

## WEYL TYPE THEOREMS AND CLASS $A(s,t)$ OPERATORS

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*Abstract.* In this note we show that if  $T$  belongs to the class  $A(s,t)$  for some  $0 < s, t \leq 1$  then Weyl's and generalized Weyl's theorems hold for  $f(T)$  for every analytic function  $f$  on some open neighborhood of  $\sigma(T)$ . We also show that operators in  $A(s,t)$  satisfy the Bishop's property  $(\beta)$ .

### 1. Introduction

Let  $\mathbf{B}(\mathcal{H})$  be the algebra of all bounded linear operators acting on an infinite dimensional separable Hilbert space  $\mathcal{H}$ . We denote by  $\sigma(T)$ ,  $\sigma_s(T)$ ,  $\sigma_a(T)$ ,  $\sigma_p(T)$ ,  $\sigma_{jp}(T)$ , and  $\sigma_{ja}(T)$ , the spectrum, the surjectivity spectrum, the approximate point spectrum, the point spectrum, the joint point spectrum and the joint approximate point spectrum of  $T$ , respectively. If  $T \in \mathbf{B}(\mathcal{H})$  we shall write  $\ker(T)$  and  $\mathcal{R}(T)$  for the null space and range of  $T$ , respectively.

An operator  $T$  is said to be  $p$ -hyponormal, for  $p \in (0, 1]$ , if  $(T^*T)^p \geq (TT^*)^p$  [4]. An 1-hyponormal operator is *hyponormal* and  $\frac{1}{2}$ -hyponormal is said to be *semi-hyponormal*. An invertible operator  $T$  is said to be *log-hyponormal* if  $\log|T| \geq \log|T^*|$  [37].  $p$ -hyponormality and log-hyponormality were defined as extension of hyponormality.

An operator  $T$  is said to be *paranormal* if  $\|T^2x\| \geq \|Tx\|^2$  for every unit vector  $x$ . Paranormal operators have been studied by many authors, for examples [8, 17, 21, 23, 40]. Particularly,  $p$ -hyponormal operators and log-hyponormal operators are paranormal [40].

An operator  $T$  belongs to *class*  $A(k)$  for  $k > 0$  if

$$(T^*|T|^{2k}T)^{\frac{1}{k+1}} \geq |T|^2.$$

When  $k = 1$  we say that  $T$  belongs to *class*  $A$ . Furuta *et al.* [23] showed that every  $p$ -hyponormal or log-hyponormal operator belongs to *class*  $A$  and that every class  $A$  is paranormal.

As a further generalization of class  $A(k)$ , Fujii *et al.* [24] introduced the *class*  $A(s,t)$ :  $T$  belongs to class  $A(s,t)$  for  $s > 0$  and  $t > 0$  if

$$(|T^*|^t |T|^{2s} |T^*|^t)^{\frac{1}{t+s}} \geq |T^*|^{2t}.$$

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Class  $AI(s, t)$  is the class of all invertible class  $A(s, t)$  operators for  $s > 0$  and  $t > 0$ . It was pointed out in [42] that class  $A(k, 1)$  equals class  $A(k)$ . They showed several properties of class  $A(s, t)$  and class  $AI(s, t)$  as extensions of the properties of class  $A(k)$  shown in [23]. Particularly, they showed that  $T$  is log-hyponormal if and only if  $T$  belongs to class  $AI(s, t)$  for all  $s, t > 0$ .

Let  $T$  be an operator whose polar decomposition is  $T = U|T|$ , where  $|T| = \sqrt{T^*T}$ . For  $s, t > 0$ , the generalized Aluthge transformation  $\tilde{T}_{s,t}$  of  $T$  is

$$\tilde{T}_{s,t} = |T|^s U |T|^t.$$

When  $s = t = \frac{1}{2}$ , then  $\tilde{T}_{s,t}$  is called the Aluthge transformation of  $T$  and denoted by  $\tilde{T}$ , [4]. The relations between  $T$  and its transformation  $\tilde{T}_{s,t}$  are

$$\tilde{T}_{s,t} |T|^s = |T|^s U |T|^t |T|^s = |T|^s T, \tag{1.1}$$

and

$$U |T|^t \tilde{T}_{s,t} = U |T|^t |T|^s U |T|^t = T U |T|^t, \tag{1.2}$$

for each  $s, t > 0$  such that  $s + t = 1$ .

Aluthge and Wang [5] introduced  $w$ -hyponormal operators defined as follows: An operator  $T$  is said to be  $w$ -hyponormal if

$$|\tilde{T}| \geq |T| \geq |\tilde{T}^*|.$$

As a generalization of  $w$ -hyponormality, Ito [28] introduced the class  $wA(s, t)$ :

DEFINITION 1.1. ([28]) An operator  $T$  belongs to the class  $wA(s, t)$  for  $s > 0$  and  $t > 0$  if

$$(|T^*|^t |T|^{2s} |T^*|^t)^{\frac{1}{t+s}} \geq |T^*|^{2t} \tag{1.3}$$

and

$$|T|^{2s} \geq (|T|^s |T^*|^{2t} |T|^s)^{\frac{s}{s+t}}. \tag{1.4}$$

Ito pointed out the following fact which states that  $wA(s, t)$  can be expressed via generalized Aluthge transformation.

PROPOSITION 1.2. ([28]) An operator  $T$  belongs to the class  $wA(s, t)$  for  $s > 0$  and  $t > 0$  if and only if

$$|\tilde{T}_{s,t}|^{\frac{2t}{s+t}} \geq |T|^{2t} \text{ and } |T|^{2s} \geq |\tilde{T}_{s,t}|^{\frac{2s}{s+t}}.$$

In this note we study Weyl’s theorem for operators belong to  $A(s, t)$ . In Section 2 we prove some properties of operator  $T$  belongs to  $A(s, t)$  which we need in the sequel. In Section 3 we study the Weyl’s and Browder’s theorems for operators in  $A(s, t)$ . Section 4 is devoted to generalized Weyl’s theorem.

