

THE INVERSE THEOREM OF APPROXIMATION THEORY IN SMIRNOV–ORLICZ CLASSES

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Abstract. Let Γ be a Dini-smooth curve in the complex plane \mathbb{C} . In this study we prove inverse theorem of approximation theory by polynomials in Smirnov-Orlicz classes $E_M(G)$.

1. Introduction and main results

Let Γ be a rectifiable Jordan curve in the complex plane \mathbb{C} . This curve separates the plane into two domains $G := \text{int } \Gamma$, $G^- := \text{ext } \Gamma$. Without loss of generality we may assume $0 \in G$. Let D be the unit disc, $T := \partial D$, $D^- := \text{ext } T$. We denote by ϕ the conformal mapping of G^- onto D^- normalized by $\phi(\infty) = \infty$ and $\lim_{z \rightarrow \infty} \phi(z)/z > 0$.

Let $\psi(w)$ be the inverse to $\phi(z)$.

Let h be a continuous function on $[0, 2\pi]$. Its modulus of continuity is defined by

$$\omega(t, h) := \sup\{|h(t_1) - h(t_2)| : t_1, t_2 \in [0, 2\pi], |t_1 - t_2| \leq t\}, \quad t \geq 0.$$

DEFINITION 1. The curve Γ is called Dini-smooth if it has a parametrization

$$\Gamma : \phi_0(s), \quad 0 \leq s \leq 2\pi$$

such that $\phi'_0(s)$ is Dini-continuous, i.e.

$$\int_0^\pi \frac{\omega(t, \phi'_0)}{t} dt < \infty$$

and $\phi'_0(s) \neq 0$ [25, p. 48].

Note that, when Γ is Dini-smooth curve then the following inequalities hold

$$\begin{aligned} c_1 \leq |\psi'(w)| \leq c_2, & \quad |w| \geq 1, \\ c_3 \leq |\phi'(z)| \leq c_4, & \quad z \in \overline{G}, \end{aligned} \tag{1.1}$$

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where the constants c_1, c_2 and c_3, c_4 are independent of w and z respectively [29].

A convex and continuous function $M : [0, \infty) \rightarrow [0, \infty)$ for which $M(0) = 0$, $M(x) > 0$ for $x > 0$ and

$$\lim_{x \rightarrow 0} \frac{M(x)}{x} = 0, \quad \lim_{x \rightarrow \infty} \frac{M(x)}{x} = \infty$$

is called a Young function. The complementary Young function N of M is defined by

$$N(y) := \max_{x \geq 0} (xy - M(x)), \quad y \geq 0.$$

We denote by $L_M(\Gamma)$ the linear space of Lebesgue measurable functions $f : \Gamma \rightarrow \mathbb{C}$ satisfying the condition

$$\int_{\Gamma} M[\alpha |f(z)|] |dz| < \infty$$

for some $\alpha > 0$. Equipped with the norm

$$\|f\|_{L_M(\Gamma)} := \sup \left\{ \int_{\Gamma} |f(z)g(z)| |dz| : g \in L_N(\Gamma), \rho(g, N) \leq 1 \right\},$$

where

$$\rho(g, N) := \int_{\Gamma} N(|g(z)|) |dz|,$$

the space $L_M(\Gamma)$ becomes a Banach space [27, pp. 52–68]. The norm $\|\cdot\|_{L_M(\Gamma)}$ is called Orlicz norm and the Banach space $L_M(\Gamma)$ is called Orlicz space. It is known [27, p. 50], that every function in $L_M(\Gamma)$ is integrable on Γ , i.e

$$L_M(\Gamma) \subset L_1(\Gamma).$$

An N function M satisfies the Δ_2 -condition if

$$\limsup_{x \rightarrow \infty} \frac{M(2x)}{M(x)} < \infty.$$

The Orlicz space $L_M(\Gamma)$ is reflexive if and only if the N -function M and its complementary function N both satisfy the Δ_2 -condition [27, p. 113]. Further information about Orlicz spaces may be found in [18] and [27].

