

## ON THE MATRIX WHICH IS THE SUM OF A TRIPOTENT AND A QUASINILPOTENT MATRICES

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*Abstract.* We investigate Hirano polar matrices over a local ring, and completely determine when a  $2 \times 2$  matrix over a local ring is the sum of a tripotent and a quasinilpotent matrix.

### 1. Introduction

Let  $R$  be an associative ring with an identity. The commutant of  $a \in R$  is defined by  $\text{comm}(a) = \{x \in R \mid xa = ax\}$ . The double commutant of  $a \in R$  is defined by  $\text{comm}^2(a) = \{x \in R \mid xy = yx \text{ for all } y \in \text{comm}(a)\}$ . An element  $a \in R$  is quasinilpotent if  $1 - ax \in U(R)$  for any  $x \in \text{comm}(a)$ . We use  $R^{qnil}$  to denote the set of all quasinilpotents in  $R$ . That is,  $R^{qnil} = \{a \in R \mid 1 - ax \in U(R) \text{ for every } x \in \text{comm}(a)\}$ . Clearly, every nilpotent and element in the Jacobson radical of a ring is quasinilpotent. Following Wang, an element  $a$  in a ring  $R$  has s-Drazin inverse if there exists  $b \in \text{comm}^2(a)$  such that  $b = b^2a, a - ab \in N(R)$  (see [13]). As is well known, an element  $a \in R$  has s-Drazin inverse if and only if it is strongly nil-clean, i.e., it is the sum of an idempotent and a nilpotent that commute (see [1, 11]). Replace  $N(R)$  of nilpotents by  $R^{qnil}$  the set of quasinilpotents, Gurgun introduced gs-Drazin inverse of an element in a ring. It was proved that an element  $a \in R$  has gs-Drazin inverse if and only if there exists  $e^2 = e \in \text{comm}^2(a)$  such that  $a - e \in R^{qnil}$  (see [8, Theorem 3.2]).

An element  $p$  in a ring  $R$  is a tripotent if  $p^3 = p$ . It is readily seen that idempotents and negative of idempotents are tripotents and among units only the order 2 units (also called square roots of 1) are tripotents. In [2], the authors investigated the structure of rings in which every element is the sum of a tripotent and a nilpotent that commute. The motivation of this paper is to determine when a  $2 \times 2$  matrix over a local ring is the sum of a tripotent and a quasinilpotent matrix that commute. An element  $a$  in a ring  $R$  is quasipolar if there exists an idempotent  $e \in \text{comm}^2(a)$  such that  $a + e \in U(R)$  and  $ae \in R^{qnil}$ . Quasipolar elements in a ring were studied by many authors from different view of points, e.g., [4, 5] and [6]. We call an element  $a \in R$  is Hirano polar if there exists a tripotent  $p \in \text{comm}^2(a)$  such that  $a - p \in R^{qnil}$ . In Section 2 we investigate

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Hirano polar elements in a ring and prove that every Hirano polar element in a ring is quasipolar.

Let  $a \in R$ .  $l_a : R \rightarrow R$  and  $r_a : R \rightarrow R$  denote, respectively, the abelian group endomorphisms given by  $l_a(r) = ar$  and  $r_a(r) = ra$  for all  $r \in R$ . Thus,  $l_a - r_b$  is an abelian group endomorphism such that  $(l_a - r_b)(r) = ar - rb$  for any  $r \in R$ . In Section 3, we are concerned on Hirano polar matrices over local rings. Let  $R$  be a local ring, and let  $A \in M_2(R)$ . We prove that  $A$  is Hirano polar if and only if  $A \in M_2(R)^{qnil}$ , or  $A = U + W, U \in comm^2(A), U^2 = I_2, W \in M_2(R)^{qnil}$ , or  $A$  is similar to  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$ , where  $l_\alpha - r_\beta, l_\beta - r_\alpha$  are injective and  $\alpha \in \pm 1 + J(R), \beta \in J(R)$ .

A ring  $R$  is bleached provided that for any  $a \in U(R), b \in J(R)$ ,  $l_a - r_b$  and  $l_b - r_a$  are both surjective. A ring  $R$  is cobleached provided that for any  $a \in J(R), b \in U(R)$ ,  $l_a - r_b$  and  $r_b - r_a$  are both injective. For instance, every commutative local ring is cobleached. Finally, in the last section, we further characterize Hirano J-polar  $2 \times 2$  matrices over a cobleached local ring in terms of solvability of their characteristic equations. Let  $R$  be a cobleached local ring, and let  $A \in M_2(R)$ . We prove that  $A$  is Hirano polar if and only if  $A \in M_2(R)^{qnil}$ , or  $A = U + W, U \in comm^2(A), U^2 = I_2, W \in M_2(R)^{qnil}$ , or  $A$  is similar to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$ , the equation  $x^2 - x\mu - \lambda = 0$  has a root in  $\pm 1 + J(R)$  and a root in  $J(R)$ .

