ON DIAZ-METCALF AND KLAMKIN-MCLENAGHAN TYPE OPERATOR INEQUALITIES

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Abstract. In this note, a result of M. S. Moslehian, R. Nakamoto and Y. Seo [Electron. J. Linear Algebra, 22 (2011) 179–190] on Diaz-Metcalf and Klamkin-McLenaghan type inequalities for positive definite operators is extended to operators having accretive transforms.

1. Introduction and summary

Throughout for a complex Hilbert space $(H, \langle \cdot, \cdot \rangle)$, we denote by $\mathbb{B}(H)$ the algebra of all bounded linear operators on H with the identity operator I on H.

For positive definite operators A and B on H, the *geometric mean* of A and B is defined by

$$A \sharp B = A^{1/2} (A^{-1/2} B A^{-1/2})^{1/2} A^{1/2}$$
(1)

(see [7]).

Recently, M. S. Moslehian, R. Nakamoto and Y. Seo [10, Theorem 2.1, part (i)] proved, among other results, the following:

Let *H* and *K* be complex Hilbert spaces. Let $A, B \in \mathbb{B}(H)$ be positive definite operators and $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ be a positive linear map. If

$$m^2 A \leq B \leq M^2 A$$
 for some positive real numbers $m < M$, (2)

then the following inequalities hold:

(i) operator Diaz-Metcalf (D-M) type inequality:

$$Mm\Phi(A) + \Phi(B) \leqslant (M+m)\Phi(A\sharp B), \tag{3}$$

(ii) operator Klamkin-McLenaghan (K-L) type inequality:

$$\Phi(A \sharp B)^{-1/2} \Phi(B) \Phi(A \sharp B)^{-1/2} - \Phi(A \sharp B)^{1/2} \Phi(A)^{-1} \Phi(A \sharp B)^{1/2} \qquad (4)$$

$$\leqslant (\sqrt{M} - \sqrt{m})^2 I.$$

(Here and in the sequel, $\Phi(C)^p$ means $(\Phi(C))^p$ for an operator C and exponent p.)

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Also, M. S. Moslehian, R. Nakamoto and Y. Seo [10, Theorem 2.1, part (ii)] showed that if

$$m_1^2 I \leqslant A \leqslant M_1^2 I$$
 and $m_2^2 I \leqslant B \leqslant M_2^2 I$

for some positive numbers $m_1 < M_1$ and $m_2 < M_2$, then the following inequalities hold

(iii) operator Diaz-Metcalf (D-M) type inequality:

$$\frac{M_2 m_2}{M_1 m_1} \Phi(A) + \Phi(B) \leqslant \left(\frac{M_2}{m_1} + \frac{m_2}{M_1}\right) \Phi(A \sharp B), \tag{5}$$

(iv) operator Shisha-Mond (S-M) type inequality:

$$\Phi(A\sharp B)^{-1/2} \Phi(B) \Phi(A\sharp B)^{-1/2} - \Phi(A\sharp B)^{1/2} \Phi(A)^{-1} \Phi(A\sharp B)^{1/2} \qquad (6)$$

$$\leqslant \left(\sqrt{\frac{M_2}{m_1}} - \sqrt{\frac{m_2}{M_1}}\right)^2 I.$$

In light of (1) and (2), the positive operator $Z = (A^{-1/2}BA^{-1/2})^{1/2}$ with $mI \leq Z \leq MI$ for positive scalars m, M, plays a key role in inequalities (3)–(4).

In this paper, our purpose is to extend (3)-(4) by using some other Z's.

