ON THE ITERATED MEAN TRANSFORMS OF OPERATORS

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Abstract. Let T = U|T| be the polar decomposition of an operator $T \in \mathscr{L}(\mathscr{H})$. For given $s,t \ge 0$, we say that $\widehat{T}_{s,t} := sU|T| + t|T|U$ is the weighted mean transform of T. In this paper, we study properties of the *k*-th iterated weighted mean transform $\widehat{T}_{s,t}^{(k)}$ of T = U|T| when U is unitary. In particular, we give the polar decomposition of such $\widehat{T}_{s,t}^{(k)}$ and investigate its applications. Finally, we consider the iterated weighted mean transforms of a weighted shift.

1. Introduction

Let \mathscr{H} be a separable complex Hilbert space and let $\mathscr{L}(\mathscr{H})$ denote the algebra of all bounded linear operators on \mathscr{H} . If $T \in \mathscr{L}(\mathscr{H})$, we write $\sigma(T)$, $\sigma_p(T)$, and $\sigma_{ap}(T)$ for the spectrum, the point spectrum, and the approximate point spectrum of T, respectively. For $0 , we say that an operator <math>T \in \mathscr{L}(\mathscr{H})$ is p-hyponormal if $(T^*T)^p \ge (TT^*)^p$. In particular, 1-hyponormal (resp. $\frac{1}{2}$ -hyponormal) operators are said to be *hyponormal* (resp. *semi-hyponormal*). By Löwner-Heinz inequality, phyponormality implies q-hyponormality for $0 < q < p < \infty$.

A closed subspace \mathscr{M} of \mathscr{H} is called an *invariant subspace* for an operator $T \in \mathscr{L}(\mathscr{H})$ if $T\mathscr{M} \subset \mathscr{M}$. The collection of all subspaces of \mathscr{H} invariant under T is denoted by $\operatorname{Lat}(T)$. We say that $\mathscr{M} \subset \mathscr{H}$ is a *hyperinvariant subspace* for $T \in \mathscr{L}(\mathscr{H})$ if \mathscr{M} is an invariant subspace for every $S \in \mathscr{L}(\mathscr{H})$ commuting with T (see [15] for more details).

For an operator $T \in \mathscr{L}(\mathscr{H})$, there exists a unique polar decomposition T = U|T|, where $|T| = (T^*T)^{\frac{1}{2}}$ and U is the partial isometry satisfying $\ker(U) = \ker(T)$. Under this polar decomposition, we define the operator $\widetilde{T}^A := |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$, so-called the *Aluthge transform* of T. Taking the Aluthge transform, we obtain the advantages to understand the structure of the original operator. For example, it is known that if

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 $T \in \mathscr{L}(\mathscr{H})$ is *p*-hyponormal, then \widetilde{T}^A is $(p + \frac{1}{2})$ -hyponormal (see [1]). Furthermore, if \widetilde{T}^A has a nontrivial invariant subspace, then so does *T* (see [6]). We refer to [1], [4], [5], [6], [7], [8], and [10] for the Aluthge transforms.

For an operator $T \in \mathscr{L}(\mathscr{H})$ with polar decomposition T = U|T|, we define the *weighted mean transform* of T as

$$\widehat{T}_{s,t} := sT + t\widetilde{T}^D = sU|T| + t|T|U,$$

where *s* and *t* are nonnegative real numbers and \widetilde{T}^D denotes the *Duggal transform* of *T* given by $\widetilde{T}^D := |T|U$ (see [9], [13], etc.). In particular, if $s = t = \frac{1}{2}$,

$$\widehat{T}_{\frac{1}{2},\frac{1}{2}} := \frac{1}{2}(T + \widetilde{T}^{D})$$

is called the *mean transform* of T.

The mean transform was introduced recently in [11]. According to [9], there are several connections between an operator and its mean transforms in terms of spectral and local spectral theory. Note that every operator $T \in \mathscr{L}(\mathscr{H})$ satisfies that $\|\widehat{T}_{s,t}\| \leq (s+t)\|T\|$ for $s,t \geq 0$.

Given $s,t \ge 0$, the *k*-th iterated weighted mean transform of an operator $T \in \mathscr{L}(\mathscr{H})$ is defined as $\widehat{T}_{s,t}^{(1)} = \widehat{T}_{s,t}$ and $\widehat{T}_{s,t}^{(k+1)} = (\widehat{T}_{s,t}^{(k)})_{s,t}$ for every positive integer *k*. We note that $\widehat{T}_{0,1}^{(k)}$ is the *k*-th iterated Duggal transform and $\widehat{T}_{0,1}^{(1)} = \widetilde{T}^D$. In [9], S. Jung, E. Ko and S. Park showed that if *W* is a weighted shift with weights $\{\beta_n\}_{n=0}^{\infty}$ of positive real numbers, then $\widehat{W}_{\frac{1}{2},\frac{1}{2}}^{(k)}$ is hyponormal if and only if

$$\sum_{n=0}^k \binom{k}{n} (\beta_{j+k} - \beta_{j+k+1}) \leqslant 0$$

for each nonnegative integer j. Thus, the hyponormality of a weighted shift is preserved under its iterated weighted mean transforms.

In this paper, we study properties of the *k*-th iterated weighted mean transform $\widehat{T}_{s,t}^{(k)}$ of T = U|T| when U is unitary. In particular, we give the polar decomposition of such $\widehat{T}_{s,t}^{(k)}$ and investigate its applications. Finally, we consider the iterated weighted mean transforms of a weighted shift.

