# APPROXIMATION BY LINEAR COMBINATIONS OF TRANSLATES OF A SINGLE FUNCTION

DINH DŨNG AND VU NHAT HUY\*

(Communicated by Z. Ditzian)

Abstract. We study approximation of periodic functions by arbitrary linear combinations of *n* translates of a single function. We construct some linear methods of this approximation for univariate functions in the class induced by the convolution with a single function, and prove upper bounds of the  $L^p$ -approximation convergence rate by these methods, when  $n \to \infty$ , for  $1 \le p \le \infty$ . We also generalize these results to classes of multivariate functions defined as the convolution with the tensor product of a single function. In the case p = 2, for this class, we also prove a lower bound of the quantity characterizing best approximation of by arbitrary linear combinations of *n* translates of arbitrary function.

### 1. Introduction

The present paper continues investigating the problem of function approximation by arbitrary linear combinations of n translates of a single function which has been studied in [1, 3]. In the last papers, some linear methods were constructed for approximation of periodic functions in a class induced by the convolution with a given function, and prove upper bounds of the  $L^p$ -approximation convergence rate by these methods, when  $n \rightarrow \infty$ , for the case 1 . The main technique of the proofs of the resultsis based on Fourier analysis, in particular, the multiplier theory. However, this technique cannot be extended to the two important cases <math>p = 1 and  $p = \infty$ . In the present paper, we aim at this approximation problem for the cases p = 1 and  $p = \infty$  by using a different technique. For convenience of presentation we will do this for  $1 \le p \le \infty$ .

We shall begin our discussion here by introducing notation used throughout the paper. In this regard, we merely follow closely the presentation in [1, 3]. The *d*-dimensional torus denoted by  $\mathbb{T}^d$  is the cross product of *d* copies of the interval  $[0, 2\pi]$  with the identification of the end points. When d = 1, we merely denote the *d*-torus by  $\mathbb{T}$ . Functions on  $\mathbb{T}^d$  are identified with functions on  $\mathbb{R}^d$  which are  $2\pi$  periodic in each variable. Denote by  $L^p(\mathbb{T}^d)$ ,  $1 \leq p \leq \infty$ , the space of integrable functions on  $\mathbb{T}^d$  equipped with the norm

$$\|f\|_p := \begin{cases} (2\pi)^{-d/p} \left(\int_{\mathbb{T}^d} |f(\mathbf{x})|^p d\mathbf{x}\right)^{1/p}, & 1 \leqslant p < \infty, \\ \mathrm{ess} \, \mathrm{sup}_{\mathbf{x} \in \mathbb{T}^d} |f(\mathbf{x})|, & p = \infty. \end{cases}$$

<sup>\*</sup> Corresponding author.



Mathematics subject classification (2020): 41A46, 41A63, 42A99.

*Keywords and phrases*: Function spaces induced by the convolution with a given function; Approximation by arbitrary linear combinations of n translates of a single function.

D. DŨNG AND V. NHAT HUY

We will consider only real valued functions on  $\mathbb{T}^d$ . However, all the results in this paper are true for the complex setting. Also, we will use Fourier series of a real valued function in complex form.

Here, we use the notation  $\mathbb{N}_m$  for the set  $\{1, 2, \ldots, m\}$ . For vectors  $\mathbf{x} := (x_l : l \in \mathbb{N}_d)$  and  $\mathbf{y} := (y_l : l \in \mathbb{N}_d)$  in  $\mathbb{T}^d$  we use  $(\mathbf{x}, \mathbf{y}) := \sum_{l \in \mathbb{N}_d} x_l y_l$  for the inner product of  $\mathbf{x}$  with  $\mathbf{y}$ . Also, for notational convenience we allow  $\mathbb{N}_0$  and  $\mathbb{Z}_0$  to stand for the empty set. Given any integrable function f on  $\mathbb{T}^d$  and any lattice vector  $\mathbf{j} = (j_l : l \in \mathbb{N}_d) \in \mathbb{Z}^d$ , we let  $\hat{f}(\mathbf{j})$  denote the  $\mathbf{j}$ -th Fourier coefficient of f defined by the equation

$$\widehat{f}(\mathbf{j}) := (2\pi)^{-d} \int_{\mathbb{T}^d} f(\mathbf{x}) e^{-i(\mathbf{j},\mathbf{x})} d\mathbf{x}.$$

Frequently, we use the superscript notation  $\mathbb{B}^d$  to denote the cross product of *d* copies of a given set  $\mathbb{B}$  in  $\mathbb{R}^d$ .

Let  $S'(\mathbb{T}^d)$  be the space of distributions on  $\mathbb{T}^d$ . Every  $f \in S'(\mathbb{T}^d)$  can be identified with the formal Fourier series

$$f = \sum_{\mathbf{j} \in \mathbb{Z}^d} \widehat{f}(\mathbf{j}) e^{i(\mathbf{j}, \cdot)},$$

where the sequence  $(\hat{f}(\mathbf{j}): \mathbf{j} \in \mathbb{Z}^d)$  forms a tempered sequence.

Let  $\lambda : \mathbb{R} \to \mathbb{R} \setminus \{0\}$  be a bounded function. With the univariate  $\lambda$  we associate the multivariate tensor product function  $\lambda_d$  given by

$$\lambda_d(\mathbf{x}) := \prod_{l=1}^d \lambda(x_l), \quad \mathbf{x} = (x_l : l \in \mathbb{N}_d),$$

and introduce the function  $\varphi_{\lambda,d}$ , defined on  $\mathbb{T}^d$  by the equation

$$\varphi_{\lambda,d}(\mathbf{x}) := \sum_{\mathbf{j} \in \mathbb{Z}^d} \lambda_d(\mathbf{j}) e^{i(\mathbf{j},\mathbf{x})}.$$
 (1)

Moreover, in the case that d = 1 we merely write  $\varphi_{\lambda}$  for the univariate function  $\varphi_{\lambda,1}$ . We introduce a subspace of  $L^p(\mathbb{T}^d)$  defined as

$$\mathscr{H}_{\lambda,p}(\mathbb{T}^d) := \left\{ f : f = \varphi_{\lambda,d} * g, g \in L^p(\mathbb{T}^d) \right\},\$$

with norm

$$\|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^d)} := \|g\|_p,$$

where  $f_1 * f_2$  is the convolution of two functions  $f_1$  and  $f_2$  on  $\mathbb{T}^d$ .

As in [1, 3], we are concerned with the following concept. Let  $\mathbb{W}$  be a prescribed subset of  $L^{p}(\mathbb{T}^{d})$  and  $\psi \in L^{p}(\mathbb{T}^{d})$  be a given function. We are interested in the approximation in  $L^{p}(\mathbb{T}^{d})$ -norm of all functions  $f \in \mathbb{W}$  by arbitrary linear combinations of n translates of the function  $\psi$ , that is, by the functions in the set  $\{\psi(\cdot - \mathbf{y}_{l}) : \mathbf{y}_{l} \in \mathbb{T}^{d}, l \in \mathbb{N}_{n}\}$  and measure the error in terms of the quantity

$$M_n(\mathbb{W}, \boldsymbol{\psi})_p := \sup_{f \in \mathbb{W}} \inf \Big\{ \left\| f - \sum_{l \in \mathbb{N}_n} c_l \boldsymbol{\psi}(\cdot - \mathbf{y}_l) \right\|_p : c_l \in \mathbb{R}, \mathbf{y}_l \in \mathbb{T}^d \Big\}.$$

The aim of the present paper is to investigate the convergence rate, when  $n \to \infty$ , of  $M_n(U_{\lambda,p}(\mathbb{T}^d), \psi)_p$  for  $1 \leq p \leq \infty$ , where

$$U_{\lambda,p}(\mathbb{T}^d) := \left\{ f \in \mathscr{H}_{\lambda,p}(\mathbb{T}^d) : \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^d)} \leqslant 1 \right\}$$

is the unit ball in  $\mathscr{H}_{\lambda,p}(\mathbb{T}^d)$ . We shall also obtain a lower bound for the convergence rate as  $n \to \infty$  of the quantity

$$M_n(U_{\lambda,2}(\mathbb{T}^d))_2 := \inf \left\{ M_n(U_{\lambda,2}(\mathbb{T}^d), \psi)_2 : \psi \in L^2(\mathbb{T}^d) \right\},\,$$

which gives information about the best choice of  $\psi$ .