## 2. Properties of class $A(s,t)$ for some $0 < s, t \leq 1$

**THEOREM 2.1.** ([39]) *Let  $T$  belong to the class  $A(s,t)$  for  $0 < s, t \leq 1$  and let  $\lambda \neq 0$ . Then*

$$(T - \lambda)x = 0 \implies (T - \lambda)^*x = 0, x \in \mathcal{H}.$$

**LEMMA 2.2.** ([29]) *Class  $A(s,t)$  coincides with  $wA(s,t)$  for each  $s > 0$  and  $t > 0$ .*

**LEMMA 2.3.** ([24]) *For  $s > 0$  and  $t > 0$ ,  $T$  belongs to the class  $A(s,t)$  if and only if  $T$  belongs to the class  $AI(s,t)$  and  $T$  is invertible if and only if  $T$  is log-hyponormal.*

**LEMMA 2.4.** *If  $\tilde{T}_{s,t}$  is invertible, then  $|T|^t$  is invertible for each  $t > 0$ .*

*Proof.* Suppose that  $|T|^t$  is not invertible for each  $t > 0$ . Then  $|T|^s$  is not invertible for each  $s > 0$ . Hence, either the range  $\mathcal{R}(|T|^s)$  of  $|T|^s$  is not dense or  $|T|^s$  is not bounded below. Since  $\tilde{T}_{s,t}x = |T|^s(U|T|^t x)$ ,  $x \in \mathcal{H}$ ,  $\mathcal{R}(\tilde{T}_{s,t}) \subset \mathcal{R}(|T|^s)$ . If  $\mathcal{R}(|T|^s)$  is not dense, then  $\mathcal{R}(\tilde{T}_{s,t})$  is not dense and hence  $\tilde{T}_{s,t}$  is not invertible. On the other hand, if  $|T|^t$  is not bounded below, then there is a sequence  $\{x_n\}$  of unit vectors such that  $\| |T|^t x_n \| \rightarrow 0$ . Since  $\| \tilde{T}_{s,t} x_n \| \leq \| |T|^s U \| \| |T|^t x_n \|$ , then  $\| \tilde{T}_{s,t} x_n \| \rightarrow 0$ . Thus,  $\tilde{T}_{s,t}$  is not bounded below and is therefore not invertible. The proof is complete.  $\square$

**LEMMA 2.5.** *The operator  $T$  is invertible if and only if  $\tilde{T}_{s,t}$  is invertible.*

*Proof.* If  $T$  is invertible, then clearly  $\tilde{T}_{s,t}$  is invertible. If  $\tilde{T}_{s,t}$  is invertible, then Lemma 2.4 implies that  $|T|^t$  is invertible for each  $t > 0$ . Since  $T = |T|^{-s} \tilde{T}_{s,t} |T|^{1-t}$ ,  $T$  is invertible.  $\square$

**THEOREM 2.6.** *Let  $T$  be an invertible operator in the class  $A(s,t)$  for some  $0 < s, t \leq 1$ , then so is  $T^{-1}$ .*

*Proof.* If  $T$  is invertible belong to the class  $A(s,t)$ , then  $T$  is log-hyponormal, so  $T^{-1}$  is log-hyponormal. Therefore  $T^{-1}$  belongs to the class  $A(s,t)$  for some  $0 < s, t \leq 1$ .  $\square$

We write  $W(T)$  for the numerical range.  $W(T)$  is convex and  $\text{conv } \sigma(T) \subseteq \text{cl } W(T)$ . An operator is called *convexoid* if  $\text{conv } \sigma(T) = \text{cl } W(T)$ .

**REMARK 2.7.** The class  $A(s,t)$  operators is a subclass of the class of the normaloid operators, see [41, 24].

**LEMMA 2.8.** *Let  $T$  belong to the class  $A(s,t)$  for some  $0 < s, t \leq 1$ ,  $\lambda \in \mathbb{C}$ , and assume that  $\sigma(T) = \{\lambda\}$ . Then  $T = \lambda$ .*

*Proof.* We consider two cases:

*Case I* ( $\lambda = 0$ ): Since  $T$  belongs class  $A(s, t)$  for some  $0 < s, t \leq 1$ ,  $T$  is normaloid. Therefore  $T = 0$ .

*Case II* ( $\lambda \neq 0$ ): Here  $T$  is invertible, and since  $T$  belongs the class  $A(s, t)$  for some  $0 < s, t \leq 1$ , we see that  $T^{-1}$  is also belongs class  $A(s, t)$  for some  $0 < s, t \leq 1$ . Therefore  $T^{-1}$  is normaloid. On the other hand,  $\sigma(T^{-1}) = \{\frac{1}{\lambda}\}$ , so  $\|T\| \|T^{-1}\| = |\lambda| |\frac{1}{\lambda}| = 1$ . It follows that  $T$  is convexoid, so  $W(T) = \{\lambda\}$ . Therefore  $T = \lambda$ .  $\square$

LEMMA 2.9. *Let  $T$  belong to the class  $A(s, t)$  for some  $0 < s, t \leq 1$ ,  $\lambda = |\lambda| e^{i\theta} \in \mathbb{C}$ , and  $\lambda_{s+t} = |\lambda|^{s+t} e^{i\theta}$ , then  $\ker(T - \lambda) = \ker(\tilde{T}_{s,t} - \lambda_{s+t})$ .*

