# CONTINUITY PROPERTIES OF *K*-MIDCONVEX AND *K*-MIDCONCAVE SET-VALUED MAPS

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Dedicated to Professor Josip Pečarić on the occasion of his 70th birthday

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*Abstract.* A recent result on the continuity of midconvex functionals upper bounded on a not null-finite set (see [2]) is extended to *K*-midconvex and *K*-midconcave set-valued maps.

## 1. Introduction and preliminaries

Let *X* and *Y* be topological vector spaces (real and Hausdorff in the whole paper). Assume that *D* is a convex subset of *X* and *K* is a convex cone in *Y* (i.e.  $K + K \subset K$  and  $tK \subset K$  for all  $t \ge 0$ ). Denote by n(Y),  $\mathscr{B}(Y)$ ,  $\mathscr{BC}(Y)$  and  $\mathscr{CC}(Y)$  the families of all nonempty, nonempty bounded, nonempty bounded convex and nonempty compact convex subsets of *Y*, respectively.

A set-valued map  $F: D \rightarrow n(Y)$  is called *K*-convex, if

$$tF(x_1) + (1-t)F(x_2) \subset F(tx_1 + (1-t)x_2) + K$$
(1)

for all  $x_1, x_2 \in D$  and  $t \in [0, 1]$ . If *F* satisfies

$$F(tx_1 + (1-t)x_2) \subset tF(x_1) + (1-t)F(x_2) + K$$
(2)

for all  $x_1, x_2 \in D$  and  $t \in [0, 1]$ , then it is called *K*-concave.

A set-valued map  $F: D \to n(Y)$  is called *K*-midconvex (*K*-midconcave, resp.), if (1) ((2)) is assumed only for  $t = \frac{1}{2}$ .

Clearly, if *F* is *K*-convex with  $K = \{0\}$  then it is convex, which means that its graph is a convex subset of  $X \times Y$ . If *F* is single-valued and *Y* is endowed with the relation  $\leq_K$  of partial order defined by  $x \leq_K y \Leftrightarrow y - x \in K$ , then conditions (1) and (2) reduce to the following conditions:

$$F(tx_1 + (1-t)x_2) \leq_K tF(x_1) + (1-t)F(x_2)$$

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and

$$tF(x_1) + (1-t)F(x_2) \leq_K F(tx_1 + (1-t)x_2),$$

respectively. In particular, if  $Y = \mathbb{R}$  and  $K = [0, \infty)$ , we obtain the standard definitions of convex and concave functions.

A set-valued map  $F : D \longrightarrow n(Y)$  is said to be *K*-continuous at a point  $x_0 \in D$  if for every neighbourhood *W* of zero in *Y* there exists a neighbourhood *U* of zero in *X* such that

$$F(x_0) \subset F(x) + W + K \tag{3}$$

and

$$F(x) \subset F(x_0) + W + K \tag{4}$$

for every  $x \in (x_0 + U) \cap D$ . If only condition (3) (condition (4)) is fulfilled, *F* is called *K*-lower semicontinuous (*K*-upper semicontinuous) at  $x_0$ .

Denote by  $K^*$  the set of all continuous linear functionals on Y which are nonnegative on K, i.e.

$$K^* = \{ y^* \in Y^* : y^*(y) \ge 0 \text{ for every } y \in K \}.$$

We say that a set-valued map  $F : D \to \mathscr{B}(Y)$  is *K*-hemicontinuous (*K*-lower hemicontinuous, *K*-upper hemicontinuous) at a point  $x_0 \in D$  if for every  $y^* \in K^*$  the functional  $f_{y^*} : D \to \mathbb{R}$  defined by

$$f_{y^*}(x) = \inf y^*(F(x)), \ x \in D$$
 (5)

is continuous (lower semicontinuous, upper semicontinuous) at  $x_0$ .