This paper is organized in the following manner. In Section 2, we give the necessary background from Fourier analysis and construct a method for approximation of functions in the univariate case. In Section 3, we extend the method of approximation developed in Section 2 to the multivariate case, in particular, prove upper bounds for the approximation error and convergence rate, we also prove a lower bound of  $M_n(U_{\lambda,2}(\mathbb{T}^d))_2$ .

## 2. Univariate approximation

In this section, we construct a linear method in the form of a linear combination of translates of a function  $\varphi_{\beta}$  defined as in (1) for approximation of univariate functions in  $\mathscr{H}_{\lambda,p}(\mathbb{T})$ . We give upper bounds of the approximation error for various  $\lambda$  and  $\beta$ .

Let  $\lambda, \beta, \vartheta : \mathbb{R} \to \mathbb{R}$  be given 2-times continuously differentiable functions and  $\vartheta$  be such that

$$\vartheta(x) := \begin{cases} 1, & \text{if } x \in [-\frac{1}{2}, \frac{1}{2}], \\ 0, & \text{if } x \notin (-1, 1). \end{cases}$$

Corresponding to these functions we define the functions  $\mathscr{G}$  and  $H_m$  as

$$\mathscr{G}(x) := \frac{\lambda(x)}{\beta(x)}, \quad H_m(x) := \sum_{k \in \mathbb{Z}} \vartheta(k/m) \mathscr{G}(k) e^{ikx}.$$
<sup>(2)</sup>

For a function  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T})$  represented as  $f = \varphi_{\lambda} * g$ ,  $g \in L^{p}(\mathbb{T})$ , we define the operator

$$Q_{m,\beta}(f) := \frac{1}{2m+1} \sum_{k=0}^{2m} V_m(g) \left(\frac{2\pi k}{2m+1}\right) \varphi_\beta \left(\cdot - \frac{2\pi k}{2m+1}\right), \tag{3}$$

where  $V_m(g) := H_m * g$ . Finally, we define for a function  $h : \mathbb{R} \to \mathbb{R}$ ,

$$\sigma_m(h;f)(x) := \sum_{k\in\mathbb{Z}} h(k/m)\widehat{f}_k e^{ikx}.$$

Let us obtain upper estimates for the error of approximating a function  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T})$ by the trigonometric polynomial  $Q_{m,\beta}(f)$  a linear combination of 2m + 1 translates of the function  $\varphi_{\beta}$ . DEFINITION 1. A 2-times continuously differentiable function  $\psi : \mathbb{R} \to \mathbb{R}$  is called a function of monotone type if there exists a positive constant  $c_0$  such that

$$|\psi(x)| \ge c_0 |\psi(y)|, \quad |\psi''(x)| \ge c_0 |\psi''(y)| \quad \text{for all } 2|y| \ge |x| \ge |y|/2.$$

We put

$$\varepsilon_m := J_m(\lambda) + \sup_{|x|\in [-m,m]} \left( |\mathscr{G}(x)| + m^2 \sup_{|x|\in [-m,m]} |\mathscr{G}''(x)| \right) J_m(\beta),$$

where for a 2-times continuously differentiable function  $\psi$ ,

$$J_m(\psi) := \int_{|x| \ge m} \left( \left| \frac{\psi(x)}{m} \right| + \left| x \psi''(x) \right| \right) dx.$$

THEOREM 1. Let  $1 \leq p \leq \infty$ . Assume that the functions  $\lambda, \beta$  are of monotone type. Then there exists a positive constant *c* such that for all  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T})$  and  $m \in \mathbb{N}$ ,

$$||f - Q_{m,\beta}(f)||_p \leq c \varepsilon_m ||f||_{\mathscr{H}_{\lambda,p}(\mathbb{T})}.$$

Before we give the proof of the above theorem, we recall a lemma proved in [6], [7].

LEMMA 1. Let  $1 \leq p \leq \infty$ ,  $f \in L^p(\mathbb{T})$  and  $h : \mathbb{R} \to \mathbb{R}$  be 2-times continuously differentiable function, supported on [-1,1]. Then there exists a constant  $c_1$  independent of f,h,m such that

$$\|\boldsymbol{\sigma}_m(h;f)\|_p \leqslant c_1 \|\boldsymbol{h}''\|_{\infty} \|f\|_p.$$

We also need a Landau's inequality for derivatives [4].

LEMMA 2. Let  $f \in L^{\infty}(\mathbb{R})$  be 2-times continuously differentiable function. Then

$$||f'||_{\infty}^2 \leq 4||f||_{\infty}||f''||_{\infty}.$$

In particular,

$$\|f'\|_{\infty} \leqslant \|f\|_{\infty} + \|f''\|_{\infty}.$$

*Proof of Theorem* 1. Let  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T})$  be represented as  $\varphi_{\lambda,d} * g$  for some  $g \in L^p(\mathbb{T})$ . We define the kernel  $P_m(x,t)$  for  $x,t \in \mathbb{T}$  as

$$P_m(x,t) := \frac{1}{2m+1} \sum_{k=0}^{2m} \varphi_\beta \left( x - \frac{2\pi k}{2m+1} \right) H_m \left( \frac{2\pi k}{2m+1} - t \right).$$

It is easy to obtain from the definition (3) that

$$Q_{m,\beta}(f)(x) = \frac{1}{2\pi} \int_{\mathbb{T}} P_m(x,t)g(t) dt.$$

We now use equation (1), the definition of the trigonometric polynomial  $H_m$  given in equation (2) and the easily verified fact, for  $k, s \in \mathbb{Z}, s \in [-m, m]$ , that

$$\frac{1}{2m+1}\sum_{\ell=0}^{2m}e^{ik(t-(2\pi\ell/(2m+1)))}e^{is((2\pi\ell/(2m+1))-t)} = \begin{cases} 0, & \text{if } \frac{k-s}{2m+1} \notin \mathbb{Z}, \\ e^{i(k-k_m)t}, & \text{if } \frac{k-s}{2m+1} \in \mathbb{Z}, \end{cases}$$

to conclude that

$$P_m(x,t) = \sum_{k\in\mathbb{Z}} \gamma(k) e^{ikx} e^{-ik_m t},$$

where  $\gamma(k) = \vartheta(k_m/m)\mathscr{G}(k_m)\beta(k)$  and  $k_m \in [-m,m]$  satisfy  $(k-k_m)/(2m+1) \in \mathbb{Z}$ . Hence,

$$Q_{m,\beta}(f)(x) = \sum_{k>m} \gamma(k)e^{ikx}\widehat{g}(k_m) + \sum_{k<-m} \gamma(k)e^{ikx}\widehat{g}(k_m) + \sum_{k=-m}^{m} \gamma(k)e^{ikx}\widehat{g}(k_m)$$
$$=:\mathscr{A}_m(x) + \mathscr{B}_m(x) + \mathscr{C}_m(x).$$

Consequently,

$$\|f - Q_{m,\beta}(f)\|_p \leq \|\mathscr{A}_m\|_p + \|\mathscr{B}_m\|_p + \|f - \mathscr{C}_m\|_p.$$

$$\tag{4}$$

For each  $j \in \mathbb{N}$ , we define the functions  $\Lambda_{j,m}(x)$ ,  $\mathscr{J}_m(x)$ ,  $\mathscr{K}_{j,m}(x)$ ,  $\mathscr{D}_{j,m}(x)$  and the set  $I_{j,m}$  as follows

$$\Lambda_{j,m}(x) := \beta(mx + j(2m+1)), \qquad \mathcal{J}_m(x) := \mathcal{G}(mx),$$
$$\mathcal{K}_{j,m}(x) := \Lambda_{j,m}(x)\vartheta(x)\mathcal{J}_m(x), \qquad \mathcal{D}_{j,m}(x) := \sum_{k \in I_{j,m}} \gamma(k)e^{ikx}\widehat{g}(k_m),$$
$$I_{j,m} := \{k \in \mathbb{Z} : \quad (2m+1)j - m \leq k \leq (2m+1)j + m\}.$$

