

## $C^*$ -ALGEBRAS GENERATED BY THREE PROJECTIONS

SHANWEN HU AND YIFENG XUE

(Communicated by B. Magajna)

*Abstract.* In this short note, we prove that for a  $C^*$ -algebra  $\mathcal{A}$  generated by  $n$  elements,  $M_k(\mathcal{A})$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections for any  $k \geq \delta(n) = \min\{k \in \mathbb{N} \mid (k-1)(k-2) \geq 2n\}$ . Then combining this result with recent works of Nagisa, Thiel and Winter on the generators of  $C^*$ -algebras, we show that for a  $C^*$ -algebra  $\mathcal{A}$  generated by finite number of elements, there is  $d \geq 3$  such that  $M_d(\mathcal{A})$  is generated by three mutually unitarily equivalent and almost mutually orthogonal projections. Furthermore, for certain separable purely infinite simple unital  $C^*$ -algebras and  $AF$ -algebras, we give some conditions that make them be generated by three mutually unitarily equivalent and almost mutually orthogonal projections.

### 1. Introduction

Let  $H$  be a separable complex Hilbert space with  $\dim H = \infty$ . Let  $P$  and  $Q$  be two (orthogonal) projections on  $H$ . Put  $M = PH$  and  $N = QH$ . Due to Halmos [5],  $P$  and  $Q$  are in generic position if

$$M \cap N = \{0\}, M \cap N^\perp = \{0\}, M^\perp \cap N = \{0\}, M^\perp \cap N^\perp = \{0\}.$$

Then the unital  $C^*$ -algebra generated by two projections  $P$  and  $Q$ , which are in generic position, is  $*$ -isomorphic to  $\{f \in M_2(C(\sigma((P-Q)^2))) \mid f(0), f(1) \text{ are diagonal}\}$  (cf. [18, Theorem 1.1]). Furthermore, by [13, Theorem 1.3], the the universal  $C^*$ -algebra  $C^*(p, q)$  generated by two projections  $p$  and  $q$  is  $*$ -isomorphic to the  $C^*$ -algebra

$$\{f \in M_2(C([0, 1])) \mid f(0), f(1) \text{ are diagonal}\}$$

which is of Type I. But in the general case of the  $C^*$ -algebra generated by a finite set of orthogonal projections (at least three projections), the situation becomes unpredictable. For example, Davis showed in [4] that there exist three projections  $P_1, P_2$  and  $P_3$  on  $H$  such that the von Neumann algebra  $W^*(P_1, P_2, P_3)$  generated by  $P_1, P_2$  and  $P_3$  coincides with  $B(H)$  of all bounded linear operators acting on  $H$ . Furthermore, Sunder proved in [16] that for each  $n \geq 3$ , there exist  $n$  projections  $P_1, \dots, P_n$  on  $H$  such that the von Neumann algebra  $W^*(P_1, \dots, P_n)$  generated by  $P_1, \dots, P_n$  is  $B(H)$  and  $W^*(\mathcal{M}) \subsetneq B(H)$ , whenever  $\mathcal{M} \subsetneq \{P_1, \dots, P_n\}$ , where  $W^*(\mathcal{M})$  is the von Neumann algebra generated by all elements in  $\mathcal{M}$ .

*Mathematics subject classification* (2010): 46L35, 47D25.

*Keywords and phrases:* Orthogonal projection, finitely generated  $C^*$ -algebra, purely infinite simple unital  $C^*$ -algebra.

Project supported by Natural Science Foundation of China (no. 10771069).

Therefore investigating the  $C^*$ -algebra generated by  $n$  ( $n \geq 3$ ) projections is an interesting topic. Shulman studied the universal  $C^*$ -algebras generated by  $n$  projections  $p_1, \dots, p_n$  subject to the relation  $p_1 + \dots + p_n = \lambda 1$ ,  $\lambda \in \mathbb{R}$  in [15]. She gave some conditions to make these  $C^*$ -algebras type I, nuclear or exact and proved that among these  $C^*$ -algebras, there is a continuum of mutually non-isomorphic ones. Meanwhile, Vasilevski considered the problem in [18] that given finite set of (orthogonal) projections  $P, Q_1, \dots, Q_n$  on  $H$  with the conditions

$$Q_j Q_k = \delta_{j,k} Q_k, \quad j, k = 1, \dots, n, \quad Q_1 + \dots + Q_n = I, \tag{1}$$

$$PH \cap (Q_k H)^\perp = \{0\}, \quad Q_k H \cap (PH)^\perp = \{0\}, \quad k = 1, \dots, n. \tag{2}$$

Then what is the  $C^*$ -algebra  $C^*(Q, P_1, \dots, P_n)$  generated  $Q, P_1, \dots, P_n$ ? One of interesting results concerning this problem is Corollary 4.5 of [18], which can be described as follows.

Let  $\mathcal{A}$  be a finitely generated  $C^*$ -algebra with identity in  $B(H)$  and let  $n_0$  be a minimal number of self-adjoint elements generating  $\mathcal{A}$ . Then for each  $n > n_0$ , there exist projections  $P, Q_1, \dots, Q_n$  on  $H$  satisfying (1) and (2) such that  $M_n(\mathcal{A})$  is  $*$ -isomorphic to  $C^*(P, Q_1, \dots, Q_n)$ .

Inspired by above works, we study the problem: find least number of projections in the matrix algebra of a given finitely generated  $C^*$ -algebra such that these projections generates this  $C^*$ -algebra in this short note. The main results of the paper are the following:

Let  $\mathcal{A} = C^*(a_1, \dots, a_n)$  be the  $C^*$ -algebra generated by elements  $a_1, \dots, a_n$ . Let  $\tilde{\mathcal{A}}$  denote the  $C^*$ -algebra obtained by adding the unit 1 to  $\mathcal{A}$  (if  $\mathcal{A}$  is non-unital) and let  $M_k(\tilde{\mathcal{A}})$  denote the algebra of all  $n \times n$  matrices with entries in  $\tilde{\mathcal{A}}$ . Then

(1) for any  $k \geq \delta(n) = \min \{k \in \mathbb{N} \mid (k-1)(k-2) \geq 2n\}$ ,  $M_k(\tilde{\mathcal{A}})$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections (see Theorem 2.3).

