\Psi = T_{\Phi\Psi}$).

More recently, Sarason [11] found analogues to several of Brown and Halmos’s results for truncated Toeplitz operators on the model spaces $H^2 \ominus uH^2$, where u is some non-constant inner function. The model spaces are the backward-shift invariant subspaces of H^2 (that they are backward shift invariant follows easily from the fact that uH^2 is clearly shift invariant). Let K_u^2 denote the space $H^2 \ominus uH^2$ from here forward. Let $P_u = P - M_u P M_{\bar{u}}$ denote the projection from L^2 to K_u^2 .

Given $\Phi \in L^2(\mathbb{T})$ we then define the truncated Toeplitz operator (TTO) A_Φ to be the operator that sends f to $P_u(\Phi f)$ for all $f \in K_u^2$. A_Φ is well-defined on the set of bounded functions in K_u^2 , which is dense in K_u^2 and which we denote K_u^∞ . We let \mathcal{T}_u denote the set of truncated Toeplitz operators which extend to be bounded on all of K_u^2 .

Truncated Toeplitz operators have many of the same properties as ordinary Toeplitz operators (for example, $A_\Phi^* = A_{\bar{\Phi}}$) but there are also striking differences. For example, there are bounded truncated Toeplitz operators with unbounded symbols [1] (though any truncated Toeplitz operator with a bounded symbol is itself bounded). Additionally, symbols are not unique: the same operator can be generated from more than one symbol, and we say that Ψ is a symbol for A_Φ if $A_\Phi = A_\Psi$. Given two functions Ψ and Φ , we write $\Psi \stackrel{\Delta}{=} \Phi$ to mean that $A_\Psi = A_\Phi$.

The truncated Toeplitz operators in \mathcal{T}_u do not form an algebra. There are, however, weakly closed algebras contained in \mathcal{T}_u . The goal of this paper is to describe the maximal algebras contained in \mathcal{T}_u , where by maximal we mean that any weakly closed algebra in \mathcal{T}_u is contained within one of these maximal algebras.

In what follows, for functions f, g in $L^2(\mathbb{T})$, $\langle f, g \rangle = \int_{\mathbb{T}} f \bar{g} dm$, $\|f\| = \sqrt{\langle f, f \rangle}$ and $f \otimes g$ is the rank one operator that maps h to $f \langle h, g \rangle$. Further, if A is an operator on a Hilbert space, $[A]'$ denotes the commutant of A .

2. Background

In this section we lay out basic facts about operators in \mathcal{T}_u and model spaces. Let u be a non-trivial inner function. K_u^2 is then a reproducing kernel Hilbert space with reproducing kernels $K_\lambda^u := P_u K_\lambda = \frac{1-u(\lambda)u}{1-\lambda z}$ for $\lambda \in \mathbb{D}$. Note that K_λ^u is bounded for all λ , and hence in K_u^∞ .

The function u is said to have an angular derivative in the sense of Caratheodory (ADC) at the point $\zeta \in \mathbb{T}$ if u has a nontangential limit $u(\zeta)$ of unit modulus at ζ and u' has a nontangential limit $u'(\zeta)$ at ζ . It is known that u has an ADC at ζ if and only if every function in K_u^2 has a nontangential limit at ζ [10]. Thus there exists a reproducing kernel function K_ζ^u such that $\langle f, K_\zeta^u \rangle = f(\zeta)$. Specifically, K_ζ^u is the limit of K_λ^u as λ approaches ζ nontangentially in the disc and so $K_\zeta^u = \frac{1-u(\zeta)u}{1-\bar{\zeta}z}$. If u is a finite Blaschke product, both u and u' are holomorphic in a domain which compactly contains \mathbb{D} and so these boundary reproducing kernels are defined for every unimodular ζ .

Truncated Toeplitz operators have a symmetry property called C -symmetry. This concept is due to Garcia and Putinar [6, 7, 8]. Given a \mathbb{C} -Hilbert space \mathcal{H} and an antilinear isometric involution C on \mathcal{H} , we say that a bounded operator T is a C -symmetric operator (CSO) if $T^* = CTC$. Here by isometric we mean that $\langle Cf, Cg \rangle = \langle g, f \rangle$.

In $L^2(\mathbb{T})$, the operator $Cf = \overline{uzf}$ is a conjugation which bijectively maps uH^2 to $\overline{z}H^2$ and K_u^2 to itself. By restricting ourselves to K_u^2 , C can be thought of as a conjugation on K_u^2 . From here on, C always refers to this operator. We will sometimes write \tilde{f} for Cf for sake of readability. The conjugate reproducing kernel is $\widetilde{K}_\lambda^u(z) = \frac{u(z)-u(\lambda)}{z-\lambda}$ for $z \neq \lambda$ and $\widetilde{K}_\lambda^u(\lambda) = u'(\lambda)$ and has the property that for $f \in K_u^2$, $\tilde{f}(\lambda) = \langle \widetilde{K}_\lambda^u, f \rangle$.

Consider the operator $CA_\Phi C$, where $\Phi \in L^2(\mathbb{T})$ and $A_\Phi \in \mathcal{T}_u$. If $f, g \in K_u^2$, then

$$\begin{aligned} \langle CA_\Phi Cf, g \rangle &= \langle Cg, A_\Phi Cf \rangle \\ &= \langle \overline{uzg}, \Phi \overline{uzf} \rangle \\ &= \langle \overline{\Phi} f, g \rangle \\ &= \langle (A_\Phi)^* f, g \rangle \end{aligned}$$

and so we see that operators in \mathcal{T}_u are C -symmetric.

Two CSOs commute if and only if their product is C -symmetric.

PROPOSITION 2.1. *Let A_1 and A_2 be C -symmetric. Then A_1A_2 is C -symmetric if and only if A_1 and A_2 commute.*

Proof. Say A_1A_2 is C -symmetric. Then

$$A_1A_2 = CA_2^*A_1^*C = CA_2^*CCA_1^*C = A_2A_1.$$

On the other hand, if A_1 and A_2 commute, then so do their adjoints, and so

$$CA_1A_2C = A_1^*A_2^* = A_2^*A_1^*. \quad \square$$

The operator $S_u = P_uS = A_z$ is critical to what follows. Since K_u^2 is invariant under S^* we see that $S_u^* = S^*$. Let $f \in K_u^2$ such that $f(0) = 0$, i.e. $f \perp K_0^u$. Then $S^*f = f/z$. On the other hand, $S^*K_0^u = (1 - \overline{u(0)u} - 1 + |u(0)|^2)/z = -\overline{u(0)K_0^u}$. S_u is C -symmetric, and so S_u is characterized by the following equations: $S_u f = zf$ for $f \perp \widetilde{K}_0^u$, and $S_u \widetilde{K}_0^u = -u(0)K_0^u$.

The symbols of TTOs are a more complex issue than the symbols of Toeplitz operators. Sarason proved the following results in [11] as Theorem 3.1 and Theorem 4.1 respectively.

PROPOSITION 2.2. *If $\Phi \in L^2(\mathbb{T})$ then $A_\Phi = 0$ if and only if $\Phi \in uH^2 + \overline{uH^2}$.*

PROPOSITION 2.3. *A is in \mathcal{T}_u iff $A - S_u A S_u^* = \Phi \otimes K_0^u + K_0^u \otimes \Psi$ for some $\Phi, \Psi \in K_u^2$, in which case $A = A_{\Phi + \overline{\Psi}}$.*

Thus we have a way of finding a symbol for a TTO, but TTOs do not have unique symbols.

The following is a necessary and sufficient condition for a TTO with symbol in $K_u^2 + \overline{K_u^2}$ to equal zero.

PROPOSITION 2.4. *Let $\varphi_1, \varphi_2 \in K_u^2$. Then $A_{\varphi_1 + \overline{\varphi_2}} = 0$ if and only if $\varphi_1 = cK_0^u$ and $\varphi_2 = -\overline{c}K_0^u$ for some $c \in \mathbb{C}$.*

Proof. Let $\varphi_1 = cK_0^u$ and $\varphi_2 = -\overline{c}K_0^u$. Then

$$A_{\varphi_1 + \overline{\varphi_2}} = A_{cK_0^u - \overline{c}K_0^u} = A_{cu(z)\overline{u(0)} - \overline{cu(z)u(0)}}$$

so $A_{\varphi_1 + \overline{\varphi_2}} = 0$.

