# ESSENTIAL NORM OF THE WEIGHTED DIFFERENTIATION COMPOSITION OPERATOR BETWEEN BLOCH-TYPE SPACES 

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#### Abstract

We give some characterizations for the boundedness and compactness of weighted differentiation composition operator $D_{\varphi, u}^{m}$ between different Bloch spaces, and also estimate the essential norms of the operator, complementing some recent results in the literature.


## 1. Introduction

Let $H(\mathbb{D})$ denote the class of holomorphic functions and $S(\mathbb{D})$ be the set of analytic self-maps of the unit disk $\mathbb{D}$ in the complex plane $\mathbb{C}$.

For $\varphi \in S(\mathbb{D})$, the composition operator associated to $\varphi$ is defined by $C_{\varphi}(f)=$ $f \circ \varphi$ for any $f \in H(\mathbb{D})$. Let $D=D^{1}$ be the differentiation operator, i.e., $D f=f^{\prime}$. For $m \in \mathbb{N}$, the operator $D^{m}$ is defined inductively by $D^{0} f=f, D^{m} f=f^{(m)}, f \in H(\mathbb{D})$.

For $m \in \mathbb{N}_{0}, u \in H(\mathbb{D})$ and $\varphi \in S(\mathbb{D})$, the weighted differentiation composition operator, denoted by $D_{\varphi, u}^{m}$, is defined by

$$
\left(D_{\varphi, u}^{m} f\right)(z)=u(z) f^{(m)}(\varphi(z)), \quad z \in \mathbb{D}
$$

which was studied in some recent papers such as $[12,19,22,21,23,34,35]$. The operator is a natural generalization of products of differentiation and composition operators previously studied, for example, in $[6,8,7,9,24,20,16,25,18]$ (see also the references therein). For related product-type operators see also [26] and [27]. If $m=0$, then $D_{\varphi, u}^{m}$ becomes the weighted composition operator $u C_{\varphi}$, defined by

$$
u C_{\varphi}(f)(z)=u(z) f(\varphi(z)), \quad z \in \mathbb{D}
$$

for $f \in H(\mathbb{D})$.
For $0<\alpha<\infty$, the Bloch-type space in $\mathbb{D}$ denoted by $\mathscr{B}^{\alpha}$ consists of all functions $f \in H(\mathbb{D})$ such that

$$
\|f\|_{\alpha}=\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\alpha}\left|f^{\prime}(z)\right|<\infty .
$$

[^0]When $\alpha=1$, it is the classical Bloch space $\mathscr{B}$. Endowed with the norm $\|f\|_{\mathscr{B}^{\alpha}}=$ $|f(0)|+\|f\|_{\alpha}$, the Bolch-type space becomes a Banach space. Besides, for $f \in H(\mathbb{D})$, we say that it belongs to the little Bloch-type space $\mathscr{B}_{0}^{\alpha}$, if

$$
\lim _{|z| \rightarrow 1}\left(1-|z|^{2}\right)^{\alpha}\left|f^{\prime}(z)\right|=0
$$

It is well known that the little Bloch-type space $\mathscr{B}_{0}^{\alpha}$ is the closure of polynomials in $\mathscr{B}^{\alpha}$. Many papers study various concrete operators on or to Bloch spaces, see, for example, $[2,3,5,6,8,7,9,10,11,13,14,32,24,20,16,22,21,28,29,30,33,34,35,31]$.

The essential norm of a continuous linear operator $T$ is the distance form $T$ to the set of compact operators, that is $\|T\|_{e}=\inf \{\|T-K\|: K$ is compact $\}$. Notice that $\|T\|_{e}=0$ if and only if the operator $T$ is compact, so the estimate on $\|T\|_{e}$ will lead to a condition for the operator $T$ to be compact. The compactness of an operator is a topic of nowadays interest which has been studied in many papers. We refer the interested readers to the recent papers $[1,9,13,14,15,17,16,26,29,32,30,33,35,31]$. Among other results in the topic, Wulan et al. [29, Theorem 2] obtained the following one about the compactness of composition operator on the classical Bloch space in the unit disk:

THEOREM 1.1. Let $\varphi$ be an analytic self-map of $\mathbb{D}$. Then $C_{\varphi}$ is compact on the Bloch space $\mathscr{B}$ if and only if

$$
\lim _{n \rightarrow \infty}\left\|C_{\varphi}\left(z^{n}\right)\right\|_{\mathscr{B}}=0
$$

After that, Ruhan Zhao [32, Corollary 4.4] showed that the essential norm of the composition operator $C_{\varphi}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ is

$$
\left\|C_{\varphi}\right\|_{e}=\limsup _{n \rightarrow \infty} \frac{\left\|C_{\varphi}\left(z^{n}\right)\right\|_{\beta}}{\left\|z^{n}\right\|_{\alpha}}
$$

So $C_{\varphi}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ is compact if and only if $\limsup _{n \rightarrow \infty} \frac{\left\|C_{\varphi}\left(z^{n}\right)\right\|_{\beta}}{\left\|z^{z}\right\|_{\alpha}}=0$. Subsequently, several authors have extended the result to differentiation composition operators and weighted composition operators (see, for example, $[2,3,4,5,9,10,11,14,28,35]$ ).

In this paper, we are interested in a natural question that arises from those results: is there any similar result about the weighted differentiation composition operators between the Bloch-type spaces? The answer is true, and we will obtain an estimate for their essential norms. For our results, we need the following two integral operators defined by

$$
I_{u} f(z)=\int_{0}^{z} f^{\prime}(\zeta) u(\zeta) d \zeta, \quad J_{u} f(z)=\int_{0}^{z} f(\zeta) u^{\prime}(\zeta) d \zeta
$$

for every $f \in H(\mathbb{D})$, where $u \in H(\mathbb{D})$.
Throughout this paper, we will use the symbol $C$ to denote a finite positive number, which may differ from one occurrence to the other. The notation $A \asymp B$ means that there is a positive constant $C$ such that $B / C \leqslant A \leqslant C B$.

## 2. The boundedness of $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$

In this section, we give a new characterization for the boundedness of weighted differentiation composition operators from $\mathscr{B}^{\alpha}$ to $\mathscr{B}^{\beta}$. First, we introduce a wellknown characterization for the Bloch-type spaces $\mathscr{B}^{\alpha}$ on the unit disk.