Let $M^{-1} : [0, \infty) \rightarrow [0, \infty)$ be the inverse function of the N function M . The lower and upper indices α_M, β_M [20]

$$\alpha_M := \lim_{x \rightarrow 0} \frac{\log h(x)}{\log x}, \quad \beta_M := \lim_{x \rightarrow \infty} \frac{\log h(x)}{\log x}$$

of the function

$$h : (0, \infty) \rightarrow (0, \infty], \quad h(x) := \limsup_{t \rightarrow \infty} \frac{M^{-1}(t)}{M^{-1}\left(\frac{t}{x}\right)}, \quad x > 0$$

first considered by W. Matuszewska and W. Orlicz [22], are called the Boyd indices of the Orlicz space $L_M(\Gamma)$. It is known that

$$0 \leq \alpha_M \leq \beta_M \leq 1$$

and

$$\alpha_N + \beta_M = 1, \quad \alpha_M + \beta_N = 1.$$

The Boyd indices α_M, β_M are called nontrivial if $0 < \alpha_M$ and $\beta_M < 1$. The Orlicz space $L_M(\Gamma)$ is reflexive if and only if $0 < \alpha_M \leq \beta_M < 1$, i.e. if the Boyd indices are nontrivial.

DEFINITION 2. ([19]) The analytic function f in domain G will be called a function of the class $E_M(G)$ if

$$\int_{\Gamma_r} M(|f(z)|) |dz| < \infty,$$

where Γ_r is the image of the circumference $|w| = r$ with regard to a conformal mapping of the disc $|w| < 1$ onto G .

DEFINITION 3. ([19]) We shall call the $E_M(G)$ class the Smirnov-Orlicz class.

If $M(u) = |u|^p$ ($1 < p < \infty$), the $E_M(G)$ -class coincides with the well-known $E_p(G)$ Smirnov class.

It is evident that any analytic function $f(z)$ belonging to the $E_M(G)$ class will also belong to the $E_1(G)$ class, that is,

$$\int_{\Gamma_r} |f(z)| |dz| \leq c < \infty,$$

uniformly in r , $0 < r < 1$. Since $E_M(G) \subset E_1(G)$, every function in the class $E_M(G)$ has the nontangential boundary values almost everywhere (a.e.) on Γ and the boundary value function belongs to $L_M(\Gamma)$ [19]. Hence the $E_M(G)$ norm can be defined as:

$$\|f\|_{E_M(G)} := \|f\|_{L_M(\Gamma)}.$$

DEFINITION 4. Let $g \in L_M(T)$. The function

$$\omega_{T,M}(\delta, g) := \sup_{|h| \leq \delta} \left\| g(e^{i(\theta+h)}) - g(e^{i\theta}) \right\|_{L_M(T)}$$

is called modulus of continuity of g .

We denote $f_r(w) = f^{(r)}[\psi(w)]$. We define the modulus of continuity for $f^{(r)}(z) \in L_M(\Gamma)$ as

$$\omega_{\Gamma,M}^*(\delta, f^{(r)}) := \omega_{T,M}(\delta, f_r) = \sup_{|h| \leq \delta} \left\| f_r(e^{i(\theta+h)}) - f_r(e^{i\theta}) \right\|_{L_M(T)}.$$

Throughout this work by c_1, c_2, \dots , we denote the constants which are different in different places.

The direct and inverse problems of approximation theory in different spaces have been investigated by several authors (see, for example, [1]–[4], [6]–[17], [19], [23], [24], [26], [28]) All of these have been done under different restrictive conditions on $\Gamma = \partial G$.

When $\Gamma = \partial G$ is a Dini-smooth curve, in this work we prove inverse theorem of approximation theory in Smirnov-Orlicz classes $E_M(G)$. This theorem extended to Smirnov-Orlicz classes $E_M(G)$ of the proved theorem for the Smirnov class $E_p(G)$, $p > 1$, in the work given by [1]. Similar results in weighted Smirnov and Smirnov-Orlicz classes were obtained in [8]–[15].

The following inverse theorem holds:

THEOREM 1. (Main) *Let Γ be a Dini-smooth curve, $L_M(\Gamma)$ be a reflexive Orlicz space on Γ . For each natural number n there exists a polynomial $P_n(z)$ of degree n , such that*

$$\|f(\zeta) - P_n(\zeta)\|_{L_M(\Gamma)} \leq \frac{c_5}{n^{r+\alpha}}, \tag{1.2}$$

where $0 < \alpha \leq 1$ and r is a nonegative integer. Then $f \in E_M(G)$ and for the modulus of continuity $\omega_{\Gamma, M}^*(\delta, f^{(r)})$ the following inequalities hold:

$$\omega_{\Gamma, M}^*(\delta, f^{(r)}) \leq c_6 \delta^\alpha, \quad 0 < \alpha < 1, \tag{1.3}$$

$$\omega_{\Gamma, M}^*(\delta, f^{(r)}) \leq c_7 \delta (1 + |\ln \delta|), \quad \alpha = 1. \tag{1.4}$$