Now suppose $A_{\varphi_1 + \overline{\varphi_2}} = 0$. Then $A - S_u A S_u^* = 0 = \varphi_1 \otimes K_0^u + K_0^u \otimes \varphi_2$, so $\varphi_1 = cK_0^u$ for some $c \in \mathbb{C}$. Hence $cK_0^u \otimes K_0^u + K_0^u \otimes \varphi_2 = 0$ and so $\varphi_2 = -\overline{c}K_0^u$ as required. \square

Since $I = A_{K_0^u}$ we can compute the identities

$$I - S_u S_u^* = K_0^u \otimes K_0^u \tag{2.1}$$

and

$$I - S_u^* S_u = \widetilde{K}_0^u \otimes \widetilde{K}_0^u \tag{2.2}$$

from which it follows that

$$S_u \widetilde{S_u \varphi} = S_u S_u^* \varphi = \varphi - \varphi(0)K_0^u \tag{2.3}$$

for all $\varphi \in K_u^2$.

The following identities are Lemma 2.2 of [11].

PROPOSITION 2.5.

(1) *If $\lambda \in \mathbb{D}$,*

$$S_u^* K_\lambda^u = \overline{\lambda} K_\lambda^u - \overline{u(\lambda)} \widetilde{K}_0^u$$

and

$$S_u \widetilde{K}_\lambda^u = \lambda \widetilde{K}_\lambda^u - u(\lambda) K_0^u.$$

(2) *If $\lambda \in \mathbb{D}$ is nonzero,*

$$S_u K_\lambda^u = \frac{1}{\lambda} (K_\lambda^u - K_0^u)$$

and

$$S_u^* \widetilde{K}_\lambda^u = \frac{1}{\lambda} (\widetilde{K}_\lambda^u - \widetilde{K}_0^u).$$

(3) *These equalities all hold for $\lambda \in \mathbb{T}$ such that u has an ADC at λ .*

3. Generalized Shifts

We now define the generalized compressed shift operator. Our definition follows Sarason’s definition in Section 14 of [11].

DEFINITION 3.1. Let $\alpha \in \overline{\mathbb{D}}$. Then $S_u^\alpha = S_u + \frac{\alpha}{1-\alpha u(0)} K_0^u \otimes \widetilde{K}_0^u$.

Again, we can think about the generalized shift as follows. If $f \in K_u^2$ and $f \perp \widetilde{K}_0^u$, then $S_u^\alpha f = zf$. On the other hand,

$$\begin{aligned} S_u^\alpha \widetilde{K}_0^u &= S_u \widetilde{K}_0^u + \frac{\alpha \langle \widetilde{K}_0^u, \widetilde{K}_0^u \rangle}{1 - \alpha u(0)} K_0^u \\ &= -u(0)K_0^u + \frac{\alpha(1 - |u(0)|^2)}{1 - \alpha u(0)} K_0^u \\ &= \frac{\alpha - u(0)}{1 - \alpha u(0)} K_0^u. \end{aligned}$$

The corollary to Theorem 10.1 in [11] states that if a bounded operator A on K_u^2 is in $[S_u^\alpha]'$ then A is in \mathcal{T}_u . The following proof gives us the symbol of any operator in $[S_u^\alpha]'$.

PROPOSITION 3.2. Let $\alpha \in \overline{\mathbb{D}}$. If A is a bounded operator that commutes with S_u^α then A is in \mathcal{T}_u and has a symbol $\varphi + \alpha S_u \widetilde{\varphi}$ where $\varphi = AK_0^u(1 - \alpha u(0))^{-1}$.

Proof. First note that

$$AS_u^\alpha = AS_u + \frac{\alpha}{1 - \alpha u(0)} (AK_0^u) \otimes \widetilde{K}_0^u \tag{3.1}$$

and

$$\begin{aligned} S_u^\alpha A &= S_u A + \frac{\alpha}{1 - \alpha u(0)} K_0^u \otimes (A^* \widetilde{K}_0^u) \\ &= S_u A + \frac{\alpha}{1 - \alpha u(0)} K_0^u \otimes (\widetilde{AK}_0^u). \end{aligned} \tag{3.2}$$

If A and S_u^α commute then we can use Equations (3.1) and (3.2) to see that

$$\begin{aligned} S_u A &= S_u^\alpha A - \frac{\alpha}{1 - \alpha u(0)} K_0^u \otimes (\widetilde{AK}_0^u) \\ &= AS_u^\alpha - \frac{\alpha}{1 - \alpha u(0)} K_0^u \otimes (\widetilde{AK}_0^u) \\ &= AS_u + \frac{\alpha}{1 - \alpha u(0)} (AK_0^u) \otimes \widetilde{K}_0^u - \frac{\alpha}{1 - \alpha u(0)} K_0^u \otimes (\widetilde{AK}_0^u). \end{aligned}$$

It follows that

$$\begin{aligned} A - S_u A S_u^* &= A - A S_u S_u^* - \frac{\alpha}{1 - \alpha u(0)} \overline{AK_0^u} \otimes S_u \widetilde{K_0^u} + \frac{\alpha}{1 - \alpha u(0)} K_0^u \otimes S_u \widetilde{AK_0^u} \\ &= AK_0^u \otimes K_0^u + \frac{u(0)\alpha}{1 - \alpha u(0)} \overline{AK_0^u} \otimes K_0^u + \frac{\alpha}{1 - \alpha u(0)} K_0^u \otimes S_u \widetilde{AK_0^u} \\ &= \frac{AK_0^u}{1 - \alpha u(0)} \otimes K_0^u + K_0^u \otimes \overline{\alpha S_u C} \left(\frac{AK_0^u}{1 - \alpha u(0)} \right). \end{aligned}$$

The conclusion then follows from Proposition 2.3. \square

COROLLARY 1. *Let A be a bounded operator that commutes with $S_u^{\alpha*}$, for $\alpha \in \overline{\mathbb{D}}$. Then A is in \mathcal{T}_u and has a symbol of the form $\overline{\alpha}\psi + \overline{S_u}\widetilde{\psi} + c$ for $\psi \in K_u^2$ and $c \in \mathbb{C}$.*

Proof. A^* commutes with S_u^α and therefore has symbol $\varphi + \overline{\alpha S_u} \widetilde{\varphi}$ where $\varphi = A^* K_0^u (1 - \alpha u(0))$ by the previous proposition. Therefore A has symbol $\overline{\alpha S_u} \widetilde{\varphi} + \overline{\varphi}$. Define $\psi = S_u \widetilde{\varphi}$. Then by Equation 2.3 $S_u \widetilde{\psi} = S_u \widetilde{S_u} \widetilde{\varphi} = \varphi - \varphi(0) K_0^u$ and $\overline{\alpha}\psi + \overline{S_u}\widetilde{\psi} + \overline{\varphi(0)}$ is a symbol for A . \square

Suppose A_Φ and A_Ψ are in \mathcal{T}_u and both commute with S_u^α for some $\alpha \in \overline{\mathbb{D}}$. Then their product $A_\Phi A_\Psi$ also commutes with S_u^α , and is therefore also in \mathcal{T}_u . So we know of two cases when the product of two operators in \mathcal{T}_u is itself in \mathcal{T}_u — when both operators commute with some S_u^α or $S_u^{\alpha*}$, or when one of the operators is $A_c = cI$ for some $c \in \mathbb{C}$. We will show in Section 5 that these are the only cases where the product of two operators in \mathcal{T}_u is itself in \mathcal{T}_u .

4. TTOs of type α

If A_Φ is in \mathcal{T}_u and commutes with S_u^α , then $A_{\Phi+c}$ also commutes with S_u^α for all $c \in \mathbb{C}$. If $\alpha \in \overline{\mathbb{D}} \setminus \{0\}$, then $\overline{\alpha}^{-1} \in \mathbb{C} \setminus \overline{\mathbb{D}}$, and by the corollary to Proposition 3.2 any operator in \mathcal{T}_u which commutes with $S_u^{\alpha*}$ has a symbol of the form $\psi + \overline{\alpha}^{-1} \overline{S_u} \widetilde{\psi} + c$ with $\psi \in K_u^2$ and $c \in \mathbb{C}$. We therefore make the following definition.

DEFINITION 4.1. An operator $A \in \mathcal{T}_u$ is said to be a TTO of type α for $\alpha \in \mathbb{C}$ if A has a symbol of the form $\varphi + \alpha \overline{S_u} \widetilde{\varphi} + c$, where $\varphi \in K_u^2$ and $c \in \mathbb{C}$. Note that an operator in \mathcal{T}_u is of type 0 if and only if it has a holomorphic symbol. We say an operator in \mathcal{T}_u is of type ∞ if it has an antiholomorphic symbol.