(2) for every  $l \geq \{\sqrt{n-1}\}$  and  $k \geq 3$ ,  $M_{kl}(\tilde{\mathcal{A}})$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections (see Proposition 3.4), where  $\{x\}$  stands for the least natural number that is greater than or equal to the positive number  $x$ .

### 2. The main result

In this section, we will give our main result (1) mentioned in §1. Firstly, we have

LEMMA 2.1. *Let  $\mathcal{A}$  be a  $C^*$ -algebra with unit 1 and  $B_{ij} \in \mathcal{A}$ , for any  $1 \leq i < j \leq k$ . Suppose that  $\eta = \max\{\|B_{ij}\| \mid 1 \leq i < j \leq k\} < \frac{1}{2(k-1)}$ , then*

$$T = \begin{bmatrix} 1 & B_{12} & \cdots & B_{1k} \\ B_{12}^* & 1 & \cdots & B_{2k} \\ \cdots & \cdots & \cdots & \cdots \\ B_{1k}^* & B_{2k}^* & \cdots & 1 \end{bmatrix}$$

is invertible and positive, and

$$\|T - 1_k\| \leq (k - 1)\eta, \quad \|T^{-1/2} - 1_k\| \leq 2(k - 1)\eta,$$

where  $1_k$  is the unit of  $M_k(\mathcal{A})$ .

*Proof.* By the definition of the norm of  $M_k(\tilde{\mathcal{A}})$ ,  $\|A\| = \|[\pi(A_{ij})]_{k \times k}\|$ , for  $A = [A_{ij}]_{k \times k} \in M_k(\tilde{\mathcal{A}})$ , where  $\pi$  is any faithful representation of  $\tilde{\mathcal{A}}$  on a Hilbert space  $K$  (see [10]), we may assume that  $\tilde{\mathcal{A}} \subset B(K)$  and the identity operator on  $K$  is the unit of  $\tilde{\mathcal{A}}$ . So  $T \in B(K_k)$ , where  $K_k = \underbrace{K \oplus \cdots \oplus K}_k$ .

For any  $\lambda < 1 - (k - 1)\eta$ , set

$$A = \begin{bmatrix} 1 - \lambda & -\|B_{12}\| & \cdots & -\|B_{1k}\| \\ -\|B_{12}\| & 1 - \lambda & \cdots & -\|B_{2k}\| \\ \vdots & \vdots & \ddots & \vdots \\ -\|B_{1k}\| & -\|B_{2k}\| & \cdots & 1 - \lambda \end{bmatrix}.$$

Since for any  $i$ ,  $\sum_{i \neq j} \|B_{ij}\| < 1 - \lambda$ , it follows from Levy–Dedplanques Theorem in Matrix Analysis (see [7]) that  $A$  is positive and invertible. So the quadratic form

$$f(x_1, x_2, \dots, x_k) = \sum_{i=1}^k x_i^2 - 2 \sum_{1 \leq i < j \leq k} \|B_{ij}\| x_i x_j$$

is positive definite and consequently, there exists  $\delta > 0$  such that for any  $(x_1, \dots, x_k) \in \mathbb{R}^n$ ,  $f(x_1, \dots, x_k) \geq \delta \left( \sum_{i=1}^k x_i^2 \right)$ .

Now for any  $\xi = (\xi_1, \dots, \xi_n) \in K_k$ , we have

$$\begin{aligned} \langle (T - \lambda 1_k)\xi, \xi \rangle &= \sum_{i=1}^k \|\xi_i\|^2 + \sum_{1 \leq i < j \leq k} \left( \langle B_{ij}\xi_i, \xi_j \rangle + \langle B_{ij}^*\xi_j, \xi_i \rangle \right) \\ &\geq \sum_{i=1}^k \|\xi_i\|^2 - 2 \sum_{1 \leq i < j \leq k} \|B_{ij}\| \|\xi_i\| \|\xi_j\| \\ &= f(\|\xi_1\|, \dots, \|\xi_k\|) \geq \delta \left( \sum_{i=1}^k \|\xi_i\|^2 \right) \end{aligned}$$

by above argument. Thus,  $T - \lambda 1_k$  is invertible. Similarly, for any  $\lambda > 1 + (k - 1)\eta$ ,  $T - \lambda 1_k$  is also invertible.

Let  $\sigma(T)$  denote the spectrum of  $T$ . Then we have

$$\sigma(T) \subset [1 - (k - 1)\eta, 1 + (k - 1)\eta] \subset (0, 2),$$

This indicates that  $T$  is positive and invertible. Finally, by the Spectrum Mapping Theorem,  $\sigma(1_k - T) \subset [-(k-1)\eta, (k-1)\eta]$  and

$$\begin{aligned} \sigma(1_k - T^{-1/2}) &\subset [1 - (1 - (k-1)\eta)^{-1/2}, 1 - (1 + (k-1)\eta)^{-1/2}] \\ &\subset [-2(k-1)\eta, 2(k-1)\eta]. \end{aligned}$$

So  $\|T - 1_k\| \leq (k-1)\eta$  and  $\|T^{-1/2} - 1_k\| \leq 2(k-1)\eta$ .

DEFINITION 2.2. We say that a unital  $C^*$ -algebra  $\mathcal{E}$  is generated by  $n$  ( $n \geq 2$ ) mutually unitarily equivalent and almost mutually orthogonal projections if for any given  $\varepsilon > 0$ , there exist projections  $p_1, \dots, p_n$  in  $\mathcal{E}$  satisfying following conditions:

- (1)  $p_1 + \dots + p_n$  is invertible in  $\mathcal{E}$ ,
- (2)  $C^*(p_1, \dots, p_n) = \mathcal{E}$  and
- (3) for any  $i \neq j$ ,  $p_i$  is unitarily equivalent to  $p_j$  in  $\mathcal{E}$  and  $\|p_i p_j\| < \varepsilon$ .

Now we present one of our main results as follows.