We say that a set-valued map  $F: D \to n(Y)$  is *partially K*-upper bounded on a set  $A \subset D$  if there exists a bounded set  $B \subset Y$  such that  $F(x) \cap \operatorname{cl}(B-K) \neq \emptyset$  for all  $x \in A$ . *F* is *K*-lower bounded on a set *A* if there exists a bounded set  $B \subset Y$  such that  $F(x) \subset \operatorname{cl}(B+K)$  for all  $x \in A$ .

In [2] a new concept of a null-finite set has been introduced. Let us recall, a subset *A* of a metric vector space *X* is called *null-finite* if there exists a sequence  $(x_n)_{n \in \mathbb{N}}$  tending to zero in *X* such that the set  $\{n \in \mathbb{N} : x + x_n \in A\}$  is finite for every  $x \in X$ .

The following crucial property of null-finite sets has been proved in [2].

THEOREM 1. [2, Theorems 5.1 and 6.1] In a complete abelian metric group each Borel null-finite set is Haar-meager as well as each universally measurable null-finite set is Haar-null.

Let us recall that a subset *B* of an abelian Polish group *X* is called:

- *Haar-meager* if there exist a Borel set  $A \supset B$ , a compact metric space K and a continuous function  $f: K \to X$  such that  $f^{-1}(A+x)$  is meager in K for each  $x \in X$  (see [5]);
- *Haar-null* if there exists a universally measurable set  $A \supset B$  and a  $\sigma$ -additive probability Borel measure  $\mu$  on X such that  $\mu(A + x) = 0$  for each  $x \in X$  (see [4]).

It has been proved in [4] and [5] that in each locally compact abelian Polish group the notions of a Haar-meager set and a Haar-null set are equivalent to the notions of a meager set and a set of Haar measure zero, respectively.

In 1983 K. Baron and R. Ger [3, p. 239] asked the following question:

Does the upper boundedness of an additive or midpoint convex function on some universally measurable set which is not Haar-null imply the continuity of the function?

This problem has been resolved in [2] thanks to Theorem 1 and the following important result.

THEOREM 2. [2, Theorem 11.1] If a midpoint convex function  $f: D \to \mathbb{R}$  defined on an open convex subset  $D \subset X$  of a metric vector space X with an invariant metric is upper bounded on a set  $B \subset D$  which is not null-finite in X and whose closure clB is contained in D, then f is continuous.

In the paper [6] we generalized Theorem 2 as below.

THEOREM 3. [6, Theorem 11] Let Y be a metric vector space with an invariant metric. If a K-midconvex set-valued map  $F : D \to \mathscr{B}(Y)$  defined on an open convex subset D of a metric vector space X with an invariant metric is partially K-upper bounded on a set  $B \subset D$ , which is not null-finite in X and satisfies  $clB \subset D$ , then F is K-continuous on D.

In this paper we show that the above Theorem 3 also holds in the case where X is a Baire topological vector space, Y is a locally convex topological vector space such that  $\bigcup_{n \in \mathbb{N}} (B_n - K) = Y$  for some bounded sets  $B_n \subset Y$ ,  $n \in \mathbb{N}$ , and  $F : D \to \mathscr{CC}(Y)$ . But first we prove that if we weaken assumptions about Y in Theorem 3, then we get just K-hemicontinuity of F.

## 2. Some connections between K-continuity and K-hemicontinuity

Assume that X and Y are topological vector spaces, D is an open subset of X and K is a convex cone in Y. It is known (and easy to prove) that if a set-valued map  $F: D \rightarrow B(Y)$  is K-continuous at a point  $x_0 \in D$ , then it is K-hemicontinuous at this point (see [9, Prop. 1]; cf. also [7, Prop. 2.1]), but the converse is not true in general (cf. [1, p. 62]). However, under some additional regularity assumptions, K-midconvex and K-hemicontinuous set-valued maps are K-continuous. Namely, the following result has been proved in [9].

