

OZAKI'S INEQUALITY AND UMEZAWA'S CONDITION FOR MULTIVALENT FUNCTIONS

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Abstract. Let $f(z)$ be analytic in $|z| < R$, continuous on $|z| = R$ and $f'(z) \neq 0$ on $|z| = R$. Then holds Ozaki's inequality that the total variation of $\arg\{f(z)\}$ on $|z| = R$ is not more than the total variation of $\arg\{df(z)\}$ on $|z| = R$. Here we consider also Umezawa's condition that

$$-\frac{\alpha}{2\alpha-3} < 1 + \Re e \frac{zf''(z)}{f'(z)} < \alpha \quad |z| < 1$$

follows the univalence of $f(z)$ in $|z| < 1$. In this paper we extended these results for multivalent functions.

1. Introduction

Let $\mathcal{A}(p)$ be the class of functions of the form :

$$f(z) = z^p + \sum_{n=p+1}^{\infty} a_n z^n, \quad (p \in \mathbb{N} = \{1, 2, \dots\}). \quad (1.1)$$

which are analytic in the unit disk $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$. A function $f(z)$ which is analytic in a domain $D \in \mathbb{C}$ is called p -valent in D if for every complex number w , the equation $f(z) = w$ have at most p roots in D and there will be a complex number w_0 such that the equation $f(z) = w_0$, has exactly p roots in D . A function $f(z)$ is called univalent in D if it is 1-valent in D . Recall that the well known Noshiro-Warschawski univalence condition, (see [3] and [16]) says that if $f(z)$ is analytic in a convex domain $D \subset \mathbb{C}$ and

$$\Re e \{e^{i\theta} f'(z)\} > 0 \quad (z \in D), \quad (1.2)$$

for some real θ , then $f(z)$ is univalent in D . In [11] S. Ozaki extended the above result by showing that if $f(z)$ of the form (1.1) is analytic in a convex domain D and for some real θ we have

$$\Re e \{e^{i\theta} f^{(p)}(z)\} > 0 \quad (z \in D),$$

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then $f(z)$ is at most p -valent in D . Applying Ozaki's theorem for $D = \mathbb{D}$, we find that if $f(z) \in \mathcal{A}(p)$ and

$$\Re\{e^{i\theta} f^{(p)}(z)\} > 0 \quad (z \in \mathbb{D}), \tag{1.3}$$

then $f(z)$ is at most p -valent in \mathbb{D} . In [5] it was proved that if $f(z) \in \mathcal{A}(p)$, $p \geq 2$, and

$$|\arg\{f^{(p)}(z)\}| < \frac{3\pi}{4} \quad (z \in \mathbb{D}), \tag{1.4}$$

then $f(z)$ is at most p -valent in \mathbb{D} .

Umezawa [14] proved the following theorem.

THEOREM 1.1. *Let $f(z) \in \mathcal{A}(1)$ be analytic in \mathbb{D} . If $f(z)$ satisfies the following condition*

$$-\frac{\alpha}{2\alpha-3} < 1 + \Re\frac{zf''(z)}{f'(z)} < \alpha, \quad (z \in \mathbb{D}), \tag{1.5}$$

where α is an arbitrary real number not less than $3/2$, then $f(z)$ is univalent in \mathbb{D} . Moreover, $f(z)$ maps $|z| = r$ for every r , $0 < r < 1$ into a curve which is convex in one direction and

$$|a_n| \leq n \text{ for all } n \in \mathbb{N}. \tag{1.6}$$

Now then, let us define the functions convex of order p in one direction as the following:

Let $f(z)$ be analytic in \mathbb{D} , $f'(z) \neq 0$ on $|z| = 1$ and $f(z)$ is continuous on $|z| = 1$. Let C be the image curve of $|z| = 1$. If every straight-line parallel to a direction cuts C in not more than $2p$ points and there exists at least such straight-line which cuts C in $2p$ points. Then we call that $f(z)$ is convex of order p in one direction.