2. Preliminaries

An operator $T \in \mathscr{L}(\mathscr{H})$ is said to have the *single-valued extension property* (or SVEP) if for every open set *G* in \mathbb{C} and every analytic function $f : G \to \mathscr{H}$ with $(T - z)f(z) \equiv 0$ on *G*, we have $f(z) \equiv 0$ on *G*. For an operator $T \in \mathscr{L}(\mathscr{H})$ and a vector $x \in \mathscr{H}$, the set $\rho_T(x)$, called the *local resolvent* of *T* at *x*, consists of elements z_0 in \mathbb{C} such that there exists an \mathscr{H} -valued analytic function f(z) defined in a neighborhood of

 z_0 which verifies $(T-z)f(z) \equiv x$. The *local spectrum* of T at x is given by $\sigma_T(x) := \mathbb{C} \setminus \rho_T(x)$. Moreover, we define the *local spectral subspace* of T as $\mathscr{H}_T(F) := \{x \in \mathscr{H} : \sigma_T(x) \subset F\}$, where F is a subset of \mathbb{C} . An operator $T \in \mathscr{L}(\mathscr{H})$ is said to have the *Dunford's property* (C) if $\mathscr{H}_T(F)$ is closed for each closed subset F of \mathbb{C} . We say that $T \in \mathscr{L}(\mathscr{H})$ has the *Bishop's property* (β) if for every open subset G of \mathbb{C} and every sequence $f_n : G \to \mathscr{H}$ of \mathscr{H} -valued analytic functions such that $(T-z)f_n(z)$ converges uniformly to 0 in norm on compact subsets of G. The following implications are well known (see [3] and [12] for more details):

Bishop's property (β) \Rightarrow Dunford's property (C) \Rightarrow SVEP.

3. Main results

In this section, we study the iterated weighted mean transforms $\widehat{T}_{s,t}^{(k)}$ of an operator $T \in \mathscr{L}(\mathscr{H})$ and give various connections between T and $\widehat{T}_{s,t}^{(k)}$. If t = 0, then $\widehat{T}_{s,t}^{(k)}$ becomes a scalar multiple of T, and hence we may assume that t > 0. We first give the polar decomposition of the iterated weighted mean transforms of operators.

THEOREM 1. Let T = U|T| be the polar decomposition of an operator $T \in \mathscr{L}(\mathscr{H})$ where *U* is unitary. Suppose that $s \ge 0$, t > 0, and *k* is a positive integer *k*. Then $\widehat{T}_{s,t}^{(k)}$ has the polar decomposition

$$\widehat{T}_{s,t}^{(k)} = U|\widehat{T}_{s,t}^{(k)}|$$

where

$$|\widehat{T}_{s,t}^{(k)}| = \sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} U^{*j} |T| U^{j}.$$

Moreover, if T is invertible, then $\widehat{(T^{-1})}_{s,t}^{(k)}$ has the polar decomposition

$$\widehat{(T^{-1})}_{s,t}^{(k)} = U^* |\widehat{(T^{-1})}_{s,t}^{(k)}|$$

where

$$|\widehat{(T^{-1})}_{s,t}^{(k)}| = \sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} U^{j} |T^{*}|^{-1} U^{*j} = \sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} U^{j+1} |T|^{-1} U^{*j+1}.$$

Proof. In order to find the polar decomposition of $\widehat{T}_{s,t}^{(k)}$, we use the induction on k. Since U is unitary, it is evident that $\widehat{T}_{s,t} = U(s|T| + tU^*|T|U)$. Moreover, we get that

$$(\widehat{T}_{s,t})^*\widehat{T}_{s,t} = (s|T|U^* + tU^*|T|)(sU|T| + t|T|U)$$

$$= s^{2}|T|^{2} + t^{2}U^{*}|T|^{2}U + stU^{*}|T|U|T| + st|T|U^{*}|T|U$$

= $(s|T| + tU^{*}|T|U)^{2}$,

which gives $|\hat{T}_{s,t}| = s|T| + tU^*|T|U$. It remains to prove $\ker(\hat{T}_{s,t}) = \ker(U) = \{0\}$. If $x \in \ker(\hat{T}_{s,t})$, then

$$0 = \langle |\widehat{T}_{s,t}|x,x\rangle = s\langle |T|x,x\rangle + t\langle U^*|T|Ux,x\rangle.$$

Since both |T| and $U^*|T|U$ are positive operators and t > 0, we have $\langle U^*|T|Ux, x \rangle = 0$, i.e., $|T|^{\frac{1}{2}}Ux = 0$. Since $\ker(|T|^{\frac{1}{2}}) = \ker(U) = \{0\}$, we get that x = 0, namely $\ker(\widehat{T}_{s,t}) = \{0\}$.

We now assume that the result is true for k = n. Then

$$\begin{split} \widehat{T}_{s,t}^{(n+1)} &= sU|\widehat{T}_{s,t}^{(n)}| + t|\widehat{T}_{s,t}^{(n)}|U\\ &= U\Big(\sum_{j=0}^{n} \binom{n}{j} s^{n+1-j} t^{j} U^{*j}|T|U^{j}\Big) + UU^{*} \Big(\sum_{j=0}^{n} \binom{n}{j} s^{n-j} t^{j+1} U^{*j}|T|U^{j}\Big)U\\ &= U\Big(\sum_{j=0}^{n} \binom{n}{j} s^{n+1-j} t^{j} U^{*j}|T|U^{j} + \sum_{j=1}^{n+1} \binom{n}{j-1} s^{n+1-j} t^{j} U^{*j}|T|U^{j}\Big)\\ &= U\Big(\sum_{j=0}^{n+1} \binom{n+1}{j} s^{n+1-j} t^{j} U^{*j}|T|U^{j}\Big). \end{split}$$
(1)

Since $U^{*j}|T|U^j \ge 0$ for each nonnegative integer j, it is not difficult to show that

$$|\widehat{T}_{s,t}^{(n+1)}| = \sum_{j=0}^{n+1} \binom{n+1}{j} s^{n+1-j} t^j U^{*j} |T| U^j$$

and $\ker(|\widehat{T}_{s,t}^{(n+1)}|) = \ker(U) = \{0\}$. Hence, (1) is the polar decomposition of $\widehat{T}_{s,t}^{(n+1)}$. If *T* is invertible, then *U* is unitary and

$$T^{-1} = |T|^{-1}U^* = (U^*|T^*|U)^{-1}U^* = U^*|T^*|^{-1}.$$

Since $(T^{-1})^*T^{-1} = (TT^*)^{-1} = (|T^*|^{-1})^2$, we have $|T^{-1}| = |T^*|^{-1}$. Moreover, since $\ker(T^{-1}) = \ker(U^*) = \{0\}$, the factorization $T^{-1} = U^*|T^*|^{-1}$ is the polar decomposition of T^{-1} . Using the polar decomposition of $\widehat{T}_{s,t}^{(k)}$, we obtain that $\widehat{(T^{-1})}_{s,t}^{(k)} = U^*|\widehat{(T^{-1})}_{s,t}^{(k)}|$ is the polar decomposition of $\widehat{(T^{-1})}_{s,t}^{(k)}$ with

$$|\widehat{(T^{-1})}_{s,t}^{(k)}| = \sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} U^{j} |T^{*}|^{-1} U^{*j}.$$

