# A NOTE ON REPRESENTATIONS OF COMMUTATIVE C\*-ALGEBRAS IN SEMIFINITE VON NEUMANN ALGEBRAS

DON HADWIN AND RUI SHI

(Communicated by D. R. Farenick)

Abstract. In the current paper, we generalize the "compact operator" part of D. Voiculescu's non-commutative Weyl-von Neumann theorem on approximately unitary equivalence of unital \*-homomorphisms of a separable commutative C<sup>\*</sup> algebra  $\mathscr{A}$  into a semifinite von Neumann algebra. A result of D. Hadwin for approximate summands of representations into a finite von Neumann factor  $\mathscr{R}$  is also extended.

## 1. Introduction

In 1976, as a non-commutative version of the Weyl-von Neumann theorem [2, 11, 14], Voiculescu [13] characterized approximately unitary equivalence of two unital representations  $\phi, \psi : \mathscr{A} \to \mathscr{B}(\mathscr{H})$ , where  $\mathscr{A}$  is a separable unital C<sup>\*</sup>-algebra and  $\mathscr{H}$  is a complex separable Hilbert space. A different beautiful proof was given by Arveson [1] in 1977. Two representations  $\phi$  and  $\psi$  of a C<sup>\*</sup>-algebra  $\mathscr{A}$  on a Hilbert space  $\mathscr{H}$  are said to be *approximately (unitarily) equivalent*, denoted by  $\phi \sim_a \psi$ , if there exists a net  $\{U_\lambda\}_{\lambda \in \Lambda}$  of unitary operators in  $\mathscr{B}(\mathscr{H})$  such that

$$\lim_{\lambda \in \Lambda} \left\| U_{\lambda}^{*} \phi\left(A\right) U_{\lambda} - \psi(A) \right\| = 0, \, \forall A \in \mathscr{A}.$$
(1.1)

When  $\mathscr{A}$  is separable,  $\{U_{\lambda}\}_{\lambda \in \Lambda}$  can be chosen to be a sequence. Let  $\mathscr{K}(\mathscr{H})$  denote the set of the compact operators on  $\mathscr{H}$ . We say that two representations  $\phi$  and  $\psi$  of a separable C<sup>\*</sup>-algebra  $\mathscr{A}$  into  $\mathscr{B}(\mathscr{H})$  are approximately unitarily equivalent relative to  $\mathscr{K}(\mathscr{H})$ , denoted by  $\phi \sim_{\mathscr{A}} \psi$ , mod  $\mathscr{K}(\mathscr{H})$ , if there exists a sequence  $\{U_n\}_{n=1}^{\infty}$ of unitary operators in  $\mathscr{B}(\mathscr{H})$  satisfying (1.1) and

$$U_n^*\phi(A)U_n-\psi(A)\in\mathscr{K}(\mathscr{H})$$

for all  $n \ge 1$  and every  $A \in \mathscr{A}$ . If  $\mathscr{A}$  is a non-unital C<sup>\*</sup>-algebra and  $\sigma : \mathscr{A} \to \mathscr{B}(\mathscr{H})$ is a \*-homomorphism, then let  $\mathscr{H}_1 = \cap \{\ker \sigma(A) : A \in \mathscr{A}\}$ . It follows the equality

 $\sigma = \mathbf{0} \oplus \sigma_1$ 

The first author was supported by Collaboration Grant from the Simons Foundation. The corresponding author Rui Shi was partly supported by NSFC (Grant No. 11871130) and the Fundamental Research Funds for the Central Universities in China (Grant No. DUT18LK23).



Mathematics subject classification (2010): Primary 47C15.

*Keywords and phrases*: Approximate equivalence, Weyl-von Neumann theorem, Voiculescu Theorem, semifinite von Neumann algebras.

relative to the direct sum  $\mathscr{H} = \mathscr{H}_1 \oplus \mathscr{H}_1^{\perp}$ . Thus  $\sigma_1$  is said to be the *nonzero part* of  $\sigma$ .

The following is the theorem that Voiculescu proved in [13].

THEOREM 1.1. Suppose  $\mathscr{A}$  is a separable unital C\*-algebra,  $\mathscr{H}$  is a separable Hilbert space and  $\phi, \psi : \mathscr{A} \to \mathscr{B}(\mathscr{H})$  are unital \*-homomorphisms. The following are equivalent:

- *1.*  $\phi \sim_a \psi$ .
- 2.  $\phi \sim_{\mathscr{A}} \psi \mod \mathscr{K}(\mathscr{H})$ .
- 3.  $\ker \phi = \ker \psi$ ,  $\phi^{-1}(\mathscr{K}(\mathscr{H})) = \psi^{-1}(\mathscr{K}(\mathscr{H}))$ , and the nonzero parts of the restrictions  $\phi|_{\phi^{-1}(\mathscr{K}(\mathscr{H}))}$  and  $\psi|_{\psi^{-1}(\mathscr{K}(\mathscr{H}))}$  are unitarily equivalent.

In [7], the first author gave a different characterization of approximate equivalence. For  $T \in \mathscr{B}(\mathscr{H})$ , we let rank (T) denote the Hilbert-space dimension of the closure of the range ran (T) of T.

In the same paper, the first author (Lemma 2.3 of [7]) proved an analogue for *approximate summands* as follows.

