

INDEFINITE HAMILTONIAN SYSTEMS WHOSE TITCHMARSH—WEYL COEFFICIENTS HAVE NO FINITE GENERALIZED POLES OF NON-POSITIVE TYPE

MATTHIAS LANGER AND HARALD WORACEK

Abstract. The two-dimensional Hamiltonian system

$$(*) \quad y'(x) = zJH(x)y(x), \quad x \in (a, b),$$

where the Hamiltonian H takes non-negative 2×2 -matrices as values, and $J := \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$, has attracted a lot of interest over the past decades. Special emphasis has been put on operator models and direct and inverse spectral theorems. Weyl theory plays a prominent role in the spectral theory of the equation, relating the class of all equations $(*)$ to the class \mathcal{N}_0 of all Nevanlinna functions via the construction of Titchmarsh–Weyl coefficients.

In connection with the study of singular potentials, an indefinite (Pontryagin space) analogue of equation $(*)$ was proposed, where the ‘general Hamiltonian’ is allowed to have a finite number of inner singularities. Direct and inverse spectral theorems, relating the class of all general Hamiltonians to the class \mathcal{N}_{∞} of all generalized Nevanlinna functions, were established.

In the present paper, we investigate the spectral theory of general Hamiltonians having a particular form, namely, such which have only one singularity and the interval to the left of this singularity is a so-called indivisible interval. Our results can comprehensively be formulated as follows.

– We prove direct and inverse spectral theorems for this class, i.e. we establish an intrinsic characterization of the totality of all Titchmarsh–Weyl coefficients corresponding to general Hamiltonians of the considered form.

– We determine the asymptotic growth of the fundamental solution when approaching the singularity.

– We show that each solution of the equation has ‘polynomially regularized’ boundary values at the singularity.

Besides the intrinsic interest and depth of the presented results, our motivation is drawn from forthcoming applications: the present theorems form the core for our study of Sturm–Liouville equations with two singular endpoints and our further study of the structure theory of general Hamiltonians (both to be presented elsewhere).

Mathematics subject classification (2010): Primary: 34L20, 34A55, 47B50; Secondary: 46E22, 37J99.

Keywords and phrases: Hamiltonian system with inner singularity, Titchmarsh–Weyl coefficient, inverse problem, asymptotics of solutions.

REFERENCES

- [1] D. ALPAY, A. DIJKSMA, J. ROVNYAK, H.S.V. DE SNOO, *Schur Functions, Operator Colligations, and Reproducing Kernel Pontryagin Spaces*, Oper. Theory Adv. Appl. **96**, Birkhäuser Verlag, Basel, 1997.
- [2] D.Z. AROV, H. DYM, *J-inner matrix functions, interpolation and inverse problems for canonical systems I. Foundations*, Integral Equations Operator Theory **29** (1997), 373–454.