Then we have

$$\mathscr{A}_m(x) = \sum_{j \in \mathbb{N}} \sum_{k \in I_{j,m}} \gamma(k) e^{ikx} \widehat{g}(k_m) = \sum_{j \in \mathbb{N}} \mathscr{D}_{j,m}(x), \tag{5}$$

and for all  $k \in I_{j,m}$ ,

$$\begin{split} \gamma(k) &= \beta(k)\vartheta(k_m/m)\mathscr{G}(k_m) = \beta(j(2m+1)+k_m)\vartheta(k_m/m)\mathscr{G}(k_m) \\ &= \Lambda_{j,m}(k_m/m)\vartheta(k_m/m)\mathscr{G}(k_m) = \Lambda_{j,m}(k_m/m)\vartheta(k_m/m)\mathscr{J}_m(k_m/m) \\ &= \mathscr{K}_{j,m}(k_m/m). \end{split}$$

Hence,

$$\begin{aligned} \mathscr{D}_{j,m}(x) &= \sum_{k \in I_{j,m}} \gamma(k) e^{ikx} \widehat{g}(k_m) = \sum_{k_m \in [-m,m]} \mathscr{K}_{j,m}(k_m/m) e^{i(j(2m+1)+k_m)x} \widehat{g}(k_m) \\ &= e^{ij(2m+1)x} \sum_{k_m \in [-m,m]} \mathscr{K}_{j,m}(k_m/m) e^{ik_m x} \widehat{g}(k_m) = e^{ij(2m+1)x} \sigma_m(\mathscr{K}_{j,m};g). \end{aligned}$$

Therefore, by Lemma 1, there exists a constant  $c_1$  such that

$$\|\mathscr{D}_{j,m}\|_p \leqslant c_1 \|(\mathscr{K}_{j,m})''\|_{\infty} \|g\|_p.$$

Then it follows from (5) that

$$\|\mathscr{A}_m\|_p \leqslant \sum_{j \in \mathbb{N}} \|\mathscr{D}_{j,m}\|_p \leqslant c_1 \sum_{j \in \mathbb{N}} \|(\mathscr{K}_{j,m})''\|_{\infty} \|g\|_p.$$
(6)

From the definition of  $\mathscr{K}_{j,m}$ , supp  $\vartheta \subset [-1,1]$ , and  $\|\vartheta\|_{\infty} \leq 2\|\vartheta'\|_{\infty} \leq 4\|\vartheta''\|_{\infty}$ , we deduce that

$$\begin{split} \|(\mathscr{K}_{j,m})^{''}\|_{\infty} &\leqslant 4 \|\vartheta^{''}\|_{\infty} \sup_{x \in [-1,1]} \left( |\Lambda_{j,m}(x) \mathscr{J}_{m}(x)| + |(\Lambda_{j,m} \mathscr{J}_{m})^{'}(x)| + |(\Lambda_{j,m} \mathscr{J}_{m})^{''}(x)| \right) \\ &\leqslant 4 \|\vartheta^{''}\|_{\infty} \left[ \sup_{x \in I_{j,m}} \left( |\beta(x)| + m|\beta^{'}(x)| + m^{2}|\beta^{''}(x)| \right) \sup_{x \in [-m,m]} |\mathscr{G}(x)| \\ &+ m \sup_{x \in I_{j,m}} \left( |\beta(x)| + m|\beta^{'}(x)| \right) \sup_{x \in [-m,m]} |\mathscr{G}^{''}(x)| \\ &+ m^{2} \sup_{x \in I_{j,m}} |\beta(x)| \sup_{x \in [-m,m]} |\mathscr{G}^{''}(x)| \right]. \end{split}$$

Hence,

for all  $j \in \mathbb{N}$ . Therefore, it follows from (6) that

$$\begin{split} \|\mathscr{A}_{m}\|_{p} &\leqslant 4c_{1} \|\vartheta''\|_{\infty} \sum_{j \in \mathbb{N}} \sup_{x \in I_{j,m}} \left( |\beta(x)| + m|\beta'(x)| + m^{2}|\beta''(x)| \right) \times \\ &\times \sup_{x \in [-m,m]} \left( |\mathscr{G}(x)| + m|\mathscr{G}'(x)| + m^{2}|\mathscr{G}''(x)| \right) \|g\|_{p}. \end{split}$$

So, by Lemma 2, we have

$$\begin{aligned} \|\mathscr{A}_{m}\|_{p} \leq 16c_{1} \|\vartheta''\|_{\infty} \sum_{j \in \mathbb{N}} \sup_{x \in I_{j,m}} \left( |\beta(x)| + m^{2} |\beta''(x)| \right) \times \\ \times \sup_{x \in [-m,m]} \left( |\mathscr{G}(x)| + m^{2} |\mathscr{G}''(x)| \right) \|g\|_{p}. \tag{7}$$

Since the function  $\alpha, \beta$  is of monotone type, there exists a positive constant  $c_0$  such that

$$|\alpha(x)| \ge c_0 |\alpha(y)|, |\alpha''(x)| \ge c_0 |\alpha''(y)|, |\beta(x)| \ge c_0 |\beta(y)|, |\beta''(x)| \ge c_0 |\beta''(y)|$$
(8)

for all  $|y| \ge |x| \ge |y|/4$ . Hence,

$$\sup_{|x|\in I_{j,m}} |\boldsymbol{\beta}(x)| \leq \frac{c_0}{m} \int_{|x|\in I_{j,m}} |\boldsymbol{\beta}(x)| dx,$$
$$\sup_{|x|\in I_{j,m}} |m^2 \boldsymbol{\beta}''(x)| \leq c_0 m \int_{|x|\in I_{j,m}} |\boldsymbol{\beta}''(x)| dx.$$

So,

$$\sum_{j\in\mathbb{N}}\sup_{|x|\in I_{j,m}}\left(|\beta(x)|+|m^2\beta^{''}(x)|\right)\leqslant c_0\int_{|x|\geqslant m}\left(\frac{|\beta(x)|}{m}+|m\beta^{''}(x)|\right)dx\leqslant c_0J_m(\beta).$$

Combining this with (7), we obtain that

$$\|\mathscr{A}_m\|_p \leqslant 16c_0c_1 \|\vartheta''\|_{\infty} \mathcal{E}_m \|g\|_p.$$
<sup>(9)</sup>

Similarly,

$$\|\mathscr{B}_m\|_p \leqslant 16c_0c_1 \|\vartheta''\|_{\infty} \varepsilon_m \|g\|_p.$$
<sup>(10)</sup>

Next, we will estimate  $||f - C_m||_p$ . Notice that  $\gamma(k) = \vartheta(k/m)\mathscr{G}(k)\beta(k) = \vartheta(k/m)\lambda(k)$  for  $k \in [-m,m]$ , and then

$$\sigma_m(\vartheta;f)(x) = \sum_{k \in \mathbb{Z}} \vartheta(k/m) \widehat{f}(k) e^{ikx} = \sum_{k=-m}^m \vartheta(k/m) \lambda(k) \widehat{g}(k) e^{ikx}$$
$$= \sum_{k=-m}^m \gamma(k) \widehat{g}(k) e^{ikx} = \mathscr{C}_m(x),$$

and therefore,

$$\|f - \mathscr{C}_m\|_p = \|f - \sigma_m(\vartheta; f)\|_p.$$
(11)

We define the functions S(x),  $\Phi_{j,m}(x)$  and  $\Psi_{j,m}(x)$  as

$$S(x) := \vartheta(x) - \vartheta(x/2), \quad \Phi_{j,m}(x) := \lambda(2^j m x), \quad \Psi_{j,m}(x) := S(x) \Phi_{j,m}(x).$$