THEOREM 2.3. Suppose that the  $C^*$ -algebra  $\mathcal{A}$  is generated  $n$  elements  $a_1, \dots, a_n$ . Then for each  $k \geq \delta(n) = \min\{k \in \mathbb{N} \mid (k-1)(k-2) \geq 2n\}$ ,  $M_k(\mathcal{A})$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections.

*Proof.* We assume that  $\mathcal{A}$  is non-unital. If  $\mathcal{A}$  is unital,  $\tilde{\mathcal{A}} = \mathcal{A}$ . Without loss generality, we may assume that  $\|a_i\| = 1$ ,  $i = 1, \dots, n$ . Furthermore, we can assume  $n = \frac{(k-1)(k-2)}{2}$ . Otherwise, for any  $n < i \leq \frac{(k-1)(k-2)}{2}$ , put  $a_i = 1$ , where 1 is the unit of  $\tilde{\mathcal{A}}$ .

Rewrite  $\{a_1, \dots, a_n\} = \{B_{ij} : 1 \leq i < j \leq k-2\}$  (for  $\delta(n) \geq 3$ ) and define

$$T_\varepsilon = \begin{bmatrix} 1 & \varepsilon B_{12} & \cdots & \varepsilon B_{1,k-1} & \varepsilon 1 \\ \varepsilon B_{12}^* & 1 & \cdots & \varepsilon B_{2,k-1} & \varepsilon 1 \\ \cdots & \cdots & \cdots & \cdots & \cdots \\ \varepsilon B_{1,k-1}^* & \varepsilon B_{2,k-1}^* & \cdots & 1 & \varepsilon 1 \\ \varepsilon 1 & \varepsilon 1 & \cdots & \varepsilon 1 & 1 \end{bmatrix}, \quad \forall \varepsilon \in (0, 1/8(k-1)).$$

Using the canonical matrix units  $\{e_{ij}\}$  for  $M_k(\mathbb{C})$ , we have

$$T_\varepsilon = \sum_{i=1}^k (1 \otimes e_{ii}) + \sum_{i=1}^{k-1} (\varepsilon 1 \otimes e_{i,k} + \varepsilon 1 \otimes e_{k,i}) + \sum_{1 \leq i < j \leq k-1} (\varepsilon B_{ij} \otimes e_{ij} + \varepsilon B_{ij}^* \otimes e_{ji}).$$

By Lemma 2.1,  $T_\varepsilon$  is positive and invertible with  $\|1_k - T_\varepsilon\| \leq (k-1)\varepsilon$  and  $\|1_k - T_\varepsilon^{-1/2}\| \leq 2(k-1)\varepsilon$ .

Define  $p_i(\varepsilon) = T_\varepsilon^{1/2} (1 \otimes e_{ii}) T_\varepsilon^{1/2}$ ,  $i = 1, \dots, k$ . It is easy to verify that  $p_i(\varepsilon)$  is a projection and  $C^*(p_1(\varepsilon), \dots, p_k(\varepsilon)) \subset M_k(\mathcal{A})$ . In the following, we will show  $M_k(\mathcal{A}) \subset C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$ .

For all  $1 \leq i \leq k$ ,  $p_i(\varepsilon) \in C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$  implies  $T_\varepsilon = \sum_{i=1}^k p_i(\varepsilon)$  is contained in  $C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$ . Then  $T_\varepsilon^{-1/2} \in C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$  by Gelfand's Theorem (cf. [19, Theorem 1.5.10]), which implies that for any  $1 \leq i \leq k$ ,

$$1 \otimes e_{ii} = T_\varepsilon^{-1/2} p_i(\varepsilon) T_\varepsilon^{-1/2} \in C^*(p_1(\varepsilon), \dots, p_k(\varepsilon)).$$

It follows that for any  $1 \leq i < j \leq k-1$ ,

$$B_{ij} \otimes e_{ij} = (1 \otimes e_{ii}) T_\varepsilon (1 \otimes e_{jj}) \in C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$$

and for any  $1 \leq i \leq k-1$ ,

$$1 \otimes e_{ik} = (1 \otimes e_{ii}) T_\varepsilon (1 \otimes e_{kk}) \in C^*(p_1(\varepsilon), \dots, p_k(\varepsilon)).$$

So  $1 \otimes e_{ki} = (1 \otimes e_{ik})^* \in C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$  and hence, for any  $1 \leq i < j \leq k-1$ ,

$$1 \otimes e_{ij} = (1 \otimes e_{ii})(1 \otimes e_{ik})(1 \otimes e_{kj}) \in C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$$

and  $1 \otimes e_{ji} = (1 \otimes e_{ij})^* \in C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$ . Consequently, for any  $1 \leq i < j \leq k$  and  $1 \leq m \leq k$ ,

$$B_{ij} \otimes e_{mm} = (1 \otimes e_{mi})(B_{ij} \otimes e_{ij})(1 \otimes e_{jm}) \in C^*(p_1(\varepsilon), \dots, p_k(\varepsilon)).$$

Since for  $i = 1, \dots, k$ ,  $\tilde{\mathcal{A}} \otimes e_{ii}$  is a  $C^*$ -algebra, we get for  $1 \leq i \leq k$ ,  $\tilde{\mathcal{A}} \otimes e_{ii} \subset C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$  and for  $1 \leq i, j \leq k$ ,

$$\tilde{\mathcal{A}} \otimes e_{ij} = (\tilde{\mathcal{A}} \otimes e_{ii})(1 \otimes e_{ij}) \subset C^*(p_1(\varepsilon), \dots, p_k(\varepsilon)).$$

At last, we obtain that  $M_k(\tilde{\mathcal{A}}) \subset C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$ .