PROPOSITION 4.2. *Let $A := A_{\varphi_1 + \overline{\varphi_2}}$ be in \mathcal{T}_u , where $\varphi_i \in K_u^2$.*

- (1) *If $\alpha \in \mathbb{C}$, then A is of type α if and only if $\overline{\alpha} S_u \widetilde{\varphi_1} - \varphi_2 \in \mathbb{C} K_0^u$.*
- (2) *A is of type ∞ if and only if $\varphi_1 \in \mathbb{C} K_0^u$ if and only if $S_u \widetilde{\varphi_1} \in \mathbb{C} K_0^u$.*

Proof.

- (1) Let $A_{\varphi_1 + \overline{\varphi_2}}$ be of type α . Then by Proposition 3.2 and its corollary there is some $\varphi \in K_u^2$ and $c \in \mathbb{C}$ such that $A_{\varphi_1 + \overline{\varphi_2}} = A_{\varphi + cK_0^u + \alpha \overline{S_u \widetilde{\varphi}}}$, or, equivalently

$$A_{\varphi_1 - \varphi - cK_0^u + \overline{\varphi_2} - \alpha \overline{S_u \widetilde{\varphi}}} = 0$$

By Proposition 2.4 we have that $\varphi_1 - \varphi \in \mathbb{C}K_0^u$ and that $\varphi_2 - \overline{\alpha S_u \widetilde{\varphi}} \in \mathbb{C}K_0^u$. So then by Proposition 2.5 we have that $S_u \widetilde{\varphi_1} - S_u \widetilde{\varphi} \in \mathbb{C}K_0^u$ and so $\overline{\alpha S_u \widetilde{\varphi_1}} - \varphi_2 = \overline{\alpha S_u \widetilde{\varphi}} - \overline{\alpha S_u \widetilde{\varphi}} - \varphi_2 + \overline{\alpha S_u \widetilde{\varphi}} \in \mathbb{C}K_0^u$.

Now suppose that $\overline{\alpha S_u \widetilde{\varphi_1}} - \varphi_2 \in \mathbb{C}K_0^u$. Then $\varphi_2 = \overline{\alpha S_u \widetilde{\varphi_1}} + cK_0^u$ for some $c \in \mathbb{C}$ and thus $A_{\varphi_1 + \overline{\varphi_2}} = A_{\varphi_1 + \alpha \overline{S_u \widetilde{\varphi_1}} + cK_0^u}$ is of type α .

- (2) A is of type ∞ if and only if $\varphi_1 + \overline{\varphi_2} \stackrel{A}{\equiv} \overline{\psi}$ for some $\psi \in K_u^2$, which is true if and only if $\varphi_1 = P_u(\overline{\psi - \varphi_2}) \stackrel{A}{\equiv} \overline{\psi(0) - \varphi_2(0)}$ which is true if and only if $\varphi_1 \in \mathbb{C}K_0^u$. If $\varphi_1 = cK_0^u$ then $S_u \widetilde{\varphi_1} = -\overline{c}u(0)K_0^u$ by Proposition 2.5. On the other hand, if $S_u \widetilde{\varphi_1} = cK_0^u$ then

$$\begin{aligned} \varphi_1 &= (S_u S_u^* - K_0^u \otimes K_0^u) \varphi_1 \\ &= S_u \widetilde{S_u \varphi_1} - \varphi_1(0)K_0^u \\ &= S_u cK_0^u - \varphi_1(0)K_0^u \\ &= -\overline{c}u(0)K_0^u - \varphi_1(0)K_0^u \\ &\in \mathbb{C}K_0^u \quad \square \end{aligned}$$

PROPOSITION 4.3. *Any TTO of type $\alpha \in \mathbb{C}$ has a symbol of the form $\varphi_0 + \alpha \overline{S_u \widetilde{\varphi_0}} + cK_0^u$ where $\varphi_0(0) = 0$ and $c \in \mathbb{C}$, and any TTO of antiholomorphic type has a symbol of the form $\overline{\varphi_0} + cK_0^u$ where $\varphi_0(0) = 0$.*

Proof. To prove the first statement, let A be of type $\alpha \in \mathbb{C}$ and let $\varphi + \alpha \overline{S_u \widetilde{\varphi}} + cK_0^u$ be a symbol of A , where $\varphi \in K_u^2$ and $c \in \mathbb{C}$. Define $\varphi_0 = \varphi - \frac{\langle \varphi, K_0^u \rangle}{\langle K_0^u, K_0^u \rangle} K_0^u$. Then $\varphi_0 \perp K_0^u$, or in other words, $\varphi_0(0) = 0$. Then since by Proposition 2.5 $S_u K_0^u = -u(0)K_0^u$ we have that

$$\begin{aligned} \varphi + \alpha \overline{S_u \widetilde{\varphi}} + cK_0^u &\stackrel{A}{\equiv} \varphi_0 + \frac{\langle \varphi, K_0^u \rangle}{\langle K_0^u, K_0^u \rangle} K_0^u + \alpha \overline{S_u \widetilde{\varphi_0}} + \alpha \frac{\overline{\langle \varphi, K_0^u \rangle}}{\langle K_0^u, K_0^u \rangle} \overline{K_0^u} + cK_0^u \\ &\stackrel{A}{\equiv} \varphi_0 + \alpha \overline{S_u \widetilde{\varphi_0}} + c_1 K_0^u \end{aligned}$$

where $c_1 \in \mathbb{C}$.

To prove the second statement, consider $A = A_{\overline{\varphi}}$ and let $\varphi_0 = \varphi - \frac{\langle \varphi, K_0^u \rangle}{\langle K_0^u, K_0^u \rangle} K_0^u$. Then $\overline{\varphi} \stackrel{A}{\equiv} \overline{\varphi_0} + \frac{\langle K_0^u, \varphi \rangle}{\langle K_0^u, K_0^u \rangle} K_0^u$. \square

Let $\alpha \in \mathbb{C} \setminus \{0\}$. Then if $A = A_{\varphi_1 + \overline{\varphi_2}}$ is of type α , its adjoint is $A^* = A_{\psi_1 + \overline{\psi_2}}$ where $\psi_1 = \varphi_2$ and $\psi_2 = \varphi_1$. By Proposition 4.2 it follows that

$$\overline{\alpha} S_u \psi_2 - \psi_1 \in \mathbb{C} K_0^u.$$

It follows by Proposition 2.5 that

$$\begin{aligned} S_u C(\overline{\alpha} S_u \widetilde{\psi}_2 - \psi_1) &= \alpha S_u S_u^* \psi_2 - S_u \widetilde{\psi}_1 \\ &= \alpha \psi_2 - S_u \widetilde{\psi}_1 + \alpha \langle \psi_2, K_0^u \rangle K_0^u \\ &\in \mathbb{C} K_0^u. \end{aligned}$$

The second equation follows from Equation 2.3. Hence we have that $\alpha^{-1} S_u \widetilde{\psi}_1 - \psi_2 \in \mathbb{C} K_0^u$ and so it follows that A^* is of type $\overline{\alpha^{-1}}$. In the case that A is of type 0, A has a holomorphic symbol, and so its adjoint A^* has an antiholomorphic symbol, and is therefore of type ∞ . Thus we can state the following duality relationship.

PROPOSITION 4.4. *An operator in \mathcal{T}_u is of type $\alpha \in \mathbb{C}^*$ if and only if its adjoint is of type $\overline{\alpha^{-1}}$ using the convention that $0^{-1} = \infty$ and $\infty^{-1} = 0$.*

The operator $cI = A_{cK_0^u} = A_{c\overline{K_0^u}}$ is, by the above definition, of type α for every $\alpha \in \mathbb{C}^*$. This is the only way that an operator in \mathcal{T}_u can be of more than one type. Specifically, this means that any $A \in \mathcal{T}_u$ is either of no type, one type, or every type.

PROPOSITION 4.5. *Let $A \in \mathcal{T}_u$ be of type α and of type β , where $\alpha \neq \beta$. Then $A = cI$ for some $c \in \mathbb{C}$.*

Proof. If $\alpha = 0$ and $\beta = \infty$, then there are $\varphi, \psi \in K_u^2$ such that $A = A_\varphi = A_{\overline{\psi}}$ and so $A_\varphi - S_u A_\varphi S_u^* = \varphi \otimes K_0^u$ and $A_{\overline{\psi}} - S_u A_{\overline{\psi}} S_u^* = K_0^u \otimes \psi$ by Proposition 2.3. Thus $\varphi \otimes K_0^u = K_0^u \otimes \psi$ and $\varphi = cK_0^u$ for some $c \in \mathbb{C}$, and so $A = cI$.