Lemma 2.1. For $f \in H(\mathbb{D}), m \in \mathbb{N}$, and $\alpha>0$. Then

$$
f \in \mathscr{B}^{\alpha} \Leftrightarrow \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\alpha+m-1}\left|f^{(m)}(z)\right|<\infty .
$$

Furthermore, for each $f \in \mathscr{B}^{\alpha}$,

$$
\|f\|_{\alpha} \asymp \sum_{j=1}^{m-1}\left|f^{(j)}(0)\right|+\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\alpha+m-1}\left|f^{(m)}(z)\right| .
$$

The following characterization for the boundedness of operator $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$, which is a natural extension of a result in [20], can be found in [34].

THEOREM 2.1. Let $0<\alpha, \beta<\infty, m \geqslant 1$ be an integer, and $u \in H(\mathbb{D}), \varphi \in S(\mathbb{D})$. Then $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ is bounded if and only if

$$
\begin{equation*}
\sup _{z \in \mathbb{D}} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{\left(1-|\varphi(z)|^{2}\right)^{\alpha+m-1}}<\infty \tag{2.1}
\end{equation*}
$$

and

$$
\begin{equation*}
\sup _{z \in \mathbb{D}} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z)\right|}{\left(1-|\varphi(z)|^{2}\right)^{\alpha+m}}<\infty \tag{2.2}
\end{equation*}
$$

In order to obtain a new characterization, we need the following lemma which can be found in [10].

Lemma 2.2. Let $\alpha>0, n \geqslant m+1$, where $m \in \mathbb{N}$. Define the function

$$
H_{n, \alpha}(x)=n(n-1) \cdots(n-m) x^{n-(m+1)}(1-x)^{\alpha+m}, 0 \leqslant x \leqslant 1 .
$$

Then $H_{n, \alpha}$ has the following properties:
(i)

$$
\begin{aligned}
& \max _{0 \leqslant x \leqslant 1} H_{n, \alpha}(x)=H_{n, \alpha}\left(r_{n}\right) \\
= & \begin{cases}(m+1)!, \\
n(n-1) \cdots(n-m)\left(\frac{n-(m+1)}{n+\alpha-1}\right)^{n-(m+1)}\left(\frac{\alpha+m}{n+\alpha-1}\right)^{\alpha+m}, & n>m+1, \\
n>m+1\end{cases}
\end{aligned}
$$

where

$$
r_{n}= \begin{cases}0, & n=m+1  \tag{2.3}\\ \frac{n-(m+1)}{n+\alpha-1}, & n>m+1\end{cases}
$$

(ii) For $n \geqslant m+1, H_{n, \alpha}$ is increasing on $\left[0, r_{n}\right]$ and decreasing on $\left[r_{n}, 1\right]$.
(iii) For $n \geqslant m+1, H_{n, \alpha}$ is decreasing on $\left[r_{n}, r_{n+1}\right]$, and so

$$
\begin{aligned}
& \min _{x \in\left[r_{n}, r_{n+1}\right]} H_{n, \alpha}(x)=H_{n, \alpha}\left(r_{n+1}\right) \\
= & n(n-1) \cdots(n-m)\left(\frac{n-m}{n+\alpha}\right)^{n-(m+1)}\left(\frac{\alpha+m}{n+\alpha}\right)^{\alpha+m} .
\end{aligned}
$$

Consequently,

$$
\lim _{n \rightarrow \infty} n^{\alpha-1} \min _{x \in\left[r_{n}, r_{n+1}\right]} H_{n, \alpha}(x)=\left(\frac{\alpha+m}{e}\right)^{\alpha+m}
$$

We can now prove the main result in this section.
THEOREM 2.2. Let $0<\alpha, \beta<\infty, m \geqslant 1$ be an integer, and $u \in H(\mathbb{D}), \varphi \in S(\mathbb{D})$. Then $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ is bounded if and only if

$$
\begin{equation*}
\sup _{n \geqslant 1} n^{\alpha-1}\left\|I_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta}<\infty, \tag{2.4}
\end{equation*}
$$

and

$$
\begin{equation*}
\sup _{n \geqslant 1} n^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta}<\infty . \tag{2.5}
\end{equation*}
$$

Proof. Suppose that (2.4) and (2.5) hold. For every $f \in \mathscr{B}^{\alpha}$,

$$
\begin{aligned}
& \left\|D_{\varphi, u}^{m} f\right\|_{\beta}=\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z) f^{(m)}(\varphi(z))+u(z) \varphi^{\prime}(z) f^{(m+1)}(\varphi(z))\right| \\
\leqslant & \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z) f^{(m)}(\varphi(z))\right|+\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z) f^{(m+1)}(\varphi(z))\right| \\
= & I_{1}+I_{2} .
\end{aligned}
$$

To deal with $I_{2}$, for any integer $n \geqslant m+1$, let

$$
D_{n}=\left\{z \in \mathbb{D}: r_{n} \leqslant|\varphi(z)| \leqslant r_{n+1}\right\}
$$

where $r_{n}$ is given by (2.3). Let $k$ and $l$ be the smallest and largest positive integers such that $D_{k} \neq \varnothing$ and $D_{l} \neq \varnothing(l$ could be $\infty)$. Thus $\mathbb{D}=\cup_{n=k}^{l} D_{n}$. By Lemma 2.2, for every $k \leqslant n \leqslant l$, we have

$$
\begin{aligned}
& \min _{z \in D_{n}} n^{\alpha-1} n(n-1) \cdots(n-m)|\varphi(z)|^{n-(m+1)}(1-|\varphi(z)|)^{\alpha+m} \\
\geqslant & n^{\alpha-1} H_{n, \alpha}\left(r_{n+1}\right)=n^{\alpha-1} n(n-1) \cdots(n-m)\left(\frac{n-m}{n+\alpha}\right)^{n-(m+1)}\left(\frac{\alpha+m}{n+\alpha}\right)^{\alpha+m} .
\end{aligned}
$$

Thus by Lemma 2.2(iii), we have

$$
\lim _{n \rightarrow \infty} \min _{z \in D_{n}} n^{\alpha-1} n(n-1) \cdots(n-m)|\varphi(z)|^{n-m-1}(1-|\varphi(z)|)^{\alpha+m} \geqslant\left(\frac{\alpha+m}{e}\right)^{\alpha+m}
$$