THEOREM 4. [9, Theorem 1] Let X be a Baire topological vector space and D be a convex open subset of X. Assume that Y is a locally convex topological vector space and K is a convex cone in Y. Assume also that there exist bounded sets  $B_n \subset Y$ ,  $n \in \mathbb{N}$ , such that

$$\bigcup_{n\in\mathbb{N}} (B_n - K) = Y.$$
(6)

If a set-valued map  $F: D \to \mathscr{CC}(Y)$  is K-midconvex and K-upper hemicontinuous on D, then F is K-continuous on D.

The next theorem shows that a similar result holds also for K-midconcave setvalued maps.

THEOREM 5. Let X be a Baire topological vector space and D be a convex open subset of X. Assume that Y is a locally convex topological vector space and K is a convex cone in Y. Assume also that there exist open bounded sets  $B_n \subset Y$ ,  $n \in \mathbb{N}$ , such that

$$\bigcup_{n \in \mathbb{N}} (B_n + K) = Y.$$
(7)

If a set-valued map  $F : D \to \mathscr{CC}(Y)$  is K-midconcave and K-lower hemicontinuous on D, then F is K-continuous on D.

In the proof of this theorem we will use the following lemma (which is a slight improvement of the Bernstain-Doetsch-type theorem for *K*-midconcave set-valued maps given in [8, Theorem 4.4]). Recall that if a set-valued map  $F: D \rightarrow n(Y)$  is *K*-midconcave and convex-valued, then

$$F(qx_1 + (1-q)x_2) \subset qF(x_1) + (1-q)F(x_2) + K$$
(8)

for all  $x_1, x_2 \in D$  and all dyadic  $q \in [0, 1]$  (see [8, Lemma 4.1]).

LEMMA 6. Let X and Y be topological vector spaces. Assume that D is an open convex subset of X and K is a convex cone in Y. If a set-valued map  $F: D \to \mathscr{BC}(Y)$ is K-midconcave and K-lower bounded on a subset of D with a nonempty interior, then F is K-continuous on D.

*Proof.* Let *F* be *K*-lower bounded on a set  $x_0 + U \subset D$ , where  $x_0 \in D$  and *U* is a neighbourhood of zero in *X*. Then there is a bounded set  $B \subset Y$  such that

$$F(x) \subset \operatorname{cl}(B+K), \ x \in x_0 + U.$$
(9)

We prove that *F* is *K*-upper semicontinuous at  $x_0$ . So, take an arbitrary neighbourhood *W* of zero in *Y* and next choose a balanced neighbourhood *V* of zero such that V + V = V = W. Since the sets *B* and  $F(x_0)$  are bounded, there exists a dyadic number  $q \in (0, 1)$  such that

$$qB \subset V$$
 and  $qF(x_0) \subset V$ .

Thus, by (9),

$$qF(x) \subset q\operatorname{cl}(B+K) = \operatorname{cl}(qB+qK) \subset \operatorname{cl}(V+K) \subset V+K+V, \quad x \in x_0+U.$$
(10)

Now, fix  $u \in U$ . Then, using (8) and (10), we obtain

$$F(x_0 + qu) = F((1 - q)x_0 + q(u + x_0)) \subset (1 - q)F(x_0) + qF(u + x_0) + K$$
  

$$\subset F(x_0) - qF(x_0) + V + V + K \subset F(x_0) - V + V + K + K$$
  

$$\subset F(x_0) + W + K,$$

which means that *F* is *K*-upper semicontinuous at  $x_0$  and, consequently, *K*-continuous on *D* (see [8, Theorem 4.5]).  $\Box$ 

*Proof of Theorem* 5. Let  $B_n$ ,  $n \in \mathbb{N}$ , be open bounded sets satisfying (7). Define  $\widetilde{B}_n = \operatorname{conv}(B_1 \cup \ldots \cup B_n)$ ,  $n \in \mathbb{N}$ . Since the convex hull of an open set is open and, in locally convex spaces, the convex hull of a bounded set is bounded, the sets  $\widetilde{B}_n$  are open and convex. Moreover,  $\widetilde{B}_n \subset \widetilde{B}_{n+1}$ ,  $n \in \mathbb{N}$ . Define

$$A_n = \{ x \in D : F(x) \subset \operatorname{cl}(B_n + K) \}, \ n \in \mathbb{N}.$$
(11)