Putting $\alpha = \infty$, $\alpha = 3/2$, $\alpha = 2$ and $\alpha = 3$, Umezawa [14] obtained the following theorem.

THEOREM 1.2. *Let $f(z) \in \mathcal{A}(1)$. If $f(z)$ satisfies in \mathbb{D} one of the following conditions*

$$\begin{aligned} (i) \quad & 1 + \Re\frac{zf''(z)}{f'(z)} > -\frac{1}{2}, & (ii) \quad & 1 + \Re\frac{zf''(z)}{f'(z)} < \frac{3}{2}, \\ (iii) \quad & \left| 1 + \Re\frac{zf''(z)}{f'(z)} \right| < 2, & (iv) \quad & \left| \Re\frac{zf''(z)}{f'(z)} \right| < 2, \end{aligned}$$

then $f(z)$ is univalent in \mathbb{D} .

Theorem 1.2 was initially obtained by Ozaki [11]. Umezawa in [15] proved that

$$\left| \frac{f''(z)}{f'(z)} \right| \leq \sqrt{6} \quad (|z| \leq 1), \tag{1.7}$$

implies the univalence of $f(z)$ in $|z| \leq 1$. Notice also here that in [12] Ozaki proved that if $f(z) = z + a_2z^2 + a_3z^3 + \dots$ is analytic in \mathbb{D} , with $f(z)f'(z)/z \neq 0$ there, and if either (i) or (ii) holds throughout \mathbb{D} , then f is univalent and convex in at least one

direction in \mathbb{D} . It has been generalized in [10], [13]. The number $\sqrt{6}$ in (1.7), was improved to 3.05... in [1]. In [7] it was also proved that the condition

$$\left| 1 + \frac{zf''(z)}{f'(z)} \right| < \sqrt{7} \quad (z \in \mathbb{D}),$$

implies the univalence of $f \in \mathcal{A}(1)$. Notice that the condition

$$\left| \frac{f''(z)}{f'(z)} \right| \leq 2, \quad (z \in \mathbb{D}),$$

implies that $f(z)$ is starlike in \mathbb{D} , [2, Th.4]. In [11] Ozaki proved also the following fundamental inequality.

LEMMA 1.3. *Let $f(z)$ be analytic in $|z| < R$, continuous on $|z| = R$ and $f'(z) \neq 0$ on $|z| = R$. Then the total variation of $\arg\{f(z)\}$ on $|z| = R$ is not more than the total variation of $\arg\{df(z)\}$ on $|z| = R$, namely*

$$\int_{|z|=R} |\mathrm{d}\arg\{f(z)\}| \leq \int_{|z|=R} |\mathrm{d}\arg\{df(z)\}| \tag{1.8}$$

or by a modification of the above inequality, we have

$$\int_0^{2\pi} \left| \Re e \frac{zf'(z)}{f(z)} \right| \mathrm{d}\theta \leq \int_0^{2\pi} \left| 1 + \Re e \frac{zf''(z)}{f'(z)} \right| \mathrm{d}\theta, \tag{1.9}$$

where $z = Re^{i\theta}$ and $0 \leq \theta \leq 2\pi$.

Applying Lemma 1.3, we have the following inequalities.

LEMMA 1.4. *Let $f(z) \in \mathcal{A}(p)$, $f^{(k)}(z)$ continuous on $|z| = R \leq 1$ and $f^{(k)}(z) \neq 0$ on $|z| = R$ for $k = 0, 1, 2, \dots, p$. Then we have*

$$\begin{aligned} & \int_{|z|=R} |\mathrm{d}\arg\{f(z)\}| \leq \int_{|z|=R} |\mathrm{d}\arg\{df(z)\}| \\ & \leq \int_{|z|=R} |\mathrm{d}\arg\{d^2f(z)\}| \leq \int_{|z|=R} |\mathrm{d}\arg\{d^3f(z)\}| \\ & \leq \dots \\ & \leq \int_{|z|=R} |\mathrm{d}\arg\{d^{p-1}f(z)\}| \leq \int_{|z|=R} |\mathrm{d}\arg\{d^p f(z)\}|. \end{aligned} \tag{1.10}$$

Equality in (1.10) holds for $f(z) = z^p$.