Put  $I_i = 1 \otimes e_{ii} = T_\varepsilon^{-1/2} p_i(\varepsilon) T_\varepsilon^{-1/2}$ ,  $i = 1, \dots, k$ . Then  $\{I_1, \dots, I_k\}$  is a family of mutually equivalent and mutually orthogonal projections in  $C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$ . Now for  $1 \leq i, j \leq k$ ,  $i \neq j$ ,

$$\begin{aligned} \|p_j(\varepsilon) - I_j\| &\leq \|(1_k - T_\varepsilon^{-1/2})p_j(\varepsilon)\| + \|p_j(\varepsilon)T_\varepsilon^{-1/2}(1_k - T_\varepsilon^{-1/2})\| < 8(k-1)\varepsilon < 1 \\ \|p_i(\varepsilon)p_j(\varepsilon)\| &\leq \|p_i(\varepsilon)(p_j(\varepsilon) - I_j)\| + \|(p_i(\varepsilon) - I_i)I_j\| < 16(k-1)\varepsilon. \end{aligned}$$

So  $p_j(\varepsilon)$  is unitarily equivalent to  $I_j$  by Lemma 6.5.9 of [19], then to  $p_i(\varepsilon)$  and  $p_1(\varepsilon), \dots, p_k(\varepsilon)$  are almost mutually orthogonal in  $C^*(p_1(\varepsilon), \dots, p_k(\varepsilon))$ .  $\square$

EXAMPLE 2.4. (1) Since  $\mathbb{C}$  is generated by  $\{1\}$ , it follows from Theorem 2.3 that for any  $k \geq 3$ ,  $M_k(\mathbb{C})$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections.

(2) Let  $\mathcal{B}$  be a separable unital  $C^*$ -algebra and  $\mathcal{K}$  be the  $C^*$ -algebra of compact operators on the separable complex Hilbert space  $H$ . Then  $\mathcal{B} \otimes \mathcal{K}$  is generated by a single element (cf. [12, Theorem 8]). So  $M_3(\widehat{\mathcal{B} \otimes \mathcal{K}})$  is generated by 3 mutually unitarily equivalent and almost mutually orthogonal projections.

REMARK 2.5. Suppose that the  $C^*$ -algebra  $\mathcal{E}$  with the unit  $1_{\mathcal{E}}$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections. Then by Definition 2.2, there are projections  $p_1, \dots, p_k$  such that  $\sum_{i=1}^k p_i$  is invertible in  $\mathcal{E}$ ,  $p_1, \dots, p_k$  are mutually unitarily equivalent in  $\mathcal{E}$  and  $\|p_i p_j\| < 1/2(k-1)$ . Then by Corollary 3.8 of [6] and its proof, there exist mutually orthogonal projections  $p'_1, \dots, p'_k$  in  $\mathcal{E}$  such that  $\|p_i - p'_i\| < 1$  and  $\sum_{i=1}^k p'_i = 1_{\mathcal{E}}$ . Consequently,  $p_i$  is unitarily equivalent to  $p'_i$  in  $\mathcal{E}$  by [19, Lemma 6.5.9 (2)] and so that  $p'_i$  is unitarily equivalent to  $p'_j$  in  $\mathcal{E}$ ,  $i, j = 1, \dots, k$ .

Now we use the  $K$ -Theory of  $\mathcal{E}$  to describe above situations. The notations and properties of  $K$ -Theory of  $C^*$ -algebras can be found in references [10] and [19]. Let  $[p_i]$  (resp.  $[p'_i]$ ) be the class of  $p_i$  (resp.  $[p'_i]$ ) in  $K_0(\mathcal{E})$ ,  $i = 1, \dots, k$ . Then we have  $[1_{\mathcal{E}}] = [\sum_{i=1}^k p'_i] = \sum_{i=1}^k [p'_i] = k[p_1]$ .

### 3. Some applications

Let  $\mathcal{A}$  be a  $C^*$ -algebra and let  $M$  be a subset of  $\mathcal{A}_{sa}$ . We call  $M$  a generator of  $\mathcal{A}$  if  $\mathcal{A}$  is equal to the  $C^*$ -algebra  $C^*(M)$  generated by elements in  $M$ . If  $M$  is finite, then we call  $\mathcal{A}$  finitely generated and we define the number of generators  $gen(\mathcal{A})$  by the minimum cardinality of  $M$  which generates  $\mathcal{A}$ . We denote  $gen(\mathcal{A}) = \infty$  unless  $\mathcal{A}$  is finitely generated (cf. [11]). We call a  $C^*$ -algebra  $\mathcal{A}$  singly generated if  $gen(\mathcal{A}) \leq 2$ . Indeed, if  $\mathcal{A} = C^*(\{x, y\})$  for  $x, y \in \mathcal{A}_{sa}$ , then  $C^*(x + iy) = \mathcal{A}$ .

LEMMA 3.1. [11, Theorem 3] *Let  $\mathcal{A}$  be a unital  $C^*$ -algebra with  $gen(\mathcal{A}) \leq n^2 + 1$  ( $n \in \mathbb{N}$ ). Then we have  $gen(M_n(\mathcal{A})) \leq 2$ .*

Similar to the definition of  $gen(\mathcal{A})$ , we have following definition:

DEFINITION 3.2. Let  $\mathcal{A}$  be a finitely generated unital  $C^*$ -algebra. We define the number  $Pgen(\mathcal{A})$  to be least integer  $k \geq 2$  such that  $\mathcal{A}$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections.

If no such  $k$  exists, we set  $Pgen(\mathcal{A}) = \infty$ .

REMARK 3.3. (1) There is a finitely generated unital  $C^*$ -algebra  $\mathcal{A}$  such that  $Pgen(\mathcal{A}) = 2$ . For example, take  $\mathcal{A} = M_2(\mathbb{C})$  and projections

$$p_1 = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \quad p_2 = \begin{bmatrix} \varepsilon & \sqrt{\varepsilon(1-\varepsilon)} \\ \sqrt{\varepsilon(1-\varepsilon)} & 1-\varepsilon \end{bmatrix}, \quad \forall \varepsilon \in (0, 1).$$

Clearly,  $p_1$  and  $p_2$  are unitarily equivalent,  $p_1 + p_2$  is invertible and  $\|p_1 p_2\| \leq \varepsilon^{1/2}$ . Moreover, it is easy to check that  $C^*(p_1, p_2) = \mathcal{A}$ . Thus,  $Pgen(\mathcal{A}) = 2$ .