Throughout the paper, all rings are associative with an identity. We use  $J(R), N(R)$  and  $U(R)$  to denote the Jacobson radical and the set of nilpotents of  $R$  and units in  $R$ , respectively.  $GL_2(R)$  denotes the sets of all  $2 \times 2$  invertible matrices over  $R$ .  $\mathbb{N}$  stands for the set of all natural numbers.

### 2. Hirano polar elements

Following Cui and Chen, an element  $a \in R$  is J-quasipolar if there exists an idempotent  $e \in comm^2(a)$  such that  $a + e \in J(R)$  (see [5]). We begin with

EXAMPLE 2.1. Every J-quasipolar element in a ring is Hirano polar.

*Proof.* Let  $a \in R$  be a J-quasipolar, then there exists some idempotent  $e \in R$  such that  $a + e \in J(R)$ . It is obvious that  $-e \in R$  is tripotent and  $a - (-e) \in J(R) \subseteq R^{qnil}$ .  $\square$

EXAMPLE 2.2. Let  $A = \begin{pmatrix} -1 & 0 \\ 1 & 0 \end{pmatrix} \in M_2(\mathbb{Z}_3)$ . Then  $A$  is Hirano polar, but it is not J-quasipolar.

*Proof.* Clearly,  $A^3 = A$ , and so  $A$  is Hirano polar. Since  $A - A^2 = \begin{pmatrix} 1 & 0 \\ -1 & 0 \end{pmatrix} \notin J(M_2(\mathbb{Z}_3))$ . Therefore  $A$  is not J-quasipolar.  $\square$

LEMMA 2.3. Let  $a \in R^{qnil}$  and  $e^2 = e \in comm^2(a)$ . Then  $ae \in R^{qnil}$ .

*Proof.* Let  $x \in \text{comm}(ae)$ . Then  $xae = aex$ , and so  $(exe)a = ex(ae) = eaex = aex = a(exe)$ , i.e.,  $exe \in \text{comm}(a)$ . Hence,  $1 - a(exe) \in U(R)$ , and so  $1 - (ae)x \in U(R)$  which implies that  $ae \in R^{qnil}$ .  $\square$

**THEOREM 2.4.** *Every Hirano polar element in a ring is quasipolar.*

*Proof.* Let  $a \in R$ , then there exists  $p^3 = p \in \text{comm}^2(a)$  such that  $a - p \in R^{qnil}$ . It is clear that  $(1 - p^2)^2 = 1 - p^2$ . Let  $a - p = w$  so  $a + 1 - p^2 = w + 1 - p^2 + p$ , as  $(1 + p^2 - p)^2 = 1$ , we can write  $1 - p^2 + p + w = (1 - p^2 + p)(1 + (1 - p^2 + p)w) \in U(R)$ , since  $w \in R^{qnil}$ . Then  $a + (1 - p^2) \in U(R)$ . As  $a - p = w, a(1 - p^2) = w(1 - p^2)$  that is in  $R^{qnil}$  by applying Lemma 2.4, because  $w \in R^{qnil}$  and  $p \in \text{comm}^2(a)$  also  $1 - p^2$  is an idempotent.  $\square$

**EXAMPLE 2.5.** Let  $A = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \in M_2(\mathbb{Z}_2)$ . Then  $A$  is quasipolar, but it is not Hirano polar.

*Proof.* As  $M_2(\mathbb{Z}_2)$  is a finite ring so it is strongly  $\pi$ -regular and then quasipolar. Now let  $A$  is a Hirano polar ring, then there exists a triptent  $E$  such that  $A = E + W$  for some  $W \in R^{qnil}$ , clearly  $W^2 = 0$  as  $M_2(\mathbb{Z}_2)$  is of bounded index 2 and so  $(A - A^3)^2 = 0$  that is a contradiction as  $(A - A^3)^2 = A \neq 0$ .  $\square$

**THEOREM 2.6.** *Let  $R$  be a ring, and let  $a \in R$ . If  $\frac{1}{2} \in R$ , then the following are equivalent:*

- (1)  $a$  is Hirano polar.
- (2) There exist two idempotents  $e, f \in \text{comm}^2(a)$  and a  $w \in R^{qnil}$  such that  $a = e - f + w$ .

*Proof.* (1)  $\Rightarrow$  (2) Let  $a \in R$ , then there exists some tripotent  $p \in R$  such that  $a - p \in R^{qnil}$ . Let  $e = \frac{1}{2}(p^2 - p)$  and  $f = \frac{1}{2}(p^2 + p)$ . By computing  $e^2 - e$  and  $f^2 - f$  it is obvious that  $e^2 = e$  and  $f^2 = f$ , also  $p = f - e$ . Then  $a - e + f \in R^{qnil}$ .