From this and since $H_{n, \alpha}\left(r_{n}\right)>0$ for every $n \geqslant m+1$, there exists a constant $\delta>0$, independent of $n$, such that

$$
\min _{z \in D_{n}} n^{\alpha-1} n(n-1) \cdots(n-m)|\varphi(z)|^{n-(m+1)}(1-|\varphi(z)|)^{\alpha+m} \geqslant \delta .
$$

From the above mentioned, together with Lemma 2.1, it follows that

$$
\begin{aligned}
I_{2} & =\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z) f^{(m+1)}(\varphi(z))\right| \\
& \leqslant C\|f\|_{\alpha} \sup _{z \in \mathbb{D}} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z)\right|}{(1-|\varphi(z)|)^{\alpha+m}} \\
& =C\|f\|_{\alpha} \sup _{k \leqslant n \leqslant l} \sup _{z \in D_{n}} \frac{n^{\alpha-1}\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z)\right| n(n-1) \cdots(n-m)|\varphi(z)|^{n-(m+1)}}{n^{\alpha-1} n(n-1) \cdots(n-m)|\varphi(z)|^{n-(m+1)}(1-|\varphi(z)|)^{\alpha+m}} \\
& \leqslant \frac{C\|f\|_{\alpha} \sup _{n \geqslant 1} n^{\alpha-1}\left\|I_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta} .}{} .
\end{aligned}
$$

A similar argument (using $m-1$ instead of $m$ in Lemma 2.1) shows that

$$
\begin{aligned}
I_{1} & =\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z) f^{(m)}(\varphi(z))\right| \\
& \leqslant C\|f\|_{\alpha} \sup _{z \in \mathbb{D}} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{(1-|\varphi(z)|)^{\alpha+m-1}} \\
& =C\|f\|_{\alpha} \sup _{z \in \mathbb{D}} \frac{n^{\alpha-1}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right| n(n-1) \cdots(n-m+1)|\varphi(z)|^{n-m}}{n^{\alpha-1} n(n-1) \cdots(n-m+1)|\varphi(z)|^{n-m}(1-|\varphi(z)|)^{\alpha+m-1}} \\
& \leqslant \frac{C\|f\|_{\alpha}}{\delta} \sup _{n \geqslant 1} n^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta} .
\end{aligned}
$$

From (2.4), (2.5), and the two inequalities above, we conclude that $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ is bounded.

To prove the inverse implication, we assume that $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ is bounded, then (2.1) and (2.2) hold from Theorem 2.1. On the other hand, since for $n \geqslant m+1$,

$$
\begin{align*}
& n^{\alpha-1}| | I_{u} C_{\varphi} D^{m}\left(z^{n}\right) \|_{\beta} \\
= & n^{\alpha-1} \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta} n(n-1) \cdots(n-m)|\varphi(z)|^{n-(m+1)}\left|u(z) \varphi^{\prime}(z)\right| \\
= & n^{\alpha-1} \sup _{z \in \mathbb{D}} n(n-1) \cdots(n-m)|\varphi(z)|^{n-(m+1)} \\
& \times(1-|\varphi(z)|)^{\alpha+m} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z)\right|}{(1-|\varphi(z)|)^{\alpha+m}} . \tag{2.6}
\end{align*}
$$

Besides, applying Lemma 2.2(i), we have

$$
\sup _{z \in \mathbb{D}} n(n-1) \cdots(n-m)|\varphi(z)|^{n-(m+1)}(1-|\varphi(z)|)^{\alpha+m} \leqslant H_{n, \alpha}\left(r_{n}\right)
$$

and it is easy to see that

$$
\begin{aligned}
& \lim _{n \rightarrow \infty} n^{\alpha-1} H_{n, \alpha}\left(r_{n}\right) \\
= & \lim _{n \rightarrow \infty} n^{\alpha-1} n(n-1) \cdots(n-m)\left(\frac{n-(m+1)}{n+\alpha-1}\right)^{n-(m+1)}\left(\frac{\alpha+m}{n+\alpha-1}\right)^{\alpha+m} \\
= & \left(\frac{\alpha+m}{e}\right)^{\alpha+m}
\end{aligned}
$$

Thus there is a constant $C>0$, independent of $n$, such that

$$
n^{\alpha-1} \sup _{z \in \mathbb{D}} n(n-1) \cdots(n-m)|\varphi(z)|^{n-(m+1)}(1-|\varphi(z)|)^{\alpha+m} \leqslant C .
$$

This together with (2.2) and (2.6) gives

$$
\sup _{n \geqslant m+1} n^{\alpha-1}\left\|I_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta}<\infty
$$

where we have used the fact $1-x^{2} \asymp 1-x$ for $x \in[0,1]$. This shows that (2.4) is true.
To prove (2.5), let $n \geqslant m+1$, now we have

$$
\begin{aligned}
& n^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta} \\
= & n^{\alpha-1} \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta} n(n-1) \cdots(n-m+1)|\varphi(z)|^{n-m}\left|u^{\prime}(z)\right| \\
= & n^{\alpha-1} \sup _{z \in \mathbb{D}} n(n-1) \cdots(n-m+1)|\varphi(z)|^{n-m}(1-|\varphi(z)|)^{\alpha+m-1} \\
& \times \frac{\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{(1-|\varphi(z)|)^{\alpha+m-1}} .
\end{aligned}
$$

Using (2.1), (2.5) holds in a similar way. The proof of the theorem is complete.
3. The essential norm of $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$

In this section, our goal is to estimate the essential norm of the operator $D_{\varphi, u}^{m}$ acting from $\mathscr{B}^{\alpha}$ to $\mathscr{B}^{\beta}$, then the estimation will lead to a condition for the operator to be compact directly. The following lemma is the crucial criterion for compactness, which can be proved similarly to Proposition 3.11 of [1].

Lemma 3.1. Let $0<\alpha, \beta<\infty, m \geqslant 1$ be an integer, and $u \in H(\mathbb{D}), \varphi \in S(\mathbb{D})$. Then $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ is compact if and only if it is bounded and for any bounded sequence $\left(f_{k}\right)_{k \in \mathbb{N}}$ in $\mathscr{B}^{\alpha}$ which converges to zero uniformly on compact subsets of $\mathbb{D}$, we have $\left\|D_{\varphi, u}^{m} f_{k}\right\|_{\mathscr{B} \beta} \rightarrow 0$, as $k \rightarrow \infty$.