2. Auxiliary results

Let $f \in L_1(\Gamma)$. The functions f^+ and f^- defined by

$$f^+(z) = \frac{1}{2\pi i} \int_{\Gamma} \frac{f(s)}{s-z} ds, \quad z \in G$$

and

$$f^-(z) = \frac{1}{2\pi i} \int_{\Gamma} \frac{f(s)}{s-z} ds, \quad z \in G^-$$

are analytic in G and G^- respectively, and $f^-(\infty) = 0$. The Cauchy singular integral of f at a point $z_0 \in \Gamma$ is defined by

$$S_{\Gamma}(f)(z_0) := \lim_{\varepsilon \rightarrow 0} \frac{1}{2\pi i} \int_{\Gamma \setminus \Gamma(z_0, \varepsilon)} \frac{f(s)}{s-z_0} ds$$

if limit exists.

According to the Privalov’s theorem [5, p. 431], if one of the functions $f^+(z)$ and $f^-(z)$ has a nontangential limit on Γ , a.e., then $S_\Gamma(f)(z)$ exists a.e. on Γ , and also the other one of the functions $f^+(z)$ and $f^-(z)$ has a nontangential limit on Γ a.e. Conversely, if $S_\Gamma(f)(z)$ exists a.e. on Γ , then the functions $f^+(z)$ and $f^-(z)$ have nontangential limits a.e. on Γ . In both cases, the formulas

$$f^+(z) = S_\Gamma(f)(z) + \frac{1}{2}f(z), \quad f^-(z) = S_\Gamma(f)(z) - \frac{1}{2}f(z)$$

hold a.e. on Γ .

The followings lemmas will play an important role in the proof the main results.

LEMMA 1. ([12]) *$L_M(T)$ be a reflexive Orlicz space and let M be an N function, then for each trigonometric polynomial T_n of degree n the inequality*

$$\|T'_n\|_{L_M(T)} \leq c_8 n \|T_n\|_{L_M(T)} \tag{2.1}$$

holds with a constant c independent of n .

LEMMA 2. *Let Γ be a Dini-smooth curve and let $L_M(\Gamma)$ be a reflexive Orlicz space on Γ , then for a polynomial $P_n(z)$ of degree n the inequality*

$$\|P'_n(z)\|_{L_M(\Gamma)} \leq c_9 n \|P_n(z)\|_{L_M(\Gamma)} \tag{2.2}$$

holds with a constant c_9 independent of n .

Proof. For the trigonometric polynomial T_n this inequality was obtained in the study [12]. For $z = e^{i\theta}$ we obtain

$$P_n(z) = T_n(\theta) \quad \text{and} \quad P'_n(z)ie^{i\theta} = T'_n(\theta).$$

For polynomial $P_n(z)$ with respect to Faber polynomials the following expansion holds:

$$P_n(z) = \sum_{k=0}^n a_k \phi_k(z) = \sum_{k=0}^n a_k [\phi(z)]^k + \frac{1}{2\pi i} \int_\Gamma \frac{d\zeta}{\zeta - z} \sum_{k=0}^n a_k [\phi(\zeta)]^k, \quad z \in \text{ext}\Gamma. \tag{2.3}$$

Then for $z \in \text{ext}\Gamma$ we have

$$P'_n(z) = \sum_{k=1}^n k a_k [\phi(z)]^{k-1} \phi'(z) + \frac{1}{2\pi i} \int_\Gamma \frac{d\zeta}{\zeta - z} \sum_{k=1}^n k a_k [\phi(\zeta)]^{k-1} \phi'(\zeta). \tag{2.4}$$

Consider the function $P_{n,0}(\tau) = P_n[\psi(\tau)] \in L_M(T)$.

The Cauchy type integral

$$\frac{1}{2\pi i} \int_T \frac{P_{n,0}(\tau)}{\tau - w} d\tau$$

represents analytic functions $P_{n,0}^+$ and $P_{n,0}^-$ in D and D^- , respectively. For $w \in T$ we have

$$P_{n,0}^+(w) = \sum_{k=0}^n a_k w^k.$$

By (1.1)

$$\|P_n[\psi(\tau)]\|_{L_M(T)} \leq c_{10} \|P_n(z)\|_{L_M(\Gamma)}. \tag{2.5}$$

Using (2.5) and the boundedness of the singular integral we obtain

$$\|S_T(P_{n,0})(w)\|_{L_M(T)} \leq c \|P_n\|_{L_M(\Gamma)}. \tag{2.6}$$

Applying the inequalities (2.5), (2.6) and Minkowski inequality we get

$$\begin{aligned} \|P_{n,0}^+(w)\|_{L_M(T)} &= \left\| S_T(P_{n,0})(w) - \frac{1}{2}P_{n,0}(w) \right\|_{L_M(T)} \\ &\leq \|S_T(P_{n,0})(w)\|_{L_M(T)} + \frac{1}{2} \|P_{n,0}(w)\|_{L_M(T)} \leq c_{11} \|P_n(z)\|_{L_M(\Gamma)}. \end{aligned} \tag{2.7}$$