(2)  $\Rightarrow$  (1) By hypothesis there exist two idempotents  $e, f \in R$  and some  $w \in R^{qnil}$  such that  $a = e - f + w$ . Let  $p = e - f$ , it is obvious that  $p^3 = p$  and  $a - p = w \in R^{qnil}$ .  $\square$

**COROLLARY 2.7.** *Let  $A$  be a Banach algebra, and let  $a \in A$ . Then the following are equivalent:*

- (1)  $a$  is Hirano polar.
- (2) There exist two idempotents  $e, f \in \text{comm}^2(a)$  such that

$$\lim_{n \rightarrow \infty} \| (a - (e - f))^n \|^{1/n} = 0.$$

*Proof.*  $\Rightarrow$  Let  $a \in A$  be a Hirano polar element, as  $2 \in A$  is invertible, then by Theorem 2.6, there exist two idempotents  $e, f$  such that  $a = f - e + w$  for some  $w \in A^{qnil}$ , which implies that  $a - (e - f) \in A^{qnil}$ , in view of [9, page 251] we deduce that

$$\lim_{n \rightarrow \infty} \| (a - (e - f))^n \|^{1/n} = 0.$$

$\Leftarrow$  Let  $a \in A$  and there exist two idempotents  $e, f \in comm^2(a)$  such that

$$\lim_{n \rightarrow \infty} \| (a - (e - f))^n \|^{1/n} = 0.$$

In view of [9, page 251]  $a - (e - f) \in A^{qnil}$  and so  $a$  is Hirano polar.  $\square$

### 3. Hirano polar matrices

The goal of this section is to characterize when a  $2 \times 2$  matrix over local rings is Hirano polar in terms of diagonal reduction. The following lemma is crucial.

LEMMA 3.1. *Let  $R$  be a ring, and let  $a \in R$  and  $u \in U(R)$ . Then  $a \in R$  is Hirano polar if and only if  $u^{-1}au \in R$  is Hirano polar.*

*Proof.*  $\Rightarrow$  By hypothesis, there exists  $p^3 = p \in comm^2(a)$  such that  $w := a + p \in R^{qnil}$ . Then  $u^{-1}pu = (u^{-1}pu)^3, u^{-1}au + u^{-1}pu = u^{-1}wu$ . Let  $x \in comm(u^{-1}au)$ . Then  $u^{-1}aux = xu^{-1}au$ ; hence,  $auxu^{-1} = uxu^{-1}a$ . Then  $uxu^{-1} \in comm(a)$ , and so  $uxu^{-1}p = puxu^{-1}$ . This shows  $xu^{-1}pu = u^{-1}pux$ , and therefore  $u^{-1}pu \in comm^2(u^{-1}au)$ . Let  $y \in comm(u^{-1}wu)$ . Then  $uyu^{-1} \in comm(w)$ ; hence,  $1 - w(yu^{-1}) \in U(R)$ . By using Jacobson's Lemma,  $1 - (u^{-1}wu)y \in U(R)$ . Therefore  $u^{-1}wu \in R^{qnil}$ , as needed.

$\Leftarrow$  This is symmetric.  $\square$

LEMMA 3.2. ([7, Lemma 3.3]) *Let  $R$  be a local ring, and let  $A \in M_2(R)$ . Then*

- (1)  $A \in GL_2(R)$ ; or
- (2)  $A^2 \in M_2(J(R))$ ; or
- (3)  $A$  is similar to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$ .

We come now to the demonstration for which this section has been developed.

THEOREM 3.3. *Let  $R$  be a local ring, and let  $A \in M_2(R)$ . Then  $A$  is Hirano polar if and only if*

- (1)  $A \in M_2(R)^{qnil}$ , or  $A = U + W, U \in comm^2(A), U^2 = I_2, W \in M_2(R)^{qnil}$ , or
- (2)  $A$  is similar to  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$ , where  $l_\alpha - r_\beta, l_\beta - r_\alpha$  are injective and  $\alpha \in \pm 1 + J(R), \beta \in J(R)$ .

*Proof.*  $\implies$  In view of Theorem 2.4,  $A$  is pseudopolar. By virtue of [7, Theorem 3.5], we have three cases.

Case 1.  $A \in GL_2(R)$ . Since  $A$  is Hirano polar, there exists  $V^3 = V \in comm^2(A)$  such that  $Z := A + V$  with  $Z \in M_2(R)^{quil}$ . Then  $V = Z - A \in GL_2(R)$ ; hence,  $V^2 = I_2$ . Set  $U = -V$ . Then  $A = U + Z, U \in comm^2(A)$  and  $U^2 = I_2$ .

Case 2.  $A^2 \in M_2(J(R))$ . For any  $X \in comm(A)$ , we see that  $I_2 - A^2X^2 \in GL_2(R)$ , and so  $I_1 - AX \in GL_2(R)$ . This shows that  $A \in M_2(R)^{quil}$ .