(2) If the unital  $C^*$ -algebra  $\mathcal{A}$  is infinite-dimensional and simple, then  $Pgen(\mathcal{A}) \geq 3$ . In fact, if  $\mathcal{A}$  is generated by two mutually unitarily equivalent and almost mutually

orthogonal projections  $p_1$  and  $p_2$ , then there is a  $*$ -homomorphism  $\pi: C^*(p, q) \rightarrow \mathcal{A}$  such that  $\pi(p) = p_1$  and  $\pi(q) = p_2$ . Thus,  $\mathcal{A} = \pi(C^*(p, q))$  and hence  $\mathcal{A}$  is of Type I. But it is impossible since  $\mathcal{A}$  is infinite-dimensional and simple.

Now we present main result (2) mentioned in the end of §1.

**PROPOSITION 3.4.** *Assume that the unital  $C^*$ -algebra  $\mathcal{A}$  is generated by  $n$  self-adjoint elements. Then for any  $l \geq \{\sqrt{n-1}\}$  and  $k \geq 3$ ,  $\text{Pgen}(M_{kl}(\mathcal{A})) \leq k$ .*

*Proof.* Since  $l \geq \sqrt{n-1}$  and  $l^2 + 1 \geq n \geq \text{gen}(\mathcal{A})$ , it follows from Lemma 3.1 that  $M_l(\mathcal{A})$  is singly generated. In this case,  $\delta(1) = 3$ . So for any  $k \geq 3$ ,  $M_{kl}(\mathcal{A}) = M_k(M_l(\mathcal{A}))$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections Theorem 2.3.  $\square$

Since simple AF  $C^*$ -algebra and the irrational rotation algebra are all singly generated by [11], we have by Proposition 3.4:

**COROLLARY 3.5.** *If  $\mathcal{A}$  is a simple unital AF  $C^*$ -algebra or an irrational rotation algebra, then  $\text{Pgen}(M_3(\mathcal{A})) \leq 3$ .*

**COROLLARY 3.6.** *Let  $X$  be a compact metric space with  $\dim X \leq m$ . If  $X$  can be embedded into  $\mathbb{C}^m$ , then  $\text{Pgen}(M_{3k}(C(X))) \leq 3$ , where  $k = \{\sqrt{2m-1}\}$ . In general,  $\text{Pgen}(M_{3s}(C(X))) \leq 3$ , where  $s = \{\sqrt{2m}\}$ .*

*Proof.* By [11, Proposition 2],

$$\text{gen}(C(X)) = \min\{m \in \mathbb{N} \mid \text{there is an embedding of } X \text{ into } \mathbb{R}^m\}.$$

Therefore, if  $X$  can be embedded into  $\mathbb{C}^m$ , then  $\text{gen}(C(X)) \leq 2m$  and in general,  $X$  can be embedded into  $\mathbb{R}^{2m+1}$  by [1, Theorem III.4.2]. In this case,  $\text{gen}(C(X)) \leq 2m + 1$ .

So the assertions follow from Proposition 3.4.  $\square$

Recall that a projection  $p$  in a  $C^*$ -algebra  $\mathcal{A}$  is infinite if there is a projection  $q$  in  $\mathcal{A}$  with  $q < p$  such that  $p$  and  $q$  are equivalent (denoted by  $p \sim q$ ) in the sense of Murray–von Neumann.  $\mathcal{A}$  is called to be purely infinite if the closure of  $a\mathcal{A}a$  contains an infinite projection for every non-zero positive element  $a$  in  $\mathcal{A}$  (cf. [3]).

**PROPOSITION 3.7.** *Let  $\mathcal{A}$  be a separable purely infinite simple  $C^*$ -algebra with the unit  $1_{\mathcal{A}}$ . Suppose the class  $[1_{\mathcal{A}}]$  in  $K_0(\mathcal{A})$  has torsion. Let  $m$  be the order of  $[1_{\mathcal{A}}]$ . Then  $3 \leq \text{Pgen}(\mathcal{A}) \leq \min\{k \in \mathbb{N} \mid k \geq 3, (k, m) = 1\}$ .*

*In particular, when  $m$  has the form  $m = 3n - 1$  or  $m = 3n - 2$  for some  $n \in \mathbb{N}$ ,  $\text{Pgen}(\mathcal{A}) = 3$ .*

*Proof.* According to Remark 3.3 (2),  $\text{Pgen}(\mathcal{A}) \geq 3$ .

Since  $(k, m) = 1$ ,  $s, t \in \mathbb{Z}$  such that  $ks - mt = 1$  (cf. [8]). Let  $c = s + ml$  and  $d = t + kl$ . Then  $kc - md = 1$ ,  $\forall l \in \mathbb{N}$ . So we can choose  $c, d \in \mathbb{N}$  such that  $kc - md = 1$ . Set  $r = kc$ .

Since  $r \equiv 1 \pmod m$ , it follows from [20, Lemma 1] that there exist isometries  $s_1, \dots, s_r$  in  $\mathcal{A}$  such that

$$s_i^* s_j = 0, \quad i \neq j, \quad i, j = 1, \dots, r \quad \text{and} \quad \sum_{i=1}^r s_i s_i^* = 1_{\mathcal{A}}. \tag{1}$$

Define a linear map  $\phi: \mathcal{A} \rightarrow M_k(\mathcal{A})$  by  $\phi(a) = [s_i^* a s_j]_{r \times r}$ . It is easy to check that  $\phi$  is a  $*$ -homomorphism and injective by using (1). Now let  $A = [a_{ij}]_{r \times r} \in M_r(\mathcal{A})$  and put  $a = \sum_{i,j=1}^r s_i a_{ij} s_j^* \in \mathcal{A}$ . Then  $\phi(a) = A$  in terms of (1). Therefore,  $\phi$  is a  $*$ -isomorphism and  $\mathcal{A}$  is  $*$ -isomorphic to  $M_r(\mathcal{A})$ .

Now by Theorem 2.3 of [17],  $gen(\mathcal{A}) \leq 2$ . Thus, by Proposition 3.4, for above  $k \geq \delta(1) = 3$ ,  $c \geq 1$ ,  $M_{kc}(\mathcal{A})$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections and consequently,  $Pgen(\mathcal{A}) \leq k$ .