*Proof.* The inclusion  $\ker(T - \lambda) \subseteq \ker(\tilde{T}_{s,t} - \lambda_{s+t})$  follows from [6, 39]. For the other inclusion  $\ker(\tilde{T}_{s,t} - \lambda_{s+t}) \subseteq \ker(T - \lambda)$ , let  $\lambda \neq 0$  and  $0 \neq x \in \ker(\tilde{T}_{s,t} - \lambda_{s+t})$ . By [28],  $\tilde{T}_{s,t}$  is  $\frac{\min(s,t)}{s+t}$ -hyponormal and we have

$$\begin{aligned} |\tilde{T}_{s,t} x| &= |\lambda|^{s+t} x = |\tilde{T}_{s,t}^* x|, \\ |\tilde{T}_{s,t}|^{2\beta_1} - |\tilde{T}_{s,t}^*|^{2\beta_1} &\geq |\tilde{T}_{s,t}|^{2\beta_1} - |T|^{2\beta_1(s+t)} \geq 0, \end{aligned}$$

where  $\beta_1 = \frac{\min(s,t)}{s+t}$ . Hence,

$$\begin{aligned} (|\tilde{T}_{s,t}|^{2\beta_1} - |T|^{2\beta_1(s+t)})x &= 0, \\ \left\| |T|^{2\beta_1(s+t)}x - |\lambda|^{2\beta_1(s+t)}x \right\| &\leq \left\| |T|^{2\beta_1(s+t)}x - |\tilde{T}_{s,t}|^{2\beta_1} \right\| \\ &\quad + \left\| |\tilde{T}_{s,t}|^{2\beta_1}x - |\lambda|^{2\beta_1(s+t)}x \right\| = 0. \end{aligned}$$

On the other hand,  $\tilde{T}_{s,t}^* x = |\lambda|^{s+t} e^{-i\theta} x$  implies that  $|T|^s U^* x = |\lambda|^s e^{-i\theta} x$ ,  $T^* = |\lambda| e^{-i\theta}$ . Therefore,

$$\begin{aligned} \|(T - \lambda)x\|^2 &= \|Tx\|^2 - \lambda \langle x, Tx \rangle - \overline{\lambda} \langle Tx, x \rangle + |\lambda|^2 \|x\|^2 \\ &= \||T|x\|^2 - \lambda \langle T^*x, x \rangle - \overline{\lambda} \langle x, T^*x \rangle + |\lambda|^2 \|x\|^2 = 0. \end{aligned}$$

If  $\lambda = 0$ , let  $0 \neq x \in \tilde{T}_{s,t}$ , then  $x \in \ker(T) = \ker(|T|)$ . So that  $\ker(\tilde{T}_{s,t} - \lambda_{s+t}) \subseteq \ker(T - \lambda)$ .  $\square$

For  $T \in \mathbf{B}(\mathcal{H})$  let  $\lambda$  be an isolated point in  $\sigma(T)$ . We denote by  $E_\lambda$  the Riesz idempotent with respect to  $\lambda$  defined by  $E_\lambda = \frac{1}{2\pi i} \int_{\partial D_\lambda} (z - T)^{-1} dz$  where  $D_\lambda$  is a closed disk centered at  $\lambda$  which satisfies  $D_\lambda \cap \sigma(T) = \emptyset$ . It is well known that  $\ker(T - \lambda) \subseteq E_\lambda \mathcal{H}$  and that  $E_\lambda \mathcal{H} = \{x \in \mathcal{H} : \|(T - \lambda)^n x\|^{\frac{1}{n}} \rightarrow 0\}$  (see [35, p. 424]).

THEOREM 2.10. *Let  $T$  belong to the class  $A(s, t)$  for some  $0 < s, t \leq 1$ ,  $\lambda = |\lambda| e^{i\theta} \in \mathbb{C}$  such that  $\lambda \in \text{iso}\sigma(T)$ , and  $\lambda_{s+t} = |\lambda|^{s+t} e^{i\theta}$ , then the following assertions hold.*

- (1) If  $\lambda \neq 0$ , then  $E_\lambda = E_\lambda(s, t)$  and  $E_\lambda \mathcal{H} = \ker(T - \lambda) = \ker(T - \lambda)^*$ , where  $E_\lambda(s, t)$  is the Riesz idempotent of the  $\tilde{T}_{s,t}$  with respect to  $\lambda_{s+t}$ .
- (2) If  $\lambda = 0$ , then  $\ker T = E_0 \mathcal{H} = E_0(s, t) \mathcal{H} = \ker(\tilde{T}_{s,t})$ .

*Proof.* (1) Since  $\sigma(\tilde{T}_{s,t}) \setminus \{0\} = \sigma(U|T|^{s+t}) \setminus \{0\} = \{\rho^{s+t} e^{i\theta} : \rho e^{i\theta} \in \sigma(T)\} \setminus \{0\}$  (see [9]), then  $\lambda_{s+t} \in \text{iso } \sigma(\tilde{T}_{s,t})$ . Hence

$$(E_\lambda(t, s) \mathcal{H})^\perp = \ker(E_\lambda(t, s)) = I - E_\lambda(t, s) \mathcal{H} \quad (2.1)$$

by [13]. So  $\lambda_{s+t} \notin \sigma(\tilde{T}_{s,t}|_{(E_\lambda(t, s) \mathcal{H})^\perp})$ . By Theorem 2.1 and Lemma 2.9, we have  $T = \begin{pmatrix} \lambda & 0 \\ 0 & T_{22} \end{pmatrix}$  on  $\mathcal{H} = (E_\lambda(t, s) \mathcal{H})^\perp \oplus (E_\lambda(t, s) \mathcal{H})$ , where  $T_{22} = T|_{\ker(T-\lambda)^\perp}$ . Since  $\ker(T - \lambda)$  is reduced by  $T$ ,  $T_{22}$  belong also to the class  $A(s, t)$  and  $\tilde{T}_{22}(s, t) = \tilde{T}_{s,t}|_{(E_\lambda(t, s) \mathcal{H})^\perp}$ , so that  $\lambda \notin \sigma(T_{22})$  because  $\lambda_{s+t} \notin \sigma(\tilde{T}_{22}(s, t))$ . Hence  $T - \lambda = 0 \oplus (T_{22} - \lambda)$  and  $\ker(T - \lambda)^* = \ker(T - \lambda) \oplus \ker(T_{22} - \lambda)^* = \ker(T - \lambda)$ . Meanwhile  $E_\lambda = \int_{\partial \mathcal{D}} (z - \lambda)^{-1} \oplus (z - T_{22})^{-1} dz = 1 \oplus 0 = E_\lambda(s, t)$ .