Clearly, we have that

$$(\vartheta(k/(2^{j+1}m)) - \vartheta(k/(2^{j}m)))\lambda(k) = S(k/(2^{j}m))\Phi_{j,m}(k/(2^{j}m)) = \Psi_{j,m}(k/(2^{j}m)),$$

which together with

$$\begin{split} \sigma_{2^{j+1}m}(\vartheta;f) - \sigma_{2^{j}m}(\vartheta;f) &= \sum_{k \in \mathbb{Z}} (\vartheta(k/(2^{j+1}m)) - \vartheta(k/(2^{j}m))\widehat{f}(k)e^{ikx} \\ &= \sum_{k \in \mathbb{Z}} (\vartheta(k/(2^{j+1}m)) - \vartheta(k/(2^{j}m)))\lambda(k)\widehat{g}(k)e^{ikx} \end{split}$$

implies that

$$\sigma_{2^{j+1}m}(\vartheta;f) - \sigma_{2^{j}m}(\vartheta;f) = \sum_{k \in \mathbb{Z}} \Psi_{j,m}(k/(2^{j}m))\widehat{g}(k)e^{ikx} = \sigma_{2^{j}m}(\Psi_{j,m};g).$$

Then by Lemma 1, we obtain

$$\|\sigma_{2^{j+1}m}(\vartheta;f) - \sigma_{2^{j}m}(\vartheta;f)\|_p \leqslant c_1 \|\Psi_{j,m}''\|_{\infty} \|g\|_p.$$
(12)

Moreover, from the definition of  $\Psi_{j,m}$ ,  $\operatorname{supp} S \subset [-2, -1/2] \cup [1/2, 2]$ , and  $\|S\|_{\infty} \leq 2\|S'\|_{\infty} \leq 4\|S''\|_{\infty} \leq 8\|\vartheta''\|_{\infty}$ , we have that

$$\begin{split} |\Psi_{j,m}''(x)| &= |S''(x)\Phi_{j,m}(x) + 2S'(x)\Phi_{j,m}'(x) + S(x)\Phi_{j,m}''(x)| \\ &\leqslant 8 \|\vartheta''\|_{\infty} \sup_{|x|\in[1/2,2]} \left( |\Phi_{j,m}(x)| + \Phi_{j,m}'(x)| + |\Phi_{j,m}''(x)| \right) \\ &\leqslant 16 \|\vartheta''\|_{\infty} \sup_{|x|\in[1/2,2]} \left( |\Phi_{j,m}(x)| + |\Phi_{j,m}''(x)| \right) \\ &= 16 \|\vartheta''\|_{\infty} \sup_{|x|\in[2^{j-1}m,2^{j+1}m]} \left( |\lambda(x)| + (2^{j}m)^{2}|\lambda''(x)| \right) \\ &\leqslant 64 \|\vartheta''\|_{\infty} \sup_{|x|\in[2^{j-1}m,2^{j+1}m]} \left( |\lambda(x)| + |x^{2}\lambda''(x)| \right). \end{split}$$

Combining this and (12), we deduce

$$\|\sigma_{2^{j+1}m}(\vartheta;f) - \sigma_{2^{j}m}(\vartheta;f)\|_{p} \leq 64c_{1} \|\vartheta''\|_{\infty} \sup_{|x|\in[2^{j-1}m,2^{j+1}m]} \left(|\lambda(x)| + |x^{2}\lambda''(x)|\right) \|g\|_{p}.$$

Therefore, by (11) and  $\lim_{m\to\infty} ||f - \sigma_{2^j m}(\vartheta; f)||_p = 0$ , we have that

$$\|f - \mathscr{C}_{m}\|_{p} \leqslant \sum_{j=0}^{\infty} \|\sigma_{2^{j+1}m}(\vartheta; f) - \sigma_{2^{j}m}(\vartheta; f)\|_{p}$$
  
$$\leqslant 64c_{1} \|\vartheta''\|_{\infty} \sum_{j=0}^{\infty} \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} \left(|\lambda(x)| + |x^{2}\lambda''(x)|\right) \|g\|_{p}.$$
(13)

Since (8),

$$\sup_{|x|\in[2^{j-1}m,2^{j+1}m]}|\lambda(x)| \leqslant \frac{c_0}{2^jm} \int_{|x|\in[2^jm,2^{j+1}m]}|\lambda(x)|dx \leqslant \frac{c_0}{m} \int_{|x|\in[2^jm,2^{j+1}m]}|\lambda(x)|dx,$$

and

$$\sup_{|x|\in[2^{j-1}m,2^{j+1}m]}|x^2\lambda''(x)| \leq 2c_0 \int_{|x|\in[2^jm,2^{j+1}m]}|x\lambda''(x)|dx.$$

So,

$$\sum_{j=0}^{\infty} \sup_{|x|\in[2^{j-1}m,2^{j+1}m]} \left( |\lambda(x)| + |x^2\lambda''(x)| \right) \leq 2c_0 \int_{|x|\geqslant m} \left( \frac{|\lambda(x)|}{m} + |x\lambda''(x)| \right) dx$$
$$= 2c_0 J_m(\lambda).$$

Hence, by (13), we deduce

$$\|f - \mathscr{C}_m\|_p \leqslant 128c_0c_1 \|\vartheta''\|_{\infty} \varepsilon_m \|g\|_p.$$
<sup>(14)</sup>

Combining (9), (10) and (14) we have

$$\|f - Q_{m,\beta}(f)\|_p \leq c \varepsilon_m \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T})}.$$

From the above theorem, by letting  $\lambda = \beta$ , we obtain the following corollary.

COROLLARY 1. Let  $1 \leq p \leq \infty$  and  $\lambda$  be of monotone type. Then there exists a positive constant *c* such that for all  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T})$  and  $m \in \mathbb{N}$ ,

$$\|f-Q_{m,\lambda}(f)\|_p \leq cJ_m(\lambda)\|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T})}.$$

DEFINITION 2. Let  $r, \kappa \in \mathbb{R}$ . A function  $f : \mathbb{R} \to \mathbb{R}$  will be called a mask of type  $(r, \kappa)$  if f is an even, 2 times continuously differentiable such that for  $t \ge 1$ ,  $f(t) = |t|^{-r} (\log(|t|+1))^{-\kappa} F(\log|t|)$  for some  $F : \mathbb{R} \to \mathbb{R}$  such that  $|F^{(k)}(t)| \le a_1$  for all  $t \ge 1, k = 0, 1, 2$ .

THEOREM 2. Let  $1 \leq p \leq \infty$ ,  $1 < r < \infty$ ,  $\kappa \in \mathbb{R}$  and the function  $\lambda$  be a mask of type  $(r, \kappa)$ . Then there exists a positive constant c such that for all  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T})$  and  $m \in \mathbb{N}$ ,

$$||f - Q_{m,\lambda}(f)||_p \leq cm^{-r}(\log m)^{-\kappa} ||f||_{\mathscr{H}_{\lambda,p}(\mathbb{T})}.$$

*Proof.* Since the function  $\lambda$  be a mask of type  $(r, \kappa)$  and r > 1,

$$\int_{|x| \ge m} \left| \frac{\lambda(x)}{m} \right| dx \leqslant a_1 \int_{|x| \ge m} \frac{|x|^{-r} (\log(|x|+1))^{-\kappa}}{m} dx \leqslant a_2 m^{-r} (\log(m+1))^{-\kappa} \quad \forall m \in \mathbb{N}.$$
(15)