Case 3.  $A$  is similar to  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$ , where  $l_\alpha - r_\beta, l_\beta - r_\alpha$  are injective and  $\alpha \in U(R), \beta \in J(R)$ . Since  $A$  is Hirano polar, we easily check that  $B := \begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$  is Hirano polar. Then we can find some  $E^3 = E \in comm^2(B)$  such that  $W = B + E, W \in M_2(R)^{quil}$ . Set  $E = (e_{ij})$ . Then

$$\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix} \begin{pmatrix} e_{11} & e_{12} \\ e_{21} & e_{22} \end{pmatrix} = \begin{pmatrix} e_{11} & e_{12} \\ e_{21} & e_{22} \end{pmatrix} \begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix};$$

hence, we have

$$\alpha e_{12} - e_{12}\beta = 0, \beta e_{21} - e_{21}\alpha = 0.$$

This implies that  $e_{12} = e_{21} = 0$ . Hence,  $E = \begin{pmatrix} e_{11} & 0 \\ 0 & e_{22} \end{pmatrix}$ . Set  $W = (w_{ij})$ . Then  $w_{12} = w_{21} = 0, w_{11}^2, w_{22}^2 \in J(R)$ . Since  $R$  is local,  $w_{11}, w_{22} \in J(R)$ .

Clearly,  $e_{11} \in U(R)$ , we see that  $e_{11}^2 = 1$ , and so  $(e_{11} - 1)(e_{11} + 1) = 0$ . Since every element in  $R$  is invertible or in  $J(R)$ , we have  $e_{11} \in \pm 1 + J(R)$ . Hence,  $\alpha \in \pm 1 + J(R)$ . Also we see that  $e_{22} \in J(R)$  and  $e_{22}^3 = e_{22}$ , and so  $e_{22}(1 - e_{22}^2) = 0$ . Hence  $e_{22} = 0$ ; hence,  $\beta \in J(R)$ , as desired.

$\Leftarrow$  Case 1.  $A \in M_2(R)^{quil}$ . Then  $A + 0 = A$  with  $A \in M_2(R)^{quil}$ .

Case 2.  $A = U + W, U \in comm^2(A), U^2 = I_2, N \in N(M_2(R)), W \in M_2(R)^{quil}$ . Set  $V = -U$ . Then  $A + U = W$  where  $U^3 = U, U \in comm^2(A), W \in M_2(R)^{quil}$ .

Case 3. It will suffice to check  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$  is Hirano polar, where  $l_\alpha - r_\beta, l_\beta - r_\alpha$  are injective and  $\alpha \in \pm 1 + J(R), \beta \in J(R)$ . We observe that

$$\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix} \pm \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} \alpha \pm 1 & 0 \\ 0 & \beta \end{pmatrix}.$$

Let  $X = (x_{ij}) \in comm\left(\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}\right)$ . Then

$$\alpha x_{12} = x_{12}\beta, \beta x_{21} = x_{21}\alpha.$$

As  $l_\alpha - r_\beta, l_\beta - r_\alpha$  are injective, we get  $x_{12} = x_{21} = 0$ . Hence  $X \in comm\left(\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}\right)$ , and so

$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in comm^2\left(\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}\right).$$

Therefore  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$  is Hirano polar, as required.  $\square$

**COROLLARY 3.4.** *Let  $R$  be a cobleached local ring, and let  $A \in M_2(R)$ . Then  $A$  is Hirano polar if and only if*

- (1)  $A \in M_2(R)^{qnil}$ , or  $A = U + W, U \in comm^2(A), U^2 = I_2, W \in M_2(R)^{qnil}$ , or
- (2) There exists  $E^2 = E \in comm(A)$  such that  $A \pm E \in M_2(J(R))$ .

*Proof.*  $\implies$  By Theorem 3.3, we may assume that  $A$  is isomorphic to  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$ , where  $l_\alpha - r_\beta, l_\beta - r_\alpha$  are injective and  $\alpha \in \pm 1 + J(R), \beta \in J(R)$ . As in the proof of Theorem 3.3, we see that

$$\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix} \pm \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in M_2(J(R))$$

with  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in comm^2\left(\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}\right)$ . Clearly,  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in M_2(R)$  is an idempotent, as required.

$\Leftarrow$  We may assume that  $A \pm E \in M_2(J(R))$  with  $E^2 = E \in comm(A)$ . By virtue of [6, Lemma 2.3],  $E \cong 0, I_2$  or  $E$  is similar to  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ .

Clearly,  $0, I_2 \in comm^2(A)$ . We may assume that

$$U^{-1}EU = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}.$$

Hence,

$$U^{-1}AU \pm U^{-1}EU = U^{-1}WU \in M_2(J(R)).$$

Since  $E \in comm(A)$ , we see that

$$U^{-1}AU \in comm\left(\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}\right).$$

Write  $U^{-1}AU = \begin{pmatrix} x & y \\ s & t \end{pmatrix}$ . Then

$$\begin{pmatrix} x & y \\ s & t \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} x & y \\ s & t \end{pmatrix},$$

and so  $y = s = 0$ .

