

## ON CHAOTIC ORDER OF TWO OPERATORS ON HILBERT SPACE

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*Dedicated to  
Professor J. Winfield Poole  
on his retirement*

*(communicated by T. Furuta)*

*Abstract.* In this article we characterize an inequality resulted from the chaotic order of two operators on a Hilbert space in terms of Heinz-Kato-Furuta-type inequalities. Consequently, some new characterizations of the weaker version for the Löwner-Heinz inequality are obtained. Finally we introduce the notion of high-order Cauchy-Schwarz inequalities, and its applications are given.

### 1. Notations and introduction

In what follows the capital letters mean bounded linear operators on a Hilbert space  $H$ . By a positive operator  $T$  (written  $T \geq O$ ) we mean  $(Tx, x) \geq 0$  for all  $x \in H$ . If  $S$  and  $T$  are selfadjoint, we write  $T \geq S$  in case  $T - S \geq O$ .  $T = U|T|$  is the polar decomposition of  $T$  with  $U$  the partial isometry, and  $|T|$  the positive square root of the positive operator  $T^*T$ . We write  $T > O$  if  $T$  is invertible and  $T \geq O$ . For  $A, B > O$  we denote as usual the chaotic order  $\log A \geq \log B$  of  $A$  and  $B$  by  $A \gg B$  in short, which is clearly weaker than the condition that  $A \geq B$ . It is known that Ando's characterization of the chaotic order [1] was generalized in [4], and a different proof without using Ando's result was shown in [7]. In this article we present characterizations of the chaotic order of  $A$  and  $B$  in terms of Heinz-Kato-Furuta-type inequalities. Consequently, we obtain new characterizations of the Löwner-Heinz inequality under a weaker condition. Related log-hyponormal operators and  $p$ -hyponormal operators are discussed. Finally, we introduce the notion of high-order Cauchy-Schwarz inequalities, and its applications are given.

### 2. Basic results

We shall start with three lemmas below as basic tools.

LEMMA 1. *Let  $T = U|T|$  be the polar decomposition of  $T$  and  $r > 0$ . Then*

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- (i)  $U^*U|T|^r = |T|^r$ .
- (ii)  $|T^*|^r = U|T|^rU^*$ .

*Proof.* The proof can be found in [2] by a straightforward verification.

LEMMA 2. For any  $x, y, z \in H$  the following statements are equivalent:

- (1)  $|(x, y)| \leq \|x\| \|y\|$  (Cauchy-Schwarz inequality);
- (2)  $2|(z, x)(x, y)| \leq \|x\|^2 [\|y\| \|z\| + |(z, y)|]$ .

*Proof.* (1)  $\Rightarrow$  (2). In (1) replace  $x$  by  $\nabla = 2(z, x)x - \|x\|^2 z$  and notice that  $\|\nabla\| = \|x\|^2 \|z\|$ , since

$$\begin{aligned} \|\nabla\|^2 &= (2(z, x)x - \|x\|^2 z, 2(z, x)x - \|x\|^2 z) \\ &= 4|(z, x)|^2 \|x\|^2 - 2|(z, x)|^2 \|x\|^2 - 2|(z, x)|^2 \|x\|^2 + \|x\|^4 \|z\|^2 \\ &= \|x\|^4 \|z\|^2. \end{aligned}$$

Hence, from (1) we have

$$\begin{aligned} |(2(z, x)x - \|x\|^2 z, y)| &= |(2(z, x)(x, y) - \|x\|^2 (z, y))| \\ &\leq \|x\|^2 \|y\| \|z\|, \end{aligned}$$

and (2) follows.

(2)  $\Rightarrow$  (1). Just let  $z = x$  in (2).

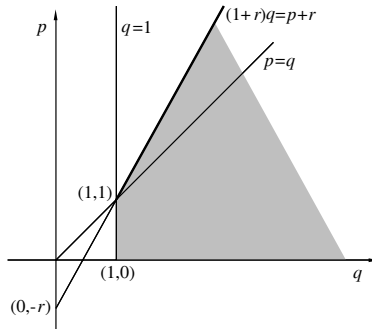
LEMMA 3. For  $A, B > 0$ ,  $A \gg B$  if and only if  $B^{-1} \gg A^{-1}$ .

*Proof.* ( $\Rightarrow$ ) First we consider the case  $\log A > \log B$ . Equivalently, there exists  $\alpha \in (0, 1]$  such that  $A^\alpha > B^\alpha$  by [7, Corollary 2]. So,  $B^{-\alpha} > A^{-\alpha}$ . It follows that  $B^{-\alpha} > A^{-\alpha} + \delta$  for some  $\delta > 0$ . Therefore,

$$\alpha \log B^{-1} = \log B^{-\alpha} \geq \log(A^{-\alpha} + \delta) > \log A^{-\alpha} = \alpha \log A^{-1}.$$

Hence,  $\log B^{-1} > \log A^{-1}$ . The case  $\log A = \log B$  is now trivial.