When  $m$  has the form  $m = 3n - 1$  or  $m = 3n - 2$  for some  $n \in \mathbb{N}$ ,  $(3, m) = 1$ . In this case,  $Pgen(\mathcal{A}) = 3$  by above argument.  $\square$

EXAMPLE 3.8. Let  $\mathcal{O}_n$  ( $2 \leq n \leq +\infty$ ) be the Cuntz algebra.  $\mathcal{O}_n$  is a separable purely infinite simple unital  $C^*$ -algebra with  $K_0(\mathcal{O}_n) \cong \begin{cases} \mathbb{Z}/(n-1)\mathbb{Z}, & 2 \leq n < +\infty \\ \mathbb{Z}, & n = +\infty \end{cases}$

and the generator  $[1_{\mathcal{O}_n}]$  (cf. [3]). Then we have

- (1)  $Pgen(\mathcal{O}_\infty) = +\infty$  by Remark 2.5.
- (2)  $Pgen(\mathcal{O}_n) = 3$  if  $n = 3m$  or  $n = 3m - 1$  for some  $m \in \mathbb{N}$  by Proposition 3.7.
- (3)  $Pgen(\mathcal{O}_n) = \min\{k \in \mathbb{N} | k \geq 3, (k, n - 1) = 1\}$ . In fact, Proposition 3.7 shows that  $Pgen(\mathcal{O}_n) \leq \min\{k \in \mathbb{N} | k \geq 3, (k, n - 1) = 1\}$ . Now,  $Pgen(\mathcal{O}_n) = m$  implies that there is a projection  $e \in \mathcal{O}_n$  such that  $m[e] = 1$  in  $K_0(\mathcal{O}_n)$  by Remark 2.5. So there exists  $s \in \mathbb{N}$  such that  $[e] = s[1_{\mathcal{O}_n}]$ . Then  $ms - 1 \equiv 0 \pmod{(n - 1)}$  and hence  $(m, n - 1) = 1$ .

For example:  $Pgen(\mathcal{O}_4) = 4$ ,  $Pgen(\mathcal{O}_{13}) = 5$ ,  $Pgen(\mathcal{O}_{211}) = 11$ , etc..

According to [2], a unital separable  $C^*$ -algebra  $\mathcal{A}$  with the unit  $1_{\mathcal{A}}$  is approximately divisible if, for every  $x_1, \dots, x_n \in A$  and any  $\varepsilon > 0$ , there is a finite-dimensional  $C^*$ -subalgebra  $\mathcal{B}$  with unit  $1_{\mathcal{A}}$  of  $\mathcal{A}$  such that  $\mathcal{B}$  has no Abelian central projections and  $\|x_i y - y x_i\| < \varepsilon \|y\|$ ,  $\forall 1 \leq i \leq n$  and  $y \in \mathcal{B}$ .

PROPOSITION 3.9. *Suppose that two separable and unital  $C^*$ -algebras  $\mathcal{A}$  and  $\mathcal{B}$  satisfies following conditions:*

- (1)  $\mathcal{A}$  or  $\mathcal{B}$  is nuclear;
- (2) there is an integer  $k \geq 3$  and a unital  $C^*$ -algebra  $\mathcal{C}$  such that  $\mathcal{B} \cong M_k(\mathcal{C})$ ;
- (3)  $\mathcal{A} \otimes \mathcal{B}$  is approximately divisible.

Then  $Pgen(\mathcal{A} \otimes \mathcal{B}) \leq k$ . Furthermore, if  $k \equiv 0 \pmod 3$ , then  $Pgen(\mathcal{A} \otimes \mathcal{B}) \leq 3$ .

*Proof.* If  $\mathcal{B}$  is nuclear, applying [10, Proposition 2.3.8] to  $M_k(\mathcal{C})$ , we get that  $\mathcal{C}$  is also nuclear since  $\mathcal{C}$  is a hereditary  $C^*$ -subalgebra of  $M_k(\mathcal{C})$ .

Now from  $\mathcal{A} \otimes \mathcal{B} \cong M_k(\mathcal{A} \otimes \mathcal{C})$ , we get that  $\mathcal{A} \otimes \mathcal{C}$  is approximately divisible by [2, Corollary 2.9]. Since every unital separable approximately divisible  $C^*$ -algebra is singly generated by [9, Theorem 3.1], we obtain that  $\mathcal{A} \otimes \mathcal{B}$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections, by applying Proposition 3.4 to  $\mathcal{A} \otimes \mathcal{C}$ .

If  $k = 3t$  for some  $t \in \mathbb{N}$ , then  $\text{Pgen}(M_{3t}(\mathcal{A} \otimes \mathcal{C})) \leq 3$  by Proposition 3.4. Thus,  $\text{Pgen}(\mathcal{A} \otimes \mathcal{B}) \leq 3$  for  $\mathcal{A} \otimes \mathcal{B} \cong M_k(\mathcal{A} \otimes \mathcal{C})$ .  $\square$

Which type of  $C^*$ -algebras satisfy Condition (2) and (3) of Proposition 3.9? For  $AF$ -algebras, we have the following:

**PROPOSITION 3.10.** *Let  $\mathcal{A} = \overline{\bigcup_{n=1}^{\infty} \mathcal{A}_n}$  be a  $AF$ -algebra with unit  $1_{\mathcal{A}}$ , where  $\mathcal{A}_n$  is a finite-dimensional  $C^*$ -algebra with the unit  $1_{\mathcal{A}}$  such that  $\mathcal{A}_m \subset \mathcal{A}_n, \forall m \leq n, m, n = 1, 2, \dots$ . Assume that  $\mathcal{A}$  satisfies following conditions:*

- (1) *no quotient of  $\mathcal{A}$  has an abelian projection, especially,  $\mathcal{A}$  is infinite dimensional simple;*
- (2) *there is an integer  $n \geq 3$  and an element  $a$  in  $K_0(\mathcal{A})$  such that  $na = [1_{\mathcal{A}}]$  in  $K_0(\mathcal{A})$ .*

*If there is  $k \geq 3$  such that  $n \equiv 0 \pmod k$ , then  $\mathcal{A}$  is generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections.*