Clearly,

$$\begin{pmatrix} 1+x & 0 \\ 0 & t \end{pmatrix} = \begin{pmatrix} x & y \\ s & t \end{pmatrix} + \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in M_2(J(R)).$$

Then  $1+x, t \in J(R)$ .

For any  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in comm\begin{pmatrix} x & 0 \\ 0 & t \end{pmatrix}$ , we have

$$xb - bt = 0, tc - cx = 0.$$

Since  $R$  is cobleached,  $b = c = 0$ ; hence,

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in comm\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}.$$

Thus,

$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in comm^2\begin{pmatrix} x & y \\ s & t \end{pmatrix},$$

and so  $U^{-1}EU \in comm^2(U^{-1}AU)$ . Therefore  $E \in comm^2(A)$ , as desired.  $\square$

**COROLLARY 3.5.** *Let  $R$  be a commutative local ring, and let  $A \in M_2(R)$ . Then  $A$  is Hirano polar if and only if*

- (1)  $A = N + W$ , or  $A = I_2 + N + W$  where  $N^2 = 0, W \in M_2(J(R))$ , or
- (2) there exists  $E^2 = E \in comm(A)$  such that  $A \pm E \in M_2(J(R))$ .

*Proof.* Since  $R$  is commutative, we obtain the result by Corollary 3.4 and [7, Lemma 3.2].  $\square$

It is convenient at this stage to characterize Hirano polar matrices over a division ring.

**THEOREM 3.6.** *Let  $D$  be a division ring, and let  $A \in M_2(D)$ . Then the following are equivalent:*

- (1)  $A$  is Hirano polar.
- (2)  $A = E - F + N$ , where  $E^2 = E, F^2 = F \in comm^2(A)$  and  $N^2 = 0$ .
- (3)  $A - A^3$  is nilpotent.

*Proof.* (1)  $\Rightarrow$  (2) In light of Theorem 3.3,  $A \in M_2(D)^{qnil}$ , or  $A = U + W, U \in comm^2(A), U^2 = I_2, W \in M_2(D)^{qnil}$ , or  $A$  is similar to  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$ , where  $l_\alpha - r_\beta, l_\beta - r_\alpha$  are injective and  $\alpha \in \pm 1 + J(D), \beta \in J(D)$ .

Let  $X \in M_2(D)^{qnil}$ . Then  $X \notin GL_2(R)$ . Since there exists  $V \in GL_2(D)$  such that  $V^{-1}XV = \begin{pmatrix} x_{11} & x_{12} \\ 0 & x_{22} \end{pmatrix}$ , we may assume that  $x_{22} = 0$ . If  $x_{12} = 0$ , then  $x_{11} = 0$ . If  $x_{12} \neq 0$  and  $x_{11} \neq 0$ , then  $\begin{pmatrix} x_{11}^{-1} & 0 \\ 0 & x_{12}^{-1}x_{11}^{-1}x_{12} \end{pmatrix} \in comm(V^{-1}XV)$ . Hence,

$$I_2 - \begin{pmatrix} x_{11} & x_{12} \\ 0 & 0 \end{pmatrix} \begin{pmatrix} x_{11}^{-1} & 0 \\ 0 & x_{12}^{-1}x_{11}^{-1}x_{12} \end{pmatrix} \in GL_2(D),$$

an absurd. Therefore  $x_{11} = 0$ , and so  $X^2 = 0$ . This implies that  $M_2(D)^{quil} = \{X \in M_2(D) \mid X^2 = 0\}$ , and so we have three cases.

Case 1.  $A \in M_2(D)^{quil}$ . Then  $A^2 = 0$ .

Case 2.  $A = U + W$ ,  $U \in comm^2(A)$ ,  $U^2 = I_2$  and  $W^2 = 0$ . If  $2 \neq 0$ , then  $A = \frac{I_2+U}{2} - \frac{I_2-U}{2} + W$ . One easily checks that

$$\left(\frac{I_2+U}{2}\right)^2 = \frac{I_2+U}{2}, \left(\frac{I_2-U}{2}\right)^2 = \frac{I_2-U}{2}.$$

If  $2 = 0$ , then  $A = I_2 + (U - I_2) + W$ , where  $(U - I_2)^2 = 0$ , and so  $(U - I_2) + W \in M_2(R)$  is nilpotent.

Case 3. As  $J(D) = 0$ , we see that  $\alpha = \pm 1$  and  $\beta = 0$ . Then  $A$  is similar to  $\begin{pmatrix} \pm 1 & 0 \\ 0 & 0 \end{pmatrix}$ . Therefore  $A$  or  $-A$  is an idempotent, as desired.

(2)  $\Rightarrow$  (3) Since  $E, F \in comm^2(A)$ , we see that  $EF = FE$  and  $(E - F)N = N(E - F)$ , and so  $(E - F)^3 = E - F$ . Moreover,  $A - A^3 = (E - F) + N - (E - F)^3 - 3(E - F)^2N = (I_2 - 3(E - F)^2)N \in M_2(R)$  is nilpotent, as desired.