On the other hand,

$$\begin{split} &\int_{|x| \ge m} |x\lambda''(x)| dx \\ &\leqslant \int_{|x| \ge m} |x| \left( (|x|^{-r} (\log(|x|+1))^{-\kappa})'' |F(\log|x|)| + (|x|^{-r} (\log(|x|+1))^{-\kappa})' \right. \\ &\times |F'(\log|x|)| / |x| + (|x|^{-r} (\log(|x|+1))^{-\kappa}) |F''(\log|x|) - F'(\log|x|)| / x^2 \right) dx \\ &\leqslant a_1 \int_{|x| \ge m} |x| \left( (|x|^{-r} (\log(|x|+1))^{-\kappa})'' + 2(|x|^{-r} (\log(|x|+1))^{-\kappa})' / |x| \right. \\ &+ 2(|x|^{-r} (\log(|x|+1))^{-\kappa}) / x^2 \right) dx \leqslant a_3 m^{-r} (\log(m+1))^{-\kappa}. \end{split}$$

Hence, by (15), we deduce

$$J_m(\lambda) \leqslant a_4 m^{-r} (\log(m+1))^{-\kappa}$$

From this and Corollary 1, we complete the proof.  $\Box$ 

COROLLARY 2. For  $1 \leq p \leq \infty$ ,  $1 < r < \infty$  and  $\lambda(x) = \beta(x) = x^{-r}$  for  $x \neq 0$ ,  $\mathscr{H}_{\lambda,p}(\mathbb{T})$  becomes the Korobov space  $K_p^r(\mathbb{T})$ . Then we have the estimate as in [1]:

$$M_n(U_{\lambda,p}(\mathbb{T}),\kappa_r)_p \leqslant cm^{-r}$$

where  $\kappa_r$  is the Korobov function.

DEFINITION 3. A function  $f : \mathbb{R} \to \mathbb{R}$  is called a function of exponent type if f is 2 times continuously differentiable and there exists a positive constant s such that  $f(t) = e^{-s|t|}F(|t|)$  for some decreasing function  $F : [0, +\infty) \to (0, +\infty)$ .

THEOREM 3. Let  $1 \leq p \leq \infty$ ,  $1 < r < \infty$ ,  $\kappa \in \mathbb{Z}$ , the function  $\lambda$  be a mash of type  $(r, \kappa)$ , the function  $\beta$  of exponent type. Then there exists a positive constant c such that for all  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T})$  and  $m \in \mathbb{N}$ , we have

$$\|f - Q_{m,\beta}(f)\|_p \leq cm^{-r}(\log(m+1))^{-\kappa} \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T})}.$$

*Proof.* We will use the notation in the proof of Theorem 1. For  $k \in I_{j,m}$  we have  $k_m = k - j(2m+1)$  and then

$$\begin{aligned} |\gamma(k)| &= \left| \beta(k_m + j(2m+1))\vartheta(k_m/m)\frac{\lambda(k_m)}{\beta(k_m)} \right| \\ &= e^{-sj(2m+1))}\frac{|\lambda(k_m)F(k_m + j(2m+1))|}{|F(k_m)|} \leqslant b_1 e^{-sj(2m+1))}. \end{aligned}$$

Hence,

$$\left\|\sum_{k\in I_{j,m}}\gamma(k)e^{ikx}\widehat{g}(k_m)\right\|_p\leqslant 3b_1me^{-sj(2m+1))}\|g\|_p$$

This implies that

$$\|\mathscr{A}_{m}\|_{p} = \left\| \sum_{j \in \mathbb{N}} \sum_{k \in I_{j,m}} \gamma(k) e^{ikx} \widehat{g}(k_{m}) \right\|_{p}$$

$$\leq 3b_{1} \sum_{j \in \mathbb{N}} m e^{-sj(2m+1))} \|g\|_{p} \leq b_{2} m^{-r} (\log(m+1))^{-\kappa} \|g\|_{p}.$$
(16)

Similarly,

$$\|\mathscr{B}_{m}\|_{p} \leq b_{2}m^{-r}(\log(m+1))^{-\kappa}\|g\|_{p}.$$
(17)

We also known that in the proof of Theorem 1 that

$$\|f - \mathscr{C}_m\|_p \leq b_3 \sum_{j=0}^{\infty} \sup_{|x| \in [2^{j-1}m, 2^{j+1}m]} \left( |\lambda(x)| + |x^2 \lambda''(x)| \right) \|g\|_p.$$
(18)

We see that

$$\sup_{|x|\in[2^{j-1}m,2^{j+1}m]} |\lambda(x)| \leq b_4 \int_{|x|\in[2^jm,2^{j+1}m]} \frac{|\lambda(x)|}{|x|} dx$$

$$\sup_{|x|\in[2^{j-1}m,2^{j+1}m]}|x^{2}\lambda''(x)|\leqslant b_{4}\int_{|x|\in[2^{j}m,2^{j+1}m]}|x\lambda''(x)|dx$$

So,

$$\sum_{j=0}^{\infty} \sup_{|x|\in [2^{j-1}m,2^{j+1}m]} \left( |\lambda(x)| + |x^2\lambda''(x)| \right) \leqslant b_4 \int_{|x|\geqslant m} \left( \frac{|\lambda(x)|}{|x|} + |x\lambda''(x)| \right) dx.$$

Hence, by (18), we deduce that

$$\|f - \mathscr{C}_m\|_p \leq b_3 b_4 \|g\|_p \int_{|x| \geq m} \left( \frac{|\lambda(x)|}{|x|} + |x\lambda''(x)| \right) dx \leq b_5 m^{-r} (\log(m+1))^{-\kappa} \|g\|_p.$$

Combining this, (16), (17) and (4), we complete the proof.

#### 3. Multivariate approximation

In this section, we make use of the univariate operators  $Q_{m,\lambda}$  to construct multivariate operators on sparse Smolyak grids for approximation of functions from  $\mathscr{H}_{\lambda,p}(\mathbb{T}^d)$ . Based on this approxiation with certain restriction on the function  $\lambda$  we prove an upper bound of  $M_n(U_{\lambda,p}(\mathbb{T}^d), \varphi_{\lambda,d})_p$  for  $1 \leq p \leq \infty$  as well as a lower bound of  $M_n(U_{\lambda,2}(\mathbb{T}^d))_2$ . The results obtained in this section generalize some results in [1, 2].

# **3.1.** Error estimates for functions in the space $\mathscr{H}_{\lambda,n}(\mathbb{T}^d)$

For  $\mathbf{m} \in \mathbb{N}^d$ , let the multivariate operator  $Q_{\mathbf{m}}$  in  $\mathscr{H}_{\lambda,p}(\mathbb{T}^d)$  be defined by

$$Q_{\mathbf{m}} := \prod_{j=1}^{d} Q_{m_j,\lambda}, \tag{19}$$

where the univariate operator  $Q_{m_j,\lambda}$  is applied to the univariate function f by considering f as a function of variable  $x_j$  with the other variables held fixed,  $\mathbb{Z}^d_+ := \{\mathbf{k} \in \mathbb{Z}^d : k_j \ge 0, j \in \mathbb{N}_d\}$  and  $k_j$  denotes the *j*th coordinate of  $\mathbf{k}$ .

Set  $\mathbb{Z}_{-1}^d := \{\mathbf{k} \in \mathbb{Z}^d : k_j \ge -1, j \in \mathbb{N}_d\}$ . For  $k \in \mathbb{Z}_{-1}$ , we define the univariate operator  $T_k$  in  $\mathscr{H}_{\lambda,p}(\mathbb{T})$  by

$$T_k := \mathbf{I} - Q_{2^k,\lambda}, \ k \ge 0, \quad T_{-1} := \mathbf{I},$$

where I is the identity operator. If  $\mathbf{k} \in \mathbb{Z}_{-1}^d$ , we define the mixed operator  $T_{\mathbf{k}}$  in  $\mathscr{H}_{\lambda,p}(\mathbb{T}^d)$  in the manner of the definition of (19) as

$$T_{\mathbf{k}} := \prod_{i=1}^{d} T_{k_i}.$$

Set  $|\mathbf{k}| := \sum_{j \in \mathbb{N}_d} |k_j|$  for  $\mathbf{k} \in \mathbb{Z}_{-1}^d$  and  $\mathbf{k}_{(2)}^{-\kappa} = \prod_{j=1}^d (k_j + 2)^{-\kappa}$ .