( $\Leftarrow$ ) By similar arguments, and the proof is finished.



Recall the Furuta inequality [3]: If  $A \geq B \geq 0$ , then for each  $r \geq 0$ ,

(i)  $A^{\frac{p+r}{q}} \geq (A^{r/2} B^p A^{r/2})^{\frac{1}{q}}$

and

$$(ii) (B^{r/2}A^pB^{r/2})^{\frac{1}{q}} \geq B^{\frac{p+r}{q}}$$

hold for  $p \geq 0$  and  $q \geq 1$  with  $(1+r)q \geq p+r$ .

In fact, without loss of generality we may assume that  $A, B > O$  with  $A \geq B > O$  in the Furuta inequality. Also, assuming that  $p \geq 1$  is sufficient, since (i) and (ii) hold for  $1 \geq p \geq 0$  by the Löwner-Heinz inequality, namely, if  $A \geq B \geq O$ , then  $A^\alpha \geq B^\alpha$  for  $\alpha \in [0, 1]$ ; but the inequality does not hold in general for  $\alpha > 1$ . Yet, a weaker condition (use  $A \gg B$ , instead of  $A \geq B \geq O$ ) for the Furuta inequality is possible as shown next.

Recall a result with weaker condition due to Fujii et al. [7, Theorem 5]: For  $A, B > O$ ,  $A \gg B$  if and only if (i) in the Furuta inequality holds for  $p \geq 0$ ,  $q \geq 1$  and  $r \geq 0$  such that  $rq \geq p+r$ . It is essential to distinguish conditions between the two results above; one is  $(1+r)q \geq p+r$  in the original Furuta inequality and the other is  $rq \geq p+r$ . Correspondingly, for (ii) of the Furuta inequality we have the next result.

**COROLLARY 1.** For  $A, B > O$ ,  $A \gg B$  if and only if (ii) in the Furuta inequality holds for  $p \geq 0$ ,  $q \geq 1$  and  $r \geq 0$  such that  $rq \geq p+r$ .

*Proof.* By hypothesis and Lemma 3 we have  $B^{-1} \gg A^{-1}$ . It follows from above remark and (i) that

$$B^{-\frac{p+r}{q}} \geq (B^{-r/2}A^{-p}B^{-r/2})^{\frac{1}{q}}.$$

The required inequality follows by taking inverses of the inequality above. The converse is trivial now.

**COROLLARY 2.** For  $A, B > O$ , if  $A \gg B$ , then

$$(B^{r/2}A^pB^{r/2})^{\frac{\alpha r}{p+r}} \geq B^{\alpha r}$$

holds for  $r > 0$ ,  $p \geq 0$  and  $\alpha \in [0, 1]$ .

*Proof.* If  $A \gg B$ , then by Corollary 1 the inequality  $(B^{r/2}A^pB^{r/2})^{\frac{1}{q}} \geq B^{\frac{p+r}{q}}$  holds for  $p \geq 0$ ,  $q \geq 1$  and  $r \geq 0$  such that  $rq \geq p+r$ . Let  $r > 0$ ,  $\alpha \in (0, 1]$  and  $q = \frac{p+r}{\alpha r}$ . Then  $q \geq 1$  and  $rq = \frac{p+r}{\alpha} \geq p+r > 0$ . It follows that the inequality  $(B^{r/2}A^pB^{r/2})^{\frac{\alpha r}{p+r}} \geq B^{\alpha r}$  holds for  $r > 0$ ,  $p \geq 0$  and  $\alpha \in (0, 1]$ . But if  $\alpha = 0$ , our inequality becomes a trivial case  $I \geq I$ , the identity operator. So, we conclude that  $\alpha \in [0, 1]$ .

### 3. Characterizations of inequality resulted from chaotic order

The next result is characterizations of the inequality from Corollary 2 in terms of Heinz-Kato-Furuta-type inequalities [5], i.e., inequalities of types (2), (3) and (4) in Theorem 1 below.

**THEOREM 1.** Assume  $A, B > O$ , then the following statements hold and they are equivalent:

(1) If  $\log A \geq \log B$ , then

$$(B^{r/2}A^pB^{r/2})^{\frac{\alpha r}{p+r}} \geq B^{\alpha r}$$

for  $r > 0$ ,  $p \geq 0$  and  $\alpha \in [0, 1]$ ;

(2) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$|(T|T|^{\alpha r + \beta s - 1}x, y)|^2 \leq ((|T|^r A^{2p}|T|^r)^{\frac{\alpha r}{p+r}}x, x)((|T^*|^s B^{2q}|T^*|^s)^{\frac{\beta s}{q+s}}y, y)$$

for all  $x, y \in H$ ,  $p, q, r, s > 0$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ ;