Proof. Applying Lemma 1.3, we have

$$\begin{aligned}
 \int_{|z|=R} |\operatorname{darg}\{f(z)\}| &= \int_{|z|=R} \left| \frac{\operatorname{darg}\{f(z)\}}{d\theta} \right| d\theta = \int_{|z|=R} \left| \Re e \frac{zf'(z)}{f(z)} \right| d\theta \\
 &\leq \int_{|z|=R} |\operatorname{darg}\{df(z)\}| = \int_{|z|=R} \left| \operatorname{darg} \left\{ \left(\frac{df(z)}{dz} \right) dz \right\} \right| \\
 &= \int_{|z|=R} |\operatorname{darg}\{f'(z)\} + \operatorname{darg}\{dz\}| = \int_{|z|=R} \left| \frac{\operatorname{darg}\{f'(z)\}}{d\theta} + \frac{\operatorname{darg}\{dz\}}{d\theta} \right| d\theta \\
 &= \int_{|z|=R} \left| 1 + \Re e \frac{zf''(z)}{f'(z)} \right| d\theta = \int_{|z|=R} |\operatorname{darg}\{d(df(z))\}| \\
 &= \int_{|z|=R} |\operatorname{darg}\{d^2f(z)\}| \leq \int_{|z|=R} |\operatorname{darg}\{d(d^2f(z))\}| \\
 &= \int_{|z|=R} |\operatorname{darg}\{d^3f(z)\}| \leq \int_{|z|=R} |\operatorname{darg}\{d(d^3f(z))\}| \\
 &\leq \dots \\
 &\leq \int_{|z|=R} |\operatorname{darg}\{d^{p-1}f(z)\}| = \int_{|z|=R} \left| \operatorname{darg} \left\{ \left(\frac{d^{p-1}f(z)}{(dz)^{p-1}} \right) (dz)^{p-1} \right\} \right| \\
 &= \int_{|z|=R} |\operatorname{darg}\{f^{(p-1)}(z)\} + (p-1)\operatorname{darg}\{dz\}| \\
 &= \int_{|z|=R} \left| \frac{\operatorname{darg}\{f^{(p-1)}(z)\}}{d\theta} + (p-1)\frac{\operatorname{darg}\{dz\}}{d\theta} \right| d\theta \\
 &= \int_{|z|=R} \left| p-1 + \Re e \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} \right| d\theta \leq \int_{|z|=R} \left| \frac{\operatorname{darg}\{d^pf(z)\}}{d\theta} \right| d\theta \\
 &= \int_{|z|=R} \left| p + \Re e \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right| d\theta,
 \end{aligned}$$

where $z = Re^{i\theta}$ and $0 \leq \theta \leq 2\pi$. It completes the proof of Lemma 1.4 \square

In [14] Umezawa obtained the following theorem.

LEMMA 1.5. *Let $f(z)$ be analytic in $|z| \leq 1$ and continuous and $f'(z) \neq 0$ on $|z| = 1$. If $f(z)$ satisfies the condition*

$$\int_0^{2\pi} \left| 1 + \Re e \frac{zf''(z)}{f'(z)} \right| d\theta < 2(p+1)\pi, \tag{1.11}$$

where $z = e^{i\theta}$ and $0 \leq \theta \leq 2\pi$, then $f(z)$ is at most p -valent in $|z| \leq 1$.