Then by (2.1), (2.7) for the nontangential limits on T we obtain

$$\left\| \left(P_{n,0}^+(w) \right)' \right\|_{L_M(T)} \leq c_{13}n \|P_n(z)\|_{L_M(\Gamma)}.$$

By (1.1) we get

$$\left\| \sum_{k=1}^n ka_k [\phi(z)]^{k-1} \phi'(z) \right\|_{L_M(\Gamma)} \leq c_{14} \left\| \sum_{k=1}^n ka_k w^{k-1} \right\|_{L_M(T)} \leq c_{15}n \|P_n(z)\|_{L_M(\Gamma)}. \tag{2.8}$$

Using (2.8), Minkowski’s inequality and the boundedness of the singular operator S_Γ in weighted Orlicz spaces [21] for the non-tangential limits on Γ in (2.4) of the integral we have

$$\begin{aligned} &\left\| \frac{1}{2} \left(\sum_{k=1}^n ka_k [\phi(z)]^{k-1} \phi'(z) \right) + S_\Gamma \left[\sum_{k=1}^n ka_k [\phi(z)]^{k-1} \phi'(z) \right] \right\|_{L_M(\Gamma)} \\ &\leq c_{16} \left\| \sum_{k=1}^n ka_k [\phi(z)]^{k-1} \phi'(z) \right\|_{L_M(\Gamma)} \leq c_{17}n \|P_n(z)\|_{L_M(\Gamma)}. \end{aligned} \tag{2.9}$$

From (2.4), (2.8) and (2.9) we have inequality (2.2).

3. Proof of the main results

Proof of Theorem 1. The following inequality holds:

$$\|P_n(\zeta)\|_{L_M(\Gamma)} \leq \|P_n(\zeta) - f(\zeta)\|_{L_M(\Gamma)} + \|f(\zeta)\|_{L_M(\Gamma)} \leq c_{18}, \tag{3.1}$$

where constant c_{18} independent of n .

The sequence $\{P_n(\zeta)\}$ converges in $L_M(\Gamma)$. Therefore, the sequence $\{P_n(\zeta)\}$ converges with respect to a measure. Since, condition (3.1) is satisfied, according to [13], [19] sequence $\{P_n(\zeta)\}$ converges uniformly within the domain to the function $f(z) \in E_M(G)$ and nontangential boundary values of the function $f(z)$ (from inside Γ) coincides with $f(\zeta)$ a.e. on Γ .

We define the following form polynomials sequence:

$$T_0(z) = P_1(z), \quad T_k(z) = P_{2k}(z) - P_{2k-1}(z) \quad (k = 1, 2, \dots).$$

The series $\bigcup_{k=0}^{\infty} T_k(z)$ converges uniformly to the function $f(z)$ into G . Then the series

$\bigcup_{k=0}^{\infty} T_k^{(r)}$ converges uniformly to the function $f^{(r)}(z)$ into G . We define the following form sequence:

$$K_n(s) = \sum_{k=0}^n T_k^{(r)}(s).$$

Now show that the sequence $K_n(\zeta)$ converges in $L_M(\Gamma)$. By (1.2) we obtain

$$\|T_k(\zeta)\|_{L_M(\Gamma)} \leq \|f(\zeta) - P_{2k}(\zeta)\|_{L_M(\Gamma)} + \|f(\zeta) - P_{2k-1}(\zeta)\|_{L_M(\Gamma)} \leq \frac{c_{19}}{2^{k(r+\alpha)}}. \quad (3.2)$$

According to (3.2) and (2.2) we get

$$\|T_k^{(r)}(\zeta)\|_{L_M(\Gamma)} \leq \frac{c_{20}}{2^{k\alpha}}. \quad (3.3)$$

By (3.3) we have

$$\|K_m(\zeta) - K_n(\zeta)\|_{L_M(\Gamma)} \leq \sum_{k=n+1}^m \|T_k^{(r)}(\zeta)\|_{L_M(\Gamma)} \leq \frac{c_{21}}{2^{n\alpha}}, \quad (m > n). \quad (3.4)$$

Then according to inequality (3.4) sequence $K_n(s)$ is a Cauchy sequence. Since, $L_M(\Gamma)$ is a Banach space the sequence $\{K_n(\zeta)\}$ converges in $L_M(\Gamma)$. Therefore, the sequence $K_n(\zeta)$ converges with respect to a measure.