LEMMA 3. Let  $1 \leq p \leq \infty$ ,  $1 < r < \infty$ ,  $0 \leq \kappa < \infty$  and the function  $\lambda$  be a mask of type  $(r, \kappa)$ . Then we have for any  $f \in \mathscr{H}_{\lambda, p}(\mathbb{T}^d)$  and  $\mathbf{k} \in \mathbb{Z}_{-1}^d$ ,

$$\|T_{\mathbf{k}}(f)\|_{p} \leq C \mathbf{k}_{(2)}^{-\kappa} 2^{-r|\mathbf{k}|} \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^{d})}$$

with some constant C independent of f and  $\mathbf{k}$ .

*Proof.* We prove the lemma by induction on d. For d = 1 it follows from Theorems 2. Assume the lemma is true for d-1. Set  $\mathbf{x}' := \{x_j : j \in \mathbb{N}_{d-1}\}$  and  $\mathbf{x} = (\mathbf{x}', x_d)$  for  $\mathbf{x} \in \mathbb{R}^d$ . We temporarily denote by  $||f||_{p,\mathbf{x}'}$  and  $||f||_{\mathscr{H}_{\lambda,p}(\mathbb{T}^{d-1}),\mathbf{x}'}$  or  $||f||_{p,x_d}$  and  $||f||_{\mathscr{H}_{\lambda,p}(\mathbb{T}),x_d}$  the norms applied to the function f by considering f as a function of variable  $\mathbf{x}'$  or  $x_d$  with the other variable held fixed, respectively. For  $\mathbf{k} = (\mathbf{k}', k_d) \in \mathbb{Z}_{-1}^d$ , we get by Theorems 2 and the induction assumption

$$\begin{split} \|T_{\mathbf{k}}(f)\|_{p} &= \|\|T_{\mathbf{k}'}T_{k_{d}}(f)\|_{p,\mathbf{x}'}\|_{p,x_{d}} \ll \|2^{-r|\mathbf{k}'|}\mathbf{k}'_{(2)}^{-\kappa}\|T_{k_{d}}(f)\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^{d-1}),\mathbf{x}'}\|_{p,x_{d}} \\ &= 2^{-r|\mathbf{k}'|}\mathbf{k}'_{(2)}^{-\kappa}\|\|T_{k_{d}}(f)\|_{p,x_{d}}\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^{d-1}),\mathbf{x}'} \\ \ll 2^{-r|\mathbf{k}'|}\mathbf{k}'_{(2)}^{-\kappa}\|2^{-rk_{d}}(k_{d}+2)^{-\kappa}\|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}),x_{d}}\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^{d-1}),\mathbf{x}'} \\ &= 2^{-r|\mathbf{k}|}\prod_{j=1}^{d}(k_{j}+2)^{-\kappa}\|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^{d})}. \quad \Box \end{split}$$

Let the univariate operator  $q_k$  be defined for  $k \in \mathbb{Z}_+$ , by

$$q_k := Q_{2^k,\lambda} - Q_{2^{k-1},\lambda}, \ k > 0, \ q_0 := Q_{1,\lambda},$$

and in the manner of the definition of (19), the multivariate operator  $q_{\mathbf{k}}$  for  $\mathbf{k} \in \mathbb{Z}_{+}^{d}$ , by

$$q_{\mathbf{k}} := \prod_{j=1}^d q_{k_j}.$$

For  $\mathbf{k} \in \mathbb{Z}_+^d$ , we write  $\mathbf{k} \to \infty$  if  $k_j \to \infty$  for each  $j \in \mathbb{N}_d$ .

THEOREM 4. Let  $1 \leq p \leq \infty$ ,  $1 < r < \infty$ ,  $0 \leq \kappa < \infty$  and the function  $\lambda$  be a mask of type  $(r, \kappa)$ . Then every  $f \in \mathscr{H}_{\lambda, p}(\mathbb{T}^d)$  can be represented as the series

$$f = \sum_{\mathbf{k} \in \mathbb{Z}_{+}^{d}} q_{\mathbf{k}}(f) \tag{20}$$

converging in  $L^p$ -norm, and we have for  $\mathbf{k} \in \mathbb{Z}_+^d$ ,

$$\|q_{\mathbf{k}}(f)\|_{p} \leq C2^{-r|\mathbf{k}|} \mathbf{k}_{(2)}^{-\kappa} \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^{d})}$$
(21)

with some constant C independent of f and  $\mathbf{k}$ .

*Proof.* Let  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T}^d)$ . In a way similar to the proof of Lemma 3, we can show that

$$\|f - Q_{2^{\mathbf{k}}}(f)\|_p \ll \max_{j \in \mathbb{N}_d} 2^{-rk_j} k_j^{-\kappa} \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^d)},$$

and therefore,

$$\|f-Q_{2^{\mathbf{k}}}(f)\|_{p}\to 0,\ \mathbf{k}\to\infty,$$

where  $2^{\mathbf{k}} = (2^{k_j}: j \in \mathbb{N}_d)$ . On the other hand,

$$Q_{2^{\mathbf{k}}} = \sum_{s_j \leqslant k_j, \ j \in \mathbb{N}_d} q_{\mathbf{s}}(f)$$

This proves (20). To prove (21) we notice that from the definition it follows that

$$q_{\mathbf{k}} = \sum_{e \subset \mathbb{N}_d} (-1)^{|e|} T_{\mathbf{k}^e},$$

where  $\mathbf{k}^e$  is defined by  $k_j^e = k_j$  if  $j \in e$ , and  $k_j^e = k_j - 1$  if  $j \notin e$ . Hence, by Lemma 3

$$\begin{split} \|q_{\mathbf{k}}(f)\|_{p} &\leq \sum_{e \in \mathbb{N}_{d}} \|T_{\mathbf{k}^{e}}(f)\|_{p} \ll \sum_{e \in \mathbb{N}_{d}} 2^{-r|\mathbf{k}^{e}|} (\mathbf{k}_{(2)}^{e})^{-\kappa} \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^{d})} \\ &\ll 2^{-r|\mathbf{k}|} \mathbf{k}_{(2)}^{-\kappa} \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^{d})}. \quad \Box \end{split}$$

For approximation of  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T}^d)$ , we introduce the linear operator  $P_m, m \in \mathbb{N}$ , by

$$P_m(f) := \sum_{|\mathbf{k}| \le m} q_{\mathbf{k}}(f).$$
(22)

We give an upper bound for the error of the approximation of functions  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T}^d)$  by the operator  $P_m$  in the following theorem.

THEOREM 5. Let  $1 \leq p \leq \infty$ ,  $1 < r < \infty$ ,  $0 \leq \kappa < \infty$  and the function  $\lambda$  be a mask of type  $(r, \kappa)$ . Then, we have for every  $m \in \mathbb{N}$  and  $f \in \mathscr{H}_{\lambda,p}(\mathbb{T}^d)$ ,

$$\|f - P_m(f)\|_p \leq C 2^{-rm} m^{d-1-\kappa} \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^d)}$$

with some constant C independent of f and m.

