

INTEGRAL MEAN ESTIMATES FOR POLYNOMIALS WITH RESTRICTED ZEROS

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Abstract. Let $P(z)$ be a polynomial of degree n which does not vanish in the disk $|z| < K$. For $K = 1$, it is known that for $0 < q < \infty$,

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(Re^{i\theta})|^q d\theta \right\}^{1/q} \leq B_q \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q},$$

where

$$B_q = \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + R^n e^{in\alpha}|^q d\alpha \right\}^{1/q} / \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + e^{in\alpha}|^q d\alpha \right\}^{1/q}.$$

In this paper we present a generalization of this result by considering the case $K \geq 1$. We shall also prove a similar result for polynomials having all their zeros in $|z| \leq K$, where $K \geq 1$.

1. Introduction and statement of results

Let $P(z)$ be a polynomial of degree at most n , then for each $R \geq 1$ and $q > 0$,

$$\max_{|z|=R} |P(z)| \leq R^n \max_{|z|=1} |P(z)| \tag{1}$$

and

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(Re^{i\theta})|^q d\theta \right\}^{1/q} \leq R^n \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q}. \tag{2}$$

Inequality (1) is a simple deduction from the maximum modulus principle (see [11, p. 346], or [8, Vol. 1, p. 137, prob. III 269]) and inequality (2) is a simple consequence of a classical result of Hardy [6] (see for example [9, Theorem 5.5]).

In both (1) and (2) equality holds only for $P(z) = cz^n$, $c \neq 0$, i. e., when all the zeros of $P(z)$ lie at the origin. Inequality (1) can be obtained by letting $q \rightarrow \infty$ in inequality (2). The inequalities (1) and (2) can be sharpened if we restrict ourselves to

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a class of polynomials having no zeros in $|z| < 1$. In fact, if $P(z) \neq 0$ for $|z| < 1$, then it was shown by Ankeny and Rivlin [1] that (1) can be replaced by

$$\max_{|z|=R>1} |P(z)| \leq \frac{R^n + 1}{2} \max_{|z|=1} |P(z)|, \tag{3}$$

where the corresponding refinement of (2) namely

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(Re^{i\theta})|^q d\theta \right\}^{1/q} \leq c_q \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q}, \quad R > 1, \tag{4}$$

where

$$c_q = \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + R^n e^{i\alpha}|^q d\alpha \right\}^{1/q} / \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha \right\}^{1/q},$$

was proved by Boas and Rahman [5] for $1 \leq q < \infty$. Recently Rahman and Schmeisser [10] have shown that (4) remains true for $0 < q < 1$ as well. It can be easily seen that if we let $q \rightarrow \infty$ in (4), we get inequality (3).

Here we consider a class of polynomials having no zeros in $|z| < K$, where $K \geq 1$ and prove the following generalization of (4).

THEOREM 1. *If $P(z)$ is a polynomial of degree n having all its zeros in $|z| \geq K \geq 1$, then for every $R > 1$ and $q > 0$,*

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(Re^{i\theta})|^q d\theta \right\}^{1/q} \leq B_q \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q}, \tag{5}$$

where

$$B_q = \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + R^n e^{i\alpha}|^q d\alpha \right\}^{1/q} / \left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + t_K e^{i\alpha}|^q d\alpha \right\}^{1/q}$$

with $t_K = \left(\frac{1 + RK}{R + K} \right)^n$.

Inequality (5) reduces to (4) for $0 < q < \infty$ when $K = 1$.

REMARK 1. Letting $q \rightarrow \infty$ in (5), it follows that if $P(z)$ is a polynomial of degree n having all its zeros in $|z| \geq K \geq 1$, then for $R > 1$,

$$\max_{|z|=R} |P(z)| \leq \frac{(R + K)^n (R^n + 1)}{(1 + RK)^n + (R + K)^n} \max_{|z|=1} |P(z)|. \tag{6}$$

Inequality (6) is a generalization of a result of Ankeny and Rivlin [1], proved by Aziz [4].

If $P(z)$ has all its zeros in $|z| \leq 1$, then for each $q > 0$,

$$n \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q} \leq \left\{ \int_0^{2\pi} |1 + e^{i\theta}|^q d\theta \right\}^{1/q} \max_{|z|=1} |P'(z)|. \tag{7}$$

Inequality (7) is due to Malik [7]. As an extension of (7) Aziz [3] proved that if $P(z)$ has all zeros in $|z| \leq K$, where $K \geq 1$, then for each $q > 1$,

$$n \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q} \leq \left\{ \int_0^{2\pi} |1 + K^n e^{i\theta}|^q d\theta \right\}^{1/q} \max_{|z|=1} |P'(z)|. \tag{8}$$

Since in the proof of the inequality (8), the inequality (4) proved by Boas and Rahman for $1 \leq q < \infty$ was used, it was not clear, whether the restriction on q was indeed essential. Here we use Theorem 1 to show that the restriction on q is not needed. In fact we establish the following generalization of (7) which shows that (8) remains true for $0 < q < 1$ also. We prove

THEOREM 2. *If $P(z)$ is a polynomial of degree n having all its zeros in $|z| \leq K$, where $K \geq 1$, then for each $q > 0$,*

$$n \left\{ \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q} \leq \left\{ \int_0^{2\pi} |1 + K^n e^{i\theta}|^q d\theta \right\}^{1/q} \max_{|z|=1} |P'(z)|. \tag{9}$$

The result is best possible and equality holds for the polynomial $P(z) = \alpha z^n + \beta K^n$, where $|\alpha| = |\beta|$.