COROLLARY 1.6. *Let $f(z)$ be analytic in $|z| \leq 1$ and continuous and $f'(z) \neq 0$ on $|z| = 1$. If $f(z)$ satisfies the condition*

$$\int_0^{2\pi} \left| p + \Re e \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right| d\theta < 2(p+1)\pi, \tag{1.12}$$

where $z = e^{i\theta}$ and $0 \leq \theta \leq 2\pi$, then $f(z)$ is at most p -valent in $|z| \leq 1$.

Proof. From Lemma 1.4 with $R = 1$, we have

$$\int_0^{2\pi} \left| 1 + \Re e \frac{zf''(z)}{f'(z)} \right| d\theta \leq \int_0^{2\pi} \left| p + \Re e \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right| d\theta,$$

where $z = e^{i\theta}$ and $0 \leq \theta \leq 2\pi$, so Lemma 1.5 and (1.12) follow that $f(z)$ is at most p -valent in D . \square

Applying the above lemmas, we have the following theorem.

THEOREM 1.7. *Let $f(z) \in \mathcal{A}(p)$ and suppose that $f^{(k)}(z) \neq 0$ in $\mathbb{D} \setminus \{0\}$ for $k = 0, 1, 2, \dots, p$ and suppose that have*

$$-\frac{\alpha}{2\alpha - (2p + 1)} < p + \Re e \left\{ \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right\} < \alpha \quad (z \in \mathbb{D}), \tag{1.13}$$

where α is an arbitrary real number, $\alpha \geq p + 1/2$. Then $f(z)$ is at most p -valent in \mathbb{D} .

Proof. Let us put

$$\arg\{d^p f(z)\} = \Theta, \quad z = e^{i\theta} \quad \text{and} \quad 0 \leq \theta \leq 2\pi,$$

then we have

$$\frac{d \arg\{d^p f(z)\}}{d\theta} = p + \Re e \left\{ \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right\} = \frac{d\Theta}{d\theta} \quad \text{on} \quad |z| = r < 1. \tag{1.14}$$

From the hypothesis, we have

$$-\frac{\alpha}{2\alpha - (2p + 1)} < \frac{d\Theta}{d\theta} < \alpha \quad \text{in} \quad |z| < 1.$$

Now, let us put by C_1 the part of $|z| = r$ on which

$$\frac{d\Theta}{d\theta} > 0 \quad \text{and} \quad \text{put} \quad \int_{C_1} d \arg\{z\} = x$$

and by C_2 the part of $|z| = r$ on which

$$\frac{d\Theta}{d\theta} \leq 0 \quad \text{and} \quad \text{so} \quad \int_{C_2} d \arg\{z\} = 2\pi - x. \tag{1.15}$$

Putting

$$y_1 = \int_{C_1} d\Theta, \quad -y_2 = \int_{C_2} d\Theta$$

then from (1.14), we have

$$\int_C d\Theta = y_1 - y_2 = 2p\pi. \tag{1.16}$$

On the other hand, we have

$$y_1 = \int_{C_1} \frac{d\Theta}{d\theta} d\theta < \alpha x \tag{1.17}$$

and

$$y_2 = \int_{C_2} \left(-\frac{d\Theta}{d\theta} \right) d\theta < (2\pi - x) \frac{\alpha}{2\alpha - (2p + 1)} = \frac{2\pi\alpha - \alpha x}{2\alpha - (2p + 1)}. \tag{1.18}$$

Now, we want to prove that $y_1 < (2p + 1)\pi$ and so, if we suppose

$$y_1 \geq (2p + 1)\pi,$$

then we must have

$$y_2 \geq \pi, \tag{1.19}$$

and by (1.17), we have

$$\alpha x > (2p + 1)\pi. \tag{1.20}$$

Then from (1.18) and (1.20), we have

$$y_2 < \frac{2\pi\alpha - (2p + 1)\pi}{2\alpha - (2p + 1)} = \pi \left(\frac{2\alpha - (2p + 1)}{2\alpha - (2p + 1)} \right) = \pi.$$