In Section 2, we generalize (3)–(4) by employing an operator $Z \in \mathbb{B}(H)$ such that $Z^*Z = A^{-1/2}BA^{-1/2}$ and

$$\operatorname{Re}\left(Z-mI\right)^{*}\left(MI-Z\right) \ge 0 \tag{7}$$

for some complex scalars $m, M \in \mathbb{C}$. The condition (7) says that the transform $(Z - mI)^*(MI - Z)$ of Z is *accretive* [4, 5]. (See [2, 3, 4, 5, 6, 9, 10, 11, 12, 13, 14] for applications of (7) in deriving inequalities.) In Theorem 2.1 we show that the accretivity is equivalent to an operator D-M type inequality and to a preliminary version of K-L inequality. In our approach we use complex scalars *m* and *M* and arbitrary (not necessarily Hermitian) operators *Z*. A special case of Theorem 2.1 for positive scalars *m* and *M* is demonstrated in Corollary 2.2.

In Section 3, we present further generalizations of (3)–(4). Here we replace the map $A^{-1/2}(\cdot)A^{-1/2}$ by an arbitrary invertible strictly positive map Ψ having strictly positive inverse Ψ^{-1} . Moreover, in place of (7) we apply the condition

$$\operatorname{Re}(Z - mW)^*(MW - Z) \ge 0 \tag{8}$$

with $\Psi(A) = W^*W$ and $\Psi(B) = Z^*Z$ (see Theorem 3.1).

Finally, in Corollary 3.3 we give a specialization of Theorem 3.1 for positive scalars m and M.

2. Accretive operators and D-M and K-L type inequalities

For operators $X, Y \in \mathbb{B}(H)$, we write $Y \leq X$ (resp., Y < X) if X - Y is positive semidefinite (resp., positive definite).

An operator $C \in \mathbb{B}(H)$ is said to be *accretive* (resp., *strictly accretive*) if $\operatorname{Re}(C) \ge 0$ (resp., $\operatorname{Re}(C) > 0$), where the symbol $\operatorname{Re}(C)$ stands for $\frac{1}{2}(C+C^*)$, and $C^* \in \mathbb{B}(H)$ is the adjoint of *C* in the sense that $\langle Cx, y \rangle = \langle x, C^*y \rangle$ for all $x, y \in H$ [4, p. 2753].

For an operator $Z \in \mathbb{B}(H)$ and scalars $m, M \in \mathbb{C}$, we denote

$$C_{m,M}(Z) = (Z - mI)^*(MI - Z)$$
(9)

(see [4, p. 2752]). It follows that

 $C_{m,M}(Z)$ is accretive iff $\operatorname{Re}\langle h, (Z-mI)^*(MI-Z)h\rangle \ge 0$ for all $h \in H$ (10)

(see [11, 12]). Hereafter $\operatorname{Re} z = \frac{1}{2}(z+\overline{z})$ stands for the realis of a complex number *z*. For scalars $m, M \in \mathbb{C}$ such that $\operatorname{Re}(M+m) \neq 0$, we define

$$\alpha_{m,M} = \frac{\overline{M+m}}{|\operatorname{Re}(M+m)|}.$$
(11)

For positive definite operators $A, B \in \mathbb{B}(H)$ and an operator $Z \in \mathbb{B}(H)$ satisfying

$$Z^*Z = A^{-1/2}BA^{-1/2}, (12)$$

we denote

$$A \sharp_Z B = A^{1/2} Z A^{1/2} \tag{13}$$

(see [14, p. 3]).

We now discuss properties of the binary operation \sharp_Z . It is easily seen that $A \sharp_Z B = A \sharp B$ whenever Z > 0. Thus \sharp_Z reduces to the geometric mean \sharp for positive definite Z.

It is evident that $A \sharp_Z B$ strongly depends on Z satisfying (12). For instance, observe that (12) is fulfilled for $Z = U(A^{-1/2}BA^{-1/2})^{1/2}$ with unitary $U \in \mathbb{B}(H)$. In consequence,

$$A \sharp_Z B = A^{1/2} U (A^{-1/2} B A^{-1/2})^{1/2} A^{1/2}.$$
 (14)

Clearly, if A and U commute then $A \sharp_Z B = U A \sharp B$.