(3) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$\begin{aligned} &|(|T|^{2\alpha r}z, x)(T|T|^{\alpha r + \beta s - 1}x, y)| \\ &\leq ((|T|^r A^{2p}|T|^r)^{\frac{\alpha r}{p+r}}x, x)((|T^*|^s B^{2q}|T^*|^s)^{\frac{\beta s}{q+s}}y, y)^{1/2} \cdot ((|T|^r A^{2p}|T|^r)^{\frac{\alpha r}{p+r}}z, z)^{1/2} \end{aligned}$$

for all  $x, y, z \in H$ ,  $p, q, r, s > 0$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ ;

(4) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$\begin{aligned} &|(|T|^{2\alpha r}x, x)(T|T|^{\alpha r + \beta s - 1}x, y)| \\ &\leq ((|T|^r A^{2p}|T|^r)^{\frac{\alpha r}{p+r}}x, x)^{3/2}((|T^*|^s B^{2q}|T^*|^s)^{\frac{\beta s}{q+s}}y, y)^{1/2} \end{aligned}$$

for all  $x, y \in H$ ,  $p, q, r, s > 0$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ .

*Proof.* Note that (1) holds true due to Corollary 2. We shall use the following substitutions: In Lemma 2 replace  $x$  by  $U|T|^{\alpha r}x$ ,  $y$  by  $|T^*|^{\beta s}y$ , and  $z$  by  $U|T|^{\alpha r}z$ , and then use Lemma 1 to simplify relations. More precisely,

$$|(U|T|^{\alpha r}x, |T^*|^{\beta s}y)| = |(U|T|^{\alpha r + \beta s}x, y)| = |(T|T|^{\alpha r + \beta s - 1}x, y)|.$$

(1)  $\Rightarrow$  (2). Since, by (1) in Lemma 2 and equalities above,

$$\begin{aligned} |(T|T|^{\alpha r + \beta s - 1}x, y)|^2 &= |(U|T|^{\alpha r}x, |T^*|^{\beta s}y)|^2 \\ &\leq \| |T|^{\alpha r}x \|^2 \| |T^*|^{\beta s}y \|^2 \\ &\leq ((|T|^r A^{2p}|T|^r)^{\frac{\alpha r}{p+r}}x, x)((|T^*|^s B^{2q}|T^*|^s)^{\frac{\beta s}{q+s}}y, y). \end{aligned}$$

The last inequality is due to (1).

(2)  $\Rightarrow$  (1). In (2) put  $T = B$ ,  $\beta = \alpha$ ,  $s = r$ ,  $q = p$  and  $y = x$ . It follows that

$$(B^{2\alpha r}x, x) \leq ((B^r A^{2p}B^r)^{\frac{\alpha r}{p+r}}x, x)$$

holds for  $A^2 \gg B^2$ , and so we have (1) if  $A \gg B$ .

(1)  $\Rightarrow$  (3). Since, by (2) in Lemma 2,

$$\begin{aligned} & 2(|T|^{2\alpha r} z, x)(|T|^{|\alpha r + \beta s - 1|} x, y) \\ & \leq \| |T|^{\alpha r} x \|^2 [\| |T^*|^{\beta s} y \| \| |T|^{\alpha r} z \| + |(T|T|^{|\alpha r + \beta s - 1|} z, y)|] \\ & \leq ((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}} x, x) \cdot [((|T^*|^s B^{2q} |T^*|^s)^{\frac{\beta s}{q+s}} y, y)^{1/2} ((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}} z, z)^{1/2} \\ & \quad + ((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}} z, z)^{1/2} ((|T^*|^s B^{2q} |T^*|^s)^{\frac{\beta s}{q+s}} y, y)^{1/2}] \\ & = 2((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}} x, x)((|T^*|^s B^{2q} |T^*|^s)^{\frac{\beta s}{q+s}} y, y)^{1/2} \cdot ((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}} z, z)^{1/2}. \end{aligned}$$

(3)  $\Rightarrow$  (4). Let  $z = x$  in (3).

(4)  $\Rightarrow$  (1). The proof is the same as that (2)  $\Rightarrow$  (1), i.e., let  $T = B$ ,  $\beta = \alpha$ ,  $s = r$ ,  $q = p$ , and  $y = x$  in (4).

Remark that (2) in Theorem 1 has been already given in [6]. In the proof of Theorem 1 we may use different substitutions and get the same result. For example, replacing  $x$  by  $|T|^{\alpha r} x$ ,  $y$  by  $U^* |T^*|^{\beta s} y$ , and  $z$  by  $|T|^{\alpha r} z$  in Lemma 2 and use Lemma 1 to simplify relations. Also remark that when  $A \geq B \geq O$  we can talk about the Löwner-Heinz inequality  $A^\alpha \geq B^\alpha$  for  $\alpha \in [0, 1]$ . Indeed, the inequality is obtained from (ii) in the Furuta inequality by letting  $r = 0$  there. Correspondingly, when  $A \gg B$  for  $A, B > O$ , we don't get exactly the same inequality but we have  $(B^{1/2} A^p B^{1/2})^{\frac{\alpha}{p+1}} \geq B^\alpha$  for  $p \geq 0$  and  $\alpha \in [0, 1]$ . This is obtained by setting  $r = 1$  in Corollary 2. In fact, we have the following characterizations of this inequality.