(2) Since  $\tilde{T}_{s,t}$  is  $\frac{\min(s,t)}{s+t}$ -hyponormal [28], we only need to prove that  $E_0 \mathcal{H} \subseteq E_0(s, t) \mathcal{H}$  since  $E_0 \mathcal{H} \supseteq E_0(s, t) \mathcal{H}$  holds by Theorem 2.9 and [13]. We have

$$|T|^s \ker(T) \subseteq |T|^s E_0 \mathcal{H}. \quad (2.2)$$

Let  $x \in E_0 \mathcal{H}$ . Then it follows from Equality (1.1) that

$$\|\tilde{T}_{s,t}^n |T|^s x\|^{\frac{1}{n}} = \||T|^s T^n x\|^{\frac{1}{n}} \rightarrow 0.$$

Thus  $E_0 \mathcal{H} \subseteq E_0(s, t) \mathcal{H}$ . Hence  $E_0(s, t) \mathcal{H}$  is reduced by  $|T|^s$ .

Let  $x \in E_0 \mathcal{H}$  and  $x = x_1 + x_2 \in E_0(s, t) \mathcal{H} \oplus (E_0(s, t) \mathcal{H})^\perp$ . Then  $|T|^s x \in |T|^s E_0 \mathcal{H} \subseteq E_0(s, t) \mathcal{H}$ ,  $|T|^s x_1 \in E_0(s, t) \mathcal{H}$  and  $|T|^s x_2 \in (E_0(s, t) \mathcal{H})^\perp$  by Equality (2.2), and  $E_0(s, t) \mathcal{H}$  is reduced by  $|T|^s$ .  $\square$

A bounded linear operator  $T$  is said to be *isoloid* if every isolated point of  $\sigma(T)$  is an eigenvalue.

**THEOREM 2.11.** *Let  $T$  belong to the class  $A(s, t)$  for any  $0 < s, t \leq 1$ . Then  $T$  is isoloid.*

*Proof.* Let  $\lambda$  be an isolated point in  $\sigma(T)$  and let  $E_\lambda$  be the associated Riesz idempotent.

If  $\lambda = 0$ , then  $\sigma(T|_{\text{ran}(E_\lambda)}) = \{0\}$ . Since  $T|_{\text{ran}(E_\lambda)}$  is class  $A(s, t)$  operator for each  $s > 0$  and  $t > 0$ , so it follows by Lemma 2.8 that  $T|_{\text{ran}(E_\lambda)} = 0$ . Therefore  $\lambda = 0$  is an eigenvalue of  $T$ .

If  $\lambda \neq 0$ , then  $T|_{\text{ran}(E_\lambda)}$  is invertible belongs to the class  $A(s, t)$  for each  $s > 0$  and  $t > 0$  operator and hence  $(T|_{\text{ran}(E_\lambda)})^{-1}$  is also belong to the class  $A(s, t)$  for

each  $s > 0$  and  $t > 0$ . By [39], we see  $\|T|_{ran(E_\lambda)}\| = |\lambda|$  and  $\|(T|_{ran(E_\lambda)})^{-1}\| = \frac{1}{|\lambda|}$ . Let  $x \in ran(E_\lambda)$  be an arbitrary vector. Then  $\|x\| \leq \|(T|_{ran(E_\lambda)})^{-1}\| \|T|_{ran(E_\lambda)}x\| = \frac{1}{|\lambda|} \|T|_{ran(E_\lambda)}x\| \leq \frac{1}{|\lambda|} |\lambda| \|x\| = \|x\|$ . This implies that  $\frac{1}{\lambda} T|_{ran(E_\lambda)}$  is unitary with its spectrum  $\sigma(\frac{1}{\lambda} T|_{ran(E_\lambda)}) = \{1\}$ . Hence  $T|_{ran(E_\lambda)} = \lambda$  and  $\lambda$  is an eigenvalue of  $T$ . This ends the proof.  $\square$

$T$  is called *regular* if there exist a bounded linear operator  $T'$  such that  $T = TT'T$ . A bounded linear operator is said to be *reguloid* if  $T - \lambda$  is regular of every  $\lambda \in iso \sigma(T)$ .

**THEOREM 2.12.** *Let  $T$  belong to the class  $A(s,t)$  for some  $0 < s, t \leq 1$ . Then  $T$  is reguloid.*

*Proof.* If  $\lambda \in iso \sigma(T)$ , then  $\mathcal{H} = E_\lambda \mathcal{H} + (I - E_\lambda) \mathcal{H}$ , where  $E_\lambda$  and  $(I - E_\lambda) \mathcal{H}$  are topologically complemented. By  $T = T|_{E_\lambda \mathcal{H}} + T|_{(I - E_\lambda) \mathcal{H}}$  and Theorem 2.10, we have

$$(T - \lambda) \mathcal{H} = (T|_{(I - E_\lambda) \mathcal{H}} - \lambda)(I - E_\lambda) \mathcal{H}. \tag{2.3}$$

Therefore  $(T - \lambda) \mathcal{H}$  is closed because  $\sigma(T|_{(I - E_\lambda) \mathcal{H}}) = \sigma(T) - \{\lambda\}$ .  $\square$

Recall that the *ascent*,  $a(T)$ , of an operator  $T$  is the smallest non-negative integer  $p$  such that  $\ker(T^p) = \ker(T^{p+1})$ . If such integer does not exist we put  $a(T) = \infty$ . Analogously, the *descent*,  $d(T)$ , of an operator  $T$  is the smallest non-negative integer  $q$  such that  $\mathcal{R}(T^q) = \mathcal{R}(T^{q+1})$ , and if such integer does not exist we put  $d(T) = \infty$ .