If B = A or $B = A^{-1}$ then (14) gives $A \sharp_Z A = A^{1/2} U A^{1/2}$ and $A \sharp_Z A^{-1} = A^{1/2} U A^{-1/2}$, respectively.

So, in general, $A \sharp_Z B$ is not positive definite for A, B > 0. Therefore $A \sharp_Z B$ is not a "mean" in the usual meaning.

It is known that

$$A \sharp B \leqslant \frac{M+m}{2\sqrt{Mm}} \operatorname{Re}\left(A \sharp_Z B\right)$$

whenever $0 < m \leq M$ and $C_{m,M}(Z)$ is accretive (see [14, Theorem 2.1]).

We return to definitions. For $\alpha \in \mathbb{C}$, we introduce

$$G_{\alpha,Z}(A,B) = \operatorname{Re}\left(\alpha A \sharp_Z B\right). \tag{15}$$

It follows that $G_{\alpha_{m,M},Z}(A,B) = A \# B$ whenever Z > 0 with (12) and M + m is positive.

Let *H* and *K* be complex Hilbert spaces. A linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ is said to be *positive* if $0 \leq \Phi(X)$ whenever $0 \leq X \in \mathbb{B}(H)$. If in addition $0 < \Phi(X)$ for $0 < X \in \mathbb{B}(H)$, then Φ is said to be *strictly positive*.

In the forthcoming theorem we show the equivalency between the accretivity of the transform $C_{m,M}(Z)$ satisfying (12) and inequalities of Diaz-Metcalf and pre-Klamkin-McLenaghan's type. This theorem is motivated by [6, Theorem 2], [10, Theorem 2.1], [12, Theorem 1.1, Proposition 2.1] and [14, Theorem 2.1].

THEOREM 2.1. Let *H* be a complex Hilbert space. Let $A, B \in \mathbb{B}(H)$ be positive definite operators and $m, M \in \mathbb{C}$ be scalars with $\operatorname{Re}(\overline{m}M) > 0$ and $\operatorname{Re}(M+m) \neq 0$. Let $Z \in \mathbb{B}(H)$ satisfy $Z^*Z = A^{-1/2}BA^{-1/2}$.

The following three statements (i)–(iii) *are equivalent:*

- (i) The operator $C_{m,M}(Z)$ is accretive.
- (ii) For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space *K*, the following Diaz-Metcalf type inequality holds

$$\operatorname{Re}(\overline{m}M)\Phi(A) + \Phi(B) \leqslant \Phi\left(\operatorname{Re}(\overline{M+m}A\sharp_{Z}B)\right).$$
(16)

(iii) For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space *K*, and for the operator

$$G = \operatorname{Re}\left(\alpha_{m,M}A \sharp_{Z}B\right) \quad \text{with} \quad \alpha_{m,M} = \frac{\overline{M+m}}{|\operatorname{Re}\left(M+m\right)|}, \quad (17)$$

the operator $\Phi(G) \in \mathbb{B}(K)$ is positive definite, and the following pre-Klamkin-McLenaghan type inequality holds

$$\Phi(G)^{-1/2}\Phi(B)\Phi(G)^{-1/2} - \Phi(G)^{1/2}\Phi(A)^{-1}\Phi(G)^{1/2}$$
(18)
$$\leq \left(|\operatorname{Re}(M+m)| - 2\sqrt{\operatorname{Re}(\overline{m}M)} \right) I - \left(\sqrt{\operatorname{Re}(\overline{m}M)}X^{1/2} - X^{-1/2}\right)^2,$$

where $X = \Phi(G)^{-1/2} \Phi(A) \Phi(G)^{-1/2}$.

In consequence, each of the equivalent statements (i)–(iii) implies the following Klamkin-McLenaghan type inequality.