Then  $\bigcup_{n\in\mathbb{N}}A_n = D$ . Indeed, for every fixed  $x \in D$  the sets  $\widetilde{B}_n + K$ ,  $n \in \mathbb{N}$ , form an open covering of F(x). Since F(x) is compact, there exists a finite subcovering of it:

$$F(x) \subset \left(\widetilde{B}_{n_1} + K\right) \cup \ldots \cup \left(\widetilde{B}_{n_p} + K\right) = \widetilde{B}_{n_p} + K,$$

and hence  $x \in A_{n_p}$ . By the definition of  $A_n$ , the set-valued map F is K-lower bounded on every set  $A_n$ . We will show that F is also K-lower bounded on the sets  $clA_n$ . To this aim fix an  $n \in \mathbb{N}$  and take an  $x_0 \in clA_n$ . By (11)  $F(x) \subset cl(\widetilde{B}_n + K)$  for every  $x \in A_n$ . We will show that also  $F(x_0) \subset cl(\widetilde{B}_n + K)$ .

For the proof by contradiction suppose that there exists  $z \in F(x_0) \setminus cl(\widetilde{B}_n + K)$ . Since the set  $cl(\widetilde{B}_n + K)$  is convex and closed, by the separation theorem (see e.g (see [10, Theorem 3.4])) there exists a continuous linear functional  $y^* \in Y^*$  such that

$$y^*(z) < \inf y^* \left( \operatorname{cl} \left( \widetilde{B}_n + K \right) \right). \tag{12}$$

Note that  $y^* \in K^*$ . Indeed, in view of (12) we have

 $\mathbf{y}^*(k) \geqslant \mathbf{y}^*(z) - \mathbf{y}^*(b_0) =: M,$ 

for all  $k \in K$  and arbitrarily fixed  $b_0 \in \widetilde{B}_n$ . Hence, by the homogeneity of  $y^*$ , we get

$$y^*(k) = \frac{1}{m}y^*(mk) \ge \frac{1}{m}M, \ m \in \mathbb{N},$$

which proves that  $y^*(k) \ge 0$  for all  $k \in K$ . Now, put

$$\varepsilon := \inf y^* \left( \operatorname{cl} \left( \overline{B}_n + K \right) \right) - y^*(z).$$

By the *K*-lower hemicontinuity of *F* at  $x_0$  there exists a neighbourhood  $U_{x_0} \subset D$  such that

$$f_{y^*}(x) < f_{y^*}(x_0) + \varepsilon, \ x \in U_{x_0}.$$
 (13)

Since  $x_0 \in clA_n$ , there exists an  $x_1 \in A_n \cap U_{x_0}$ . Then, by (13) and the definition of  $\varepsilon$ , we obtain

$$f_{y^*}(x_1) < f_{y^*}(x_0) + \varepsilon \leq y^*(z) + \varepsilon = \inf y^* \left( \operatorname{cl}(\widehat{B}_n + K) \right)$$
  
$$\leq \inf y^* \left( F(x_1) \right) = f_{y^*}(x_1).$$

This contradiction proves that  $F(x) \subset cl(\widetilde{B}_n + K)$  for every  $x \in clA_n$ .

Hence, *F* is *K*-lower bounded on every  $clA_n$ ,  $n \in \mathbb{N}$ . Since *Y* is a Baire space and  $D \subset \bigcup_{n \in \mathbb{N}} clA_n$ , there exists  $n_0 \in \mathbb{N}$  such that  $int clA_{n_0} \neq \emptyset$ . Thus *F* is *K*lower bounded on a set with nonempty interior and consequently, by Lemma 6, *F* is *K*-continuous on *D*. This finishes the proof.  $\Box$ 

#### 3. *K*-continuity as a consequence of boundedness on not null-finite sets

In this section first we will show that K-midconvex set-valued maps partially K-upper bounded on a not null-finite set, as well as K-midconcave set-valued maps K-lower bounded on a not null-finite set, are K-hemicontinuous. Next we will use these results and Theorems 4, 5 to prove that under some additional assumptions K-midconvex set-valued maps partially K-upper bounded on a not null-finite set, as well as K-midconcave set-valued maps K-lower bounded on a not null-finite set, are K-continuous.