Proof. From Theorem 4 we deduce that

$$\begin{split} \|f - P_m(f)\|_p &= \left\|\sum_{|\mathbf{k}| > m} q_{\mathbf{k}}(f)\right\|_p \leqslant \sum_{|\mathbf{k}| > m} \|q_{\mathbf{k}}(f)\|_p \\ &\ll \sum_{|\mathbf{k}| > m} 2^{-r|\mathbf{k}|} \mathbf{k}_{(2)}^{-\kappa} \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^d)} \ll \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^d)} \sum_{|\mathbf{k}| > m} 2^{-r|\mathbf{k}|} \mathbf{k}_{(2)}^{-\kappa} \\ &\ll 2^{-rm} m^{d-1-\kappa} \|f\|_{\mathscr{H}_{\lambda,p}(\mathbb{T}^d)}. \quad \Box \end{split}$$

## 3.2. Convergence rate

We choose a positive integer  $m \in \mathbb{N}$ , a lattice vector  $\mathbf{k} \in \mathbb{Z}_{+}^{d}$  with  $|\mathbf{k}| \leq m$  and another lattice vector  $\mathbf{s} = (s_j : j \in \mathbb{N}_d) \in \prod_{j \in \mathbb{N}_d} \mathbb{Z}[2^{k_j+1}+1]$  to define the vector  $\mathbf{y}_{\mathbf{k},\mathbf{s}} = \left(\frac{2\pi s_j}{2^{k_j+1}+1} : j \in \mathbb{N}_d\right)$ . The Smolyak grid on  $\mathbb{T}^d$  consists of all such vectors and is given as

$$G^d(m) := \left\{ \mathbf{y}_{\mathbf{k},\mathbf{s}} : |\mathbf{k}| \leqslant m, \mathbf{s} \in \bigotimes_{j \in \mathbb{N}_d} Z[2^{k_j+1}+1] \right\}.$$

A simple computation confirms, for  $m \to \infty$  that

$$|G^d(m)| = \sum_{|\mathbf{k}| \leqslant m} \prod_{j \in \mathbb{N}_d} (2^{k_j+1}+1) \asymp 2^d m^{d-1},$$

so,  $G^d(m)$  is a sparse subset of a full grid of cardinality  $2^{dm}$ . Moreover, by the definition of the linear operator  $P_m$  given in equation (22) we see that the range of  $P_m$  is contained in the subspace

span{
$$\varphi_{\lambda,d}(\cdot - \mathbf{y}) : \mathbf{y} \in G^d(m)$$
 }.

Other words,  $P_m$  defines a multivariate method of approximation by translates of the function  $\varphi_{\lambda,d}$  on the sparse Smolyak grid  $G^d(m)$ . An upper bound for the error of this approximation of functions from  $\mathscr{H}_{\lambda,p}(\mathbb{T}^d)$  is given in Theorem 5.

Now, we are ready to prove the next theorem, thereby establishing an upper bound of  $M_n(U_{\lambda,p}, \varphi_{\lambda,d})_p$ .

THEOREM 6. If  $1 \le p \le \infty$ ,  $1 < r < \infty$ ,  $0 \le \kappa < \infty$  and the function  $\lambda$  be a mask of type  $(r, \kappa)$ , then

$$M_n(U_{\lambda,p}(\mathbb{T}^d), \varphi_{\lambda,d})_p \ll n^{-r}(\log n)^{(r+1)(d-1)-\kappa}.$$

*Proof.* If  $n \in \mathbb{N}$  and *m* is the largest positive integer such that  $|G^d(m)| \leq n$ , then  $n \simeq 2^m m^{d-1}$  and by Theorem 5 we have that

$$\begin{split} M_n(U_{\lambda,p}(\mathbb{T}^d),\varphi_{\lambda,d})_p &\leqslant \sup_{f \in U_{\lambda,p}(\mathbb{T}^d)} \|f - P_m(f)\|_p \\ &\ll 2^{-rm} m^{d-1-\kappa} \asymp n^{-r} (\log n)^{(r+1)(d-1)-\kappa}. \quad \Box \end{split}$$

For p = 2, we are able to establish a lower bound for  $M_n(U_{\lambda,2}(\mathbb{T}^d), \varphi_{\lambda,d})_2$ . We prepare some auxiliary results. Let  $\mathbb{P}_q(\mathbb{R}^l)$  be the set of algebraic polynomials on  $\mathbb{R}^l$  of total degree at most q, and

$$\mathbb{E}^m := \{\mathbf{t} = (t_j : j \in \mathbb{N}_m) : |t_j| = 1, j \in \mathbb{N}_m\}.$$

We define the polynomial maifold

$$\mathbb{M}_{m,l,q} := \left\{ (p_j(\mathbf{u}) : j \in \mathbb{N}_m) : p_j \in \mathbb{P}_q(\mathbb{R}^l), j \in \mathbb{N}_m, \mathbf{u} \in \mathbb{R}^l \right\}.$$

Denote by  $\|\mathbf{x}\|_2$  the Euclidean norm of a vector  $\mathbf{x}$  in  $\mathbb{R}^m$ . The following lemma was proven in [5].

LEMMA 4. Let  $m, l, q \in \mathbb{N}$  satisfy the inequality  $l\log(\frac{4emq}{l}) \leq \frac{m}{4}$ . Then there is a vector  $\mathbf{t} \in \mathbb{E}^m$  and a positive constant c such that

$$\inf\left\{\|\mathbf{t}-\mathbf{x}\|_2:\mathbf{x}\in\mathbb{M}_{m,l,q}\right\}\geq c\,m^{1/2}.$$

THEOREM 7. If  $1 < r < \infty$ ,  $0 \leq \kappa < \infty$  and the function  $\lambda$  be a mask of type  $(r, \kappa)$ , then we have that

$$n^{-r}(\log n)^{r(d-2)-d\kappa} \ll M_n(U_{\lambda,2})_2 \ll n^{-r}(\log n)^{(r+1)(d-1)-\kappa}.$$
(23)

*Proof.* The upper bound of (23) is in Theorem 6. Let us prove the lower bound by developing a technique used in the proofs of [5, Theorem 1.1] and [1, Theorem 4.4]. For a positive number a we define a subset  $\mathbb{H}(a)$  of lattice vectors by

$$\mathbb{H}(a) := \left\{ \mathbf{k} = (k_j : j \in \mathbb{N}_d) \in \mathbb{Z}^d : \prod_{j \in \mathbb{N}_d} |k_j| \leq a \right\}.$$

Notice that  $|\mathbb{H}(a)| \simeq a(\log a)^{d-1}$  when  $a \to \infty$ . To apply Lemma 4, for any  $n \in \mathbb{N}$ , we take  $q = \lfloor n(\log n)^{-d+2} \rfloor + 1$ ,  $m = 5(2d+1)\lfloor n\log n \rfloor$  and l = (2d+1)n. With these choices we obtain

$$|\mathbb{H}(q)| \asymp m \tag{24}$$

and

$$q \asymp m(\log m)^{-d+1} \tag{25}$$

as  $n \to \infty$ . Moreover, we have that

$$\lim_{n \to \infty} \frac{l}{m} \log\left(\frac{4emq}{l}\right) = \frac{1}{5}$$

and therefore, the assumption of Lemma 4 is satisfied for  $n \rightarrow \infty$ .

Now, let us specify the polynomial manifold  $\mathbb{M}_{m,l,q}$ . To this end, we put  $\zeta := q^{-r}m^{-1/2}(\log q)^{-d\kappa}$  and let  $\mathbb{Y}$  be the set of trigonometric polynomials on  $\mathbb{T}^d$ , defined by

$$\mathbb{Y} := \Big\{ f = \zeta \sum_{\mathbf{k} \in \mathbb{H}(q)} a_{\mathbf{k}} t_{\mathbf{k}} : \mathbf{t} = (t_{\mathbf{k}} : \mathbf{k} \in \mathbb{H}(q)) \in \mathbb{E}^{|\mathbb{H}(q)|} \Big\}.$$

If  $f \in \mathbb{Y}$  and

$$f = \zeta \sum_{\mathbf{k} \in \mathbb{H}(q)} a_{\mathbf{k}} t_{\mathbf{k}},$$

then  $f = \varphi_{\lambda,d} * g$  for some trigonometric polynomial g such that

$$\|g\|_{L^2(\mathbb{T}^d)}^2 \leqslant \zeta^2 \sum_{\mathbf{k}\in\mathbb{H}(q)} |\lambda(\mathbf{k})|^{-2}.$$

Since

$$\begin{split} \zeta^2 \sum_{\mathbf{k} \in \mathbb{H}(q)} |\lambda(\mathbf{k})|^{-2} &\leq \zeta^2 q^{2r} \sum_{\mathbf{k} \in \mathbb{H}(q)} \left| \log \prod_{j=1}^d k_j \right|^{2\kappa} \\ &\leq \zeta^2 q^{2r} \sum_{\mathbf{k} \in \mathbb{H}(q)} \left| \sum_{j=1}^n \log k_j \right|^{2d\kappa} \\ &\leq \zeta^2 q^{2r} (\log q)^{2d\kappa} |\mathbb{H}(q)| = m^{-1} |\mathbb{H}(q)|, \end{split}$$

by (24) that there is a positive constant *c* such that  $||g||_{L^2(\mathbb{T}^d)} \leq c$  for all  $n \in \mathbb{N}$ . Therefore, we can either adjust functions in  $\mathbb{Y}$  by dividing them by *c*, or we can assume without loss of generality that c = 1, and obtain  $\mathbb{Y} \subseteq U_{\lambda,2}(\mathbb{T}^d)$ .