Now suppose that at least one of α and β is in $\mathbb{C} \setminus \{0\}$. By looking at A^* if needed we can assume without loss of generality that neither α or β is ∞ . By Proposition 4.3 there are $\varphi, \psi \in K_u^2$ and $c, d \in \mathbb{C}$ such that $\varphi(0) = \psi(0) = 0$ and both $\varphi + \alpha \overline{S_u \widetilde{\varphi}} + c$ and $\psi + \beta \overline{S_u \widetilde{\psi}} + d$ are symbols for A . It follows that

$$\begin{aligned} A - S_u A S_u^* &= \varphi \otimes K_0^u + c K_0^u \otimes K_0^u + \alpha K_0^u \otimes S_u \widetilde{\varphi} \\ &= \psi \otimes K_0^u + d K_0^u \otimes K_0^u + \beta K_0^u \otimes S_u \widetilde{\psi}. \end{aligned}$$

By rearranging terms we see that $\varphi - \psi \in \mathbb{C} K_0^u$. Since $\varphi, \psi \perp K_0^u$ it follows that $\varphi = \psi$ and

$$(c - d) K_0^u \otimes K_0^u = (\beta - \alpha) K_0^u \otimes S_u \widetilde{\varphi}.$$

Therefore $S_u \widetilde{\varphi} = \frac{c-d}{\beta-\alpha} K_0^u$ but since

$$\langle S_u \widetilde{\varphi}, K_0^u \rangle = \left\langle \widetilde{K_0^u}, S_u^* \varphi \right\rangle = \left\langle S_u \widetilde{K_0^u}, \varphi \right\rangle = \langle -u(0) K_0^u, \varphi \rangle = 0$$

we get that $c = d$ and $S_u \widetilde{\varphi} = 0$.

Finally we calculate $\varphi = (I - K_0^u \otimes K_0^u)\varphi = S_u \widetilde{S}_u \widetilde{\varphi} = 0$ and get that $A = A_c = cI$. \square

For the rest of this section fix $\alpha \in \overline{\mathbb{D}}$. By Proposition 3.2 if an operator $A \in \mathcal{T}_u$ is in $[S_u^\alpha]'$ then it is of type α . We spend the remainder of this section proving that every TTO of type α is in $[S_u^\alpha]'$. Specifically, we will show that the product of two TTOs of type α is itself in \mathcal{T}_u . Therefore any two TTOs of type α commute and so any TTO of type α commutes with S_u^α . Therefore for $\alpha \in \overline{\mathbb{D}}$, $[S_u^\alpha]'$ is precisely the TTOs of type α , and therefore $[S_u^{\alpha^*}]'$ is precisely the TTOs of type $\overline{\alpha}^{-1}$ with the convention that $\frac{1}{0} = \infty$.

First we prove a lemma that will prove useful here and later.

LEMMA 4.6. *Let $\Phi = \varphi_1 + \overline{\varphi_2}$ and $\Psi = \psi_1 + \overline{\psi_2}$ where $\varphi_i, \psi_i \in K_u^2$ such that $A_\Phi, A_\Psi \in \mathcal{T}_u$. Then $A_\Phi A_\Psi$ is in \mathcal{T}_u if and only if*

$$\varphi_1 \otimes \psi_2 - (S_u \widetilde{\varphi_2}) \otimes (S_u \widetilde{\psi_1}) = \Phi_0 \otimes K_0^u + K_0^u \otimes \Psi_0$$

for some $\Phi_0, \Psi_0 \in K_u^2$.

Proof. In what follows, Φ_0 and Ψ_0 represent functions in K_u^2 that can be different from use to use. By Proposition 2.3, $A_\Phi A_\Psi \in \mathcal{T}_u$ if and only if $A_\Phi A_\Psi - S_u A_\Phi A_\Psi S_u^* = \Phi_0 \otimes K_0^u + K_0^u \otimes \Psi_0$. It suffices to show that $A_\Phi A_\Psi - S_u A_\Phi A_\Psi S_u^* = \varphi_1 \otimes \psi_2 - (S_u \widetilde{\varphi_2}) \otimes (S_u \widetilde{\psi_1}) + \Phi_0 \otimes K_0^u + K_0^u \otimes \Psi_0$. Recall Equation 2.2, which states that $I = S_u^* S_u + \widetilde{K}_0^u \otimes \widetilde{K}_0^u$. Therefore

$$\begin{aligned} S_u A_\Phi A_\Psi S_u^* &= S_u A_\Phi (S_u^* S_u + \widetilde{K}_0^u \otimes \widetilde{K}_0^u) A_\Psi S_u^* \\ &= S_u A_\Phi S_u^* S_u A_\Psi S_u^* + \left(S_u A_\Phi \widetilde{K}_0^u \right) \otimes \left(S_u A_\Psi \widetilde{K}_0^u \right). \end{aligned} \tag{4.1}$$

Since $A_\Phi \widetilde{K}_0^u = P_u [(\varphi_1 + \overline{\varphi_2})(\overline{z}(u - u(0)))]$ we have

$$\begin{aligned} S_u A_\Phi \widetilde{K}_0^u &= S_u \left(\widetilde{\varphi_2} + \varphi_1(0) \widetilde{K}_0^u - u(0) S_u^* \varphi_1 \right) \\ &= S_u \widetilde{\varphi_2} - u(0) \varphi_1(0) K_0^u - u(0) S_u S_u^* \varphi_1 \\ &= S_u \widetilde{\varphi_2} - u(0) \varphi_1(0) K_0^u - u(0) \varphi_1 + u(0) (K_0^u \otimes K_0^u) \varphi_1 \\ &= S_u \widetilde{\varphi_2} - u(0) \varphi_1(0) K_0^u - u(0) \varphi_1 + u(0) \varphi_1(0) K_0^u \\ &= S_u \widetilde{\varphi_2} - u(0) \varphi_1 \end{aligned}$$

so the second term of (4.1) is

$$\begin{aligned} \left(S_u A_\Phi \widetilde{K}_0^u \right) \otimes \left(S_u A_\Psi \widetilde{K}_0^u \right) &= (S_u \widetilde{\varphi_2} - u(0) \varphi_1) \otimes (S_u \widetilde{\psi_1} - u(0) \psi_2) \\ &= S_u \widetilde{\varphi_2} \otimes S_u \widetilde{\psi_1} - u(0) [\varphi_1 \otimes S_u \widetilde{\psi_1} \\ &\quad - \overline{u(0)} [S_u \widetilde{\varphi_2} \otimes \psi_2] + |u(0)|^2 [\varphi_1 \otimes \psi_2]]. \end{aligned}$$

By Proposition 2.3 we have that $S_u A_\Phi S_u^* = A_\Phi - \varphi_1 \otimes K_0^u - K_0^u \otimes \varphi_2$, and so the first term of (4.1) is

$$\begin{aligned} S_u A_\Phi S_u^* S_u A_\Psi S_u^* &= (A_\Phi - \varphi_1 \otimes K_0^u - K_0^u \otimes \varphi_2) (A_\Psi - \psi_1 \otimes K_0^u - K_0^u \otimes \psi_2) \\ &= A_\Phi A_\Psi - \Phi_0 \otimes K_0^u - (A_\Phi K_0^u) \otimes \psi_2 \\ &\quad - \varphi_1 \otimes (A_{\overline{\Psi}} K_0^u) + (1 - |u(0)|^2) \varphi_1 \otimes \psi_2 - K_0^u \otimes \Psi_0 \\ &= A_\Phi A_\Psi + \Phi_0 \otimes K_0^u - K_0^u \otimes \Psi_0 - (1 + |u(0)|^2) \varphi_1 \otimes \psi_2 \\ &\quad + \overline{u(0)} (S_u \widetilde{\varphi_2} \otimes \psi_2) + u(0) (\varphi_1 \otimes S_u \widetilde{\psi_1}). \end{aligned}$$

By combining the expanded terms together, we get

$$S_u A_\Phi A_\Psi S_u^* = S_u \widetilde{\varphi_2} \otimes S_u \widetilde{\psi_1} - \varphi_1 \otimes \psi_2 + \Phi_0 \otimes K_0^u + K_0^u \otimes \Psi_0 + A_\Phi A_\Psi$$

and the result follows. \square

THEOREM 4.7. *Let $\alpha \in \mathbb{D}$, and let A be a bounded operator on K_u^2 . Then A is a TTO of type α if and only if A is in $[S_u^\alpha]'$.*

Proof. Proposition 3.2 proves that everything in $[S_u^\alpha]'$ is of type α , so assume A is of type α . We will prove that AS_u^α is in \mathcal{T}_u , and hence C -symmetric, and so $AS_u^\alpha = S_u^\alpha A$ by Proposition 2.1.