(3)  $\Rightarrow$  (1) Case 1.  $2 \neq 0$ . Then  $2 \in U(D)$ . Let  $B = \frac{A^2+A}{2}, C = \frac{A^2-A}{2}$ . Then  $A = B - C$ . We easily check that

$$B^2 - B = \frac{(A - A^3)(A + 2I_2)}{4}, C^2 - C = \frac{(A - A^3)(A - 2I_2)}{4}.$$

Hence  $B^2 - B, C^2 - C \in N(M_2(R))$ . In light of [15, Lemma 3.5], there exists idempotents  $E, F \in \mathbb{Z}[A]$  such that  $B - E, C - F \in N(M_2(D))$ . Therefore  $A = E - F + (B - E) - (C - F)$ , where  $(E - F)^3 = E - F \in \mathbb{Z}[A] \subseteq comm^2(A), (B - E) - (C - F) \in N(M_2(D))$ .

Case 2.  $2 = 0$ . Since  $A^2 - A^4 \in M_2(D)$  is nilpotent, we can find an idempotent  $E \in \mathbb{Z}[A^2]$  such that  $W := A^2 - E \in M_2(D)$  is nilpotent. Hence,  $A = E + (A - A^2) + W$ . But  $(A - A^2)^2 = A^2 - A^4$ , and so  $A - A^2$  is nilpotent. As  $(A - A^2)W = W(A - A^2)$ , we see that  $(A - A^2) + W \in M_2(R)$  is nilpotent.

Therefore  $A$  is Hirano polar, as asserted.  $\square$

**COROLLARY 3.7.** *Let  $D$  be a division ring, and let  $A \in M_2(D)$ . Then the following are equivalent:*

- (1)  $A$  is Hirano polar.
- (2)  $A$  is the sum of a tripotent and a nilpotent that commute.
- (3)  $A^2 + A^6 = 2A^4$ .

*Proof.* (1)  $\Rightarrow$  (2) This is obvious, as  $M_2(D)^{quil} = \{X \in M_2(D) \mid X^2 = 0\}$ .

(2)  $\Rightarrow$  (3) Write  $A = E + W, E^3 = E \in comm(A)$  and  $W \in N(M_2(D))$ . Then  $A - A^3 \in M_2(D)$  is nilpotent. As  $M_2(D)$  is of bounded index 2, we have  $(A - A^3)^2 = 0$ . Therefore  $A^2 + A^6 = 2A^4$ , as desired.

(3)  $\Rightarrow$  (1) Clearly,  $(A - A^3)^2 = 0$ . This completes the proof, by Theorem 3.6.  $\square$

### 4. Solvability of quadratic equations

We now investigate Hirano polar matrices over a cobleached local ring by means of the solvability of quadratic equations.

**THEOREM 4.1.** *Let  $R$  be a cobleached local ring, and let  $A \in M_2(R)$ . Then  $A$  is Hirano polar if and only if*

- (1)  $A \in M_2(R)^{qmil}$ , or  $A = U + W, U \in comm^2(A), U^2 = I_2, W \in M_2(R)^{qmil}$ , or
- (2)  $A$  is similar to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$ , the equation  $x^2 - x\mu - \lambda = 0$  has a root in  $\pm 1 + J(R)$  and a root in  $J(R)$ .

*Proof.*  $\implies$  As in the proof of Theorem 3.3, we may assume

$$U^{-1} \begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix} U = \begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$$

for some  $U \in GL_2(R)$ . Write  $U^{-1} = \begin{pmatrix} x & y \\ s & t \end{pmatrix}$ . Then we have

$$\begin{aligned} y &= \alpha x; \\ x\lambda + y\mu &= \alpha y; \\ t &= \beta s; \\ s\lambda + \mu &= \beta t. \end{aligned}$$

Thus we see that  $t \in J(R), y, s, x \in U(R)$ .

Let  $\delta = y^{-1}\alpha y$  and  $\gamma = t^{-1}\beta t$ . Then  $\delta \in \pm 1 + J(R), \gamma \in J(R)$ . We easily check that  $\delta^2 - \delta\mu = \lambda$ ; whence,  $\delta^2 - \delta\mu - \lambda = 0$ . Similarly, we have  $\gamma^2 - \gamma\mu = \lambda$ . Therefore the equation  $x^2 - \mu x - \lambda = 0$  has a root  $\delta \in \pm 1 + J(R)$  and a root  $\gamma \in J(R)$ , as desired.