Since $|T^*|^{-1} = U|T|^{-1}U^*$, the latter representation also holds. \Box

An operator $T \in \mathscr{L}(\mathscr{H})$ is a *quasiaffinity* if it has trivial kernel and dense range. Remark that the partial isometric part U of a quasiaffinity T = U|T| must be unitary. COROLLARY 1. Let $s \ge 0$ and t > 0. If $T \in \mathscr{L}(\mathscr{H})$ is a semi-hyponormal operator with dense range, then $\widehat{T}_{s,t}^{(k)}$ is semi-hyponormal for every positive integer k.

Proof. Assume that T = U|T| is the polar decomposition and k is any positive integer. If T is semi-hyponormal and has dense range, then $\ker(T) \subset \ker(T^*) = \{0\}$ by [1], which ensures that T is a quasiaffinity and U is unitary. From Theorem 1, we obtain that

$$\begin{split} |\widehat{T}_{s,t}^{(k)}| - |(\widehat{T}_{s,t}^{(k)})^*| &= |\widehat{T}_{s,t}^{(k)}| - U|\widehat{T}_{s,t}^{(k)}|U^* \\ &= \sum_{j=0}^k \binom{k}{j} s^{k-j} t^j U^{*j} (|T| - U|T|U^*) U^j \\ &= \sum_{j=0}^k \binom{k}{j} s^{k-j} t^j U^{*j} (|T| - |T^*|) U^j \\ &\geqslant 0. \end{split}$$

Hence $\widehat{T}_{s,t}^{(k)}$ is semi-hyponormal. \Box

COROLLARY 2. Assume T = U|T| is the polar decomposition of T in $\mathscr{L}(\mathscr{H})$ where U is unitary. If k is a positive integer, then $\widehat{T}_{0,1}^{(k)}$ is hyponormal if and only if T is hyponormal. In particular, $\widehat{T}_{0,1}^{(k)}$ is hyponormal for some positive integer k, then T has the Bishop's property (β), the Dunford's property (C), and the single-valued extension property.

Proof. If $\hat{T}_{0,1}^{(k)}$ is hyponormal for some positive integer k, then Theorem 1 implies that

$$\begin{split} 0 &\leqslant (\widehat{T}_{0,1}^{(k)})^* (\widehat{T}_{0,1}^{(k)}) - (\widehat{T}_{0,1}^{(k)}) (\widehat{T}_{0,1}^{(k)})^* \\ &= (U^{*k} | T | U^{k-1}) (U^{*k-1} | T | U^k) - (U^{*k-1} | T | U^k) (U^{*k} | T | U^{k-1}) \\ &= U^{*k} | T |^2 U^k - U^{*k-1} | T |^2 U^{k-1}. \end{split}$$

Hence $U^{*k}|T|^2U^k \ge U^{*k-1}|T|^2U^{k-1}$, i.e., $|T|^2 \ge U|T|^2U^*$. Therefore T is hyponormal.

Conversely, if T is hyponormal and k is any positive integer, then $|T|^2 \ge U|T|^2 U^*$. Since U is unitary, we get that $U^*|T|^2 U \ge |T|^2$. Hence

$$(\widehat{T}_{0,1}^{(k)})^*(\widehat{T}_{0,1}^{(k)}) - (\widehat{T}_{0,1}^{(k)})(\widehat{T}_{0,1}^{(k)})^* = U^{*k-1}(U^*|T|^2U - |T|^2)U^{k-1} \ge 0.$$

Hence $\widehat{T}_{0,1}^{(k)}$ is hyponormal.

If $\widehat{T}_{0,1}^{(k)}$ is hyponormal for some positive integer k, then T is hyponormal. Every hyponormal operator has the Bishop's property (β) (see [14]). So, we complete the proof by [3] or [12]. \Box

Recall that an operator $T \in \mathscr{L}(\mathscr{H})$ is called *quasinormal* if $T(T^*T) = (T^*T)T$. We say that $T \in \mathscr{L}(\mathscr{H})$ is *binormal* if $(T^*T)(TT^*) = (TT^*)(T^*T)$. It is known that quasinormal operators are hyponormal and binormal. For an operator $T \in \mathscr{L}(\mathscr{H})$ with polar decomposition T = U|T| and s, t > 0, it is easy to see that the equation $\widehat{T}_{s,t} = (s+t)T$ is equivalent to |T|U = U|T|, that is, *T* is quasinormal.

COROLLARY 3. Let $T \in \mathscr{L}(\mathscr{H})$ have the polar decomposition T = U|T| where U is unitary. Suppose that s, t > 0 and k is a positive integer. If $U^2|T| = |T|U^2$, then the following statements hold:

(*i*) $\widehat{T}_{s,t}^{(k)}$ is quasinormal if and only if s = t or T is quasinormal.

(*ii*) $\hat{T}_{s,t}^{(k)}$ is binormal if and only if s = t or T is binormal.

In particular, $\hat{T}_{0,1}^{(k)}$ is quasinormal (resp. binormal) if and only if *T* is quasinormal (resp. binormal).