Since, $\|K_n(\zeta)\|_{L_M(\Gamma)} \leq c_{22}$ the sequence $K_n(\zeta)$ converges with respect to a measure to $f^{(r)}(\zeta)$ nontangential boundary values of the function $f^{(r)}(z)$. There exists subsequence $K_{n_i}(s)$ of the sequence $K_n(s)$, such that

$$K_{n_i}(s) \rightarrow f^{(r)}(s)$$

a.e on Γ . Then we obtain a.e. on Γ

$$|K_{n_i}(\zeta) - K_n(\zeta)| \rightarrow |f^{(r)}(\zeta) - K_n(\zeta)|.$$

According to Fatou's Lemma and (3.4) we have

$$\|f^{(r)}(\zeta) - K_n(\zeta)\|_{L_M(\Gamma)} \leq \frac{c_{22}}{2^{n\alpha}}, \quad (3.5)$$

where $K_n(\zeta)$ polynomial of degree 2^n . We fix δ , satisfying the condition $0 < \delta \leq \frac{1}{2}$ and choose $m \in N$, such that $2^{m-1} \leq \frac{1}{\delta} < 2^m$. If we pass on to the complex plane (w), then from the inequality (3.5) we obtain

$$\left\| f_r \left(we^{ih} \right) - f_r(w) \right\|_{L_M(T)} \leq \frac{c_{23}}{2^{m\alpha}} + \left\| K_{m-1} \left[\Psi \left(we^{ih} \right) \right] - K_{m-1} \left[\Psi(w) \right] \right\|_{L_M(T)}. \tag{3.6}$$

We define the sequence of the polynomials in the following form:

$$Q_1(z) = K_1(z), \quad Q_k(z) = K_k(z) - K_{k-1}(z),$$

where the polynomial $Q_k(z)$ of degree 2^k .

From (3.5) we have

$$\|Q_k(z)\|_{L_M(\Gamma)} = \|K_k(z) - K_{k-1}(z)\|_{L_M(\Gamma)} \leq \frac{c_{23}}{2^{k\alpha}}, \quad k \geq 2. \tag{3.7}$$

Putting $w = e^{ix}$ we define $Q_k[\Psi(e^{ix})] = v_k(x)$. Then we obtain

$$\begin{aligned} & \|v_k(x+h) - v_k(x)\|_{L_M(T)} \\ &= \sup \left\{ \int_T |v_k(x+h) - v_k(x)| g(x) dx : g \in L_N(T), \int_T N(|g(x)|) dx \leq 1 \right\} \\ &= \sup \left\{ \int_T \left| \int_0^h v'_k(x+t) dt \right| g(x) dx : g \in L_N(T), \int_T N(|g(x)|) dx \leq 1 \right\} \\ &\leq h \|v'_k(x)\|_{L_M(T)}. \end{aligned} \tag{3.8}$$

Since, the curve Γ is Dini-smooth, by (1.1) we have

$$\|v'_k(x)\|_{L_M(T)} \leq c_{24} \|Q'_k(z)\|_{L_M(\Gamma)}. \tag{3.9}$$

By (3.8) and (3.9) we obtain

$$\left\| Q_k \left[\Psi \left(we^{ih} \right) \right] - Q_k \left[\Psi(w) \right] \right\|_{L_M(T)} \leq c_{25} h \|Q'_k(z)\|_{L_M(\Gamma)}. \tag{3.10}$$

According to (2.2), (3.9) we get

$$\begin{aligned} & \left\| K_{m-1} \left[\Psi \left(we^{ih} \right) \right] - K_{m-1} \left[\Psi(w) \right] \right\|_{L_M(T)} \\ & \leq c_{26} h \sum_{k=1}^{m-1} \|Q'_k(z)\|_{L_M(\Gamma)} \leq c_{27} h \sum_{k=1}^{m-1} 2^k \|Q_k(z)\|_{L_M(\Gamma)}. \end{aligned} \tag{3.11}$$

Using (3.6), (3.11) and (3.7) for $|h| \leq \delta$ we have

$$\omega_{\Gamma, M}^* \left(\delta, f^{(r)} \right) \leq \frac{c_{28}}{2^{m\alpha}} + c_{29} \delta \sum_{k=2}^{m-1} 2^{k(1-\alpha)} + c_{30} \delta. \tag{3.12}$$

From the inequality (3.12) the required inequalities (1.3) and (1.4) are obtained. Then the proof of Theorem 1 is completed. \square

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