(iv) For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space K,

$$\Phi(G)^{-1/2}\Phi(B)\Phi(G)^{-1/2} - \Phi(G)^{1/2}\Phi(A)^{-1}\Phi(G)^{1/2}$$

$$\leq \left(|\operatorname{Re}(M+m)| - 2\sqrt{\operatorname{Re}(\overline{m}M)} \right) I,$$
(19)

where G is defined by (17).

Proof. (i) \Rightarrow (ii). In a similar manner as in the proof of [14, Theorem 2.1], one can get the following Diaz-Metcalf type inequality

$$\operatorname{Re}(\overline{m}M)A + B \leqslant \operatorname{Re}(\overline{M + m}A\sharp_{Z}B).$$
(20)

Now, it is obvious that (20) gives (16), as required.

(ii) \Rightarrow (iii). Applying (16) for K = H and Φ = the identity on H, we obtain (20). By pre- and post-multiplying both sides of inequality (20) by $A^{-1/2}$, we get

$$\operatorname{Re}(\overline{m}M)I + Z^*Z \leqslant \operatorname{Re}(\overline{M+m}Z).$$
(21)

However $\operatorname{Re}(\overline{m}M) > 0$, so we may easily deduce

$$0 < \operatorname{Re}\left(\overline{m}M\right)I + Z^*Z.$$

This and (21) directly imply that the operator $\overline{M+mZ}$ is strictly accretive, i.e.,

$$0 < \operatorname{Re}(\overline{M+mZ}). \tag{22}$$

Fix any complex Hilbert space *K* and any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$. From (22) we get

$$0 < \Phi(A^{1/2}\operatorname{Re}(\overline{M+mZ})A^{1/2}) = \Phi(\operatorname{Re}(\overline{M+mA^{1/2}ZA^{1/2}})).$$

Thus we have

$$0 < \Phi(\operatorname{Re}(\overline{M+m}A\sharp_{Z}B)) = |\operatorname{Re}(M+m)|\Phi(G).$$
(23)

Because $0 < |\operatorname{Re}(M+m)|$, we obtain

$$0 < \Phi(G), \tag{24}$$

completing proof of the first part of (iii).

It follows from (16) and (23) that

$$\Phi(B) \leq |\operatorname{Re}(M+m)|\Phi(G) - \operatorname{Re}(\overline{m}M)\Phi(A).$$
(25)

By pre- and post-multiplying both sides of the inequality (25) by $\Phi(G)^{-1/2}$, we get

$$\Phi(G)^{-1/2} \Phi(B) \Phi(G)^{-1/2}$$

$$\leq |\text{Re}(M+m)| I - \text{Re}(\overline{m}M) \Phi(G)^{-1/2} \Phi(A) \Phi(G)^{-1/2}.$$
(26)

Denoting

$$L = \Phi(G)^{-1/2} \Phi(B) \Phi(G)^{-1/2} - \Phi(G)^{1/2} \Phi(A)^{-1} \Phi(G)^{1/2},$$
(27)

we may rewrite (26) in the form

$$L \leq |\operatorname{Re}(M+m)|I - \operatorname{Re}(\overline{m}M)X - X^{-1},$$
(28)

where $X = \Phi(G)^{-1/2} \Phi(A) \Phi(G)^{-1/2}$.

We find that

$$\operatorname{Re}(\overline{m}M)X + X^{-1} = \left(\sqrt{\operatorname{Re}(\overline{m}M)}X^{1/2} - X^{-1/2}\right)^2 + 2\sqrt{\operatorname{Re}(\overline{m}M)}I.$$

Combining this and (28) gives

$$L \leq \left(|\operatorname{Re}(M+m)| - 2\sqrt{\operatorname{Re}(\overline{m}M)} \right) I - \left(\sqrt{\operatorname{Re}(\overline{m}M)}X^{1/2} - X^{-1/2}\right)^2.$$
(29)

By making use of (27) and (29) we obtain (18).

This completes the proof of the implication (ii) \Rightarrow (iii).