This contradicts (1.19). Therefore, we must have

$$y_1 < (2p + 1)\pi.$$

This shows that

$$\int_C \left| p + \Re \left\{ \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right\} \right| d\theta < 2(p + 1)\pi$$

where $|z| = r$ and $0 < r < 1$. Applying Nunokawa’s result, which is a generalization of (1.11), [4, Th.3], or from Lemma 1.5, we complete the proof of Theorem 1.7 \square

Notice that it was proved earlier [4], that if $f(z) \in \mathcal{A}(p)$ and if it satisfies

$$\Re \left\{ \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} \right\} > 0, \quad z \in \mathbb{D},$$

then $f(z)$ is p -valently starlike in \mathbb{D} and

$$\Re \left\{ \frac{zf^{(k)}(z)}{f^{(k-1)}(z)} \right\} > 0, \quad z \in \mathbb{D},$$

for $k = 1, 2, \dots, (p - 1)$. Furthermore, in [6] it was proved that if $f(z) \in \mathcal{A}(p)$, $p \geq 3$, and $f(z)$ is typically real in \mathbb{D} , $f^{(p-1)}(z)/z \neq 0$ and that

$$\left| \Re \left\{ \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right\} \right| < \frac{1}{2} \left\{ \alpha + \frac{2}{\pi} \tan^{-1}(\alpha) \right\}$$

in the unit disc \mathbb{D} , where $\alpha = 1.2951672353 \dots$, then

$$\Re \left\{ \frac{f^{(p-2)}(z)}{z^2} \right\} > 0, \quad z \in \mathbb{D},$$

and $f(z)$ is p -valent in \mathbb{D} . Note here that in [8] it was proved a related result of the form: if $f(z) \in \mathcal{A}(p)$, $p \geq 2$, $0 < \alpha < 1$, and

$$\Re \left\{ \frac{zf^{(p)}(z)}{f^{(p-1)}(z)} \right\} > -\frac{2\alpha^2 - \alpha + 1}{2(1 - \alpha)}, \quad (z \in \mathbb{D}), \tag{1.21}$$

then

$$\Re \left\{ \frac{zf^{(p-1)}(z)}{f^{(p-2)}(z)} \right\} > 1 + \alpha, \quad (z \in \mathbb{D})$$

or $f(z)$ is at most p -valent in \mathbb{D} .

Putting $\alpha = \infty$, $\alpha = p + 1/2$, $\alpha = p + 1$ and $\alpha = 2p + 1$ in Theorem 1.7 gives the following result.

COROLLARY 1.8. *Let $f(z) \in \mathcal{A}(p)$ and suppose that $f^{(k)}(z) \neq 0$ in $\mathbb{D} \setminus \{0\}$ for $k = 0, 1, 2, \dots, p$. If $f(z)$ satisfies in \mathbb{D} one of the following conditions*

- (i) $p + \Re \left\{ \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right\} > -\frac{1}{2}$, (ii) $p + \Re \left\{ \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right\} < p + \frac{1}{2}$,
- (iii) $\left| p + \Re \left\{ \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right\} \right| < p + 1$, (iv) $\left| \Re \left\{ \frac{zf^{(p+1)}(z)}{f^{(p)}(z)} \right\} \right| < p + 1$,

then $f(z)$ is at most p -valent in \mathbb{D} .

For $p = 2$ Theorem 1.7 becomes the following corollary.

COROLLARY 1.9. *Let $f(z) \in \mathcal{A}(2)$ and suppose that $f^{(k)}(z) \neq 0$ in $\mathbb{D} \setminus \{0\}$ for $k = 0, 1, 2$ and suppose that have*

$$-\frac{\alpha}{2\alpha - 5} < 2 + \Re \left\{ \frac{zf'''(z)}{f''(z)} \right\} < \alpha \quad (z \in \mathbb{D}), \tag{1.22}$$

where α is an arbitrary real number, $\alpha > 5/2$. Then $f(z)$ is at most 2-valent in \mathbb{D} .

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