Proof. (i) From Theorem 1, we know that $\widehat{T}_{s,t}^{(k)}$ is quasinormal if and only if U and $|\widehat{T}_{s,t}^{(k)}|$ commute where $|\widehat{T}_{s,t}^{(k)}| = \sum_{j=0}^{k} {k \choose j} s^{k-j} t^{j} U^{*j} |T| U^{j}$. Note that

$$U|\widehat{T}_{s,t}^{(k)}| = \sum_{j=0}^{k} {k \choose j} s^{k-j} t^{j} U U^{*j} |T| U^{j}$$

= $s^{k} U|T| + \sum_{j=1}^{k} {k \choose j} s^{k-j} t^{j} U^{*j-1} |T| U^{j}.$

Since $U^2|T| = |T|U^2$, one can compute that

$$U^{*j-1}|T|U^{j} = \begin{cases} U^{*j-1}U^{j}|T| = U|T| & \text{if } j \text{ is even} \\ |T|U^{*j-1}U^{j} = |T|U & \text{if } j \text{ is odd.} \end{cases}$$

Thus, it holds that

$$U|\widehat{T}_{s,t}^{(k)}| = \sum_{\substack{0 \le j \le k \\ j : \text{ even} \\ = a_k U|T| + b_k|T|U}} \binom{k}{j} s^{k-j} t^j U|T| + \sum_{\substack{0 \le j \le k \\ j : \text{ odd}}} \binom{k}{j} s^{k-j} t^j |T|U|$$

where $a_k = \frac{(s+t)^k + (s-t)^k}{2}$ and $b_k = \frac{(s+t)^k - (s-t)^k}{2}$. Similarly, we have

$$\begin{split} |\widehat{T}_{s,t}^{(k)}|U &= \sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} U^{*j} |T| U^{j+1} \\ &= \sum_{\substack{0 \leq j \leq k \\ j : \text{ even} \\ = a_{k} |T| U + b_{k} U |T|.}} \binom{k}{j} s^{k-j} t^{j} |U| + \sum_{\substack{0 \leq j \leq k \\ j : \text{ odd}}} \binom{k}{j} s^{k-j} t^{j} U |T| \\ &= a_{k} |T| U + b_{k} U |T|. \end{split}$$

Since

$$U|\widehat{T}_{s,t}^{(k)}| - |\widehat{T}_{s,t}^{(k)}|U = (a_k - b_k)(U|T| - |T|U),$$

it follows that $\widehat{T}_{s,t}^{(k)}$ is quasinormal if and only if $a_k = b_k$ or U|T| = |T|U. Since $a_k = b_k$ is equivalent to s = t, we obtain the quasinormality of $\widehat{T}_{s,t}^{(k)}$ exactly when s = t or T is quasinormal.

(ii) Note that $\widehat{T}_{s,t}^{(k)}$ is binormal if and only if

$$|\widehat{T}_{s,t}^{(k)}||(\widehat{T}_{s,t}^{(k)})^*| = |(\widehat{T}_{s,t}^{(k)})^*||\widehat{T}_{s,t}^{(k)}|.$$
(2)

Claim. If *k* is any positive integer, then

$$\begin{cases} |\widehat{T}_{s,t}^{(k)}| = a_k |T| + b_k |T^*| \\ |(\widehat{T}_{s,t}^{(k)})^*| = b_k |T| + a_k |T^*| \end{cases}$$

where $a_k = \frac{(s+t)^k + (s-t)^k}{2}$ and $b_k = \frac{(s+t)^k - (s-t)^k}{2}$.

Since $U^2|T| = |T|U^2$, we have $|T^*| = U|T|U^* = U^*|T|U$. This implies that

$$\begin{cases} |\widehat{T}_{s,t}| = s|T| + t|T^*| = a_1|T| + b_1|T^*| \\ |(\widehat{T}_{s,t})^*| = U|\widehat{T}_{s,t}|U^* = b_1|T| + a_1|T^*|. \end{cases}$$

Hence, the claim is true for k = 1. If the claim holds for k = n, then

$$\begin{split} |\widehat{T}_{s,t}^{(n+1)}| &= |\widehat{(T_{s,t}^{(n)})}_{s,t}| \\ &= a_1 |\widehat{T}_{s,t}^{(n)}| + b_1 |(\widehat{T}_{s,t}^{(n)})^*| \\ &= a_1 (a_n |T| + b_n |T^*|) + b_1 (b_n |T| + a_n |T^*|) \\ &= (a_1 a_n + b_1 b_n) |T| + (a_1 b_n + b_1 a_n) |T^*| \\ &= a_{n+1} |T| + b_{n+1} |T^*| \end{split}$$

and

$$|(\widehat{T}_{s,t}^{(n+1)})^*| = U|\widehat{T}_{s,t}^{(n+1)}|U^* = b_{n+1}|T| + a_{n+1}|T^*|.$$

Therefore, our claim is satisfied for all positive integers k.

Applying the claim above, we see that

$$\begin{aligned} &|\widehat{T}_{s,t}^{(k)}||(\widehat{T}_{s,t}^{(k)})^*| - |(\widehat{T}_{s,t}^{(k)})^*||\widehat{T}_{s,t}^{(k)}| \\ &= (a_k|T| + b_k|T^*|)(b_k|T| + a_k|T^*|) - (a_k|T^*| + b_k|T|)(b_k|T^*| + a_k|T|) \\ &= (a_k^2 - b_k^2)(|T||T^*| - |T^*||T|). \end{aligned}$$

According to (2), we conclude that $\hat{T}_{s,t}$ is binormal if and only if $a_k = b_k$ or T is binormal. So, we complete the proof. \Box

REMARK 1. In [11], the authors showed that if $T \in \mathscr{L}(\mathscr{H})$ has the polar decomposition T = U|T| where $U^2|T| = |T|U^2$ and U is unitary, then $\widehat{T}_{\frac{1}{2},\frac{1}{2}}$ is quasinormal.

We give some properties for the case when s = t in Corollary 3, as follows:

COROLLARY 4. Let T = U|T| be the polar decomposition of $T \in \mathscr{L}(\mathscr{H})$, where U is unitary, and let s > 0. If $U^2|T| = |T|U^2$, then $\widehat{T}_{s,s}^{(k)}$ is quasinormal and $\widehat{T}_{s,s}^{(k)} = (2s)^{k-1}\widehat{T}_{s,s}$ for each positive integer k.

Proof. We know from Corollary 3 that $\widehat{T}_{s,s}^{(k)}$ is quasinormal for each positive integer k. In particular, $\widehat{T}_{s,s}$ is quasinormal and then $\widehat{T}_{s,s}^{(2)} = 2s\widehat{T}_{s,s}$. Since $\widehat{T}_{s,s}^{(2)}$ is quasinormal, it follows that $\widehat{T}_{s,s}^{(3)} = 2s\widehat{T}_{s,s}^{(2)} = (2s)^2\widehat{T}_{s,s}$ and $\widehat{T}_{s,s}^{(3)}$ is also quasinormal. Repeating this method, we derive that $\widehat{T}_{s,s}^{(k)}$ is quasinormal and $\widehat{T}_{s,s}^{(k)} = (2s)^{k-1}\widehat{T}_{s,s}$ for all positive integers k. \Box

We next provide some examples for Theorem 1 and Corollary 3.