*Proof.* By [10, Proposition 3.4.5],  $a \in K_0(\mathcal{A})_+$  (the positive cone of  $K_0(\mathcal{A})$ ). So we can find a projection  $p$  in  $M_s(\mathcal{A}_m)$  for some  $s, m \in \mathbb{N}$  such that  $[p] = a$  in  $K_0(\mathcal{A})$ . Consequently, there are projections  $p_1, \dots, p_s$  in  $\mathcal{A}_m$  such that  $p$  is unitarily equivalent to  $\text{diag}(p_1, \dots, p_s)$  in  $M_s(\mathcal{A}_m)$ . This indicates that

$$[\text{diag}(\underbrace{p_1, \dots, p_1}_n, \dots, \underbrace{p_s, \dots, p_s}_n)] = [1_{\mathcal{A}}] \text{ in } K_0(\mathcal{A}). \tag{2}$$

Since  $M_t(\mathcal{A})$  has the cancellation property of projections for all  $t \in \mathbb{N}$ , we have

$$\text{diag}(\underbrace{p_1, \dots, p_1}_n, \dots, \underbrace{p_s, \dots, p_s}_n) \sim \text{diag}(1_{\mathcal{A}}, \underbrace{0, \dots, 0}_{ns-1}) \text{ in } M_{ns}(\mathcal{A}) \tag{3}$$

by (2). Applying [10, Lemma 3.4.2] to (3), we can find mutually orthogonal projections  $q_1, \dots, q_{ns}$  in  $\mathcal{A}$  such that  $q_{(i-1)s+1}, \dots, q_{is}$  are all unitarily equivalent to  $p_i, 1 \leq i \leq n$  in  $\mathcal{A}$ .

Put  $r_i = \sum_{j=1}^s q_{(i-1)s+j} \in \mathcal{A}, i = 1, \dots, n$ . Then  $r_i r_j = 0, r_i \sim r_j$  and  $[r_i] = [p]$  in  $K_0(\mathcal{A}), i \neq j, i, j = 1, \dots, n$ . So from  $[r_1 + \dots + r_s] = [1_{\mathcal{A}}]$  in  $K_0(\mathcal{A})$ , we obtain  $\sum_{i=1}^s r_i = 1_{\mathcal{A}}$ .

Let  $v_i$  be partial isometries in  $\mathcal{A}$  such that  $v_1 = r_1$  and  $r_1 = v_i^* v_i$ ,  $r_i = v_i v_i^*$ ,  $r_i v_i = v_i r_1$  when  $2 \leq i \leq n$ . Define a linear mapping  $\psi: \mathcal{A} \rightarrow M_n(r_1 \mathcal{A} r_1)$  by  $\psi(a) = [v_i^* a v_j]_{n \times n}$ . In terms of  $v_i^* v_j = 0$ ,  $i \neq j$ ,  $i, j = 1, \dots, n$  and  $\sum_{i=1}^n v_i v_i^* = 1_{\mathcal{A}}$ , it is easy to check that  $\psi$  is a  $*$ -isomorphism, that is,  $\mathcal{A}$  satisfies Condition (2) of Proposition 3.9.

By [2, Proposition 4.1], Condition (1) implies that  $\mathcal{A}$  is approximately divisible. So the assertion follows from Proposition 3.9.  $\square$

EXAMPLE 3.11. Let  $\mathcal{B}$  be a UHF-algebra. It is in one–one correspondence with a generalized integer, formal products  $q = \prod_{j=1}^{\infty} p_j^{n_j}$  for some  $\{n_j\}_{j=1}^{\infty} \subset \mathbb{Z}_+ \cup \{+\infty\}$ , where  $\{p_1, p_2, \dots\}$  is the set of all positive prime numbers listed in increasing order. According to [14, 7.4],  $K_0(\mathcal{B})$  is isomorphic to  $\{\frac{x}{y} | x \in \mathbb{Z}, y \in \mathbb{N}, q \equiv 0 \pmod y\} = \mathbb{Z}_{(q)}$  with  $[1_{\mathcal{B}}]$  in correspondence with 1, where  $q \equiv 0 \pmod y$  means that  $y = \prod_{j=1}^{\infty} p_j^{m_j}$  for some  $m_j \in \mathbb{Z}_+$  with  $m_j \leq n_j$ ,  $j = 1, \dots, \infty$  and  $m_j > 0$  for only finitely many  $j$ .

Put  $k = \min\{n \in \mathbb{N} | n \geq 3, q \equiv 0 \pmod n\}$ . Clearly, there is  $a \in K_0(\mathcal{B})$  such that  $ka = [1_{\mathcal{A}}]$ . Thus there is a unital  $C^*$ -algebra  $\mathcal{C}$  such that  $\mathcal{B} \cong M_k(\mathcal{C})$  (see the proof of Proposition 3.10). Since  $\mathcal{B}$  and  $\mathcal{A} \otimes \mathcal{B}$  are all approximately divisible for any unital separable  $C^*$ -algebra  $\mathcal{A}$  by [2], it follows from Proposition 3.9 that  $\mathcal{B}$  and  $\mathcal{A} \otimes \mathcal{B}$  are all generated by  $k$  mutually unitarily equivalent and almost mutually orthogonal projections, i.e.,  $\text{Pgen}(\mathcal{B}) \leq k$  and  $\text{Pgen}(\mathcal{A} \otimes \mathcal{B}) \leq k$ .

Moreover, we have  $\text{Pgen}(\mathcal{B}) = \min\{n \in \mathbb{N} | n \geq 3, q \equiv 0 \pmod n\}$ . In fact, since  $\mathcal{B}$  is simple and infinite–dimensional, it follows from Remark 3.3 that  $\text{Pgen}(\mathcal{B}) \geq 3$ . Let  $m = \text{Pgen}(\mathcal{B})$ . Then there is a projection  $e$  in  $\mathcal{B}$  such that  $m[e] = [1_{\mathcal{B}}]$ . Thus, there are  $x, y \in \mathbb{Z}_+$  with  $q \equiv 0 \pmod y$  such that  $m \frac{x}{y} = 1$  and consequently,  $q \equiv 0 \pmod m$ . So  $\text{Pgen}(\mathcal{B}) \geq \min\{n \in \mathbb{N} | n \geq 3, q \equiv 0 \pmod n\}$ .