- [3] J. BEHRNDT, A. LUGER, *An analytic characterization of the eigenvalues of self-adjoint extensions*, J. Funct. Anal. **247** (2007), 607–640.
- [4] L. DE BRANGES, *Hilbert Spaces of Entire Functions*, Prentice-Hall, London, 1968.
- [5] V. DERKACH, S. HASSI, H. DE SNOO, *Asymptotic expansions of generalized Nevanlinna functions and their spectral properties*, Oper. Theory Adv. Appl. **175** (2007), 51–88.
- [6] V.A. DERKACH, M.M. MALAMUD, *Generalized resolvents and the boundary value problems for Hermitian operators with gaps*, J. Funct. Anal. **95** (1991), 1–95.
- [7] A. DIJKSMA, P. KURASOV, YU. SHONDIN, *High order singular rank one perturbations of a positive operator*, Integral Equations Operator Theory **53** (2005), 209–245.
- [8] A. DIJKSMA, H. LANGER, A. LUGER, YU. SHONDIN, *A factorization result for generalized Nevanlinna functions of the class \mathcal{N}_κ* , Integral Equations Operator Theory **36** (2000), 121–125.
- [9] A. DIJKSMA, H. LANGER, YU. SHONDIN, *Rank one perturbations at infinite coupling in Pontryagin spaces*, J. Funct. Anal. **209** (2004), 206–246.
- [10] A. DIJKSMA, H. LANGER, YU. SHONDIN, C. ZEINSTRA, *Self-adjoint operators with inner singularities and Pontryagin spaces*, Oper. Theory Adv. Appl. **118** (2000), 105–175.
- [11] A. DIJKSMA, A. LUGER, YU. SHONDIN, *Minimal models for $\mathcal{N}_\kappa^\infty$ -functions*, Oper. Theory Adv. Appl. **163** (2006), 97–134.
- [12] A. DIJKSMA, A. LUGER, YU. SHONDIN, *Approximation of $\mathcal{N}_\kappa^\infty$ -functions I. Models and regularization*, Oper. Theory Adv. Appl. **188** (2009), 87–112.
- [13] A. DIJKSMA, A. LUGER, YU. SHONDIN, *Approximation of $\mathcal{N}_\kappa^\infty$ -functions II. Convergence of models*, Oper. Theory Adv. Appl. **198** (2010), 125–169.
- [14] A. DIJKSMA, YU. SHONDIN, *Singular point-like perturbations of the Bessel operator in a Pontryagin space*, J. Differential Equations **164** (2000), 49–91.
- [15] C. FULTON, H. LANGER, *Sturm-Liouville operators with singularities and generalized Nevanlinna functions*, Complex Anal. Oper. Theory **4** (2010), 179–243.
- [16] I. GOHBERG, M.G. KREIN, *Theory and Applications of Volterra Operators in Hilbert Space*, Translations of Mathematical Monographs, AMS, Providence, Rhode Island, 1970.
- [17] L. GOLINSKII, I. MIKHAILOVA, *Hilbert spaces of entire functions as a J-theory subject*, Oper. Theory Adv. Appl. **95** (1997), 205–251.
- [18] S. HASSI, H. DE SNOO, H. WINKLER, *Boundary-value problems for two-dimensional canonical systems*, Integral Equations Operator Theory **36** (2000), 445–479.
- [19] S. HASSI, A. LUGER, *Generalized zeros and poles of \mathcal{N}_κ functions: on the underlying spectral structure*, Methods Funct. Anal. Topology **12** (2006), 131–150.
- [20] G. HERGLOTZ, *Über Potenzreihen mit positivem, reellen Teil im Einheitskreis*, Berichte ü. d. Verhandlungen d. Königlich Sächsischen Gesellschaft d. Wiss. zu Leipzig, Math.-phys. Klasse **63** (1911), 501–511.
- [21] I.S. KAC, *On the Hilbert spaces generated by monotone Hermitian matrix functions* (Russian), Kharkov, Zap. Mat.o-va, **22** (1950), 95–113.
- [22] I.S. KAC, *Linear relations, generated by a canonical differential equation on an interval with a regular endpoint, and expansibility in eigenfunctions* (Russian), Deposited in Ukr NIINTI, No. 1453, 1984. (VINITI Deponirovannye Nauchnye Raboty, no. 1 (195), b.o. 720, 1985).
- [23] I.S. KAC, *Expansibility in eigenfunctions of a canonical differential equation on an interval with singular endpoints and associated linear relations* (Russian), Deposited in Ukr NIINTI, no. 2111, 1986. (VINITI Deponirovannye Nauchnye Raboty, no. 12 (282), b.o. 1536, 1986).
- [24] I.S. KAC, *Linear relations generated by a canonical differential equation of dimension 2, and eigenfunction expansions*, St. Petersburg Math. J. **95** (2003), 429–452.
- [25] M. KALTENBÄCK, H. WORACEK, *Pontryagin spaces of entire functions I*, Integral Equations Operator Theory **33** (1999), 34–97.
- [26] M. KALTENBÄCK, H. WORACEK, *Pontryagin spaces of entire functions II*, Integral Equations Operator Theory **33** (1999), 305–380.
- [27] M. KALTENBÄCK, H. WORACEK, *Pontryagin spaces of entire functions III*, Acta Sci.Math. (Szeged) **69** (2003), 241–310.
- [28] M. KALTENBÄCK, H. WORACEK, *Pontryagin spaces of entire functions IV*, Acta Sci. Math. (Szeged) **72** (2006), 709–835.
- [29] M. KALTENBÄCK, H. WORACEK, *Pontryagin spaces of entire functions V*, Acta Sci. Math. (Szeged) **77** (2011), 223–336.