In order to prove the upper estimate for the essential norm, we need several lemmas. First, we introduce some notations which will used in the following lemmas.

For $r \in[0,1]$, let $K_{r} f(z)=f(r z)$. It is known that $K_{r}$ is a compact operator acting on $\mathscr{B}^{\alpha}$ (or $\mathscr{B}_{0}^{\alpha}$ ) for $\alpha>0$ with $\left\|K_{r}\right\| \leqslant 1$. The following three lemmas corresponds respectively to the three different cases $0<\alpha<1, \alpha=1$ and $\alpha>1$ of Bloch-type spaces. They can be found in earlier papers, and we omit the proofs here.

Lemma 3.2. [13, Lemma 1] Let $0<\alpha<1$. Then there is a sequence $\left\{r_{k}\right\}, 0<$ $r_{k}<1$, tending to 1 , such that the compact operator

$$
L_{n}=\frac{1}{n} \sum_{k=1}^{n} K_{r_{k}}
$$

on $\mathscr{B}_{0}^{\alpha}$ satisfies
(i) For any $t \in[0,1), \lim _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{|z| \leqslant t}\left|\left[\left(I-L_{n}\right) f\right]^{\prime}(z)\right|=0$.
(ii) $\lim _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{z \in \mathbb{D}}\left|\left(I-L_{n}\right) f(z)\right|=0$.
(iii) $\limsup _{n \rightarrow \infty}\left\|I-L_{n}\right\| \leqslant 1$.

Furthermore, these statements hold as well for the sequence of biadjoints $L_{n}^{* *}$ on $\mathscr{B}^{\alpha}$.

Lemma 3.3. [13, Lemma 2] There is a sequence $\left\{r_{k}\right\}, 0<r_{k}<1$, tending to 1 , such that the compact operator

$$
L_{n}=\frac{1}{n} \sum_{k=1}^{n} K_{r_{k}}
$$

on $\mathscr{B}_{0}$ satisfies
(i) For any $t \in[0,1), \lim _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \leqslant 1} \sup _{|z| \leqslant t}\left|\left(\left(I-L_{n}\right) f\right)^{\prime}(z)\right|=0$.
(iia) $\limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \leqslant 1} \sup _{|z|>s}\left|\left(I-L_{n}\right) f(z)\right|\left(-\log \left(1-|z|^{2}\right)\right)^{-1} \leqslant 1$ for s sufficiently close to 1 and
(iib) $\lim _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \leqslant 1} \sup _{|z| \leqslant s}\left|\left(I-L_{n}\right) f(z)\right|=0$, for the above $s$.
(iii) $\underset{n \rightarrow \infty}{\limsup }\left\|I-L_{n}\right\| \leqslant 1$.

Furthermore, the same is true for the sequence of biadjoints $L_{n}^{* *}$ on $\mathscr{B}$.
Lemma 3.4. [32, Lemma 4.3] Let $\alpha>1$. Then there is a sequence $\left\{r_{k}\right\}$, with $0<r_{k}<1$ tending to 1 , such that the compact operator

$$
L_{n}=\frac{1}{n} \sum_{k=1}^{n} K_{r_{k}}
$$

on $\mathscr{B}_{0}^{\alpha}$ satisfies:
(i) For any $t \in[0,1), \lim _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1|z| \leqslant t} \sup _{\mid z t}\left|\left(\left(I-L_{n}\right) f\right)^{\prime}(z)\right|=0$.
(ii) For any $s \in[0,1), \lim _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}^{\alpha}} \leqslant 1} \sup _{|z| \leqslant s}\left|\left(I-L_{n}\right) f(z)\right|=0$.
(iii) $\limsup _{n \rightarrow \infty}\left\|I-L_{n}\right\| \leqslant 1$.

Furthermore, these statements hold as well for the sequence of biadjoints $L_{n}^{* *}$ on $\mathscr{B}^{\alpha}$.

In order to simplify the inequalities, we use the notations

$$
\begin{gathered}
A=\left(\frac{e}{\alpha+m-1}\right)^{\alpha+m-1} \limsup _{n \rightarrow \infty} n^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta} \\
B=\left(\frac{e}{\alpha+m}\right)^{\alpha+m} \limsup _{n \rightarrow \infty} n^{\alpha-1}\left\|I_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta}
\end{gathered}
$$

THEOREM 3.1. Let $0<\alpha, \beta<\infty, m \geqslant 1$ be an integer, and $u \in H(\mathbb{D}), \varphi \in S(\mathbb{D})$. Suppose that the operator $D_{\varphi, u}^{m}$ is bounded from $\mathscr{B}^{\alpha}$ to $\mathscr{B}^{\beta}$. Then

$$
\begin{align*}
& \max \left(\frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m-1)}{2^{\alpha+1}(3 \alpha+m+3)} A, \frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m)}{2^{\alpha+1}(3 \alpha+m+2)} B\right) \\
\leqslant & \left\|D_{\varphi, u}^{m}\right\|_{e} \leqslant A+B \tag{3.1}
\end{align*}
$$

Proof. Suppose $D_{\varphi, u}^{m}$ is bounded from $\mathscr{B}^{\alpha}$ to $\mathscr{B}^{\beta}$, that is, there is a constant $C$ such that

$$
\left\|D_{\varphi, u}^{m} f\right\|_{\beta} \leqslant C\|f\|_{\alpha}, \text { for every } f \in \mathscr{B}^{\alpha}
$$

By choosing $f(z)=\frac{z^{m}}{m!}$ and $f(z)=\frac{z^{m+1}}{(m+1)!}$, we have

$$
\begin{equation*}
M_{1}:=\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|<\infty, \tag{3.2}
\end{equation*}
$$

and

$$
\begin{equation*}
\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z) \varphi(z)+u(z) \varphi^{\prime}(z)\right|<\infty . \tag{3.3}
\end{equation*}
$$

From (3.2) and (3.3) and the boundedness of function $\varphi$, we can easily prove that

$$
\begin{equation*}
M_{2}:=\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z)\right|<\infty \tag{3.4}
\end{equation*}
$$