We are now ready to prove the lower bound for  $M_n(U_{\lambda,2}(\mathbb{T}^d))_2$ . We choose any  $\varphi \in L^2(\mathbb{T}^d)$  and let  $\nu$  be any function formed as a linear combination of n translates of the function  $\varphi$ :

$$v = \sum_{j \in \mathbb{N}_n} c_j \varphi(\cdot - \mathbf{y}_j).$$

By the well-known Bessel inequality we have for a function

$$f = \zeta \sum_{\mathbf{k} \in \mathbb{H}(q)} a_{\mathbf{k}} t_{\mathbf{k}} \in \mathbb{Y},$$

that

$$\|f - v\|_{L^{2}(\mathbb{T}^{d})}^{2} \ge \zeta^{2} \sum_{\mathbf{k} \in \mathbb{H}(q)} \left| t_{\mathbf{k}} - \frac{\widehat{\varphi}(\mathbf{k})}{\zeta} \sum_{j \in \mathbb{N}_{n}} c_{j} e^{i(\mathbf{y}_{j}, \mathbf{k})} \right|^{2}.$$
 (26)

We introduce a polynomial manifold so that we can use Lemma 4 to get a lower bound for the expressions on the left hand side of inequality (26). To this end, we define the vector  $\mathbf{c} = (c_j : j \in \mathbb{N}_n) \in \mathbb{R}^n$  and for each  $j \in \mathbb{N}_n$ , let  $\mathbf{z}_j = (z_{j,l} : l \in \mathbb{N}_d)$  be a vector in  $\mathbb{C}^d$  and then concatenate these vectors to form the vector  $\mathbf{z} = (\mathbf{z}_j : j \in \mathbb{N}_n) \in \mathbb{C}^{nd}$ . We employ the standard multivariate notation

$$\mathbf{z}_j^{\mathbf{k}} = \prod_{l \in \mathbb{N}_d} z_{j,l}^{k_l}$$

and require vectors  $\mathbf{w} = (\mathbf{c}, \mathbf{z}) \in \mathbb{R}^n \times \mathbb{C}^{nd}$  and  $\mathbf{u} = (\mathbf{c}, \Re \mathbf{z}, \Im \mathbf{z}) \in \mathbb{R}^l$  to be written in concatenate form. Now, we introduce for each  $\mathbf{k} \in \mathbb{H}(q)$  the polynomial  $\mathbf{q}_{\mathbf{k}}$  defined at  $\mathbf{w}$  as

$$\mathbf{q}_{\mathbf{k}}(\mathbf{w}) := \frac{\widehat{\varphi}(\mathbf{k})}{\zeta} \sum_{\mathbf{j} \in \mathbb{H}(q)} c_{\mathbf{j}} \mathbf{z}^{\mathbf{j}}$$

We only need to consider the real part of  $q_k$ , namely,  $p_k = \Re q_k$  since we have that

$$\inf\left\{\sum_{\mathbf{k}\in\mathbb{H}(q)}\left|t_{\mathbf{k}}-\frac{\widehat{\varphi}(\mathbf{k})}{\zeta}\sum_{j\in\mathbb{N}_{n}}c_{j}e^{i(\mathbf{y}_{j},\mathbf{k})}\right|^{2}:c_{j}\in\mathbb{R},\mathbf{y}_{j}\in\mathbb{T}^{d}\right\}$$
$$\geqslant\inf\left\{\sum_{\mathbf{k}\in\mathbb{H}(q)}\left|t_{\mathbf{k}}-p_{\mathbf{k}}(\mathbf{u})\right|^{2}:\mathbf{u}\in\mathbb{R}^{l}\right\}.$$

Therefore, by Lemma 4 and (25) we conclude there is a vector  $\mathbf{t}^0 = (t_{\mathbf{k}}^0 : \mathbf{k} \in \mathbb{H}(q)) \in \mathbb{E}^{h_q}$ and the corresponding function

$$f^{0} = \zeta \sum_{\mathbf{k} \in \mathbb{H}(q)} t^{0}_{\mathbf{k}} \chi_{\mathbf{k}} \in \mathbb{Y}$$

for which there is a positive constant c such that for every v of the form

$$v = \sum_{j \in \mathbb{N}_n} c_j \varphi(\cdot - \mathbf{y}_j),$$

we have that

$$\|f^{0} - v\|_{L^{2}(\mathbb{T}^{d})} \ge c\zeta m^{\frac{1}{2}} = q^{-r}(\log q)^{-d\kappa} \asymp n^{-r}(\log n)^{r(d-2)-d\kappa}$$

which proves the lower bound of (23).  $\Box$ 

Similar to the proof of the above theorem, we can prove the following theorem for the case  $-\infty < \kappa < 0$ .

THEOREM 8. If  $1 < r < \infty, -\infty < \kappa < 0$  and the function  $\lambda$  be a mask of type  $(r, \kappa)$ , then we have that

$$n^{-r}(\log n)^{r(d-2)-\kappa} \ll M_n(U_{\lambda,2}(\mathbb{T}^d))_2 \ll n^{-r}(\log n)^{(r+1)(d-1)-d\kappa}$$

Acknowledgements. This work is funded by Vietnam National Foundation for Science and Technology Development (NAFOSTED) under Grant No. 102.01-2020.03. A part of this work was done when Dinh Dũng was working at the Vietnam Institute for Advanced Study in Mathematics (VIASM). He would like to thank the VIASM for providing a fruitful research environment and working condition.

#### REFERENCES

- D. DŨNG AND C. A. MICHELLI, Multivariate approximation by translates of the Korobov function on Smolyak grids, Journal of Complexity, 29 (2013), 424–437.
- [2] D. DŨNG AND C. A. MICCHELLI, Corrigendum to "Multivariate approximation by translates of the Korobov function on Smolyak grids", [J. Complexity 29 (2013), 424–437], J. Complexity 35 (2016), 124–125.
- [3] D. DŨNG, C. A. MICCHELLI AND V. N. HUY, Approximation by translates of a single function of functions in space induced by the convolution with a given function, Applied Mathematics and Computation 361 (2019), 777–787.
- [4] E. LANDAU, Ungleichungen f
  ür zweimal differenzierbare Funktionen, Proc. London Math. Soc. 13 (1913), 43–49.
- [5] V. MAIOROV, Almost optimal estimates for best approximation by translates on a torus, Constructive Approx. 21 (2005), 1–20.

- [6] M. MAGGIONI AND H. N. MHASKAR, Diffusion polynomial frames on metric measure spaces, Appl. Comput. Harmon. Anal. 24 (2008), 329–353.
- [7] H. N. MHASKAR, Eignets for function approximation on manifolds, Appl. Comput. Harmon. Anal. 29 (2010), 63–87.

(Received February 5, 2021)

Dinh Dũng Vietnam National University Information Technology Institute 144 Xuan Thuy, Hanoi, Vietnam e-mail: dinhzung@gmail.com

Vu Nhat Huy Hanoi University of Science Vietnam National University 334 Nguyen Trai, Thanh Xuan, Hanoi, Vietnam and TIMAS, Thang Long University Nghiem Xuan Yem, Hoang Mai, Hanoi, Vietnam e-mail: nhat\_huy85@yahoo.com

Mathematical Inequalities & Applications www.ele-math.com mia@ele-math.com