As the proof of implications (i) \Rightarrow (ii) \Rightarrow (iii) can be easily reversed, we obtain the validity of implications (iii) \Rightarrow (i) \Rightarrow (i).

The implication (iii) \Rightarrow (iv) is obvious. \Box

The next result is a direct consequence of Theorem 2.1 for positive scalars m and M.

COROLLARY 2.2. Under the hypotheses of Theorem 2.1, if in addition m and M are positive then the following three statements (i')–(iii') are equivalent:

- (i') The operator $C_{m,M}(Z)$ is accretive.
- (ii') For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space *K*, the following Diaz-Metcalf type inequality holds

$$mM\Phi(A) + \Phi(B) \leq (M+m)\Phi(\operatorname{Re}(A\sharp_{Z}B)).$$
(30)

(iii') For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space K, the operator $\Phi(G) \in \mathbb{B}(K)$ is positive definite, and the following pre-Klamkin-McLenaghan type inequality holds

$$\Phi(G)^{-1/2}\Phi(B)\Phi(G)^{-1/2} - \Phi(G)^{1/2}\Phi(A)^{-1}\Phi(G)^{1/2}$$

$$\leq \left(\sqrt{M} - \sqrt{m}\right)^2 I - \left(\sqrt{mM}X^{1/2} - X^{-1/2}\right)^2,$$
(31)

where $G = \text{Re}(A \sharp_Z B)$ and $X = \Phi(G)^{-1/2} \Phi(A) \Phi(G)^{-1/2}$.

In consequence, each of the equivalent statements (i')–(iii') implies the following Klamkin-McLenaghan type inequality.

(iv') For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space K,

$$\Phi(G)^{-1/2}\Phi(B)\Phi(G)^{-1/2} - \Phi(G)^{1/2}\Phi(A)^{-1}\Phi(G)^{1/2}$$

$$\leq \left(\sqrt{M} - \sqrt{m}\right)^2 I,$$
(32)

where $G = \operatorname{Re}(A \sharp_Z B)$.

3. Further generalizations of D-M and K-L inequalities

For operators $Z, W \in \mathbb{B}(H)$ and scalars $m, M \in \mathbb{C}$, we denote

$$C_{m,M}(Z,W) = (Z - mW)^*(MW - Z).$$
(33)

THEOREM 3.1. Let *H* be a complex Hilbert space. Let $A, B \in \mathbb{B}(H)$ be positive definite operators and $m, M \in \mathbb{C}$ be scalars with $\operatorname{Re}(\overline{m}M) > 0$ and $\operatorname{Re}(M+m) \neq 0$. Assume $\Psi : \mathbb{B}(H) \to \mathbb{B}(H)$ is an invertible linear operator such that Ψ and Ψ^{-1} are strictly positive linear maps.

If $W, Z \in \mathbb{B}(H)$ are linear operators satisfying $\Psi(A) = W^*W$ and $\Psi(B) = Z^*Z$, then the following three statements (i)–(iii) are equivalent:

- (i) The operator $C_{m,M}(Z,W)$ is accretive.
- (ii) For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space *K*, the following Diaz-Metcalf type inequality holds

$$\operatorname{Re}\left(\overline{m}M\right)\Phi(A) + \Phi(B) \leqslant \Phi\left(\Psi^{-1}\left(\operatorname{Re}\left(\overline{M+m}W^*Z\right)\right)\right).$$
(34)

(iii) For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space *K*, and for the operator

$$G = \Psi^{-1} \operatorname{Re}\left(\alpha_{m,M} W^* Z\right) \quad \text{with} \quad \alpha_{m,M} = \frac{\overline{M+m}}{|\operatorname{Re}\left(M+m\right)|} , \quad (35)$$

the operator $\Phi(G) \in \mathbb{B}(K)$ is positive definite, and the following pre-Klamkin-McLenaghan type inequality holds

$$\Phi(G)^{-1/2}\Phi(B)\Phi(G)^{-1/2} - \Phi(G)^{1/2}\Phi(A)^{-1}\Phi(G)^{1/2}$$

$$\leq \left(|\operatorname{Re}(M+m)| - 2\sqrt{\operatorname{Re}(\overline{m}M)} \right) I - \left(\sqrt{\operatorname{Re}(\overline{m}M)}X^{1/2} - X^{-1/2}\right)^2,$$
(36)

where $X = \Phi(G)^{-1/2} \Phi(A) \Phi(G)^{-1/2}$.