**THEOREM 2.13.** *Let  $T$  belong to the class  $A(s,t)$  for some  $0 < s, t \leq 1$ . Then  $T$  is of finite ascent.*

*Proof.* Let  $x \in \ker(T^2)$ , then  $\|Tx\|^2 \leq \|T^2x\| = 0$ , and so  $x \in \ker(T)$ . Since the non-zero eigenvalues of class  $A(s,t)$  operators for each  $s > 0$  and  $t > 0$  are normal eigenvalues of  $T$  by Theorem 2.1, if  $0 \neq \lambda \in \sigma_p(T)$  and  $(T - \lambda)^2x = 0$ , then  $(T - \lambda)(T - \lambda)x = 0 = (T - \lambda)^*(T - \lambda)x$  and  $\|(T - \lambda)x\|^2 = \langle (T - \lambda)^*(T - \lambda)x, x \rangle = 0$ . Hence, if  $T$  belongs to class  $A(s,t)$  for every  $s > 0$  and  $t > 0$ , then  $a(T - \lambda) = 1$ .  $\square$

Let  $Hol(\sigma(T))$  be the space of all functions that analytic in an open neighborhoods of  $\sigma(T)$ . Following [20], we say that  $T \in \mathbf{B}(\mathcal{H})$  has the single-valued extension property (SVEP) at point  $\lambda \in \mathbb{C}$  if for every open neighborhood  $U_\lambda$  of  $\lambda$ , the only analytic function  $f : U_\lambda \rightarrow \mathcal{H}$  which satisfies the equation  $(T - \mu)f(\mu) = 0$  is the constant function  $f \equiv 0$ . It is well-known that  $T \in \mathbf{B}(\mathcal{H})$  has SVEP at every point of the resolvent  $\rho(T) := \mathbb{C} - \sigma(T)$  and at every isolated point of  $\sigma(T)$ . If  $T$  has SVEP, then for each  $\lambda$ ,  $T - \lambda$  is invertible if and only if it is surjective (see [20, 32]).

In [31, Proposition 1.8], its proved that if  $T$  is of finite ascent, then  $T$  has SVEP. Hence it follows from last Theorem.

**COROLLARY 2.14.** *Let  $T$  belong to the class  $A(s,t)$  for some  $0 < s, t \leq 1$ . Then  $T$  has the SVEP.*

Following [32], an operator  $T$  is said to have *Bishop's property* ( $\beta$ ) at  $\lambda \in \mathbb{C}$  if for every open neighborhood  $G$  of  $\lambda$ , the function  $f_n \in \text{Hol}(\sigma(T))$  with  $(T - \lambda)f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$  implies that  $f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ . When  $T$  has Bishop's property ( $\beta$ ) at each  $\lambda \in \mathbb{C}$ , simply say that  $T$  has property ( $\beta$ ).

LEMMA 2.15. ([30]) *Let  $G$  be open subset of complex plane  $\mathbb{C}$  and let  $f_n \in \text{Hol}(G)$  be functions such that  $\mu f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ , then  $f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ .*

In [19] it is shown that every  $p$ -hyponormal operator has Bishop's property ( $\beta$ ).

THEOREM 2.16. *Let  $T$  belong to the class  $A(s,t)$  for some  $0 < s, t \leq 1$ . Then  $T$  has the property ( $\beta$ ).*

*Proof.* Since  $\tilde{T}_{s,t}$  is  $\frac{\min(s,t)}{s+t}$ -hyponormal ([28]) it suffices to show that  $T$  has property ( $\beta$ ) if and only if  $\tilde{T}_{s,t}$  has property ( $\beta$ ).

Let  $G$  be an open neighborhood of  $\lambda$  and let  $f_n \in \text{Hol}(\sigma(T))$  be functions such that  $(\mu - \tilde{T}_{s,t})f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ . By Equations 1.2,  $(\mu - T)(U|T|^s f_n(\mu)) = U|T|^s(\mu - \tilde{T}_{s,t})f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ . Hence  $\tilde{T}_{s,t}f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ , and  $\tilde{T}_{s,t}$  having property  $\beta$  follows by Lemma 2.15.

Suppose that  $\tilde{T}_{s,t}$  has property ( $\beta$ ). Let  $G$  be an open neighborhood of  $\lambda$  and let  $f_n \in \text{Hol}(\sigma(T))$  be functions such that  $(\mu - T)f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ . Since  $(\tilde{T}_{s,t} - \mu)|T|^s f_n(\mu) = |T|^s(T - \mu)f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ . Hence  $Tf_n(\mu) = U|T|^s|T|^t f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$  for  $\tilde{T}_{s,t}$  has property ( $\beta$ ), so that  $\mu f_n(\mu) \rightarrow 0$  uniformly on every compact subset of  $G$ , and  $T$  has property ( $\beta$ ) follows by Lemma 2.15.  $\square$

### 3. Weyl's Theorem

Let  $\alpha(T) := \dim \ker(T)$ ,  $\beta(T) := \dim \mathcal{R}(T)$ . An operator  $T \in \mathbf{B}(\mathcal{H})$  is called *upper semi-Fredholm*,  $T \in \Phi_+(\mathcal{H})$ , if  $\mathcal{R}(T)$  is closed and  $\alpha(T) < \infty$ .  $T$  is *lower semi-Fredholm*,  $T \in \Phi_-(\mathcal{H})$ , if  $\beta(T) < \infty$ .  $T$  is *semi-Fredholm*,  $T \in \Phi_{\pm}(\mathcal{H})$ , if  $T \in \Phi_-(\mathcal{H}) \cup \Phi_+(\mathcal{H})$  and  $T$  is called *Fredholm*,  $T \in \Phi(\mathcal{H})$ , if  $T \in \Phi_-(\mathcal{H}) \cap \Phi_+(\mathcal{H})$ . The index of a semi-Fredholm operator is given by

$$i(T) := \alpha(T) - \beta(T).$$

$T$  is called *Weyl* if it is Fredholm of index 0, and *Browder* if it is Fredholm "of finite ascent and descent".