$\Leftarrow$  Suppose that the equation  $x^2 - x\mu - \lambda = 0$  has a root  $\alpha \in \pm 1 + J(R)$  and a root  $\beta \in J(R)$ . Then  $\alpha^2 = \alpha\mu + \lambda; \beta^2 = \beta\mu + \lambda$ . Hence,

$$\begin{pmatrix} 1 & \alpha \\ 1 & \beta \end{pmatrix} \begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix} = \begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix} \begin{pmatrix} 1 & \alpha \\ 1 & \beta \end{pmatrix},$$

where

$$\begin{pmatrix} 1 & \alpha \\ 1 & \beta \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 1 & \beta - \alpha \end{pmatrix} \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} \in GL_2(R).$$

Therefore  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$  is similar to  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$ , where  $\alpha \in \pm 1 + J(R)$  and  $\beta \in J(R)$ . By virtue of Theorem 3.3, we complete the proof.  $\square$

**COROLLARY 4.2.** *Let  $R$  be a commutative local ring, and let  $A \in M_2(R)$ . Then  $A$  is Hirano polar if and only if*

- (1)  $A = N + W$ , or  $A = U + N + W, U \in comm^2(A), U^2 = I_2, N^2 = 0$  and  $W \in M_2(J(R))$ , or
- (2)  $x^2 - tr(A)x + det(A)$  has a root  $\alpha \in \pm 1 + J(R)$  and a root  $\beta \in J(R)$ .

*Proof.*  $\implies$  By virtue of Theorem 4.1, we may assume that  $A$  is isomorphic to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$  and the equation  $x^2 - \mu x - \lambda = 0$  has a root in  $\pm 1 + J(R)$  and a root in  $J(R)$ . Hence  $\lambda = -det(A)$  and  $\mu = tr(A)$ , as desired.

$\Leftarrow$  Case 1.  $A$  is Hirano polar.

Case 2. Since  $det(A) = \alpha\beta \in J(R)$ , we see that  $A \notin GL_2(R)$ . As  $tr(A) = \alpha + \beta \in 1 + J(R)$ , we have  $det(I_2 - A) = 1 - tr(A) + det(A) \in J(R)$ ; hence,  $I_2 - A \notin GL_2(R)$ . In view of [12, Lemma 2.4],  $A$  is similar to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$ .

Thus  $\lambda = -det(A)$  and  $tr(A) = u$ , and so the equation  $x^2 - \mu x - \lambda = 0$  has a root in  $\pm 1 + J(R)$  and a root in  $J(R)$ . Therefore  $A$  is Hirano polar by Theorem 4.1.  $\square$

We note that  $\pm 1 + J(R)$  can not be replaced by  $U(R)$  in the preceding corollary, as the following shows.

EXAMPLE 4.3. Let  $R = \{\frac{f}{g} \mid f, g \in \mathbb{Z}_2[t], g \neq 0\}$ . Then  $R$  is a field with  $J(R) = 0$ . Let  $A = \begin{pmatrix} 1 & 1+t \\ 1 & 1+t \end{pmatrix} \in M_2(R)$ . Then  $det(A) = 0$  and  $tr(A) = t \in U(R)$ . Hence,  $x^2 - tr(A)x + det(A)$  has a root  $tr(A) \in U(R)$  and a root  $0 \in J(R)$ . But  $tr(A) \notin \pm 1 + J(R)$ .

If  $A^2 \in M_2(J(R))$ , then  $A$  is nilpotent, an absurd. If  $A = U + W, U \in comm^2(A), U^2 = I_2, W^2 \in M_2(J(R))$ , then  $(I_2 - A^2)^2 = 0$ . But  $I_2 - A^2 = \begin{pmatrix} 1+t & t+t^2 \\ t & 1+t+t^2 \end{pmatrix}$ , an absurd. Therefore  $A$  is not Hirano polar, by Corollary 4.2.

Let  $R$  be a commutative local ring, and let  $A \in M_2(R)$ . If  $A$  is Hirano polar, it follows from [6, Lemma 4.1] that  $(A - A^3)^2 \in M_2(J(R))$ . But we have

EXAMPLE 4.4. Let  $A = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \in M_2(\mathbb{Z}_{(2)})$ . Then  $A$  is not Hirano polar, but  $(A - A^3)^2 \in M_2(J(R))$ .

*Proof.* Clearly,  $J(\mathbb{Z}_{(2)}) = 2\mathbb{Z}_{(2)}, A^2 = \begin{pmatrix} 7 & 10 \\ 15 & 22 \end{pmatrix}, (I_2 - A^2)^2 = \begin{pmatrix} -6 & -10 \\ -15 & -21 \end{pmatrix}$ . Thus the condition (1) in Corollary 4.2 does not satisfied. Moreover,  $tr(A) = 5$  and  $det(A) = -2$ . Since  $p(x) = x^2 - 5x - 2$  is irreducible in  $\mathbb{Q}[x]$ , we see that  $x^2 - tr(A)x + det(A) = 0$  is no solvable in  $\mathbb{Z}_{(2)}$ , and so the condition (2) is Corollary 4.2 does not satisfied. Therefore  $A$  is not Hirano polar. But  $A - A^3 = \begin{pmatrix} -36 & -52 \\ -78 & -114 \end{pmatrix} \in M_2(J(R))$ , as required.  $\square$

Evidently, Hirano polar matrices over a cobleached local ring  $R$  can be characterized by left roots of a polynomial over  $R$ . But a left root of polynomials in a ring need not be a right root. We now derive

**THEOREM 4.5.** *Let  $R$  be a cobleached local ring, and let  $A \in M_2(R)$ . Then  $A$  is Hirano polar if and only if*

- (1)  $A \in M_2(R)^{qnil}$ , or  $A = U + W, U \in comm^2(A), U^2 = I_2, W \in M_2(R)^{qnil}$ , or
- (2)  $A$  is similar to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$ , the equation  $x^2 - \mu x - \lambda = 0$  has a root in  $\pm 1 + J(R)$  and a root in  $J(R)$ .