S_u^α commutes with itself, and therefore is of type α . By Definition 3.1

$$S_u^\alpha K_0^u = S_u K_0^u + \frac{\overline{\alpha u'(0)}}{1 - \alpha u(0)} K_0^u.$$

So by Proposition 3.2

$$(1 - \overline{\alpha u(0)})^{-1} (S_u K_0^u + \overline{\alpha S_u \widetilde{S_u K_0^u}} + \frac{\overline{\alpha u'(0)}}{1 - \alpha u(0)} (K_0^u + \overline{\alpha S_u \widetilde{K_0^u}}))$$

is a symbol for S_u^α . By Proposition 2.5

$$K_0^u + \overline{\alpha S_u \widetilde{K_0^u}} \stackrel{A}{\cong} (1 - \overline{\alpha u(0)})$$

and so it follows that

$$(1 - \overline{\alpha u(0)})^{-1} (S_u K_0^u + \overline{\alpha S_u \widetilde{S_u K_0^u}} + \overline{\alpha u'(0)} K_0^u) \tag{4.2}$$

is also a symbol for S_u^α .

Suppose A is of type α . Then we may without loss of generality assume that $\varphi + \overline{\alpha S_u \widetilde{\varphi}}$ is a symbol for A where φ is in K_u^2 . Applying Lemma 4.6 we see that AS_u^α is in \mathcal{T}_u if and only if there exist $\Phi, \Psi \in K_u^2$ such that

$$\varphi \otimes (\overline{\alpha S_u \widetilde{S_u K_0^u}}) - (S_u \overline{\alpha S_u \widetilde{\varphi}}) \otimes S_u \widetilde{S_u K_0^u} = \Phi \otimes K_0^u + K_0^u \otimes \Psi$$

Factoring α out of the left-hand side, we get

$$\begin{aligned} \varphi \otimes \left(S_u \widetilde{S_u K_0^u} \right) - \left(S_u \widetilde{S_u \varphi} \right) \otimes S_u \widetilde{S_u K_0^u} &= ((I - S_u S_u^*) \varphi) \otimes S_u \widetilde{S_u K_0^u} \\ &= \varphi(0) K_0^u \otimes S_u \widetilde{S_u K_0^u} \end{aligned}$$

The conclusion follows. \square

5. Algebras of TTOs

The results of the previous section show that the TTOs of type α form a weakly closed commutative algebra for any $\alpha \in \mathbb{C}^*$, which we denote \mathcal{B}^α . In this section we will show that these algebras are maximal — any algebra in \mathcal{T}_u is a subalgebra of at least one \mathcal{B}^α .

We begin by showing that if A_Φ is of type α , $A_\Psi \in \mathcal{T}_u$, and their product is in \mathcal{T}_u , then either A_Φ is a multiple of I , or A_Ψ is of type α as well.

LEMMA 5.1. *Let $A_\Phi, A_\Psi \in \mathcal{T}_u$ such that $A_\Phi A_\Psi \in \mathcal{T}_u$ and let $\alpha \in \mathbb{C}^*$. If one of the operators in the product is of type α , then either it is a constant multiple of the identity operator, or the other is of type α as well.*

Proof. Since $A_\Phi A_\Psi$ is in \mathcal{T}_u , it is a CSO, and so $A_\Phi A_\Psi = A_\Psi A_\Phi$ by Proposition 2.1. Thus we assume without loss of generality that A_Φ is of type α . Additionally $A_\Phi A_\Psi$ is in \mathcal{T}_u if and only if its adjoint $CA_\Phi A_\Psi C = A_{\overline{\Phi}} A_{\overline{\Psi}}$ is as well, where $A_{\overline{\Phi}}$ is of type $\overline{\alpha}^{-1}$, so we assume without loss of generality that A_Φ is of type $\alpha \in \overline{\mathbb{D}}$. So $\Phi \stackrel{\Delta}{=} \varphi_0 + \alpha \overline{S_u \varphi_0} + c K_0^u$ and $\Psi \stackrel{\Delta}{=} \psi_1 + \overline{\psi_2}$ for some $\varphi_0, \psi_1, \psi_2 \in K_u^2$, where by Proposition 4.3 we may assume that $\varphi_0(0) = 0$, $c \in \mathbb{C}$. By Lemma 4.6, there exists $\Phi_0, \Psi_0 \in K_u^2$ such that

$$\begin{aligned} \Phi_0 \otimes K_0^u + K_0^u \otimes \Psi_0 &= (\varphi_0 + c K_0^u) \otimes \psi_2 - \left(S_u (\overline{\alpha S_u \varphi_0}) \right) \otimes (S_u \widetilde{\psi_1}) \\ &= \varphi_0 \otimes \psi_2 + c K_0^u \otimes \psi_2 - \varphi_0 \otimes (\overline{\alpha S_u \widetilde{\psi_1}}) \\ &= \varphi_0 \otimes (\psi_2 - \overline{\alpha S_u \widetilde{\psi_1}}) + c K_0^u \otimes \psi_2 \end{aligned}$$

So $\varphi_0 \otimes (\psi_2 - \overline{\alpha S_u \widetilde{\psi_1}}) = \Phi_0 \otimes K_0^u + K_0^u \otimes \Psi_1$ for some $\Psi_1 \in K_u^2$. So either Φ_0 and K_0^u are linearly dependent or Ψ_1 and K_0^u are. If Φ_0 and K_0^u are linearly dependent, then $\Phi_0 = c_1 K_0^u$ which means $\varphi_0 = c_2 K_0^u$, but this and $\varphi_0(0) = 0$ then imply that $c_2 = 0$, and so $\varphi_0 = 0$ and $A_\Phi = cI$. Otherwise, $\Psi_1 = c_3 K_0^u$ and so $\psi_2 - \overline{\alpha S_u \widetilde{\psi_1}} = c_4 K_0^u$, which means A_Ψ is of type α by Proposition 4.2. \square

We now prove the main theorem of this section.

THEOREM 5.2. *Let $\Phi, \Psi \in L^2(\mathbb{T})$ such that $A_\Phi, A_\Psi \in \mathcal{T}_u$. Then $A_\Phi A_\Psi \in \mathcal{T}_u$ if and only if one of two (not mutually exclusive) cases holds:*

Trivial case: Either A_Φ or A_Ψ is equal to cI for some $c \in \mathbb{C}$.

Non-trivial case: A_Φ and A_Ψ are both of type α for some $\alpha \in \mathbb{C}^*$, in which case their product is of type α as well.

Proof. The sufficiency of either case follows from earlier discussion, so we prove their necessity. In what follows we will use the fact that if Φ and Ψ are functions such that $A_\Phi A_\Psi \in \mathcal{T}_u$, then for any complex constants c_1, c_2 $A_{\Phi+c_1} A_{\Psi+c_2} \in \mathcal{T}_u$.

Suppose $A_\Phi A_\Psi \in \mathcal{T}_u$. By Lemma 5.1 it suffices to show that one of A_Φ and A_Ψ is of type α for some $\alpha \in \mathbb{C}^*$.

There exists $\varphi_i, \psi_i \in K_u^2$ such that we may assume without loss of generality that $\Phi = \varphi_1 + \overline{\varphi_2}$ and that $\Psi = \psi_1 + \overline{\psi_2}$. Then it follows by Lemma 4.6 that

$$\varphi_1 \otimes \psi_2 - (S_u \widetilde{\varphi_2}) \otimes (S_u \widetilde{\psi_1}) = \Phi_0 \otimes K_0^u + K_0^u \otimes \Psi_0$$

holds for some Φ_0, Ψ_0 in K_u^2 . If at least one of Φ_0 and Ψ_0 is non-zero, but one of them is in $\mathbb{C}K_0^u$, then the right-hand side of this equation is a rank one operator $f \otimes g$. Thus we consider the following three cases.