The essential spectrum  $\sigma_F(T)$ , the Weyl spectrum  $\sigma_W(T)$  and the Browder spectrum  $\sigma_b(T)$  of  $T$  are defined by

$$\sigma_F(T) = \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Fredholm}\}$$

$$\sigma_W(T) = \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Weyl}\}$$

and

$$\sigma_b(T) = \{\lambda \in \mathbb{C} : T - \lambda \text{ is not Browder}\}$$

respectively. Evidently

$$\sigma_F(T) \subseteq \sigma_W(T) \subseteq \sigma_b(T) \subseteq \sigma_F(T) \cup acc\sigma(T)$$

where we write  $accK$  for the accumulation points of  $K \subseteq \mathbb{C}$ .

Let

$$\Phi_+^-(\mathcal{H}) := \{T \in \Phi_+(\mathcal{H}) : i(T) \leq 0\}.$$

Let  $\mathbf{K}(\mathcal{H})$  denote the ideal of compact operators in  $\mathbf{B}(\mathcal{H})$ , and consider the following spectral subsets:

$$\begin{aligned} \sigma_{aW}(T) &:= \bigcap \{\sigma_a(T+S) : S \in \mathbf{K}(\mathcal{H})\} = \{\lambda \in \mathbb{C} : T - \lambda \notin \Phi_+^-(\mathcal{H})\}, \\ \sigma_{ab}(T) &:= \bigcap \{\sigma_a(T+S) : S \in \mathbf{K}(\mathcal{H}) \text{ and } TS = ST\}. \end{aligned}$$

Following [14], we say that *Weyl's theorem* holds for  $T$  if  $\sigma(T) \setminus \sigma_W(T) = \pi_{00}(T)$ , where  $\pi_{00}(T)$  is the set of all isolated point in  $\sigma(T)$  which are eigenvalues of finite multiplicity. And *Browder's theorem* holds for  $T$  if  $\sigma(T) \setminus \sigma_W(T) = \pi_0(T)$ , where  $\pi_0$  is the set of all poles of  $T$  of finite rank, and that  $T$  satisfies *a-Browder's theorem* if  $\sigma_{SF_+}(T) = \sigma_a(T) \setminus \pi_0^a(T)$ , where  $\pi_0^a(T)$  is the set of left poles of finite rank.

According to [34], we say that  $T$  satisfies *a-Weyl's theorem* if  $\sigma_a(T) \setminus \sigma_{aW}(T) = \pi_{a0}(T)$ , where  $\pi_{a0}(T)$  is the set of all isolated point in  $\sigma_a(T)$  which are eigenvalues of finite multiplicity. It follows from [18, 34] that

$$\begin{aligned} a\text{-Weyl's theorem} &\implies \text{Weyl's theorem} \implies \text{Browder's theorem} \\ a\text{-Weyl's theorem} &\implies a\text{-Browder's theorem} \implies \text{Browder's theorem.} \end{aligned}$$

The investigation of operators obeying Weyl's theorem, *a-Weyl's theorem*, Browder's theorem or *a-Browder's theorem* was studied by many mathematicians [1, 2, 14, 16, 18, 26, 33, 34] and the references cited therein.

**THEOREM 3.1.** *Let  $T$  belong to the class  $A(s,t)$  for some  $0 < s, t \leq 1$ . Then the following assertions hold.*

- (1) *Weyl's theorem holds for  $T$ .*
- (2)  *$\Sigma(f(T)) = f(\Sigma(T))$  for every  $f \in Hol(\sigma(T))$ , where  $\Sigma(T)$  denotes either of  $\sigma_W(T)$  or  $\sigma_{aW}(T)$ .*
- (3) *Weyl's theorem holds for  $f(T)$  when  $f \in Hol(\sigma(T))$ .*



*Proof.* (1) Recall that if  $T$  belongs to the class  $A(s,t)$  for some  $0 < s, t \leq 1$  and is Fredholm, then  $i(T) \leq 0$ . Indeed, since  $T$  is Fredholm,  $|T|^s$  is also Fredholm and  $i(|T|^s) = 0$ . By Equations 1.1 and 1.2,

$$i(T) = i(|T|^s T) = i(\widetilde{T}_{s,t} |T|^s) = i(\widetilde{T}_{s,t}). \quad (3.1)$$

Hence,  $i(T) \leq 0$  implies  $i(\widetilde{T}_{s,t}) \leq 0$ .

Let  $\lambda \in \sigma(T) \setminus \sigma_W(T)$ , then  $T - \lambda$  is Fredholm,  $i(T - \lambda) = 0$ , and  $\dim \ker(T - \lambda) > 0$ .

If  $\lambda$  is an interior point of  $\sigma(T)$ , there would be an open subset  $G \subseteq \sigma(T)$  including  $\lambda$  such that  $i(T - \mu) = i(T - \lambda) = 0$  for all  $\mu \in G$  (see [15]). So  $\dim \ker(T - \mu) > 0$  for all  $\mu \in G$ , this is impossible for  $T$  has SVEP by Theorem 2.16 and [20, Theorem 10]. Thus  $\lambda \in \partial \sigma(T) \setminus \sigma_W(T)$ ,  $\lambda \in iso \sigma(T)$  (see [15, Theorem 6.8, page 366]), and  $\lambda \in \pi_{00}(T)$  follows.

Let  $\lambda \in \pi_{00}(T)$ , then the Riesz idempotent  $P_\lambda$  has finite rank by Theorem 2.10, and  $\lambda \in \sigma(T) \setminus \sigma_W(T)$  follows.

(2) Since  $T$  has the SVEP then it follows from [16, Corollary 2.6] and [16, Theorem 3.1] that  $\sigma_W(f(T)) = f(\sigma_W(T))$  and  $\sigma_{aW}(f(T)) = f(\sigma_{aW}(T))$  for every  $f \in Hol(\sigma(T))$ .