EXAMPLE 1. Let $T = \begin{pmatrix} 0 & I \\ A & 0 \end{pmatrix} \in \mathscr{L}(\mathscr{H} \oplus \mathscr{H})$ where A is a quasiaffinity that is not an isometry. Then the polar decomposition T = U|T| is given by $U = \begin{pmatrix} 0 & I \\ U_A & 0 \end{pmatrix}$ and $|T| = \begin{pmatrix} |A| & 0 \\ 0 & I \end{pmatrix}$ where U_A is the partial isometric part of A. Note that U_A is unitary since A is a quasiaffinity. Fix $s \ge 0$ and t > 0. A simple calculation shows that $\widehat{T}_{s,t} = \begin{pmatrix} 0 & s + t|A| \\ sA + tU_A & 0 \end{pmatrix}$ and $\widehat{T}_{s,t}$ has the polar decomposition $\widehat{T}_{s,t} = U|\widehat{T}_{s,t}|$ with $U = \begin{pmatrix} 0 & I \\ U_A & 0 \end{pmatrix}$ and $|\widehat{T}_{s,t}| = \begin{pmatrix} s|A| + t & 0 \\ 0 & s + t|A| \end{pmatrix}$

due to Theorem 1. Observe that $\widehat{T}_{s,t}$ is not necessarily binormal, although T is binormal. But, if A is quasinormal, then $U^2|T| = |T|U^2$. Hence, $\widehat{T}_{s,t}$ is binormal by Corollary 3. We also indicate that $\widehat{T}_{s,t}$ is not quasinormal whenever $s \neq t$.

For another example, we consider some finite matrices.

EXAMPLE 2. Consider the matrix $T = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 2 & 0 \\ 2 & -1 & 0 \end{pmatrix}$ on \mathbb{C}^3 . Then it is straight-

forward to see that
$$|T| = \begin{pmatrix} \sqrt{5} & 0 & 0 \\ 0 & \sqrt{5} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$
 and $U = T|T|^{-1} = \begin{pmatrix} 0 & 0 & 1 \\ \frac{1}{\sqrt{5}} & \frac{2}{\sqrt{5}} & 0 \\ \frac{2}{\sqrt{5}} & -\frac{1}{\sqrt{5}} & 0 \end{pmatrix}$. Using

Theorem 1, we know that

$$|\widehat{T}_{s,t}| = s|T| + tU^*|T|U$$

$$= s \begin{pmatrix} \sqrt{5} & 0 & 0 \\ 0 & \sqrt{5} & 0 \\ 0 & 0 & 1 \end{pmatrix} + t \begin{pmatrix} \frac{4+\sqrt{5}}{5} & \frac{-2+2\sqrt{5}}{5} & 0 \\ \frac{-2+2\sqrt{5}}{5} & \frac{1+4\sqrt{5}}{5} & 0 \\ 0 & 0 & \sqrt{5} \end{pmatrix}$$
$$= \begin{pmatrix} \sqrt{5}s + \frac{4+\sqrt{5}}{5}t & \frac{-2+2\sqrt{5}}{5}t & 0 \\ \frac{-2+2\sqrt{5}}{5}t & \sqrt{5}s + \frac{1+4\sqrt{5}}{5}t & 0 \\ 0 & 0 & s + \sqrt{5}t \end{pmatrix}$$

for $s \ge 0$ and t > 0. Therefore, the weighted mean transform $\widehat{T}_{s,t}$ has the polar decomposition

$$\widehat{T}_{s,t} = U|\widehat{T}_{s,t}| = \begin{pmatrix} 0 & 0 & 1\\ \frac{1}{\sqrt{5}} & \frac{2}{\sqrt{5}} & 0\\ \frac{2}{\sqrt{5}} & -\frac{1}{\sqrt{5}} & 0 \end{pmatrix} \begin{pmatrix} \sqrt{5}s + \frac{4+\sqrt{5}}{5}t & \frac{-2+2\sqrt{5}}{5}t & 0\\ \frac{-2+2\sqrt{5}}{5}t & \sqrt{5}s + \frac{1+4\sqrt{5}}{5}t & 0\\ 0 & 0 & s + \sqrt{5}t \end{pmatrix}$$

for $s \ge 0$ and t > 0. We note that T is binormal, but $\hat{T}_{s,t}$ is not for any $s \ge 0$ and t > 0; indeed,

$$|(\widehat{T}_{s,t})^*| = U|\widehat{T}_{s,t}|U^* = \begin{pmatrix} s + \sqrt{5t} & 0 & 0\\ 0 & \sqrt{5}(s+t) & 0\\ 0 & 0 & \sqrt{5}s+t \end{pmatrix}$$

does not commute with $|\hat{T}_{s,t}|$. Hence $U^2|T| \neq |T|U^2$ by Corollary 3. When $s = t = \frac{1}{2}$, we also compute that none of $\hat{T}_{\frac{1}{2},\frac{1}{2}}^{(2)}$, $\hat{T}_{\frac{1}{2},\frac{1}{2}}^{(10)}$, and $\hat{T}_{\frac{1}{2},\frac{1}{2}}^{(20)}$ are binormal using the Maple program.

Recall that an operator *T* is *normal* if $T^*T - TT^* = 0$ and an operator *T* is *essentially normal* if $T^*T - TT^*$ is compact. Let $\pi : \mathcal{L}(\mathcal{H}) \to \mathcal{L}(\mathcal{H})/\mathcal{K}(\mathcal{H})$ be the Calkin map for the ideal $\mathcal{K}(\mathcal{H})$ of compact operators on \mathcal{H} .

THEOREM 2. If T = U|T| is essentially normal, then $\pi(T) = \pi(\widehat{T}_{0,1}^{(k)})$, so $\widehat{T}_{0,1}^{(k)}$ is essentially normal. Conversely, if $\widehat{T}_{0,1}^{(k)}$ is essentially normal, and U is unitary, then T is essentially normal.