**COROLLARY 3.** *Assume  $A, B > O$ , then the following statements hold and they are equivalent:*

(1) *If  $\log A \geq \log B$ , then*

$$(B^{1/2} A^p B^{1/2})^{\frac{\alpha}{p+1}} \geq B^\alpha$$

*holds for  $p \geq 0$  and  $\alpha \in [0, 1]$ ;*

(2) *For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,*

$$|(T|T|^{|\alpha + \beta - 1|} x, y)|^2 \leq ((|T|A^{2p}|T|)^{\frac{\alpha}{p+1}} x, x)((|T^*|B^{2q}|T^*|)^{\frac{\beta}{q+1}} y, y)$$

*for all  $x, y \in H$ ,  $p, q \geq 0$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha + \beta \geq 1$ ;*

(3) *For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,*

$$\begin{aligned} & |(|T|^{2\alpha} z, x)(|T|^{|\alpha + \beta - 1|} x, y)| \\ & \leq ((|T|A^{2p}|T|)^{\frac{\alpha}{p+1}} x, x)((|T^*|B^{2q}|T^*|)^{\frac{\beta}{q+1}} y, y)^{1/2} \cdot ((|T|A^{2p}|T|)^{\frac{\alpha}{p+1}} z, z)^{1/2} \end{aligned}$$

*for all  $x, y, z \in H$ ,  $p, q \geq 0$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha + \beta \geq 1$ ;*

(4) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$\begin{aligned}
 & |(|T|^{2\alpha}x, x)(|T|^{\alpha+\beta-1}x, y)| \\
 & \leq ((|T|A^{2p}|T|)^{\frac{\alpha}{p+1}}x, x)^{3/2}((|T^*|B^{2q}|T^*|)^{\frac{\beta}{q+1}}y, y)^{1/2}
 \end{aligned}$$

for all  $x, y \in H$ ,  $p, q \geq 0$ , and  $\alpha, \beta \in [0, 1]$  with  $\alpha + \beta \geq 1$ ;

(5) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$|(Tx, y)|^2 \leq ((|T|A^{2p}|T|)^{\frac{\alpha}{p+1}}x, x)((|T^*|B^{2q}|T^*|)^{\frac{1-\alpha}{q+1}}y, y)$$

for all  $x, y \in H$ ,  $p, q \geq 0$ , and  $\alpha \in [0, 1]$ ;

(6) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$\begin{aligned}
 & |(|T|^{2\alpha}z, x)(Tx, y)| \\
 & \leq ((|T|A^{2p}|T|)^{\frac{\alpha}{p+1}}x, x)((|T|A^{2p}|T|)^{\frac{\alpha}{p+1}}z, z)^{1/2} \cdot ((|T^*|B^{2q}|T^*|)^{\frac{1-\alpha}{q+1}}y, y)^{1/2}
 \end{aligned}$$

for all  $x, y, z \in H$ ,  $p, q \geq 0$ , and  $\alpha \in [0, 1]$ ;

(7) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$|(|T|^{2\alpha}x, x)(Tx, y)| \leq ((|T|A^{2p}|T|)^{\frac{\alpha}{p+1}}x, x)^{3/2}((|T^*|B^{2q}|T^*|)^{\frac{1-\alpha}{q+1}}y, y)^{1/2}$$

for all  $x, y \in H$ ,  $p, q \geq 0$ , and  $\alpha \in [0, 1]$ .

*Proof.* Let  $s = r = 1$  in Theorem 1. Then we have equivalence of statements (1), (2), (3) and (4). Moreover, if we put  $s = r = 1$  and  $\alpha + \beta = 1$  in Theorem 1, then equivalence of statements (1), (5), (6) and (7) follows, since (7)  $\Rightarrow$  (1) holds by putting  $T = B$ ,  $q = p$  and  $y = B^{2\alpha-1}x$ .

Recall that  $T$  is a log-hyponormal operator if  $T$  is invertible and  $\log T^*T \geq \log TT^*$ , and denoted as usual by  $T^*T \gg TT^*$  in short. Thus,  $T$  is log-hyponormal if and only if  $|T|^2 \gg |T^*|^2$ . Let  $A = B = |T|$  in (2), (3) and (4) of Theorem 1, so that  $T$  is log-hyponormal. This leads to the next result and we shall omit the proof.

**COROLLARY 4.** *Let  $T$  be a log-hyponormal operator. Then the following inequalities hold, and are equivalent to each other:*

$$(1) |(|T|^{2\alpha r + \beta s - 1}x, y)| \leq \| |T|^{\alpha r}x \| ((|T^*|^s |T|^{2q} |T^*|^s)^{\frac{\beta s}{q+s}}y, y)^{1/2}$$

for all  $x, y \in H$ ,  $q \geq 0$ ,  $r, s > 0$ , and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ ;

$$(2) |(|T|^{2\alpha r}z, x)(|T|^{\alpha r + \beta s - 1}x, y)| \leq \| |T|^{\alpha r}x \|^2 \| |T|^{\alpha r}z \| ((|T^*|^s |T|^{2q} |T^*|^s)^{\frac{\beta s}{q+s}}y, y)^{1/2}$$

for all  $x, y, z \in H$ ,  $q \geq 0$ ,  $r, s > 0$ , and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ .

Recall that  $T$  is a  $p$ -hyponormal operator if  $(T^*T)^p \geq (TT^*)^p$  for  $p > 0$ . Evidently a hyponormal operator is 1-hyponormal, and a hyponormal operator is  $p$ -hyponormal for  $1 \geq p > 0$  by the Löwner-Heinz inequality. Obviously, it is superfluous to consider a log- $p$ -hyponormal operator, since a log- $p$ -hyponormal operator is a log-hyponormal operator and vice versa. Now, let  $s = r = 1$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha + \beta = 1$  in Corollary 4. Then we have the next result and we shall omit the proof.

COROLLARY 5. *Let  $T$  be a log-hyponormal operator. Then the following inequalities hold, and are equivalent to each other:*

$$(1) |(Tx, y)| \leq \| |T|^\alpha x \| ((|T^*| |T|^{2q} |T^*|)^{\frac{1-\alpha}{q+1}} y, y)^{1/2}$$

for all  $x, y \in H$ ,  $q \geq 0$  and  $\alpha \in [0, 1]$ ;

$$(2) |(|T|^{2\alpha} z, x)(Tx, y)| \leq \| |T|^\alpha x \|^2 \| |T|^\alpha z \| ((|T^*| |T|^{2q} |T^*|)^{\frac{1-\alpha}{q+1}} y, y)^{1/2}$$

for all  $x, y, z \in H$ ,  $q \geq 0$  and  $\alpha \in [0, 1]$ .

#### 4. Further characterizations

In this section we shall present further characterizations of results obtained from the previous section. We require Lemma 4 below which is known in [10, Lemma] and the proof should be omitted. First recall that the Selberg inequality is

$$\sum_{i=1}^n \frac{|(x, x_i)|^2}{\sum_{j=1}^n |(x_i, x_j)|} \leq \| x \|^2$$

for  $x \in H$  and nonzero vectors  $\{x_i\}_{i=1}^n \subseteq H$ , which is a generalization of the Bessel's inequality:  $\sum_{i=1}^n |(x, x_i)|^2 \leq \| x \|^2$  for  $x \in H$  with an orthonormal set  $\{x_i\}_{i=1}^n \subseteq H$ .

Notice that (4) in Lemma 4 below is known as a refined form of the Selberg inequality [8, Lemma 1]. Nevertheless, we shall give a different type of generalized Selberg inequality in the last section. Remark that the original definition of the set  $\{u_i\}_{i=1}^n$  in (3) of Lemma 4 below can be found in [9].

LEMMA 4. *For any  $x, y, z \in H$  the following are equivalent:*

(1)  $|(x, y)| \leq \| x \| \| y \|$  (Cauchy-Schwarz inequality);

(2) If  $(z, y) = 0$ , then

$$\| z \|^2 |(x, y)|^2 \leq \| y \|^2 [\| z \|^2 \| x \|^2 - |(x, z)|^2];$$

(3) Let  $\{e_i\}_{i=1}^n$  be a set of unit vectors and let the set  $\{u_i\}_{i=1}^n \subseteq H$  be defined as follows:  $u_0 = x$ , and  $u_i = u_{i-1} - (u_{i-1}, e_i)e_i$ ,  $i = 1, 2, \dots, n$ . If  $(e_i, y) = 0$  for all  $i$ , then

$$|(x, y)|^2 + \| y \|^2 \sum_{i=1}^n |(u_{i-1}, e_i)|^2 \leq \| x \|^2 \| y \|^2;$$

(4) Given nonzero vectors  $\{x_i\}_{i=1}^n$ , if  $(x_i, y) = 0$  for all  $i$ , then

$$|(x, y)|^2 + \sum_{i=1}^n \frac{|(x, x_i)|^2 \| y \|^2}{\sum_{j=1}^n |(x_i, x_j)|} \leq \| x \|^2 \| y \|^2 .$$

COROLLARY 6. For any  $T$ , the following are equivalent and each statement holds true:

$$(1) |(T|T|^{αr+βs-1}x, y)| \leq \| |T|^{αr}x \| \| |T^*|^{βs}y \|$$

for all  $x, y \in H$ ,  $r, s > 0$ , and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ .

$$(2) |(T|T|^{αr+βs-1}x, y)|^2 + \frac{\| |T^*|^{βs}y \|^2 |(T|T|^{2αr}x, z)|^2}{\| |T|^{αr}z \|^2} \leq \| |T|^{αr}x \|^2 \| |T^*|^{βs}y \|^2$$

for all  $x, y, z \in H$ ,  $r, s > 0$ , and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ . Also for  $(T|T|^{αr+βs-1}z, y) = 0$  and  $|T|^{αr}z \neq 0$ ;

$$(3) |(T|T|^{αr+βs-1}x, y)|^2 + \frac{\| |T|^{αr}x \|^2 |(T|T|^{αr+βs-1}z, y)|^2}{\| |T|^{αr}z \|^2} \leq \| |T|^{αr}x \|^2 \| |T^*|^{βs}y \|^2$$

for all  $x, y, z \in H$ ,  $r, s > 0$ , and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ . Also for  $(|T|^{2αr}z, x) = 0$  and  $|T|^{αr}z \neq 0$ ;

$$(4) |(T|T|^{αr+βs-1}x, y)|^2 + \| |T^*|^{βs}y \|^2 \sum_{i=1}^n |(u_{i-1}, U|T|^{αr}x_i)|^2 \leq \| |T|^{αr}x \|^2 \| |T^*|^{βs}y \|^2$$

for all  $x, y \in H$ ,  $r, s > 0$ , and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ . Also for nonzero vectors  $\{x_i\}_{i=1}^n$  such that  $(T|T|^{αr+βs-1}x_i, y) = 0$  and  $\| |T|^{αr}x_i \| = 1$ ,  $i = 1, 2, \dots, n$ ; and the set  $\{u_i\}_{i=1}^n$  is defined as follows:  $u_0 = U|T|^{αr}x$ , and  $u_i = u_{i-1} - (u_{i-1}, U|T|^{αr}x_i)U|T|^{αr}x_i$ ,  $i = 1, 2, \dots, n$ ;

$$(5) |(T|T|^{αr+βs-1}x, y)|^2 + \| |T^*|^{βs}y \|^2 \sum_{i=1}^n \frac{|(T|T|^{2αr}x, x_i)|^2}{\sum_{j=1}^n |(T|T|^{2αr}x_i, x_j)|} \leq \| |T|^{αr}x \|^2 \| |T^*|^{βs}y \|^2$$

for all  $x, y \in H$ ,  $r, s > 0$ , and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ . Also for nonzero vectors  $\{x_i\}_{i=1}^n$  such that  $\sum_{j=1}^n |(T|T|^{2αr}x_i, x_j)| \neq 0$  and  $(T|T|^{αr+βs-1}x_i, y) = 0$ ,  $i = 1, 2, \dots, n$ .

*Proof.* In Lemma 4 replace  $x$  by  $U|T|^{αr}x$ ,  $y$  by  $|T^*|^{βs}y$ , and  $z$  by  $U|T|^{αr}z$ . Then (1) and (2) are easily obtained from (1) and (2) in Lemma 4, and they are mutually equivalent.

(3) Interchange of the vector  $x$  with  $y$  in (2) of Lemma 4, and use the same replacements as above.

(4) Besides the replacements as in above, let  $e_i$  be  $U|T|^{αr}x_i$ ,  $i = 1, 2, \dots, n$ , in (3) of Lemma 4 so that  $\| |T|^{αr}x_i \| = 1$  and  $(T|T|^{αr+βs-1}x_i, y) = 0$ ,  $i = 1, 2, \dots, n$ .

(5) The same replacements as in above, and let  $x_i$  be  $U|T|^{αr}x_i$ ,  $i = 1, 2, \dots, n$ , in (4) of Lemma 4 so that  $(T|T|^{αr+βs-1}x_i, y) = 0$ ,  $i = 1, 2, \dots, n$ .

Now we are ready for further characterizations. The next result can be easily done due to Corollary 6 and by similar arguments as in the proof of Theorem 1.

THEOREM 2. For  $A, B > 0$ , the following are equivalent and each statement holds true:

(1) If  $\log A \geq \log B$ , then

$$(B^{r/2}A^pB^{r/2})^{\frac{\alpha r}{p+r}} \geq B^{\alpha r}$$

for  $p \geq 0$ ,  $r > 0$  and  $\alpha \in [0, 1]$ ;



(2) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$\begin{aligned} |(T|T|^{\alpha r + \beta s - 1}x, y)|^2 + \frac{\| |T^*|^{\beta s}y \|^2 |(T|T|^{2\alpha r}x, z)|^2}{\| |T|^{\alpha r}z \|^2} \\ \leq ((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}}x, x)((|T^*|^s B^{2q} |T^*|^s)^{\frac{\beta s}{q+s}}y, y) \end{aligned}$$

for all  $x, y, z \in H$ ,  $p, q \geq 0$ ,  $r, s > 0$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ . Also for  $|T|^{\alpha r}z \neq 0$  and  $(T|T|^{\alpha r + \beta s - 1}z, y) = 0$ ;