(3) Since  $T$  is isoloid by Theorem 2.11, has the SVEP (Corollary 2.14) and satisfies Weyl's theorem, then it follows from [16, Theorem 2.5] that  $f(T)$  satisfies Weyl's theorem.  $\square$

**THEOREM 3.2.** ([39]) *Let  $T$  belong to the class  $A(s,t)$  for some  $0 < s, t \leq 1$ . If  $\widetilde{T}_{s,t}$  is normal, then  $T$  is normal.*

**THEOREM 3.3.** *Let  $T$  belong to the class  $A(s,t)$  for some  $0 < s, t \leq 1$ , then the following assertions hold.*

- (1) *if  $m_2(\sigma(T)) = 0$  where  $m_2$  means the planer Lebesgue measure, then  $T$  is normal.*
- (2) *If  $\sigma_W(T) = \{0\}$ , then  $T$  is compact and normal.*

*Proof.* (1) It follows from  $(\frac{\min(s,t)}{s+t})$ -hyponormality and Putnam's inequality for  $(\frac{\min(s,t)}{s+t})$ -hyponormal operators [12], that  $\widetilde{T}_{s,t}$  is normal. Hence, (1) follows by Theorem 3.2.

(2) Since  $\sigma_W(T) = 0$ ,  $\sigma(T) \setminus \{0\} = \pi_0(T) \subseteq iso \sigma(T)$ . Hence  $m_2(\sigma(T)) = 0$  and  $T$  is normal by (1).

Next to prove that  $T$  is compact, we may assume that  $\sigma(T) \setminus \{0\}$  is a countable infinite set for  $\sigma(T) \setminus \{0\} \subseteq iso \sigma(T)$ . Let  $\sigma(T) \setminus \{0\} = \{\lambda_n\}_{n=1}^\infty$  with  $\lambda_1 \geq \lambda_2 \geq \dots \geq 0$  and  $\mu = \lim_{n \rightarrow \infty} |\lambda_n|$ , then  $\mu = 0$ . Since every  $E_{\lambda_n}$  has finite rank by Theorem 2.10, for every  $\varepsilon > 0$ ,  $\bigoplus_{|\lambda_n| > \varepsilon} E_{\lambda_n}$  also has finite rank. Therefore  $T$  is compact (See [15, page 271]).  $\square$

Recall that an operator  $T$  satisfies *a-Browder's theorem* if and only if  $\sigma_{ab}(T) = \sigma_{aW}(T)$ .

**THEOREM 3.4.** *Let  $T$  belong to the class  $A(s, t)$  for some  $0 < s, t \leq 1$ . Then  $T$  and  $T^*$  satisfy  $a$ -Browder's theorem.*

*Proof.* Recall [2, Corollary 2.4] that if either  $T$  or  $T^*$  has SVEP, then both  $T$  and  $T^*$  satisfy  $a$ -Browder's theorem. So the result is an immediate consequence of Corollary 2.14.  $\square$

**LEMMA 3.5.** *Let  $T$  belong to the class  $A(s, t)$  for some  $0 < s, t \leq 1$ . If  $\bar{\lambda} \in \pi_{00}(T^*)$ , then it is a pole of the resolvent of  $T^*$ .*

*Proof.* If  $0 \neq \bar{\lambda} \in \pi_{00}(T^*)$ , then  $\lambda \in \text{iso } \sigma(T)$ , then it follows from Theorem 2.1 that  $\lambda$  is a normal eigenvalue of  $T$ , and hence a simple pole of the resolvent of  $T$  by Theorem 2.10. If, instead,  $\lambda = 0$ , then  $\dim \ker(T^*) < \infty$  implies  $\mathcal{R}(T^*)$  is closed and hence  $T^* \in \Phi_+(\mathcal{H})$  implies  $T \in \Phi_-(\mathcal{H})$ . Since both  $T$  and  $T^*$  has SVEP at 0, it follows that,  $a(T) = d(T) < \infty$  by [1, Theorem 2.3]. Hence 0 is a pole of the resolvent of  $T$  implies 0 is a pole of the resolvent of  $T^*$ .  $\square$

**THEOREM 3.6.** *Let  $T$  belong to the class  $A(s, t)$  for some  $0 < s, t \leq 1$ . Then  $f(T^*)$  satisfies  $a$ -Weyl's theorem for every  $f \in \text{Hol}(\sigma(T))$ .*

*Proof.* Recall from [1, Theorem 3.6] that for a Banach space operator  $T$  with SVEP,  $T^*$  satisfies Weyl's theorem if and only if  $T^*$  satisfies  $a$ -Weyl's theorem. Since the SVEP is stable under functional calculus,  $T$  has SVEP implies  $f(T)$  has SVEP for every  $f \in \text{Hol}(\sigma(T))$ . It will suffice to prove that  $f(T^*)$  satisfies Weyl's theorem. Observe that if  $T$  belongs to the class  $A(s, t)$  for each  $s > 0$  and  $t > 0$ , then  $a(T - \lambda) < \infty \implies i(T - \lambda) \leq 0 \implies i(T^* - \bar{\lambda}) \geq 0$  for every  $\lambda$ ; hence  $\sigma_W(T) = \overline{\sigma_W(T^*)}$  and  $\sigma(f(T^*)) = f(\sigma(T^*))$  it follows from Theorem 2.11 and proof of Theorem 3.1 that it will suffice to prove that  $T^*$  satisfies Weyl's theorem. Since  $T^*$  satisfies Browder's theorem by Theorem 3.4,  $\sigma(T^*) \setminus \sigma_W(T^*) = \pi_0(T^*) \subseteq \pi_{00}(T^*)$ . Let  $\bar{\lambda} \in \pi_{00}(T^*)$ , then  $\bar{\lambda} \in \pi_0(T^*)$  by Lemma 3.5. Hence  $\pi_0(T^*) = \pi_{00}(T^*)$ . Therefore  $T^*$  satisfies Weyl's theorem.  $\square$

**THEOREM 3.7.** *Let  $T$  belong to the class  $A(s, t)$  for some  $0 < s, t \leq 1$ . If  $F$  is an operator that commutes with  $T$  and for which there exists a positive integer  $n$  such that  $F^n$  is finite rank, then  $T + F$  satisfies Weyl's theorem.*