- (1) $\varphi_1 \otimes \psi_2 - (S_u \widetilde{\varphi_2}) \otimes (S_u \widetilde{\psi_1}) = 0$
- (2) $\varphi_1 \otimes \psi_2 - (S_u \widetilde{\varphi_2}) \otimes (S_u \widetilde{\psi_1}) = f \otimes g; f, g \in K_u^2$
- (3) $\varphi_1 \otimes \psi_2 - (S_u \widetilde{\varphi_2}) \otimes (S_u \widetilde{\psi_1}) = \Phi_0 \otimes K_0^u + K_0^u \otimes \Psi_0; \Phi_0, \Psi_0 \neq cK_0^u$

In what follows, c and c_i represent complex constants that may change from paragraph to paragraph.

Case 1: We have $\varphi_1 \otimes \psi_2 = (S_u \widetilde{\varphi_2}) \otimes (S_u \widetilde{\psi_1})$, which means that ψ_2 and $S_u \widetilde{\psi_1}$ are linearly dependent. Both ψ_2 and $S_u \widetilde{\psi_1}$ are non-zero, so $\psi_2 = \overline{\alpha} S_u \widetilde{\psi_1}$ for $\alpha \neq 0$ and it follows from Proposition 4.2 that A_Ψ is of type α .

Case 2: We have $\varphi_1 \otimes \psi_2 - (S_u \widetilde{\varphi_2}) \otimes (S_u \widetilde{\psi_1}) = f \otimes g; f, g \in K_u^2$. So either φ_1 and $S_u \widetilde{\varphi_2}$ are linearly dependent or $S_u \widetilde{\psi_1}$ and ψ_2 are. In the latter case, we again get that A_Ψ is of type α for some $\alpha \neq 0$. Assume instead that $\varphi_1 = c_1 S_u \widetilde{\varphi_2}$ for $c_1 \neq 0$. Then by Equation 2.3 $c_2 S_u \widetilde{\varphi_1} = S_u S_u \widetilde{\varphi_2} = \varphi_2 - \langle \varphi_2, K_0^u \rangle K_0^u$, and so $\varphi_2 - c_2 S_u \widetilde{\varphi_1} \in \mathbb{C}K_0^u$ and therefore by Proposition 4.2 A_Φ is of type $\alpha = \overline{c_2}$.

Case 3: We have $\varphi_1 \otimes \psi_2 - (S_u \widetilde{\varphi_2}) \otimes (S_u \widetilde{\psi_1}) = \Phi_0 \otimes K_0^u + K_0^u \otimes \Psi_0; \Phi_0, \Psi_0 \neq cK_0^u$. There exists $f \in K_u^2$ such that $f(0) = 0$ and $\langle f, \Phi_0 \rangle = 1$. Then we have

$$\begin{aligned} K_0^u &= (\Psi_0 \otimes K_0^u + K_0^u \otimes \Phi_0) f \\ &= (\psi_2 \otimes \varphi_1) f - (S_u \widetilde{\psi_1} \otimes S_u \widetilde{\varphi_2}) f \\ &= \psi_2 \langle f, \varphi_1 \rangle - S_u \widetilde{\psi_1} \langle f, S_u \widetilde{\varphi_2} \rangle \end{aligned}$$

If $\langle f, \varphi_1 \rangle = 0$, then $cK_0^u = S_u \widetilde{\psi_1}$, and so by Proposition 4.2 A_Ψ is of type ∞ . Similarly, if $\langle f, S_u \widetilde{\varphi_2} \rangle = 0$, then $cK_0^u = \psi_2$ and A_Ψ is of type 0. So we can assume that $\psi_2 = \overline{\alpha} S_u \widetilde{\psi_1} + cK_0^u$ for some $\alpha \neq 0$. Thus A_Ψ is of type α by Proposition 4.2. \square

EXAMPLE 5.3. Theorem 5.1 of [11] classifies all the rank one operators in \mathcal{T}_u and finds symbols for them. Specifically, for $\lambda \in \mathbb{D}$ $\widetilde{K}_\lambda^u \otimes K_\lambda^u$ is in \mathcal{T}_u and has with

symbol $u/(z - \lambda)$, and if u has an ADC at $\zeta \in \mathbb{T}$ then $K_\zeta^u \otimes K_\zeta^u$ is in \mathcal{T}_u and has symbol $K_\zeta^u + \overline{K_0^u}[\zeta] - 1$. We will show that all of them are of type α for some $\alpha \in \mathbb{C}^*$, and compute α .

Let $\lambda \in \mathbb{D}$ and consider $A = \widetilde{K}_\lambda^u \otimes K_\lambda^u$, with symbol $u/(z - \lambda)$. Since $\widetilde{K}_\lambda^u(\lambda) = u'(\lambda)$, $(\widetilde{K}_\lambda^u \otimes K_\lambda^u)^2 = u'(\lambda)\widetilde{K}_\lambda^u \otimes K_\lambda^u$ so it follows that $\widetilde{K}_\lambda^u \otimes K_\lambda^u$ is of type α for some $\alpha \in \mathbb{C}^*$. Since

$$\begin{aligned} u/(z - \lambda) &\stackrel{A}{\equiv} \widetilde{K}_\lambda^u + u(\lambda)/(z - \lambda) \\ &\stackrel{A}{\equiv} \widetilde{K}_\lambda^u + u(\lambda)\overline{zK_\lambda^u} \\ &\stackrel{A}{\equiv} \widetilde{K}_\lambda^u + u(\lambda)\overline{S_u K_\lambda^u} \end{aligned}$$

A is of type $u(\lambda)$.

Now instead suppose that $\zeta \in \mathbb{T}$ such that u has an ADC at ζ , and consider $A = K_\zeta^u \otimes K_\zeta^u$ which has symbol $K_\zeta^u + \overline{K_\zeta^u} - 1$. Again it is clear that A^2 is a scalar multiple of A and hence A is of type α for some α . Since A is self-adjoint, it follows that α is unimodular. We compute

$$\begin{aligned} \widetilde{K}_\zeta^u &= \frac{u - u(\zeta)}{z - \zeta} \\ &= \frac{u(\zeta) \left(1 - \overline{u(\zeta)u}\right)}{\zeta \left(1 - \overline{\zeta}z\right)} \\ &= \overline{\zeta}u(\zeta)K_\zeta^u \end{aligned}$$

so

$$S_u \widetilde{K}_\zeta^u = \zeta \widetilde{K}_\zeta^u - u(\zeta)K_0^u = u(\zeta) \left(K_\zeta^u - K_0^u\right)$$

Thus $K_\zeta^u - 1 \stackrel{A}{\equiv} \overline{u(\zeta)}S_u \widetilde{K}_\zeta^u$ and so $K_\zeta^u + u(\zeta)\overline{S_u \widetilde{K}_\zeta^u}$ is a symbol for A , which is therefore of type $u(\zeta)$.

Theorem 5.2 has the following consequence which is an analogue of Corollary 2 in [2].

THEOREM 5.4. *Let $A \in \mathcal{T}_u$ be invertible. Then $A^{-1} \in \mathcal{T}_u$ if and only if A is of type α for some $\alpha \in \mathbb{C}^*$. If $A^{-1} \in \mathcal{T}_u$, then A and A^{-1} are of the same type*

Proof. If $A^{-1} \in \mathcal{T}_u$, then both A and A^{-1} are of type α for some $\alpha \in \mathbb{C}^*$ by Theorem 5.2 since their product is $I = A_{K_0^u}$. If A is of type α , either $|\alpha| \leq 1$ or A^* is of type $\beta = 1/\overline{\alpha} \leq 1$. In the first case, we have that $AS_u^\alpha = S_u^\alpha A$, so $A^{-1}S_u^\alpha = A^{-1}S_u^\alpha AA^{-1} = A^{-1}AS_u^\alpha A^{-1} = S_u^\alpha A^{-1}$ and A^{-1} is a TTO of type α . In the second case, we have that A^* is an invertible TTO of type β where $|\beta| \leq 1$, so its inverse is a TTO of type β as well. By taking adjoints again, the result follows. \square

$\mathbb{C}I$ is a subalgebra of \mathcal{B}^α for every α , and the intersection of \mathcal{B}^α and \mathcal{B}^β is either \mathcal{B}^α or $\mathbb{C}I$ depending on whether $\alpha = \beta$ or not. We now consider an arbitrary algebra \mathcal{A} contained in \mathcal{T}_u and its relationship to \mathcal{B}^α .

THEOREM 5.5. *Let \mathcal{A} be an algebra contained in \mathcal{T}_u . Then there exists an $\alpha \in \mathbb{C}^*$ such that \mathcal{A} is a subalgebra of \mathcal{B}^α .*

Proof. Suppose every A in \mathcal{A} is of the form cI , for $c \in \mathbb{C}$. Then $I \in \mathcal{A}$ and so $\mathcal{A} = \mathbb{C}I$ which is a subalgebra of every \mathcal{B}^α .