Proof. If *T* is essentially normal, then $T^*T - TT^*$ is compact, and we obtain that $|T|^2 - U|T|^2U^*$ and $|T|^2U - U|T|^2$ are also compact. That is, $\pi(U)$ commutes with $\pi(|T|^2)$. Hence $\pi(U)$ commutes with the positive square root $\pi(|T|)$ of $\pi(|T|^2)$. Therefore,

$$\pi(\widehat{T}_{0,1}) = \pi(|T|U) = \pi(|T|)\pi(U) = \pi(U)\pi(|T|) = \pi(T),$$

i.e., Thus $\widehat{T}_{0,1}$ is essentially normal. Assume that $\pi(T) = \pi(\widehat{T}_{0,1}^{(n)})$ for some positive integer *n*. Then we have that

$$\pi(\widehat{T}_{0,1}^{(n+1)}) = \pi(|\widehat{T}_{0,1}^{(n)}|U) = \pi(|\widehat{T}_{0,1}^{(n)}|)\pi(U) = \pi(U)\pi(|\widehat{T}_{0,1}^{(n)}|) = \pi(\widehat{T}_{0,1}^{(n)}) = \pi(T),$$

implying that $\pi(T) = \pi(\widehat{T}_{0,1}^{(k)})$ and $\widehat{T}_{0,1}^{(k)}$ is essentially normal for each positive integer *k* by induction.

Conversely, if $\widehat{T}_{0,1}^{(k)}$ is essentially normal, and U is unitary, then we have that $\widehat{T}_{0,1}^{(k)*} \widehat{T}_{0,1}^{(k)} - \widehat{T}_{0,1}^{(k)} \widehat{T}_{0,1}^{(k)*}$ is compact. Therefore, we ensure that $|\widehat{T}_{0,1}^{(k)}|^2 - U|\widehat{T}_{0,1}^{(k)}|^2 U^*$ and $|\widehat{T}_{0,1}^{(k)}|^2 U - U|\widehat{T}_{0,1}^{(k)}|^2$ are also compact. It follows that $\pi(U)$ commutes with the positive square root $\pi(|\widehat{T}_{0,1}^{(k)}|)$ of $\pi(|\widehat{T}_{0,1}^{(k)}|^2)$. Thus

$$\pi(\widehat{T}_{0,1}^{(k)}) = \pi(U)\pi(|\widehat{T}_{0,1}^{(k)}|) = \pi(|\widehat{T}_{0,1}^{(k)}|)\pi(U) = \pi(\widehat{T}_{0,1}^{(k-1)}),$$

i.e., $\widehat{T}_{0,1}^{(k-1)}$ is essentially normal. By the induction hypothesis, *T* is essentially normal. \Box

EXAMPLE 3. Let $S = \begin{pmatrix} 0 & Q^2 \\ I & 0 \end{pmatrix}$ where Q is a positive semidefinite operator in $\mathscr{L}(\mathscr{H})$ with trivial kernel. Then $S^*S = \begin{pmatrix} I & 0 \\ 0 & Q^4 \end{pmatrix}$ and $SS^* = \begin{pmatrix} Q^4 & 0 \\ 0 & I \end{pmatrix}$. Hence $|S| = \begin{pmatrix} I & 0 \\ 0 & Q^2 \end{pmatrix}$ and $S = \begin{pmatrix} 0 & Q^2 \\ I & 0 \end{pmatrix} = U \begin{pmatrix} I & 0 \\ 0 & Q^2 \end{pmatrix}$ where $U = \begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}$. Thus $\widehat{S}_{0,1}^{(1)} = |S|U = \begin{pmatrix} 0 & I \\ Q^2 & 0 \end{pmatrix}$ and U is unitary. Hence $S^*S - SS^* = \begin{pmatrix} I - Q^4 & 0 \\ 0 & Q^4 - I \end{pmatrix}$ and $(\widehat{S}_{0,1}^{(1)})^* \widehat{S}_{0,1}^{(1)} - \widehat{S}_{0,1}^{(1)} (\widehat{S}_{0,1}^{(1)})^* = \begin{pmatrix} Q^4 - I & 0 \\ 0 & I - Q^4 \end{pmatrix}$. If $I - Q^4$ is compact, then S and $\widehat{S}_{0,1}^{(1)}$ are essentially normal.

Let us recall Berberian's technique in [2]. Denote by \mathfrak{M} a linear space of all sequences $\{x_n\} \subset \mathscr{H}$ such that $\sup_n ||x_n|| < \infty$. Consider the quotient space $\mathfrak{M}/\mathfrak{N}$ where $\mathfrak{N} := \{\{x_n\} \in \mathfrak{M} : glim\{||x_n||\} = 0\}$ and glim is Banach generalized limit (see [2] or [16] for more details). We will represent an equivalence class of $\mathfrak{M}/\mathfrak{N}$ containing a sequence $\{x_n\}$ as $[\{x_n\}]$. It is easy to show that

$$\langle x^{\circ}, y^{\circ} \rangle = glim\{\langle x_n, y_n \rangle\}, \ x^{\circ} = [\{x_n\}], y^{\circ} = [\{y_n\}] \in \mathfrak{M}/\mathfrak{N}$$

is an inner product in $\mathfrak{M}/\mathfrak{N}$. Moreover, $\mathfrak{M}/\mathfrak{N}$ can be completed to a Hilbert space \mathscr{H}° and the Hilbert space \mathscr{H}° is an extension of \mathscr{H} by identifying a vector $x \in \mathscr{H}$ with $[\{x, x, x, \dots\}] \in \mathscr{H}^{\circ}$. Let T° be the operator on \mathscr{H}° determined by the relation $T^{\circ}x^{\circ} = [\{Tx_n\}]$ for $x^{\circ} = [\{x_n\}] \in \mathscr{H}^{\circ}$. Under the same notations as above, the Hilbert space \mathscr{H}° and the mapping $\circ : \mathscr{L}(\mathscr{H}) \to \mathscr{L}(\mathscr{H}^{\circ})$ satisfy the following proposition.