Now, we first show that (3.1) is true when $\sup _{z \in \mathbb{D}}|\varphi(z)|<1$. In fact, for this case, there is a number $r \in(0,1)$, such that $\sup _{z \in \mathbb{D}}|\varphi(z)| \leqslant r$. By (3.2) and (3.4), it follows that

$$
\begin{aligned}
& n^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta} \\
= & n^{\alpha-1} \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta} n(n-1) \cdots(n-m+1)|\varphi(z)|^{n-m}\left|u^{\prime}(z)\right| \\
\leqslant & M_{1} n^{\alpha-1} n(n-1) \cdots(n-m+1) r^{n-m}
\end{aligned}
$$

and

$$
\begin{aligned}
& n^{\alpha-1}\left\|I_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta} \\
= & n^{\alpha-1} \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta} n(n-1) \cdots(n-m)|\varphi(z)|^{n-(m+1)}\left|u(z) \varphi^{\prime}(z)\right| \\
\leqslant & M_{2} n^{\alpha-1} n(n-1) \cdots(n-m) r^{n-(m+1)} .
\end{aligned}
$$

Hence

$$
\lim _{n \rightarrow \infty} n^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta}=\lim _{n \rightarrow \infty} n^{\alpha-1}\left\|I_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta}=0
$$

That is, $A=B=0$.
On the other hand, let $\left(f_{k}\right)_{k \in \mathbb{N}}$ be any bounded sequence in $\mathscr{B}^{\alpha}$, and suppose that $\left(f_{k}\right)_{k \in \mathbb{N}}$ converges to zero uniformly on compact subsets of $\mathbb{D}$ as $k \rightarrow \infty$. Then by Cauchy's integral formula, we obtain

$$
\begin{aligned}
& \left\|D_{\varphi, u}^{m} f_{k}\right\|_{\beta}=\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z) f_{k}^{(m)}(\varphi(z))+u(z) \varphi^{\prime}(z) f_{k}^{(m+1)}(\varphi(z))\right| \\
\leqslant & \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z) f_{k}^{(m)}(\varphi(z))\right|+\sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z) f_{k}^{(m+1)}(\varphi(z))\right| \\
\leqslant & M_{1} \sup _{z \in \mathbb{D}}\left|f_{k}^{(m)}(\varphi(z))\right|+M_{2} \sup _{z \in \mathbb{D}}\left|f_{k}^{(m+1)}(\varphi(z))\right| \\
\rightarrow & 0 \text { as } k \rightarrow \infty,
\end{aligned}
$$

so $D_{\varphi, u}^{m}$ is compact from $\mathscr{B}^{\alpha}$ to $\mathscr{B}^{\beta}$ by Lemma 3.1, that is, $\left\|D_{\varphi, u}^{m}\right\|_{e}=0$. Consequently, for the case $\sup _{z \in \mathbb{D}}|\varphi(z)|<1$, the essential norm formula is true.

This reduces the proof of the theorem to the case $\sup _{z \in \mathbb{D}}|\varphi(z)|=1$. First, we intend to get the upper estimate. Let $\left\{L_{n}\right\}$ be the sequence of operators given in Lemmas 3.2-3.4. Since each $L_{n}$ is compact as an operator from $\mathscr{B}^{\alpha}$ to $\mathscr{B}^{\beta}$, then $D_{\varphi, u}^{m} L_{n}$ is also compact since $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ is bounded. Thus

$$
\begin{align*}
& \left\|D_{\varphi, u}^{m}\right\|_{e} \leqslant \limsup _{n \rightarrow \infty}\left\|D_{\varphi, u}^{m}-D_{\varphi, u}^{m} L_{n}\right\|=\limsup _{n \rightarrow \infty}\left\|D_{\varphi, u}^{m}\left(I-L_{n}\right)\right\| \\
= & \limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1}\left\|D_{\varphi, u}^{m}\left(I-L_{n}\right) f\right\|_{\mathscr{B}} \beta \\
\leqslant & \limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1}|u(0)|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(0))\right| \\
& +\limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right| \\
& +\limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B} \alpha} \leqslant 1} \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m+1)}(\varphi(z))\right| . \tag{3.5}
\end{align*}
$$

By Lemma 3.2(ii), Lemma 3.3(iib) and Lemma 3.4(ii) and Cauchy's integral formula, we obtain that

$$
\begin{equation*}
\limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \leqslant \leqslant 1}|u(0)|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(0))\right|=0 . \tag{3.6}
\end{equation*}
$$

Next we consider the term

$$
J:=\sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right|
$$

For each integer $n \geqslant m+1$, denote

$$
D_{n}=\left\{z \in \mathbb{D}: r_{n} \leqslant|\varphi(z)| \leqslant r_{n+1}\right\}
$$

where $r_{n}$ is given by (2.3). Let $k$ be the smallest positive integers such that $D_{k} \neq \varnothing$. Since $\sup _{z \in \mathbb{D}}|\varphi(z)|=1, D_{n}$ is not empty for every integer $n \geqslant k$, then $\mathbb{D}=\cup_{n=k}^{\infty} D_{n}$. We divide $J$ into two parts:

$$
\begin{aligned}
J= & \sup _{\|f\|_{\mathscr{B}} \leqslant \leqslant 1} \sup _{k \leqslant i \leqslant N-1} \sup _{z \in D_{i}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right| \\
& +\sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{i \geqslant N} \sup _{z \in D_{i}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right| \\
= & J_{1}+J_{2}
\end{aligned}
$$

where $N$ is a positive integer determined as follows. Consider the term

$$
\begin{aligned}
& \left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right| \\
= & \frac{i^{\alpha-1} i(i-1) \cdots(i-m+1)|\varphi(z)|^{i-m}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{i^{\alpha-1} i(i-1) \cdots(i-m+1)|\varphi(z)|^{i-m}(1-|\varphi(z)|)^{\alpha+m-1}} \\
& \cdot(1-|\varphi(z)|)^{\alpha+m-1}\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right|
\end{aligned}
$$

By Lemma 2.2, for $z \in D_{i}$,

$$
\begin{aligned}
& i^{\alpha-1} i(i-1) \cdots(i-m+1)|\varphi(z)|^{i-m}(1-|\varphi(z)|)^{\alpha+m-1} \\
\geqslant & i^{\alpha-1} i(i-1) \cdots(i-m+1)\left(\frac{i-m+1}{i+\alpha}\right)^{i-m}\left(\frac{\alpha+m-1}{i+\alpha}\right)^{\alpha+m-1}
\end{aligned}
$$