2. Lemmas

The proof of Theorem 1 is based on a result of Arestov which we shall describe first.

For $\delta = (\delta_0, \delta_1, \dots, \delta_n) \in c^{n+1}$ and

$$P(z) = \sum_{j=0}^n a_j z^j,$$

we define

$$\Lambda_\delta P(z) = \sum_{j=0}^n \delta_j a_j z^j.$$

The operator Λ_δ is said to be admissible, if it preserves one of the following properties:

- (i) $P(z)$ has all its zeros in $\{z \in \mathbf{C} : |z| \leq 1\}$
- (ii) $P(z)$ has all its zeros in $\{z \in \mathbf{C} : |z| \geq 1\}$.

The result of Arestov may now be stated as follows:

LEMMA 1. [2. Theorem 4]. *Let $\phi(x) = \psi(\log x)$, where ψ is a convex nondecreasing function on \mathbf{R} . Then for all polynomials $P(z)$ of degree at most n and each admissible operator Λ_δ*

$$\int_0^{2\pi} \phi(|\Lambda_\delta P(e^{i\theta})|) d\theta \leq \int_0^{2\pi} \phi(c(\delta, n) |P(e^{i\theta})|) d\theta, \tag{10}$$

where

$$c(\delta, n) = \max(|\delta_0|, |\delta_n|).$$

In particular, Lemma 1 applies with $\phi : x \rightarrow x^q$ for every $q \in (0, \infty)$ and $\phi : x \rightarrow \log x$ as well. Therefore, we have

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} |\Lambda_\delta P(e^{i\theta})|^q d\theta \right\}^{1/q} \leq c(\delta, n) \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q}, \quad 0 < q < \infty. \tag{11}$$

We also need

LEMMA 2. *If $P(z)$ is a polynomial of degree n , which does not vanish for $|z| \leq K$, $K \geq 1$. Then for all $R \geq 1$, $r \leq 1$ and for every θ , $0 \leq \theta < 2\pi$,*

$$|P(Rre^{i\theta})| \leq \left(\frac{Rr + K}{r + RK} \right)^n |R^n P\left(\frac{re^{i\theta}}{R}\right)|. \tag{12}$$

Proof. Since all the zeros of $P(z)$ lie in $|z| \geq K$, $K \geq 1$, we write

$$P(z) = c \prod_{j=1}^n (z - R_j e^{i\theta_j}) \quad \text{where } R_j \geq K, \quad j = 1, 2, \dots, n.$$

Therefore, for all $r \leq 1$, $R \geq 1$ and for every θ with $0 \leq \theta < 2\pi$, we have

$$\begin{aligned} \left| \frac{P(Rre^{i\theta})}{R^n P\left(\frac{re^{i\theta}}{R}\right)} \right| &= \prod_{j=1}^n \left| \frac{Rre^{i\theta} - R_j e^{i\theta_j}}{re^{i\theta} - RR_j e^{i\theta_j}} \right| \\ &= \prod_{j=1}^n \left| \frac{Rre^{i(\theta-\theta_j)} - R_j}{re^{i(\theta-\theta_j)} - RR_j} \right| \\ &= \prod_{j=1}^n \left(\frac{R^2 r^2 + R_j^2 - 2RrR_j \cos(\theta - \theta_j)}{r^2 + R^2 R_j^2 - 2RrR_j \cos(\theta - \theta_j)} \right)^{1/2}. \end{aligned} \tag{13}$$

Now, after a short calculation one can easily verify that for every $r \leq 1$ and $R \geq 1$,

$$\frac{R^2 r^2 + R_j^2 - 2RrR_j \cos(\theta - \theta_j)}{r^2 + R^2 R_j^2 - 2RrR_j \cos(\theta - \theta_j)} \leq \left(\frac{Rr + R_j}{r + RR_j} \right)^2. \tag{14}$$

Since $R_j \geq K$, we see that

$$\frac{Rr + R_j}{r + RR_j} \leq \frac{Rr + K}{r + KR}. \tag{15}$$

From (13), (14) and (15), it follows that

$$\left| \frac{P(Re^{i\theta})}{R^n P\left(\frac{re^{i\theta}}{R}\right)} \right| \leq \left(\frac{Rr + K}{r + KR} \right)^n,$$

for all $r \leq 1 \leq R$ and for every θ , $0 \leq \theta < 2\pi$, from which the desired result follows immediately.