PROPOSITION 1. [2] Let \mathscr{H} be a complex Hilbert space. Then there exist a Hilbert space $\mathscr{H}^{\circ} \supset \mathscr{H}$ and a unital linear map $\circ : \mathscr{L}(\mathscr{H}) \to \mathscr{L}(\mathscr{H}^{\circ})$ such that (*i*) $(ST)^{\circ} = S^{\circ}T^{\circ}, (T^{\circ})^{*} = (T^{*})^{\circ}, ||T^{\circ}|| = ||T||,$ (*ii*) $S^{\circ} \leq T^{\circ}$ whenever $S \leq T$, (*iii*) $\sigma(T) = \sigma(T^{\circ}), \sigma_{ap}(T) = \sigma_{ap}(T^{\circ}) = \sigma_{p}(T^{\circ}).$ LEMMA 1. If T = U|T| is the polar decomposition of T in $\mathscr{L}(\mathscr{H})$, then

$$T^{\circ} = U^{\circ}|T|^{\circ}$$

is the polar decomposition of T° .

Proof. Since $(T^{\circ})^*T^{\circ} = (T^*T)^{\circ} = (|T|^2)^{\circ} = (|T|^{\circ})^2$ and $|T|^{\circ} \ge 0$, we have $|T^{\circ}| = |T|^{\circ}$. Since $T^{\circ} = U^{\circ}|T|^{\circ}$, it is enough to show that U° is partial isometric and $\ker(U^{\circ}) = \ker(T^{\circ})$. Using $UU^*U = U$, we see that $U^{\circ}(U^{\circ})^*U^{\circ} = U^{\circ}$ and so U° is a partial isometry.

To obtain ker $(U^{\circ}) = \text{ker}(T^{\circ})$, let $x^{\circ} \in \text{ker}(T^{\circ})$ be given. Write $x^{\circ} = y^{\circ} + z^{\circ}$ where $y^{\circ} = [\{y_n\}]$ and $z^{\circ} = [\{z_n\}]$ for some $\{y_n\} \subset \text{ker}(T)^{\perp}$ and $\{z_n\} \subset \text{ker}(T)$. Then $z^{\circ} \in \text{ker}(T^{\circ})$ clearly. Since $y_n \in \overline{\text{ran}}(|T|)$, choose $\{w_n\} \subset \mathscr{H}$ such that $||y_n - |T|w_n|| < \frac{1}{n}$. Since

$$\liminf_{n \to \infty} \|y_n - |T|w_n\| \leq glim\{\|y_n - |T|w_n\|\} \leq \limsup_{n \to \infty} \|y_n - |T|w_n\|,$$

it holds that

$$y^{\circ} = |T|^{\circ}w^{\circ} \in \overline{\operatorname{ran}(|T|^{\circ})} = \overline{\operatorname{ran}(|T^{\circ}|)} = \ker(T^{\circ})^{\perp}$$

where $w^{\circ} = [\{w_n\}]$. Since $\mathscr{H}^{\circ} = \ker(T^{\circ})^{\perp} \oplus \ker(T^{\circ})$, we have $x^{\circ} = z^{\circ}$. Thus we see that

$$\ker(T^\circ) = \{x^\circ = [\{x_n\}] \in \mathscr{H}^\circ : \{x_n\} \subset \ker(T)\}.$$

Since this is true for any $T \in \mathscr{L}(\mathscr{H})$, we get that

$$\ker(T^{\circ}) = \{x^{\circ} = [\{x_n\}] \in \mathscr{H}^{\circ} : \{x_n\} \subset \ker(T)\}$$
$$= \{x^{\circ} = [\{x_n\}] \in \mathscr{H}^{\circ} : \{x_n\} \subset \ker(U)\}$$
$$= \ker(U^{\circ}).$$

Therefore, $T^{\circ} = U^{\circ}|T|^{\circ}$ is the polar decomposition of T° . \Box

THEOREM 3. Assume that T = U|T| is the polar decomposition of an operator $T \in \mathscr{L}(\mathscr{H})$ where U is unitary. Let $s \ge 0$ and t > 0. For each positive integer k,

$$\widehat{(T^{\circ})}_{s,t}^{(k)} = (\widehat{T}_{s,t}^{(k)})^{\circ}$$

and $(\widehat{T^{\circ}})_{s,t}^{(k)} = U^{\circ}|\widehat{(T^{\circ})}_{s,t}^{(k)}|$ is the polar decomposition where

$$|\widehat{(T^{\circ})}_{s,t}^{(k)}| = \sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} (U^{\circ})^{*j} |T|^{\circ} (U^{\circ})^{j}.$$

Proof. Since T° has the polar decomposition $T^{\circ} = U^{\circ}|T|^{\circ}$ from Lemma 1, we obtain that

$$\widehat{(T^\circ)}_{s,t} = sU^\circ |T|^\circ + t|T|^\circ U^\circ = (sU|T| + t|T|U)^\circ = (\widehat{T}_{s,t})^\circ.$$

Since

$$\widehat{(T^{\circ})}_{s,t}^{(k+1)} = \left(\widehat{\widehat{(T^{\circ})}_{s,t}}\right)_{s,t}^{(k)} = \widehat{(\widehat{T}_{s,t})^{\circ}}_{s,t}^{(k)},$$

one can show that $(\widehat{T^{\circ}})_{s,t}^{(k)} = (\widehat{T}_{s,t}^{(k)})^{\circ}$ for each positive integer k using the induction on k, and the expression of $|(\widehat{T^{\circ}})_{s,t}^{(k)}|$ follows by Theorem 1 and Lemma 1. \Box

COROLLARY 5. Assume T = U|T| is the polar decomposition of T in $\mathscr{L}(\mathscr{H})$ where U is unitary. If $s \ge 0$, t > 0, and k is a positive integer, then $\sigma(\widehat{T}_{s,t}^{(k)}) = \sigma(\widehat{(T^{\circ})}_{s,t}^{(k)})$ and $\sigma_{ap}(\widehat{T}_{s,t}^{(k)}) = \sigma_p(\widehat{(T^{\circ})}_{s,t}^{(k)})$.