For example, if  $\mathcal{B}$  is a UHF algebra of Type  $2^\infty$  or  $3^\infty$ , respectively, then  $\text{Pgen}(\mathcal{B}) = 4$  or  $\text{Pgen}(\mathcal{B}) = 3$ .

Finally, similar to Davis’ result in [4] and Sunder’ work in [16], We have

PROPOSITION 3.12. *Let  $H$  be a separable infinite dimensional Hilbert space. Then for any  $k \geq 3$  there are  $k$  mutually unitarily equivalent and almost mutually orthogonal projections  $P_1, \dots, P_k$  such that*

$$\mathcal{H} \subset C^*(P_1, \dots, P_k) \subset W^*(P_1, \dots, P_k) = B(H).$$

*Proof.* Take  $H = l^2$  and let  $S$  be the unilateral shift on  $H$ . It’s well–known that  $\mathcal{H} \subset C^*(S) \subset W^*(S) = B(H)$  (cf. [10]). Then there are  $k$  mutually unitarily equivalent and almost mutually orthogonal projections  $Q_1, \dots, Q_k$  in  $M_k(C^*(S))$  such that  $C^*(Q_1, \dots, Q_k) = M_k(C^*(S))$  by Theorem 2.3.

Choose isometry operators  $S_1, \dots, S_k$  on  $H$  such that  $S_i^*S_j = 0$ ,  $i \neq j$ ,  $i, j = 1, \dots, k$  and  $\sum_{i=1}^k S_iS_i^* = I$ . Define a unitary operator  $W : H \rightarrow \bigoplus_{i=1}^k H$  by  $Wx = (S_1^*x, \dots, S_k^*x)$ ,  $\forall x \in H$ . Then  $W^*(M_k(\mathcal{K}))W = \mathcal{K}$  and  $W^*(M_k(B(H)))W = \mathcal{B}(H)$ . Put  $P_i = W^*Q_iW$ ,  $i = 1, \dots, k$ . Then  $P_1, \dots, P_k$  are mutually unitarily equivalent and almost mutually orthogonal and  $W^*(M_k(C^*(S)))W = C^*(P_1, \dots, P_k)$ . So from

$$M_k(\mathcal{K}) \subset C^*(Q_1, \dots, Q_k) \subset W^*(Q_1, \dots, Q_k) = M_k(B(H)),$$

we obtain the assertion.  $\square$

*Acknowledgement.* The authors thank to Professor Huaxin Lin and the referee for their helpful comments and suggestions.

REFERENCES

[1] J. M. AARTS AND T. NISHIURA, *Dimension and Extensions*, North-Holland Mathematical Library, vol 48, North-Holland Publishing Co., Amsterdam, 1993.

[2] B. BLACKADAR, A. KUMJIAN AND M. RORDAM, *Approximately Central matrix Units and the structure of non-commutative tori*, *K-Theory*, **6** (1992), 267–284.

[3] J. CUNTZ, *K-Theory for certain  $C^*$ -algebras*, *Ann. of Math.*, **113** (1981), 181–197.

[4] C. DAVIS, *Generators of the ring of bounded operators*, *Proc. Amer. Math. Soc.*, **6** (1955), 970–972.

[5] P. HALMOS, *Two subspace*, *Trans. Amer. Math. Soc.*, **144** (1969), 381–389.

[6] S. HU AND Y. XUE, *Completeness of  $n$ -tuple of projections in  $C^*$ -algebras*, Preprint, arXiv:1210.4670v1.

[7] R. A. HORN AND C. R. JOHNSON, *Matrix Analysis*, Cambridge University Press, 1986.

[8] K. IRELAND AND M. ROSEN, *A classical Introduction to Modern Number Theory (2nd)*, (GTM 84), Springer-Verlag, New York, 1990.

[9] W. LI AND J. SHEN, *A note on approximately divisible  $C^*$ -algebras*, Preprint, arXiv:0804.0465.

[10] H. LIN, *An introduction to the classification of amenable  $C^*$ -algebras*, World Scientific, 2001.

[11] M. NAGISA, *Single generation and rank of  $C^*$ -algebras*, Kosaki, Hideki (ed.), *Operator algebras and applications*, Proceedings of the US-Japan seminar held at Kyushu University, Fukuoka, Japan, June 7–11, 1999. Tokyo: Mathematical Society of Japan, *Advanced Studies in Pure Mathematics* **38**, 135–143, 2004.

[12] C. L. OLSEN AND W. R. ZAME, *Some  $C^*$ -algebras with a single generator*, *Trans. Amer. Math. Soc.*, **215** (1976), 205–217.

[13] I. RAEBURN AND A. M. SINCLAIR, *The  $C^*$ -algebra generated by two projections*, *Math. Scand.*, **65** (1989), 278–290.

[14] M. RØDAM, F. LARSEN AND N. LAUSTSEN, *An introduction to K-theory for  $C^*$ -algebras*, London Math. Soc. Student, Text, vol 49, Cambridge University Press, Cambridge 2000.

[15] T. SHULMAN, *On universal  $C^*$ -algebras generated by  $n$  projections with scalar sum*, *Proc. Amer. Math. Soc.*, **137** (2009), 115–122.

[16] V. S. SUNDER,  *$N$  Subspaces*, *Canad. J. Math.*, **40** (1988), 38–54.

[17] H. THIEL AND W. WINTER, *The generator problem for  $\mathcal{L}$ -stable  $C^*$ -algebras*, Preprint, arXiv:1201.3879v1.

[18] N. L. VASILEVSKI,  *$C^*$ -algebras generated by orthogonal projections and their applications*, *Integr. Equ. Oper. Theory*, **31** (1998), 113–132.

- [19] Y. F. XUE, *Stable perturbations of operators and related topics*, World Scientific, 2012.
- [20] Y. F. XUE, *The connected stable rank of the purely infinite simple  $C^*$ -algebras*, Proc. Amer. Math. Soc., **127** (1999), 3671–3676.

(Received July 25, 2012)

*Shanwen Hu*

*e-mail: swhu@math.ecnu.edu.cn*

*Yifeng Xue*

*e-mail: yfxue@math.ecnu.edu.cn*

*Department of mathematics and  
Research Center for Operator Algebras  
East China Normal University  
Shanghai 200241, P. R. China*