3. Proofs of the theorems

Proof of Theorem 1. Since the polynomial $P(z)$ has all its zeros in $|z| \geq K \geq 1$, it follows from Lemma 2 that for every $R \geq 1$ and for $|z| = r < 1$

$$|P(Rz)| \leq \left(\frac{R|z| + K}{|z| + RK} \right)^n |R^n P(z/R)|. \tag{16}$$

If $R = 1$, then Theorem 1 is trivial, so we assume that $R > 1$. Now, it can be easily verified that

$$\frac{R|z| + K}{|z| + RK} < 1, \quad \text{for } |z| = r < 1 \text{ and } R > 1.$$

Using this in (16), we get

$$|P(Rz)| < |R^n P(z/R)| \quad \text{for } |z| < 1 \text{ and } R > 1. \tag{17}$$

Let $F(z) = P(Rz) + e^{i\alpha} R^n P(z/R)$. We show for every α , $0 \leq \alpha < 2\pi$ and $R > 1$, that polynomial $F(z)$ does not vanish in $|z| < 1$. If this is not true, then there is a point $z = z_0$ with $|z_0| < 1$, such that $F(z_0) = 0$. This gives

$$0 = F(z_0) = P(Rz_0) + e^{i\alpha} R^n P(z_0/R), \quad \text{where } |z_0| < 1.$$

This implies

$$|P(Rz_0)| = |R^n P(z_0/R)| \quad \text{where } |z_0| < 1,$$

which clearly contradicts (17). Hence all zeros of $F(z) = P(Rz) + e^{i\alpha} R^n P(z/R)$ lie in $|z| \geq 1$, for every α , $0 \leq \alpha < 2\pi$ and $R > 1$. This shows that the operator Λ_δ defined by

$$\begin{aligned} \Lambda_\delta P(z) &= (1 + e^{i\alpha} R^n) a_0 + (R + e^{i\alpha} R^{n-1}) a_1 z + \dots + (R^n + e^{i\alpha}) a_n z^n \\ &= P(Rz) + e^{i\alpha} R^n P(z/R) \end{aligned} \tag{18}$$

is an admissible operator. Applying (11), we obtain for $0 < q < \infty$

$$\int_0^{2\pi} |P(Re^{i\theta}) + e^{i\alpha} R^n P(e^{i\theta}/R)|^q d\theta \leq |R^n e^{i\alpha} + 1|^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta. \tag{19}$$

Integrating both sides of (19) with respect to α from 0 to 2π , we get for $0 < q < \infty$,

$$\int_0^{2\pi} \int_0^{2\pi} |P(Re^{i\theta}) + e^{i\alpha} R^n P\left(\frac{e^{i\theta}}{R}\right)|^q d\alpha d\theta \leq \int_0^{2\pi} |R^n e^{i\alpha} + 1|^q d\alpha \int_0^{2\pi} |P(e^{i\theta})|^q d\theta. \tag{20}$$

Now for every real α and $t \geq s \geq 1$, it can be easily verified that $|t + e^{i\alpha}| \geq |s + e^{i\alpha}|$, which implies for every $q > 0$,

$$\int_0^{2\pi} |t + e^{i\alpha}|^q d\alpha \geq \int_0^{2\pi} |s + e^{i\alpha}|^q d\alpha. \tag{21}$$

Taking $r = 1$ in Lemma 2, it follows from (12) that

$$\left| \frac{R^n P(e^{i\theta}/R)}{P(Re^{i\theta})} \right| \geq \left(\frac{1 + RK}{R + K} \right)^n = t_K \geq 1, \quad (22)$$

for every θ , $0 \leq \theta < 2\pi$ and $R > 1$.

We take $t = \left| \frac{R^n P(e^{i\theta}/R)}{P(Re^{i\theta})} \right|$ and $S = t_K$, then from (22), $t \geq t_K \geq 1$ and we get with the help of (21),

$$\begin{aligned} \int_0^{2\pi} |P(Re^{i\theta}) + e^{i\alpha} R^n P(e^{i\theta}/R)|^q d\alpha &= |P(Re^{i\theta})|^q \int_0^{2\pi} \left| 1 + \frac{e^{i\alpha} R^n P(e^{i\theta}/R)}{P(Re^{i\theta})} \right|^q d\alpha \\ &= |P(Re^{i\theta})|^q \int_0^{2\pi} \left| e^{i\alpha} \left| \frac{R^n P(e^{i\theta}/R)}{P(Re^{i\theta})} \right| + 1 \right|^q d\alpha \\ &\geq |P(Re^{i\theta})|^q \int_0^{2\pi} |t_K e^{i\alpha} + 1|^q d\alpha. \end{aligned}$$

Using this in (20), we conclude that for $0 < q < \infty$,

$$\int_0^{2\pi} |t_K e^{i\alpha} + 1|^q d\alpha \int_0^{2\pi} |P(Re^{i\theta})|^q d\theta \leq \int_0^{2\pi} |R^n e^{i\alpha} + 1|^q d\alpha \int_0^{2\pi} |P(e^{i\theta})|^q d\theta,$$

which immediately leads to (5) and this completes the proof of Theorem 1.

Proof of Theorem 2. The proof of Theorem 2 is identical with the proof of Theorem 1 of [3], except instead of using result of Boas and Rahman (inequality (4)) for $1 \leq q < \infty$, we use Theorem 1 with $K = 1$ for $0 < q < \infty$. We omit the details.

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