THEOREM 7. Let X be a metric vector space with an invariant metric, D be an open convex subset of X and  $A \subset D$  be a set which is not null-finite and  $clA \subset D$ . Assume that Y is a topological vector space and K is a convex cone in Y. If a set-valued map  $F : D \to \mathscr{B}(Y)$  is K-midconvex and partially K-upper bounded on A, then F is K-hemicontinuous on D.

*Proof.* Assume that *F* is *K*-midconvex and partially *K*-upper bounded on *A*. Then there exists a bounded set  $B \subset Y$  such that

$$F(x) \cap \operatorname{cl}(B - K) \neq \emptyset, \ x \in A.$$
(14)

Fix any  $y^* \in K^*$  and take the functional  $f_{y^*}$  defined by (12). Since F is K-midconvex and  $y^* \in K^*$ , we have for all  $x_1, x_2 \in D$ 

$$\frac{y^*(F(x_1)) + y^*(F(x_2))}{2} = y^*\left(\frac{F(x_1) + F(x_2)}{2}\right)$$
$$\subset y^*\left(F\left(\frac{x_1 + x_2}{2}\right) + K\right)$$
$$\subset y^*\left(F\left(\frac{x_1 + x_2}{2}\right)\right) + [0, \infty)$$

Hence

$$\begin{aligned} \frac{f_{y^*}(x_1) + f_{y^*}(x_2)}{2} &= \frac{\inf y^*(F(x_1)) + \inf y^*(F(x_2))}{2} \\ &= \inf \left(\frac{y^*(F(x_1)) + y^*(F(x_2))}{2}\right) \\ &\geq \inf y^*\left(F\left(\frac{x_1 + x_2}{2}\right)\right) \\ &= f_{y^*}\left(\frac{x_1 + x_2}{2}\right), \end{aligned}$$

which means that  $f_{y^*}$  is midconvex. By (14), for every  $x \in A$  we have

$$y^*(F(x)) \cap y^*(\operatorname{cl}(B-K)) \neq \emptyset.$$

Since  $y^*$  is continuous,  $y^*(cl(B-K)) \subset cl(y^*(B-K))$ . Therefore

$$y^*(F(x)) \cap \operatorname{cl}(y^*(B-K)) \neq \emptyset$$

and hence

$$y^{*}(F(x)) \cap cl(y^{*}(B) + (-\infty, 0]) \neq \emptyset.$$
 (15)

Since continuous linear functionals map bounded sets into bounded sets (see [10, Theorem 1.32]), the set  $y^*(B)$  is bounded. Assume that  $y^*(B) \subset [m, M]$ . Then, by (15),

$$y^*(F(x)) \cap (-\infty, M] \neq \emptyset$$

and hence

$$f_{y^*}(x) \leqslant M, x \in A$$

Consequently, in view of Theorem 2,  $f_{y^*}$  is continuous on *D*. This means that *F* is *K*-hemicontinuous on *D*.  $\Box$ 

THEOREM 8. Let X be a metric vector space with an invariant metric, D be an open convex subset of X and  $A \subset D$  be a set which is not null-finite and  $clA \subset D$ . Assume that Y is a topological vector space and K is a convex cone in Y. If a set-valued map  $F: D \to \mathscr{B}(Y)$  is K-midconcave and K-lower bounded on A, then F is K-hemicontinuous on D.