*Proof.*  $\implies$  In view of Lemma 3.2, we have three cases. Case 1.  $A = U + W$  where  $U^2 = I_2, U \in comm^2(A), W^2 \in M_2(J(R))$ . Case 2.  $A^2 \in M_2(J(R))$ . Case 3,  $A$  is similar to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$ . It suffices to consider Case 3. In view of Theorem 3.3, there exists  $U \in GL_2(R)$  such that

$$U^{-1} \begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix} U = \begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix},$$

where  $\alpha \in \pm 1 + J(R), \beta \in J(R)$ . Let  $\delta = s\alpha s^{-1}$  and  $\gamma = t\beta t^{-1}$ . Then  $\delta \in \pm 1 + J(R), \gamma \in J(R)$ . We easily check that  $\delta^2 - \mu\delta = \lambda$  hence,  $\delta^2 - \mu\delta - \lambda = 0$ . Likewise,  $\gamma^2 - \mu\gamma - \lambda = 0$ . Therefore the equation  $x^2 - \mu x - \lambda = 0$  has a root  $\delta \in \pm 1 + J(R)$  and a root  $\gamma \in J(R)$ , as desired.

$\Leftarrow$  Suppose that the equation  $x^2 - \mu x - \lambda = 0$  has a root  $\alpha \in \pm 1 + J(R)$  and a root  $\beta \in J(R)$ . As in the proof of Theorem 4.1, we prove that  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$  is similar to  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$ , where  $\alpha \in \pm 1 + J(R)$  and a root  $\beta \in J(R)$ . In light of Theorem 3.3, we complete the proof.  $\square$

With this information we can now extend the main results in [5] to a general local ring which may be not commutative (see [5, Theorem 4.9]).

**COROLLARY 4.6.** *Let  $R$  be a cobleached local ring, and let  $A \in M_2(R)$ . Then  $A$  is  $J$ -quasipolar if and only if*

- (1)  $A \in M_2(J(R))$ , or  $I_2 + A \in M_2(J(R))$ , or
- (2)  $A$  is similar to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$ , the equation  $x^2 - x\mu - \lambda = 0$  has a root in  $-1 + J(R)$  and a root in  $J(R)$ .

*Proof.*  $\implies$  By hypothesis, there exists  $E^2 = E \in comm^2(A)$  such that  $A + E \in M_2(J(R))$ . In view of Example 2.1,  $A$  is Hirano polar. By virtue of Theorem 4.5, we have three cases.

Case I.  $A \in M_2(R)^{qnil}$ . Then  $(A + I_2) - (I_2 - E) \in M_2(J(R))$ , and so  $I_2 - E = I_2$ . Hence  $E = 0$ , and so  $A \in M_2(J(R))$ .

Case II.  $A = U + W, U \in comm^2(A), U^2 = I_2, W \in M_2(R)^{qnil}$ . Then  $A \in GL_2(R)$ , and so  $E = I_2$ . This shows that  $I_2 + A \in M_2(J(R))$ .

Case III.  $A$  is similar to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$ , the equation  $x^2 - x\mu - \lambda = 0$  has a root in  $\pm 1 + J(R)$  and a root in  $J(R)$ . If  $x^2 - x\mu - \lambda = 0$  has a root in  $\alpha \in 1 + J(R)$  and a root in  $\beta \in J(R)$ . As in the proof of Theorem 3.3, we see that  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$  is  $J$ -quasipolar. Hence,  $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix} + \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \in M_2(J(R))$ , and so  $2 \in J(R)$ . This implies that  $\alpha \in -1 + J(R)$ , as desired.

$\Leftarrow$  If  $A \in M_2(J(R))$ , or  $I_2 + A \in M_2(J(R))$ , then  $A$  is  $J$ -quasipolar. Suppose that  $A$  is similar to  $\begin{pmatrix} 0 & \lambda \\ 1 & \mu \end{pmatrix}$ , where  $\lambda \in J(R), \mu \in U(R)$ , the equation  $x^2 - x\mu - \lambda = 0$  has a root in  $-1 + J(R)$  and a root in  $J(R)$ . Analogously to Theorem 3.3, we check that  $A$  is  $J$ -quasipolar, as asserted.  $\square$

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