In consequence, each of the equivalent statements (i)–(iii) implies the following Klamkin-McLenaghan type inequality.

(iv) For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space K,

$$\Phi(G)^{-1/2} \Phi(B) \Phi(G)^{-1/2} - \Phi(G)^{1/2} \Phi(A)^{-1} \Phi(G)^{1/2}$$

$$\leq \left(|\operatorname{Re}(M+m)| - 2\sqrt{\operatorname{Re}(\overline{m}M)} \right) I,$$
(37)

where G is defined by (35).

Proof. Equivalences (i) \Leftrightarrow (ii) \Leftrightarrow (iii) can be easily proved by an analogous method as in the proof of Theorem 2.1. \Box

REMARK 3.2. The case of Theorem 3.1 for $\Psi = A^{-1/2}(\cdot)A^{-1/2}$ and W = I (the identity operator on *H*) leads to Theorem 2.1. In this context, inequalities (34), (36) and (37) reduce to (16), (18) and (19), respectively, because $\Psi^{-1} = A^{1/2}(\cdot)A^{1/2}$ and

$$G = \Psi^{-1} \operatorname{Re}\left(\alpha_{m,M} W^* Z\right) = A^{1/2} \operatorname{Re}\left(\alpha_{m,M} Z\right) A^{1/2} = \operatorname{Re}\left(\alpha_{m,M} A \sharp_Z B\right).$$

By making use of Theorem 3.1 for positive scalars m and M we obtain

COROLLARY 3.3. Under the hypotheses of Theorem 3.1, if in addition m and M are positive then the following three statements (i')–(iii') are equivalent:

- (i') The operator $C_{m,M}(Z,W)$ is accretive.
- (ii') For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space K, the following Diaz-Metcalf type inequality holds

$$mM\Phi(A) + \Phi(B) \leqslant (M+m)\Phi\left(\Psi^{-1}\operatorname{Re}\left(W^*Z\right)\right).$$
(38)

(iii') For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space K, the operator $\Phi(G) \in \mathbb{B}(K)$ is positive definite, and the following pre-Klamkin-McLenaghan type inequality holds

$$\Phi(G)^{-1/2}\Phi(B)\Phi(G)^{-1/2} - \Phi(G)^{1/2}\Phi(A)^{-1}\Phi(G)^{1/2}$$

$$\leq \left(\sqrt{M} - \sqrt{m}\right)^2 I - \left(\sqrt{mM}X^{1/2} - X^{-1/2}\right)^2,$$
(39)

where $G = \Psi^{-1} \text{Re}(W^*Z)$ and $X = \Phi(G)^{-1/2} \Phi(A) \Phi(G)^{-1/2}$.

In consequence, each of the equivalent statements (i')-(iii') implies the following Klamkin-McLenaghan type inequality.

(iv') For any strictly positive linear map $\Phi : \mathbb{B}(H) \to \mathbb{B}(K)$ with a complex Hilbert space K,

$$\Phi(G)^{-1/2}\Phi(B)\Phi(G)^{-1/2} - \Phi(G)^{1/2}\Phi(A)^{-1}\Phi(G)^{1/2}$$

$$\leq \left(\sqrt{M} - \sqrt{m}\right)^2 I,$$
(40)

where $G = \Psi^{-1} \operatorname{Re}(W^*Z)$.

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