*Proof.* From Theorem 2.11 and Theorem 3.1,  $T$  is isoloid and satisfies Weyl's theorem. Now the result follows at once from [33, Theorem 2.4].  $\square$

#### 4. Applications to generalized Weyl's theorem

For each nonnegative integer  $n$  define  $T_n$  to be the restriction of  $T$  to  $\mathcal{R}(T^n)$  viewed as a map from  $\mathcal{R}(T^n)$  into  $\mathcal{R}(T^n)$  (in particular  $T_0 = T$ ). If for some  $n$ ,  $\mathcal{R}(T^n)$  is closed and  $T_n$  is an upper (resp. lower) semi-Fredholm operator then  $T$  is called

an upper (resp. lower) semi-B-Fredholm operator. A semi-B-Fredholm operator is an upper or lower semi-B-Fredholm operators. If moreover,  $T_n$  is a Fredholm operator then  $T$  is called a B-Fredholm operator. The index of a semi-B-Fredholm is defined as the index of the semi-Fredholm operator  $T_n$  (see [10]). In [10] it is proved that an operator  $T$  is a B-Fredholm operator if and only if  $T = F \oplus N$ , where  $F$  is a Fredholm operator and  $N$  is a nilpotent operator. An operator  $T \in \mathcal{B}(H)$  is said to be a B-Weyl operator if it is a B-Fredholm operator of index zero. The B-Weyl spectrum  $\sigma_{BW}(T)$  of  $T$  is defined by

$$\sigma_{BW}(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not a B-Weyl operator}\}.$$

Following [10] generalized Weyl's theorem holds for  $T$  if

$$\sigma(T) \setminus E(T) = \sigma_{BW}(T); \quad (4.1)$$

where  $E(T)$  is the set of all isolated eigenvalues of  $T$  and generalized Browder's theorem holds for  $T$  if

$$\sigma(T) \setminus \sigma_{BW}(T) = \pi(T);$$

where  $\pi(T)$  is the set of all poles of  $T$ .

Let  $SBF_+(H)$  be the class of all upper semi-B-Fredholm operators, and  $SBF_+^-(H)$  the class of all  $T \in SBF_+(H)$  such that  $\text{ind}(T) \leq 0$ . Also let

$$\sigma_{SBF_+^-}(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not in } SBF_+^-(H)\},$$

called the semi-B-essential approximate point spectrum. We say that  $T$  obeys generalized  $a$ -Weyl's theorem if

$$\sigma_{SBF_+^-}(T) = \sigma_{ap}(T) \setminus E^a(T),$$

where  $E^a(T)$  is the set of all eigenvalues of  $T$  which are isolated in  $\sigma_{ap}(T)$  ([10, Definition 2.13]). This also gives a generalization of  $a$ -Weyl's theorem. We say that  $T$  obeys generalized  $a$ -Browder's theorem if

$$\sigma_{SBF_+^-}(T) = \sigma_{ap}(T) \setminus \pi^a(T).$$

From [10, 34] we have the following implications

generalized  $a$ -Weyl's theorem  $\Rightarrow$  generalized Weyl's theorem  $\Rightarrow$  Weyl's theorem ,

generalized  $a$ -Weyl's theorem  $\Rightarrow$   $a$ -Weyl's theorem  $\Rightarrow$  Weyl's theorem .

Recently, in [7] it is proved that

generalized Browder's theorem  $\Leftrightarrow$  Browder's theorem,

generalized  $a$ -Browder's theorem  $\Leftrightarrow$   $a$ -Browder's theorem.

PROPOSITION 4.1. *Let  $T$  belong to the class  $A(s, t)$  for some  $0 < s, t \leq 1$ . Then*

$$E(T) = \pi(T).$$

*Proof.* Let  $\lambda \in E(T)$  then  $\lambda \in \text{iso } \sigma(T)$ . If  $\lambda \neq 0$ , then it follows from Theorem 2.1 that  $\lambda$  is a normal eigenvalue of  $T$ , and hence a simple pole of the resolvent of  $T$  by Theorem 2.10. Now if  $\lambda = 0$ , then  $T$  is a B-Weyl operator. For  $n$  large enough,  $T - \frac{1}{n}$  is invertible then in particular a Fredholm operator. Since  $T - \frac{1}{n}$  and  $T^* - \frac{1}{n}$  have the SVEP at 0, then it follows from [3, Theorem 2.6 and Theorem 2.8] that  $a(T - \frac{1}{n}) = d(T - \frac{1}{n})$ . Hence by [25, Theorem 4.7] we have  $a(T) = d(T) < \infty$ . Therefore  $0 \in \pi(T)$ .  $\square$

THEOREM 4.2. *Let  $T$  belong to the class  $A(s, t)$  for some  $0 < s, t \leq 1$ . Then the following assertions hold.*

- (1) *generalized Weyl's theorem holds for  $T$ .*
- (2)  *$\Sigma(f(T)) = f(\Sigma(T))$  for every  $f \in \text{Hol}(\sigma(T))$ , where  $\Sigma(T)$  denotes either of  $\sigma_{BW}(T)$  or  $\sigma_{SBF_+}(T)$ .*
- (3) *generalized Weyl's theorem holds for  $f(T)$  for every  $f \in \text{Hol}(\sigma(T))$ .*

*Proof.* (1) Since  $E(T) = \pi(T)$  by Proposition 4.1 and Weyl's theorem holds for  $T$  then it follows from [7, Corollary 2.1] that generalized Weyl's theorem holds for  $T$ .

(2) Since  $T$  satisfies the SVEP, then the result follows from [43, Theorem 2.1 and Theorem 2.3].

(3)  $T$  is isoloid, satisfies the SVEP and generalized Weyl's theorem holds for  $T$ , then it follows from [43, Theorem 2.2] that generalized Weyl's theorem holds for  $f(T)$  for every  $f \in \text{Hol}(\sigma(T))$ .  $\square$

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