An easy calculation shows that

$$
\begin{aligned}
& \lim _{i \rightarrow \infty} i^{\alpha-1} i(i-1) \cdots(i-m+1)\left(\frac{i-m+1}{i+\alpha}\right)^{i-m}\left(\frac{\alpha+m-1}{i+\alpha}\right)^{\alpha+m-1} \\
= & \left(\frac{\alpha+m-1}{e}\right)^{\alpha+m-1}
\end{aligned}
$$

Hence, for any $\varepsilon>0$, there exists $N>m+1$ large enough such that for any $i \geqslant N$,

$$
\left[i^{\alpha-1} i(i-1) \cdots(i-m+1)|\varphi(z)|^{i-m}(1-|\varphi(z)|)^{\alpha+m-1}\right]^{-1}<\left(\frac{e}{\alpha+m-1}\right)^{\alpha+m-1}+\varepsilon
$$

For such $N$ it follows that

$$
\begin{aligned}
J_{2}= & \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{i \geqslant N} \sup _{z \in D_{i}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right| \\
\leqslant & {\left[\left(\frac{e}{\alpha+m-1}\right)^{\alpha+m-1}+\varepsilon\right] \sup _{\|f\|_{\mathscr{B}} \leqslant 1}\left\|\left(I-L_{n}\right) f\right\|_{\mathscr{B}^{\alpha}} } \\
& \cdot \sup _{i \geqslant N z \in D_{i}} i^{\alpha-1} i(i-1) \cdots(i-m+1)|\varphi(z)|^{i-m}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right| \\
\leqslant & {\left[\left(\frac{e}{\alpha+m-1}\right)^{\alpha+m-1}+\varepsilon\right]\left\|I-L_{n}\right\| \sup _{i \geqslant N} i^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{i}\right)\right\|_{\beta} . }
\end{aligned}
$$

Thus using (iii) of Lemmas 3.2-3.4, we obtain that

$$
\begin{equation*}
\limsup _{n \rightarrow \infty} J_{2} \leqslant\left[\left(\frac{e}{\alpha+m-1}\right)^{\alpha+m-1}+\varepsilon\right] \sup _{i \geqslant N} i^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{i}\right)\right\|_{\beta} \tag{3.7}
\end{equation*}
$$

For $J_{1}$, by (i) of Lemmas 3.2-3.4, along with Cauchy's integral formula, we have

$$
\begin{align*}
\limsup _{n \rightarrow \infty} J_{1} & =\limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{k \leqslant i \leqslant N-1 z \in D_{i}} \sup _{z \leqslant}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right| \\
& =\limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{r_{k} \leqslant|\varphi(z)| \leqslant r_{N}}\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right| \\
& \leqslant M_{1} \limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{r_{k} \leqslant|\varphi(z)| \leqslant r_{N}}\left|\left[\left(I-L_{n}\right) f\right]^{(m)}(\varphi(z))\right| \\
& =0 \tag{3.8}
\end{align*}
$$

From (3.7) and (3.8), we conclude

$$
\begin{equation*}
\limsup _{n \rightarrow \infty} J \leqslant\left[\left(\frac{e}{\alpha+m-1}\right)^{\alpha+m-1}+\varepsilon\right] \sup _{i \geqslant N} i^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{i}\right)\right\|_{\beta} \tag{3.9}
\end{equation*}
$$

By the same argument for $J$, we can prove that

$$
\begin{align*}
& \limsup _{n \rightarrow \infty} \sup _{\|f\|_{\mathscr{B}} \alpha \leqslant 1} \sup _{z \in \mathbb{D}}\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z)\right|\left|\left[\left(I-L_{n}\right) f\right]^{(m+1)}(\varphi(z))\right| \\
\leqslant & {\left[\left(\frac{e}{\alpha+m}\right)^{\alpha+m}+\varepsilon\right] \sup _{i \geqslant N} i^{\alpha-1}\left\|I_{u} C_{\varphi} D^{m}\left(z^{i}\right)\right\|_{\beta} . } \tag{3.10}
\end{align*}
$$

Then by (3.5), (3.6), (3.9) and (3.10), it is clear that

$$
\begin{aligned}
\left\|D_{\varphi, u}^{m}\right\|_{e} \leqslant & {\left[\left(\frac{e}{\alpha+m-1}\right)^{\alpha+m-1}+\varepsilon\right] \sup _{i \geqslant N} i^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{i}\right)\right\|_{\beta} } \\
& +\left[\left(\frac{e}{\alpha+m}\right)^{\alpha+m}+\varepsilon\right] \sup _{i \geqslant N} i^{\alpha-1}\left\|I_{u} C_{\varphi} D^{m}\left(z^{i}\right)\right\|_{\beta}
\end{aligned}
$$

Letting $\varepsilon \rightarrow 0$, the desired result of the upper estimate follows.

Now, still under the assumption that $\sup _{f \in \mathbb{D}}|\varphi(z)|=1$, we give a proof for the lower estimate. Let $D_{\varphi, u}^{m}: \mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ be bounded. Taking any compact operator $K: \mathscr{B}^{\alpha} \rightarrow$ $\mathscr{B}^{\beta}$, then for any sequence $\left\{f_{k}\right\}$ in $\mathscr{B}^{\alpha}$ with $\left\|f_{k}\right\|_{\mathscr{B}^{\alpha}} \leqslant 1$, and $f_{k} \rightarrow 0$ weakly in $\mathscr{B}^{\alpha}$, we know that $\lim _{k \rightarrow \infty}\left\|K f_{k}\right\|_{\mathscr{B} \beta}=0$ (see, for example, [15] or [17]). Hence

$$
\left\|D_{\varphi, u}^{m}-K\right\| \geqslant \limsup _{k \rightarrow \infty}\left\|\left(D_{\varphi, u}^{m}-K\right) f_{k}\right\|_{\beta} \geqslant \limsup _{k \rightarrow \infty}\left\|D_{\varphi, u}^{m} f_{k}\right\|_{\beta}
$$

Thus by the arbitrariness of $K$,

$$
\left\|D_{\varphi, u}^{m}\right\|_{e} \geqslant \limsup _{k \rightarrow \infty}\left\|D_{\varphi, u}^{m} f_{k}\right\|_{\beta}
$$