Suppose then that there is $A \in \mathcal{A}$ not of the form cI . $A^2 \in \mathcal{T}_u$ so by Theorem 5.2 A is of type α for some unique α . If $B \in \mathcal{A}$ then $AB \in \mathcal{T}_u$ and so since $A \neq cI$ it follows from Theorem 5.2 that B is of type α as well, and therefore every operator in \mathcal{A} is of type α , and so it is a subalgebra of \mathcal{B}^α \square

6. Properties of \mathcal{B}^α

Due to the duality between \mathcal{B}^α and $\mathcal{B}^{(\bar{\alpha}^{-1})}$ via taking adjoints, in order to study these algebras we can look at the cases where $\alpha \in \overline{\mathbb{D}}$. These algebras can then be divided into two different groups, $\alpha \in \mathbb{D}$ and $\alpha \in \mathbb{T}$. Different techniques are needed to deal with each of these cases. We discuss what the product of two TTOs of type α is, and expand on Theorem 5.4 by finding necessary and sufficient conditions for a TTO of type α to be invertible, based on its symbol.

6.1. $\alpha \in \mathbb{D}$

In this subsection, assume $\alpha \in \mathbb{D}$.

Sarason’s Commutant Lifting Theorem [9] states that if A is a bounded operator that commutes with S_u , then there exists a function $\varphi \in H^\infty$ such that $\|A\| = \|\varphi\|_\infty$ and $A = A_\varphi$. The goal of this subsection is to find a Commutant Lifting Theorem for $[S_u^\alpha]'$.

Let $u_\alpha = \frac{u-\alpha}{1-\bar{\alpha}u}$ for $\alpha \in \mathbb{D}$. In what follows, we will be dealing with operators in both \mathcal{T}_u and \mathcal{T}_{u_α} . Let A_Φ^u refer to an operator in \mathcal{T}_u and $A_\Phi^{u_\alpha}$ an operator in \mathcal{T}_{u_α} .

$T_\alpha = M_{(1-|\alpha|^2)^{-1/2}(1-\bar{\alpha}u)}$ is an unitary map from $K_{u_\alpha}^2$ onto K_u^2 called a Crofoot transform [5]. Note that $T_\alpha^{-1} = M_{(1-|\alpha|^2)^{1/2}(1-\bar{\alpha}u)^{-1}}$. Sarason [11] showed that $S_u^\alpha = A_{z/(1-\alpha\bar{z})}^u$ and that $T_\alpha^{-1}S_u^\alpha T_\alpha = A_z^{u_\alpha}$, the compressed shift on $K_{u_\alpha}^2$. Thus there is a unitary equivalence between \mathcal{B}^α on K_u^2 and \mathcal{B}^0 on $K_{u_\alpha}^2$. The following propositions describe the operators of the form $A_{\varphi/(1-\alpha\bar{z})}^u$ for $\varphi \in H^2$, which are in fact the operators in \mathcal{B}^α .

PROPOSITION 6.1.

- (1) For $\varphi \in K_u^2$ and $\alpha \in \mathbb{D}$, $A_{\varphi/(1-\alpha\bar{z})}^u = A_{\varphi(1+\alpha\bar{z})}^u = A_{\varphi-\alpha\bar{S}_u\bar{\varphi}}^u$.
- (2) If $\varphi \in H^2$, then $A_{\varphi/(1-\alpha\bar{z})}^u = A_\varphi^u$. Specifically, $A_{(1-\alpha\bar{z})}^u = I$.

(3) $S_u^\alpha = A_{z/(1-\alpha\bar{u})}^u$.

Proof.

(1) Since

$$\frac{1}{1-\alpha\bar{u}} = \sum_{n=0}^{\infty} (\alpha\bar{u})^n$$

we can compute

$$\frac{\varphi}{1-\alpha\bar{u}} = \sum_{n=0}^{\infty} \varphi(\alpha\bar{u})^n$$

But since $\bar{u}\varphi \in \overline{zH^2}$ it follows that $\sum_{n=0}^{\infty} \varphi(\alpha\bar{u})^n \stackrel{A}{=} \varphi(1+\alpha\bar{u})$ and so $A_{\varphi/(1-\alpha\bar{u})}^u = A_{\varphi(1+\alpha\bar{u})}^u$. The second equality then holds because

$$\overline{S_u \widetilde{\varphi}} = \overline{S_u^* \varphi} = \bar{u}z \frac{\varphi - \varphi(0)}{z} \stackrel{A}{=} \varphi\bar{u}.$$

(2) $\frac{\overline{\varphi/(1-\alpha\bar{u})}}{uH^2} \stackrel{A}{=} \overline{\varphi} + \alpha\bar{u}\overline{\varphi/(1-\alpha\bar{u})} \stackrel{A}{=} \overline{\varphi}$ by Proposition 2.2, since $\overline{u\varphi/(1-\alpha\bar{u})} \in uH^2$.

(3) Equation (4.2) and part (1) of this proof imply that S_u^α has symbol

$$\frac{1}{1-\alpha\overline{u(0)}} \left(\frac{S_u K_0^u}{1-\alpha\bar{u}} + \overline{\alpha u'(0)} \right)$$

so it suffices to show that

$$\frac{z(1-\overline{\alpha u(0)})}{1-\alpha\bar{u}} \stackrel{A}{=} \frac{S_u K_0^u}{1-\alpha\bar{u}} + \overline{\alpha u'(0)}.$$

Since $z = S_u K_0^u + uP(\bar{u}z)$,

$$\begin{aligned} \frac{z}{1-\alpha\bar{u}} &\stackrel{A}{=} \frac{S_u K_0^u}{1-\alpha\bar{u}} + \frac{uP(\bar{u}z)}{1-\alpha\bar{u}} \\ &\stackrel{A}{=} \frac{S_u K_0^u}{1-\alpha\bar{u}} + \frac{\alpha P(\bar{u}z)}{1-\alpha\bar{u}}. \end{aligned}$$

Since $\widetilde{K_0^u} = (u - u(0))\bar{z}$, $P(\bar{u}z) = \overline{K_0^u(0)} + \overline{u(0)}z = \overline{u'(0)} + \overline{u(0)}z$,

$$\begin{aligned} \frac{z(1-\overline{\alpha u(0)})}{1-\alpha\bar{u}} &\stackrel{A}{=} \frac{z}{1-\alpha\bar{u}} - \frac{\overline{\alpha u(0)}z}{1-\alpha\bar{u}} \\ &\stackrel{A}{=} \frac{S_u K_0^u}{1-\alpha\bar{u}} + \frac{\overline{\alpha u'(0)}}{1-\alpha\bar{u}} + \frac{\overline{\alpha u(0)}z}{1-\alpha\bar{u}} - \frac{\overline{\alpha u(0)}z}{1-\alpha\bar{u}} \\ &\stackrel{A}{=} \frac{S_u K_0^u}{1-\alpha\bar{u}} + \overline{\alpha u'(0)}. \quad \square \end{aligned}$$

LEMMA 6.2. Let $\varphi \in H^2$ and $\alpha \in \mathbb{D}$. Then $T_\alpha A_\varphi^{u\alpha} T_\alpha^{-1} = A_{\varphi/(1-\alpha\bar{u})}^u$ and $T_\alpha A_\varphi^{u\alpha} T_\alpha^{-1} = A_{\varphi/(1-\alpha\bar{u})}^u$. Therefore $A_\varphi^{u\alpha}$ and $A_{\varphi/(1-\alpha\bar{u})}^u$ (respectively $A_\varphi^{u\alpha}$ and $A_{\varphi/(1-\alpha\bar{u})}^u$) have the same norm, and if $\psi \in H^2$, then $A_{\varphi/(1-\alpha\bar{u})}^u = A_{\psi/(1-\alpha\bar{u})}^u$ (respectively $A_{\varphi/(1-\alpha\bar{u})}^u = A_{\psi/(1-\alpha\bar{u})}^u$) if and only if $u_\alpha |(\varphi - \psi)$.