*Proof.* Since F is K-lower bounded on A, there exists a bounded set  $B \subset Y$  such that

$$F(x) \subset \operatorname{cl}(B+K), \ x \in A.$$
(16)

Fix any  $y^* \in K^*$  and take the functional  $f_{y^*}$  defined by (12). Since *F* is *K*-midconcave and  $y^* \in K^*$ ,

$$y^* \left( F\left(\frac{x_1 + x_2}{2}\right) \right) \subset y^* \left(\frac{F(x_1) + F(x_2)}{2} + K\right)$$
$$\subset y^* \left(\frac{F(x_1) + F(x_2)}{2}\right) + [0, \infty)$$
$$= \frac{y^* (F(x_1)) + y^* (F(x_2))}{2} + [0, \infty)$$

for  $x_1, x_2 \in D$ . Hence

$$f_{y^*}\left(\frac{x_1+x_2}{2}\right) = \inf y^*\left(F\left(\frac{x_1+x_2}{2}\right)\right)$$
  
$$\geqslant \inf \frac{y^*(F(x_1)) + y^*(F(x_2))}{2}$$
  
$$= \frac{f_{y^*}(x_1) + f_{y^*}(x_2)}{2}$$

which means that  $f_{y^*}$  is midconcave. By (16) and the continuity of  $y^*$ ,

$$y^*(F(x)) \subset y^*(\operatorname{cl}(B+K)) \subset \operatorname{cl}(y^*(B) + [0,\infty))$$
 for each  $x \in A$ .

Clearly the set  $y^*(B)$  is bounded, so  $y^*(B) \subset [m, M]$  and then

$$f_{y^*}(x) \ge m, \ x \in A.$$

Hence, since  $-f_{y^*}$  is midconvex, according to Theorem 2,  $f_{y^*}$  is continuous on D. It means that F is K-hemicontinuous on D.  $\Box$ 

Now, using Theorems 4 and 7 we obtain the following result (cf. [6, Theorem 11]).

COROLLARY 9. Let X be a Baire metric vector space with an invariant metric, D be an open convex subset of X and  $A \subset D$  be a set which is not null-finite and  $clA \subset D$ . Assume that Y is a locally convex topological vector space and K is a convex cone in Y. Assume also that there exist bounded sets  $B_n \subset Y$ ,  $n \in \mathbb{N}$ , satisfying (6). If a set-valued map  $F : D \to CC(Y)$  is K-midconvex and partially K-upper bounded on A, then F is K-continuous on D.

Analogously, by Theorems 5 and 8 we get the following result.

COROLLARY 10. Let X be a Baire metric vector space with an invariant metric, D be an open convex subset of X and  $A \subset D$  be a set which is not null-finite and  $clA \subset D$ . Assume that Y is a locally convex topological vector space and K is a convex cone in Y. Assume also that there exist open bounded sets  $B_n \subset Y$ ,  $n \in \mathbb{N}$ , satisfying (7). If a set-valued map  $F : D \to \mathscr{CC}(Y)$  is K-midconcave and K-lower bounded on A, then F is K-continuous on D.

Finally, we use Theorem 1 and the above Corollaries 9 and 10 to answer Baron's and Ger's question in the case of set-valued functions.

COROLLARY 11. Let X be a complete metric vector space with an invariant metric, D be an open convex subset of X and  $A \subset D$  be a universally measurable set which is not Haar-null or a Borel set which is not Haar-meager. Let Y be a locally convex topological vector space and K be a convex cone in Y. Assume also that there exist bounded sets  $B_n \subset Y$ ,  $n \in \mathbb{N}$ , satisfying (6). If a set-valued map  $F : D \to \mathscr{CC}(Y)$  is K-midconvex and partially K-upper bounded on A, then F is K-continuous on D.

COROLLARY 12. Let X be a complete metric vector space with an invariant metric, D be an open convex subset of X and  $A \subset D$  be a universally measurable set which is not Haar-null or a Borel set which is not Haar-meager. Let Y be a locally convex topological vector space and K be a convex cone in Y. Assume also that there exist open bounded sets  $B_n \subset Y$ ,  $n \in \mathbb{N}$ , satisfying (7). If a set-valued map  $F : D \to \mathscr{CC}(Y)$ is K-midconcave and K-lower bounded on A, then F is K-continuous on D.

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