(3) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$\begin{aligned} |(T|T|^{\alpha r + \beta s - 1}x, y)|^2 + \frac{\| |T^*|^{\beta s}x \|^2 |(T|T|^{\alpha r + \beta s - 1}z, y)|^2}{\| |T|^{\alpha r}z \|^2} \\ \leq ((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}}x, x)((|T^*|^s B^{2q} |T^*|^s)^{\frac{\beta s}{q+s}}y, y) \end{aligned}$$

for all  $x, y, z \in H$ ,  $p, q \geq 0$ ,  $r, s > 0$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ . Also for  $|T|^{\alpha r}z \neq 0$  and  $(|T|^{2\alpha r}z, x) = 0$ ;

(4) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$\begin{aligned} |(T|T|^{\alpha r + \beta s - 1}x, y)|^2 + \| |T^*|^{\beta s}y \|^2 \sum_{i=1}^n |(u_{i-1}, U|T|^{\alpha r}x_i)|^2 \\ \leq ((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}}x, x)((|T^*|^s B^{2q} |T^*|^s)^{\frac{\beta s}{q+s}}y, y) \end{aligned}$$

for all  $x, y \in H$ ,  $p, q \geq 0$ ,  $r, s > 0$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ . Also for nonzero vectors  $\{x_i\}_{i=1}^n$  such that  $(T|T|^{\alpha r + \beta s - 1}x_i, y) = 0$  and  $\| |T|^{\alpha r}x_i \| = 1$ ,  $i = 1, 2, \dots, n$ . And the set  $\{u_i\}_{i=1}^n$  is defined as in (4) of Corollary 6;

(5) For an invertible operator  $T$  such that  $\log A^2 \geq \log |T|^2$  and  $\log B^2 \geq \log |T^*|^2$ ,

$$\begin{aligned} |(T|T|^{\alpha r + \beta s - 1}x, y)|^2 + \| |T^*|^{\beta s}y \|^2 \sum_{i=1}^n \frac{|(|T|^{2\alpha r}x, x_i)|^2}{\sum_{j=1}^n |(|T|^{2\alpha r}x_i, x_j)|} \\ \leq ((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}}x, x)((|T^*|^s B^{2q} |T^*|^s)^{\frac{\beta s}{q+s}}y, y) \end{aligned}$$

for all  $x, y \in H$ ,  $p, q \geq 0$ ,  $r, s > 0$  and  $\alpha, \beta \in [0, 1]$  with  $\alpha r + \beta s \geq 1$ . Also for nonzero vectors  $\{x_i\}_{i=1}^n$  such that  $\sum_{j=1}^n |(|T|^{2\alpha r}x_i, x_j)| \neq 0$  and  $(T|T|^{\alpha r + \beta s - 1}x_i, y) = 0$ ,  $i = 1, 2, \dots, n$ .

*Proof.* (1)  $\Rightarrow$  (2). By (2) in Corollary 6, we have

$$\begin{aligned} |(T|T|^{\alpha r + \beta s - 1}x, y)|^2 + \frac{\| |T^*|^{\beta s}y \|^2 \cdot (|T|^{2\alpha r}x, z)|^2}{\| |T|^{\alpha r}z \|^2} &\leq \| |T|^{\alpha r}x \|^2 \| |T^*|^{\beta s}y \|^2 \\ &\leq ((|T|^r A^{2p} |T|^r)^{\frac{\alpha r}{p+r}}x, x) ((|T^*|^s B^{2q} |T^*|^s)^{\frac{\beta s}{q+s}}y, y). \end{aligned}$$

The last inequality is due to (1).

Using (3), (4) and (5) of Corollary 6, a similar proof shows that (1) implies (3), (4) and (5), respectively.

Now, clearly (2), (3), (4) or (5) implies (2) in Theorem 1, and hence (1) follows.

### 5. High-order Cauchy-Schwarz inequalities

Remark that the substitution of  $x$  by  $\nabla = 2(z, x)x - \|x\|^2 z$  in the proof of Lemma 2 is important and useful tool in generalization of the Cauchy-Schwarz inequality as we are going to show in this last section. Let us call the usual Cauchy-Schwarz inequality, i.e., (1) in Lemma 2, the order-two inequality, because it involves two vectors (the order-one is, if we wish, the trivial expression:  $|(x, x)| = (x, x) = \|x\|^2$ ). Accordingly, (2) in Lemma 2 is the order-three inequality. Moreover, if  $y$  is replaced by  $\nabla' = 2(u, y)y - \|y\|^2 u$  in the order-three inequality, then we have the order-four inequality, which is

$$\begin{aligned} &4|(z, x)(x, y)(y, u)| \\ &\leq 2\|y\|^2 |(z, x)(x, u)| + \|x\|^2 [\|y\|^2 \|z\| \|u\| + |2(z, y)(y, u) - \|y\|^2(z, u)|]. \end{aligned}$$

A similar further process can be used for the order-five inequality, etc. We may easily show that high-order inequalities are all equivalent to the order-two inequality by keeping  $x$  fixed and letting all other vectors equal to  $y$ , as we did in the proof of Lemma 2.