Specially, choosing a sequence $\left(z_{k}\right)_{k \in \mathbb{N}}$ in $\mathbb{D}$ such that $\left|\varphi\left(z_{k}\right)\right| \rightarrow 1$ as $k \rightarrow \infty$, consider the function $g_{k}$ defined by

$$
g_{k}(z)=\frac{\left(1-\left|\varphi\left(z_{k}\right)\right|^{2}\right)^{2}}{\left(1-\overline{\varphi\left(z_{k}\right)} z\right)^{\alpha+1}}-\frac{\alpha+m+1}{\alpha} \frac{1-\left|\varphi\left(z_{k}\right)\right|^{2}}{\left(1-\overline{\varphi\left(z_{k}\right)} z\right)^{\alpha}} .
$$

It is easy to check that $g_{k} \in \mathscr{B}^{\alpha}$ with $\left\|g_{k}\right\|_{\mathscr{B}^{\alpha}} \leqslant\left|g_{k}(0)\right|+2^{\alpha+1}(3 \alpha+m+3)$ and $g_{k} \rightarrow 0$ uniformly on compact subsets of $\mathbb{D}$, which can prove in a similar way of [9].

Let $g_{k}^{*}(z)=g_{k}(z) /\left\|g_{k}\right\|_{\mathscr{B}^{\alpha}}$. Then it is clearly that $\left\|g_{k}^{*}\right\|_{\mathscr{B}^{\alpha}}=1$ and $g_{k}^{*} \rightarrow 0$ uniformly on compact subsets of $\mathbb{D}$. Together with $g_{k}^{(m+1)}\left(\varphi\left(z_{k}\right)\right)=0$ and

$$
g_{k}^{(m)}\left(\varphi\left(z_{k}\right)\right)=-\frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m-1){\overline{\varphi\left(z_{k}\right)}}^{m}}{\left(1-\left|\varphi\left(z_{k}\right)\right|^{2}\right)^{\alpha+m-1}}
$$

we get

$$
\begin{align*}
\left\|D_{\varphi, u}^{m}\right\|_{e} & \geqslant \limsup _{k \rightarrow \infty}\left\|D_{\varphi, u}^{m} g_{k}^{*}\right\|_{\mathscr{B} \beta}=\limsup _{k \rightarrow \infty} \frac{\left\|D_{\varphi, u}^{m} g_{k}\right\|_{\mathscr{B} \beta}}{\left\|g_{k}\right\|_{\mathscr{B}}^{\alpha}} \geqslant \limsup _{k \rightarrow \infty} \frac{\left\|D_{\varphi, u}^{m} g_{k}\right\|_{\beta}}{\left\|g_{k}\right\|_{\mathscr{B}}^{\alpha}} \\
& \geqslant \limsup _{k \rightarrow \infty} \frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m-1)\left|\varphi\left(z_{k}\right)\right|^{m}\left(1-\left|z_{k}\right|^{2}\right)^{\beta}\left|u^{\prime}\left(z_{k}\right)\right|}{\left[\left|g_{k}(0)\right|+2^{\alpha+1}(3 \alpha+m+3)\right]\left(1-\left|\varphi\left(z_{k}\right)\right|^{2}\right)^{\alpha+m-1}} \\
& =\frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m-1)}{2^{\alpha+1}(3 \alpha+m+3)} \lim _{|\varphi(z)| \rightarrow 1} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{\left(1-|\varphi(z)|^{2}\right)^{\alpha+m-1}}, \tag{3.11}
\end{align*}
$$

which we have used the fact that $\left|g_{k}(0)\right| \rightarrow 0$ as $k \rightarrow \infty$. On the other hand, since

$$
\begin{align*}
& n^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta} \\
= & \sup _{z \in \mathbb{D}} n^{\alpha-1} n(n-1) \cdots(n-m+1)|\varphi(z)|^{n-m}\left|u^{\prime}(z)\right|\left(1-|z|^{2}\right)^{\beta} \\
= & I_{n}^{1}+I_{n}^{2} \tag{3.12}
\end{align*}
$$

where

$$
I_{n}^{1}=\sup _{|\varphi(z)| \leqslant s} n^{\alpha-1} n(n-1) \cdots(n-m+1)|\varphi(z)|^{n-m}\left|u^{\prime}(z)\right|\left(1-|z|^{2}\right)^{\beta}
$$

$$
I_{n}^{2}=\sup _{|\varphi(z)|>s} n^{\alpha-1} n(n-1) \cdots(n-m+1)|\varphi(z)|^{n-m}\left|u^{\prime}(z)\right|\left(1-|z|^{2}\right)^{\beta}
$$

and $s \in(0,1)$. Using Lemma 2.2(i), we have

$$
\begin{aligned}
I_{n}^{2}= & \sup _{|\varphi(z)|>s} n^{\alpha-1} n(n-1) \cdots(n-m+1)|\varphi(z)|^{n-m} \\
& \times(1-|\varphi(z)|)^{\alpha+m-1} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{(1-|\varphi(z)|)^{\alpha+m-1}} \\
\leqslant & n^{\alpha-1} n(n-1) \cdots(n-m+1)\left(\frac{n-m}{n+\alpha-1}\right)^{n-m}\left(\frac{\alpha+m-1}{n+\alpha-1}\right)^{\alpha+m-1} \\
& \times \sup _{|\varphi(z)|>s} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{(1-|\varphi(z)|)^{\alpha+m-1}} .
\end{aligned}
$$

Noting that

$$
\begin{aligned}
& \lim _{n \rightarrow \infty} n^{\alpha-1} n(n-1) \cdots(n-m+1)\left(\frac{n-m}{n+\alpha-1}\right)^{n-m}\left(\frac{\alpha+m-1}{n+\alpha-1}\right)^{\alpha+m-1} \\
= & \left(\frac{\alpha+m-1}{e}\right)^{\alpha+m-1}
\end{aligned}
$$

thus for any fixed $s \in(0,1)$, we have

$$
\begin{equation*}
\limsup _{n \rightarrow \infty} I_{n}^{2} \leqslant\left(\frac{\alpha+m-1}{e}\right)^{\alpha+m-1} \sup _{|\varphi(z)|>s} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{(1-|\varphi(z)|)^{\alpha+m-1}} \tag{3.13}
\end{equation*}
$$