Proof. Since $(\widehat{T^{\circ}})_{s,t}^{(k)} = (\widehat{T}_{s,t}^{(k)})^{\circ}$ by Theorem 3, we obtain from Proposition 1 that

$$\sigma(\widehat{T}_{s,t}^{(k)}) = \sigma((\widehat{T}_{s,t}^{(k)})^{\circ}) = \sigma((\widehat{T^{\circ}})_{s,t}^{(k)})$$

and

$$\sigma_{ap}(\widehat{T}_{s,t}^{(k)}) = \sigma_p((\widehat{T}_{s,t}^{(k)})^\circ) = \sigma_p((\widehat{T^\circ}_{s,t}^{(k)}),$$

as we desired. \Box

For a bounded sequence $\{\alpha_n\}_{n=0}^{\infty}$ of positive real numbers, the *weighted shift* with weights $\{\alpha_n\}_{n=0}^{\infty}$ is the operator $W : \mathscr{H} \to \mathscr{H}$ defined by $We_n = \alpha_n e_{n+1}$ for all $n \ge 0$, where $\{e_n\}_{n=0}^{\infty}$ denotes an orthonormal basis for \mathscr{H} , which will be fixed from now on. We finally consider the convergence of iterated weighted mean transforms of weighted shifts. We first note that the iterated weighted mean transforms of a weighted shift is also a weighted shift, which is obtained from easy computations.

LEMMA 2. Let *W* be a weighted shift on \mathscr{H} with weights $\{\alpha_n\}$ of positive real numbers, and let the numbers $s \ge 0$ and t > 0. For a positive integer *k*, the *k*-th iterated weighted mean transform $\widehat{W}_{s,t}^{(k)}$ is the weighted shift with weights $\{\sum_{j=0}^{k} {k \choose j} s^{k-j} t^{j} \alpha_{n+j}\}_{n=0}^{\infty}$.

THEOREM 4. Let *W* be a weighted shift on \mathscr{H} with monotone decreasing weights $\{\alpha_n\}$ of positive real numbers, and let the numbers $s \ge 0$ and t > 0 satisfy s + t = 1. Then the sequence $\{\widehat{W}_{s,t}^{(k)}\}_{k=1}^{\infty}$ converges to $(\inf_n \alpha_n)U$ in the norm topology, where *U* denotes the shift such that $Ue_n = e_{n+1}$ for all $n \ge 0$. *Proof.* Put $\beta = \inf_n \alpha_n$. Since $\{\alpha_n\}_{n=0}^{\infty}$ is a decreasing sequence of positive real numbers, we see that

$$\sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} \alpha_{j} \ge \sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} \beta = (s+t)^{k} \beta = \beta$$

and

$$\|\widehat{W}_{s,t}^{(k)} - \beta U\| = \sum_{j=0}^{k} {k \choose j} s^{k-j} t^{j} \alpha_{j} - \beta = \sum_{j=0}^{k} {k \choose j} s^{k-j} t^{j} (\alpha_{j} - \beta)$$

by Lemma 2. Let $\varepsilon > 0$ be arbitrary. Since $\lim_{n \to \infty} \alpha_n = \beta$, choose a positive integer N such that $0 < \alpha_N - \beta < \varepsilon$. Assume that k is any integer with k > 2N. Observe that

$$\begin{split} \|\widehat{W}_{s,t}^{(k)} - \beta U\| &\leq (\alpha_0 - \beta) \sum_{j=0}^{N-1} \binom{k}{j} s^{k-j} t^j + (\alpha_N - \beta) \sum_{j=N}^k \binom{k}{j} s^{k-j} t^j \\ &< (\alpha_0 - \beta) M^k \sum_{j=0}^{N-1} \binom{k}{j} + \varepsilon \end{split}$$

where $M := \max\{s, t\}$. Since $\sum_{j=0}^{N-1} {k \choose j} \leq N{k \choose N}$, it follows that

$$\|\widehat{W}_{s,t}^{(k)} - \beta U\| < (\alpha_0 - \beta) N M^k \binom{k}{N} + \varepsilon \leqslant (\alpha_0 - \beta) N \frac{M^k k!}{(k-N)!} + \varepsilon.$$

Since 0 < M < 1, the series $\sum_{k=1}^{\infty} \frac{M^k k!}{(k-N)!}$ is convergent by the ratio test and hence $\lim_{k\to\infty} \frac{M^k k!}{(k-N)!} = 0$. Since $\varepsilon > 0$ was arbitrary, we have $\lim_{k\to\infty} \|\widehat{W}_{s,t}^{(k)} - \beta U\| = 0$. \Box

COROLLARY 6. Let *W* be a weighted shift in $\mathscr{L}(\mathscr{H})$ with monotone increasing weights $\{\alpha_n\}$ of positive real numbers, and let the numbers $s \ge 0$ and t > 0 satisfy s+t=1. Then $\{\widehat{W}_{s,t}^{(k)}\}_{k=1}^{\infty}$ converges to $(\sup_n \alpha_n)U$ in the norm topology, where *U* denotes the shift such that $Ue_n = e_{n+1}$ for all $n \ge 0$.

Proof. Set $\gamma = \sup_n \alpha_n$. Since $\{\alpha_n\}$ is monotone increasing, we know that the sequence $\{\sum_{j=0}^k {k \choose j} s^{k-j} t^j \alpha_{n+j}\}_{n=0}^{\infty}$ is also monotone increasing. Hence, we obtain from Lemma 2 that

$$\|\widehat{W}_{s,t}^{(k)} - \gamma U\| = \gamma - \sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} \alpha_{j} = \sum_{j=0}^{k} \binom{k}{j} s^{k-j} t^{j} (\gamma - \alpha_{j})$$

for all k. Given $\varepsilon > 0$, there exists a positive integer N such that $0 < \gamma - \alpha_N < \varepsilon$. Let k be an integer with k > 2N, and set $M = \max\{s,t\}$. Applying the proof of Theorem 4, one can derive that

$$\|\widehat{W}_{s,t}^{(k)} - \gamma U\| < (\gamma - \alpha_0)N \frac{M^k k!}{(k-N)!} + \varepsilon$$

for all k. Since $\lim_{k\to\infty} \frac{M^k k!}{(k-N)!} = 0$ and $\varepsilon > 0$ was arbitrary, we complete the proof.

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