- [30] M. KALTENBÄCK, H. WORACEK, *Pontryagin spaces of entire functions VI*, Acta Sci. Math. (Szeged) **76** (2010), 511–560.
- [31] M.G. KREĬN, H. LANGER, *Über die Q-Funktion eines Π -hermiteschen Operators im Raum Π_κ* , Acta Sci. Math. (Szeged) **34** (1973), 191–230.
- [32] M.G. KREĬN, H. LANGER, *Über einige Fortsetzungsprobleme, die eng mit der Theorie hermitescher Operatoren im Raum Π_κ zusammenhängen. I. Einige Funktionenklassen und ihre Darstellungen*, Math. Nachr. **77** (1977), 187–236.
- [33] M.G. KREĬN, H. LANGER, *Some propositions on analytic matrix functions related to the theory of operators in the space Π_κ* , Acta Sci. Math. (Szeged) **43** (1981), 181–205.
- [34] M.G. KREĬN, H. LANGER, *Continuation of Hermitian positive definite functions and related questions*, unpublished manuscript.
- [35] P. KURASOV, A. LUGER, *An operator theoretic interpretation of the generalized Titchmarsh–Weyl coefficient for a singular Sturm–Liouville problem*, Math. Phys. Anal. Geom. **14** (2011), 115–151.
- [36] H. LANGER, *A characterization of generalized zeros of negative type of functions of the class \mathcal{N}_κ* , Oper. Theory Adv. Appl. **17** (1986), 201–212.
- [37] M. LANGER, H. WORACEK, *Dependence of the Weyl coefficient on singular interface conditions*, Proc. Edinburgh Math. Soc. **52** (2009), 445–487.
- [38] M. LANGER, H. WORACEK, *A function space model for canonical systems with an inner singularity*, Acta Sci. Math. (Szeged) **77** (2011), 101–165.
- [39] M. LANGER, H. WORACEK, *Direct and inverse spectral theorems for a class of canonical systems with two singular endpoints*, in preparation.
- [40] B.JA. LEVIN, *Distribution of Zeros of Entire Functions*, American Mathematical Society, Providence, R.I. 1964.
- [41] B.C. ORCUTT, *Canonical differential equations*, Doctoral dissertation, University of Virginia, 1969.
- [42] G. PICK, *Über die Beschränkungen analytischer Funktionen, welche durch vorgegebene Funktionswerte bewirkt werden*, Math. Ann. **77** (1916), 7–23.
- [43] M. ROSENBLUM, J. ROVNYAK, *Topics in Hardy Classes and Univalent Functions*, Birkhäuser Advanced Texts: Basler Lehrbücher, Birkhäuser Verlag, Basel, 1994.
- [44] J. ROVNYAK, L.A. SAKHNOVICH, *Spectral problems for some indefinite cases of canonical differential equations*, J. Operator Theory **51** (2004), 115–139.
- [45] J. ROVNYAK, L.A. SAKHNOVICH, *Inverse problems for canonical differential equations with singularities*, Oper. Theory Adv. Appl. **179** (2007), 257–288.
- [46] L.A. SAKHNOVICH, *Spectral Theory of Canonical Differential Systems. Method of Operator Identities*, Oper. Theory Adv. Appl. **107**, Birkhäuser Verlag, Basel 1999.
- [47] H. WINKLER, *The inverse spectral problem for canonical systems*, Integral Equations Operator Theory **22** (1995), 360–374.
- [48] H. WINKLER, H. WORACEK, *On semibounded canonical systems*, Lin. Alg. Appl. **429** (2008), 1082–1092.
- [49] H. WORACEK, *Existence of zero-free functions N -associated to a de Branges Pontryagin space*, Monatsh. Math. **162** (2011), 453–506.