Proof. It suffices to show that the equalities hold on K_u^∞ , so let $f \in K_u^\infty$. Then

$$A_{\varphi/(1-\alpha\bar{u})}^u f = P_u \left(\frac{f\varphi}{1-\alpha\bar{u}} \right) = P \left(\frac{f\varphi}{1-\alpha\bar{u}} \right) - uP \left(\frac{\bar{u}f\varphi}{1-\alpha\bar{u}} \right)$$

On the other hand,

$$\begin{aligned} T_\alpha A_\varphi^{u\alpha} T_\alpha^{-1} f &= (1-\alpha\bar{u}) P_{u_\alpha} \left(\frac{f\varphi}{1-\alpha\bar{u}} \right) \\ &= (1-\alpha\bar{u}) \left[\frac{f\varphi}{1-\alpha\bar{u}} - u_\alpha P \left(\frac{\bar{u}_\alpha f\varphi}{1-\alpha\bar{u}} \right) \right] \\ &= f\varphi - (u-\alpha) P \left(\frac{\bar{u}f\varphi}{1-\alpha\bar{u}} \right) \\ &= f\varphi + P \left(\frac{\alpha\bar{u}f\varphi}{1-\alpha\bar{u}} \right) - uP \left(\frac{\bar{u}f\varphi}{1-\alpha\bar{u}} \right) \\ &= P \left(\frac{f\varphi}{1-\alpha\bar{u}} \right) - uP \left(\frac{\bar{u}f\varphi}{1-\alpha\bar{u}} \right) \end{aligned}$$

Since T_α is unitary, it follows that $A_{\varphi/(1-\alpha\bar{u})}^u = A_{\psi/(1-\alpha\bar{u})}^u$ if and only if $A_\varphi^{u\alpha} = A_\psi^{u\alpha}$, but by Proposition 2.2 the latter is true if and only if $u_\alpha |(\varphi - \psi)$.

Since T_α is unitary, we have

$$\begin{aligned} A_{\varphi/(1-\alpha\bar{u})}^u &= \left(A_{\varphi/(1-\alpha\bar{u})}^u \right)^* \\ &= \left(T_\alpha A_\varphi^{u\alpha} T_\alpha^{-1} \right)^* \\ &= T_\alpha A_\varphi^{u\alpha} T_\alpha^{-1} \end{aligned}$$

proving the result for the adjoints. \square

THEOREM 6.3. Let A be an bounded operator on K_u^2 and let $\alpha \in \mathbb{D}$. Then A is of type α if and only if there is a function $\varphi \in H^2$ such that $A = A_{\varphi/(1-\alpha\bar{u})}^u$. If A is of type α then there is a function $\psi \in H^\infty$ such that $\|\psi\|_\infty = \|A\|$ and $A = A_{\psi/(1-\alpha\bar{u})}^u$ and therefore every operator of type α has a bounded symbol. Further, if φ, ψ are in H^∞ then $A_{\varphi/(1-\alpha\bar{u})}^u A_{\psi/(1-\alpha\bar{u})}^u = A_{\varphi\psi/(1-\alpha\bar{u})}^u$.

Proof. Let $B = T_\alpha^{-1} A T_\alpha$. Then

$$A A_{z/(1-\alpha\bar{u})}^u = A_{z/(1-\alpha\bar{u})}^u A$$

if and only if

$$BA_z^{u\alpha} = T_\alpha^{-1}AA_z^{u/(1-\alpha\bar{w})}T_\alpha = T_\alpha^{-1}A_z^{u/(1-\alpha\bar{w})}AT_\alpha = A_z^{u\alpha}B$$

But this is true if and only if $B = A_\varphi^{u\alpha}$ for some $\varphi \in H^2$ which is true if and only if $A = A_\varphi^{u/(1-\alpha\bar{w})}$ for some $\varphi \in H^2$, hence the first claim holds. By the Commutant Lifting Theorem, there is a function $\psi \in H^\infty$ such that $A_\varphi^{u\alpha} = A_\psi^{u\alpha}$ and $\|A_\varphi^{u\alpha}\| = \|\psi\|_\infty$. By Lemma 6.2 it follows that $A = A_{\psi/(1-\alpha\bar{w})}^u$. Since T_α is unitary, $\|A\| = \|\psi\|_\infty$.

To prove the last claim, we compute

$$A_\varphi^{u/(1-\alpha\bar{w})}A_\psi^{u/(1-\alpha\bar{w})} = T_\alpha^{-1}A_\varphi^{u\alpha}A_\psi^{u\alpha}T_\alpha = T_\alpha^{-1}A_{\varphi\psi}^{u\alpha}T_\alpha = A_{\varphi\psi}^u \quad \square$$

Just as $A_\varphi^u = \varphi(S_u)$ for $\varphi \in H^\infty$, we get that $A_\varphi^{u/(1-\alpha\bar{w})} = \varphi(S_u^\alpha)$ for $\varphi \in H^\infty$.

Note that λ is in the spectrum of A_φ^u if and only if $\inf_{z \in \mathbb{D}} (|u(z)| + |\varphi(z) - \lambda|) = 0$ [3].

PROPOSITION 6.4. *Let $\alpha \in \mathbb{D}$ and let $\varphi \in H^\infty$. Then $A_{\varphi/(1-\alpha\bar{w})}^u$ is invertible if and only if $\inf_{z \in \mathbb{D}} (|u_\alpha(z)| + |\varphi(z)|) > 0$*

Proof. $A_{\varphi/(1-\alpha\bar{w})}^u$ is invertible if and only if $A_\varphi^{u\alpha}$ is invertible, which is true if and only if $\inf_{z \in \mathbb{D}} (|u_\alpha(z)| + |\varphi(z)|) > 0$. \square

6.2. $\alpha \in \mathbb{T}$

The case of $|\alpha| = 1$ is indirectly dealt with in [11, 1] and we collect those results here. There are TTOs of unimodular type without a bounded symbol under certain conditions. Specifically, in [1] it is shown that there exists u an inner function with an ADC at $\zeta \in \mathbb{T}$ such that $K_\zeta^u \otimes K_\zeta^u \in \mathcal{T}_u$ does not have a bounded symbol.

Example 5.3 shows that $K_\zeta^u \otimes K_\zeta^u$ is of type $u(\zeta)$, and hence it is an example of a TTO of unimodular type without a bounded symbol.

If, however, we weaken what we mean by “bounded symbol” we can find a bounded symbol for any TTO of unimodular type. Specifically, we change the measure with respect to which we take the sup norm of a function.

Let α be unimodular, and fixed for the rest of this section. An operator is of type α if and only if it commutes with S_u^α , which is in this case a unitary operator known as a Clark unitary operator, and is unitarily equivalent to M_z on the space $L^2(\mathbb{T}, \mu_\alpha)$ where μ_α is the Clark measure associated with S_u^α [4]. $[M_z]'$ is the space of multiplication operators induced by $L^\infty(\mu_\alpha)$ and so by using the unitary equivalence, every operator of type α is equal to $\Phi(S_u^\alpha)$ where $\Phi \in L^\infty(\mu_\alpha)$. In this sense we can think about Φ as a “bounded symbol” for the operator. This gives us a symbol calculus of sorts for operators of type α : given Φ, Ψ bounded μ_α -almost everywhere, the product of M_Φ and M_Ψ is $M_{\Phi\Psi}$ where $\Phi\Psi$ is itself bounded μ_α -almost everywhere. Hence $\Phi(S_u^\alpha)\Psi(S_u^\alpha) = \Phi\Psi(S_u^\alpha)$. It follows that a TTO of type α is invertible if and only if it is of the form $\Phi(S_u^\alpha)$, where $|\Phi| \geq \delta > 0$ μ_α -almost everywhere.

We can use this symbol calculus to precisely describe the unitary operators in \mathcal{T}_u on a given model space.

PROPOSITION 6.5. *Let $A \in \mathcal{T}_u$. Then A is unitary if and only if it is equal to $\Phi(S_u^\alpha)$ for some $\alpha \in \mathbb{T}$ and some $\Phi \in L^\infty(\mathbb{T}, \mu_\alpha)$ such that $|\Phi| = 1$ μ_α -almost everywhere. Specifically, any unitary operator in \mathcal{T}_u is of unimodular type, and commutes with the Clark unitary operator of the same type.*

Proof. If A is unitary then $AA^* = I$, which means that A and A^* must both be of the same type $\alpha \in \mathbb{C}^*$. Thus $\alpha = \bar{\alpha}^{-1}$ which implies that α is of unimodular type. So $A = \Phi(S_u^\alpha)$ for some $\Phi \in L^\infty(\mathbb{T}, \mu_\alpha)$. Then $I = AA^* = \Phi(S_u^\alpha)\overline{\Phi}(S_u^\alpha) = |\Phi|^2(S_u^\alpha)$ which implies that $|\Phi| = 1$ μ_α -almost everywhere. The other direction is obvious. \square

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(Received June 18, 2010)

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