The so called Bessel's inequality (in one variable  $x$ ) is the relation

$$\sum_{i=1}^n |(x, x_i)|^2 \leq \|x\|^2$$

for any  $x \in H$ , and  $\{x_i\}_{i=1}^n \subseteq H$  is an orthonormal set. the next result is a generalized Bessel's inequality (in two variables  $x$  and  $z$ ) in terms of substitution by  $\nabla$ .

**PROPOSITION 1.** *Let  $x, z \in H$  and  $\{x_i\}_{i=1}^n \subseteq H$  be an orthonormal set. Then*

$$(1) \sum_{i=1}^n |2(z, x)(x, x_i) - \|x\|^2(z, x_i)|^2 \leq \|x\|^4 \|z\|^2.$$

*Moreover, inequality (1) implies the following:*

- (2) *Bessel's inequality.*
- (3) *The order-two inequality.*
- (4) *The order-three inequality.*

*Proof.* (1) All we have to do is in Bessel's inequality let  $x$  be replaced as before by  $2(z, x)x - \|x\|^2 z$ .

- (2) Let  $z = x$  in (1).
- (3) In (1) let  $n = 1$ ,  $x_1 = \frac{y}{\|y\|}$ ,  $0 \neq y \in H$ , and  $z = x$ .
- (4) In (1) let  $n = 1$  and  $x_1 = \frac{y}{\|y\|}$ ,  $0 \neq y \in H$ .

For Bessel’s inequality, it is known that the equality holds if and only if the orthonormal set  $\{x_i\}_{i=1}^n$  is also a basis, and in this case it is called Parseval’s identity. Likewise, we have a generalized Parseval’s identity as follows.

PROPOSITION 2. *Let  $x, z \in H$  and  $\{x_i\}_{i=1}^n \subseteq H$  be an orthonormal set. Then the equality*

$$\sum_{i=1}^n |2(z, x)(x, x_i) - \|x\|^2 (z, x_i)|^2 = \|x\|^4 \|z\|^2$$

holds if and only if  $\{x_i\}_{i=1}^n$  is also a basis.

Note that the equality in Proposition 2 implies Parseval’s identity if  $z = x$ . Next, by the same proof as in Proposition 1 we have a generalized Selberg’s inequality (cf. Section 4) in two variables  $x$  and  $z$ .

PROPOSITION 3. *Let  $x, z \in H$  and  $\{x_i\}_{i=1}^n \subseteq H$ . Then*

$$\sum_{i=1}^n \frac{|2(z, x)(x, x_i) - \|x\|^2 (z, x_i)|^2}{\sum_{j=1}^n |(x_i, x_j)|} \leq \|x\|^4 \|z\|^2 .$$

In conclusion we remark that in literature it is shown that the order-two inequality is a simple consequence of Bessel’s inequality. More precisely, if  $y \neq 0$ , then the set consisting of the vector  $\frac{y}{\|y\|}$  alone is orthonormal, and so  $|(x, \frac{y}{\|y\|})|^2 \leq \|x\|^2$  by Bessel’s inequality. Thus the order-two inequality follows. It is the author’s belief that we have not seen the proof of the converse in literature, i.e., the order-two inequality implies Bessel’s inequality. So we shall include a simple proof as follows.

Let the set  $\{u_i\}_{i=1}^n$  be similar to (3) of Lemma 4, i.e.,  $u_0 = x$ , and  $u_i = u_{i-1} - (u_{i-1}, x_i)x_i$ ,  $i = 1, 2, \dots, n$ , where  $\{x_i\}_{i=1}^n \subseteq H$  is an orthonormal set. Then we have the following system:

$$\begin{aligned} u_1 &= x - (x, x_1)x_1 \\ u_2 &= u_1 - (u_1, x_2)x_2 \\ &\dots\dots\dots \\ u_{n-1} &= u_{n-2} - (u_{n-2}, x_{n-1})x_{n-1} \\ u_n &= u_{n-1} - (u_{n-1}, x_n)x_n. \end{aligned}$$

It follows that  $(u_{i-1}, x_i) = (x, x_i)$ ,  $i = 1, 2, \dots, n$ . By adding  $n$  equalities above the term  $u_n$  becomes independent of  $u_i$ ,  $i \neq n$ , which is essential in the proof. More precisely we obtain the relation

$$u_n = x - \sum_{i=1}^n (u_{i-1}, x_i)x_i = x - \sum_{i=1}^n (x, x_i)x_i.$$

Therefore,

$$\|u_n\|^2 = \left(x - \sum_{i=1}^n (x, x_i)x_i, x - \sum_{i=1}^n (x, x_i)x_i\right) = \|x\|^2 - \sum_{i=1}^n |(x, x_i)|^2.$$

Now, in particular if we use the order-two inequality  $|(u_n, e)|^2 \leq \|u_n\|^2$  for any unit vector  $e \in H$ , then we obtain

$$\left|(x, e) - \sum_{i=1}^n (x, x_i)(x_i, e)\right|^2 + \sum_{i=1}^n |(x, x_i)|^2 \leq \|x\|^2,$$

which is sharper than Bessel's inequality.

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