For $I_{n}^{1}$, it is easy to see that

$$
\begin{equation*}
\limsup _{n \rightarrow \infty} I_{n}^{1} \leqslant M_{1} \limsup _{n \rightarrow \infty} n^{\alpha-1} n(n-1) \cdots(n-m+1) s^{n-m}=0 . \tag{3.14}
\end{equation*}
$$

From (3.12)-(3.14) we conclude that

$$
\limsup _{n \rightarrow \infty} n^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta} \leqslant\left(\frac{\alpha+m-1}{e}\right)^{\alpha+m-1} \sup _{|\varphi(z)|>s} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{(1-|\varphi(z)|)^{\alpha+m-1}}
$$

for any fixed $s \in(0,1)$. Letting $s \rightarrow 1$, we conclude

$$
\begin{equation*}
A \leqslant \lim _{|\varphi(z)| \rightarrow 1} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u^{\prime}(z)\right|}{\left(1-|\varphi(z)|^{2}\right)^{\alpha+m-1}} \tag{3.15}
\end{equation*}
$$

Thus from (3.11) and (3.15), it follows that

$$
\left\|D_{\varphi, u}^{m}\right\|_{e} \geqslant \frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m-1)}{2^{\alpha+1}(3 \alpha+m+3)} A
$$

At last, we proceed to prove the other lower estimate in a similar way. Let $\left(z_{k}\right)_{k \in \mathbb{N}}$ be a sequence in $\mathbb{D}$ such that $\left|\varphi\left(z_{k}\right)\right| \rightarrow 1$ as $k \rightarrow \infty$. Consider the function $h_{k}$ defined by

$$
h_{k}(z)=\frac{\left(1-\left|\varphi\left(z_{k}\right)\right|^{2}\right)^{2}}{\left(1-\overline{\varphi\left(z_{k}\right)} z\right)^{\alpha+1}}-\frac{\alpha+m}{\alpha} \frac{1-\left|\varphi\left(z_{k}\right)\right|^{2}}{\left(1-\overline{\varphi\left(z_{k}\right) z}\right)}
$$

The fact that $h_{k} \in \mathscr{B}^{\alpha}$ with $\left\|h_{k}\right\|_{\mathscr{B}^{\alpha}} \leqslant\left|h_{k}(0)\right|+2^{\alpha+1}(3 \alpha+m+2)$ and $h_{k} \rightarrow 0$ uniformly on compact subsets of $\mathbb{D}$, can be proved analogously.

Let $h_{k}^{*}(z)=h_{k}(z) /\left\|h_{k}\right\|_{\mathscr{B}^{\alpha}}$. Then it is clearly that $\left\|h_{k}^{*}\right\|_{\mathscr{B}^{\alpha}}=1$ and $h_{k}^{*} \rightarrow 0$ uniformly on compact subsets of $\mathbb{D}$. Combine with $h_{k}^{(m)}\left(\varphi\left(z_{k}\right)\right)=0$ and

$$
h_{k}^{(m+1)}\left(\varphi\left(z_{k}\right)\right)=\frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m){\left.\overline{\varphi\left(z_{k}\right.}\right)}^{m+1}}{\left(1-\left|\varphi\left(z_{k}\right)\right|^{2}\right)^{\alpha+m}}
$$

then

$$
\begin{aligned}
\left\|D_{\varphi, u}^{m}\right\|_{e} & \geqslant \limsup _{k \rightarrow \infty}\left\|D_{\varphi, u}^{m} h_{k}^{*}\right\|_{\mathscr{B}}=\limsup _{k \rightarrow \infty} \frac{\left\|D_{\varphi, u}^{m} h_{k}\right\|_{\mathscr{B} \beta}}{\left\|h_{k}\right\|_{\mathscr{B}}^{\alpha}} \geqslant \limsup _{k \rightarrow \infty} \frac{\left\|D_{\varphi, u}^{m} h_{k}\right\|_{\beta}}{\left\|h_{k}\right\|_{B^{\alpha}}} \\
& \geqslant \limsup _{k \rightarrow \infty} \frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m)\left|\varphi\left(z_{k}\right)\right|^{m+1}\left(1-\left|z_{k}\right|^{2}\right)^{\beta}\left|u\left(z_{k}\right) \varphi^{\prime}\left(z_{k}\right)\right|}{\left[\left|h_{k}(0)\right|+2^{\alpha+1}(3 \alpha+m+2)\right]\left(1-\left|\varphi\left(z_{k}\right)\right|^{2}\right)^{\alpha+m}} \\
& =\frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m)}{2^{\alpha+1}(3 \alpha+m+2)} \lim _{|\varphi(z)| \rightarrow 1} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z)\right|}{\left(1-|\varphi(z)|^{2}\right)^{\alpha+m}} .
\end{aligned}
$$

Similarly as in the proof of (3.15), we obtain

$$
B \leqslant \lim _{|\varphi(z)| \rightarrow 1} \frac{\left(1-|z|^{2}\right)^{\beta}\left|u(z) \varphi^{\prime}(z)\right|}{\left(1-|\varphi(z)|^{2}\right)^{\alpha+m}}
$$

Thus

$$
\left\|D_{\varphi, u}^{m}\right\|_{e} \geqslant \frac{(\alpha+1)(\alpha+2) \cdots(\alpha+m)}{2^{\alpha+1}(3 \alpha+m+2)} B
$$

The proof is complete.
From the above theorem and the well-know relationship between the compactness of an operator and its essential norm, it is easy to obtain the following corollary.

Corollary 3.1. Let $0<\alpha, \beta<\infty, m \geqslant 1$ be an integer, and $u \in H(\mathbb{D}), \varphi \in$ $S(\mathbb{D})$. Suppose that the operator $D_{\varphi, u}^{m}$ is bounded from $\mathscr{B}^{\alpha}$ to $\mathscr{B}^{\beta}$. Then $D_{\varphi, u}^{m}$ : $\mathscr{B}^{\alpha} \rightarrow \mathscr{B}^{\beta}$ is compact if and only if

$$
\limsup _{n \rightarrow \infty} n^{\alpha-1}\left\|J_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta}=0
$$

and

$$
\limsup _{n \rightarrow \infty} n^{\alpha-1}\left\|I_{u} C_{\varphi} D^{m}\left(z^{n}\right)\right\|_{\beta}=0
$$

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