THEOREM 1.2. Suppose  $\mathscr{A}$  is a separable unital C\*-algebra,  $\mathscr{H}$  and  $\mathscr{K}$  are Hilbert spaces, and  $\phi : \mathscr{A} \to \mathscr{B}(\mathscr{H}), \ \psi : \mathscr{A} \to \mathscr{B}(\mathscr{K})$  are unital representations. The following are equivalent:

1. There is a representation  $\gamma: \mathscr{A} \to \mathscr{B}(\mathscr{K}_1)$  for some Hilbert space  $\mathscr{K}_1$  such that

$$\psi \oplus \gamma \sim_a \phi$$

2. For every  $A \in \mathscr{A}$ ,

$$\operatorname{rank}(\psi(A)) \leq \operatorname{rank}(\phi(A)).$$

In her 1994 doctoral dissertation (see also [6]), Huiru Ding extended some of these results to the case in which  $\mathcal{B}(\mathcal{H})$  is replaced by a von Neumann algebra. The following are some terms adopted in this paper.

Suppose  $\mathscr{R}$  is a von Neumann algebra and  $T \in \mathscr{R}$ . We define the  $\mathscr{R}$ -rank of T (denoted by  $\mathscr{R}$ -rank(T)) to be the *Murray-von Neumann equivalence class* of the projection onto the closure of ran(T). Suppose that  $\mathscr{A}$  is a unital C\*-algebra. Let  $\phi$  and  $\psi$  be unital \*-homomorphisms of  $\mathscr{A}$  into  $\mathscr{R}$ . Then, the homomorphisms  $\phi$  and  $\psi$  are said to be *approximately equivalent in*  $\mathscr{R}$ , denoted by  $\phi \sim_a \psi$  in  $\mathscr{R}$ , if there is a net  $\{U_\lambda\}_{\lambda \in \Lambda}$  of unitary operators in  $\mathscr{R}$  such that, for every  $A \in \mathscr{A}$ ,

$$\lim_{\lambda \in \Lambda} \left\| U_{\lambda}^{*} \phi(A) U_{\lambda} - \psi(A) \right\| = 0.$$

THEOREM 1.3. (Corollary 3 of [6]) Suppose that  $\mathscr{A}$  is a unital C\*-algebra which is a direct limit of finite direct sums of commutative C\*-algebras tensored with matrix algebras. Let  $\phi$  and  $\psi$  be unital \*-homomorphisms of  $\mathscr{A}$  into  $\mathscr{R}$ , a von Neumann algebra acting on a separable Hilbert space, then the following are equivalent:

- 1.  $\phi \sim_a \psi$  in  $\mathscr{R}$ .
- 2. For every  $A \in \mathscr{A}$ ,

 $\mathscr{R}$ -rank $(\phi(A)) = \mathscr{R}$ -rank $(\psi(A))$ .

In the setting of von Neumann algebras, the compact ideal  $\mathscr{K}(\mathscr{H})$  of  $\mathscr{B}(\mathscr{H})$  can be extended in the following way.

In the current paper, we let  $\mathscr{R}$  be a countably decomposable, properly infinite von Neumann algebra with a faithful normal semifinite tracial weight  $\tau$ . Let

$$\mathcal{PF}(\mathcal{R},\tau) = \{P : P = P^* = P^2 \in \mathcal{R} \text{ and } \tau(P) < \infty\},\\ \mathcal{F}(\mathcal{R},\tau) = \{XPY : P \in \mathcal{PF}(\mathcal{R},\tau) \text{ and } X, Y \in \mathcal{R}\},\\ \mathcal{K}(\mathcal{R},\tau) = \|\cdot\|\text{-norm closure of } \mathcal{F}(\mathcal{R},\tau) \text{ in } \mathcal{R}, \end{cases}$$
(1.2)

be the sets of finite rank projections, finite rank operators, and compact operators in  $(\mathcal{R}, \tau)$ , respectively.

For a von Neumann algebra  $\mathscr{R}$ , denoted by  $\mathscr{K}(\mathscr{R})$  the  $\|\cdot\|$ -norm closed ideal generated by finite projections in  $\mathscr{R}$ . In general,  $\mathscr{K}(\mathscr{R}, \tau)$  is a subset of  $\mathscr{K}(\mathscr{R})$ . That is because a finite projection might not be a finite rank projection with respect to  $\tau$ . However, if  $\mathscr{R}$  is a countably decomposable semifinite factor, then Proposition 8.5.2 of [9] entails that

$$\mathscr{K}(\mathscr{R}, \tau) = \mathscr{K}(\mathscr{R})$$

for a faithful, normal, semifinite tracial weight  $\tau$ .

To extend the definition of approximate equivalence of two unital \*-homomorphisms of a separable C\*-algebra  $\mathscr{A}$  into  $\mathscr{R}$  (*relative to*  $\mathscr{K}(\mathscr{R},\tau)$ ), we need to develop the following notation and definitions.

Let  $\mathscr{H}$  be an infinite dimensional separable Hilbert space and let  $\mathscr{B}(\mathscr{H})$  be the set of bounded linear operators on  $\mathscr{H}$ . Suppose that  $\{E_{i,j}\}_{i,j=1}^{\infty}$  is a system of matrix units of  $\mathscr{B}(\mathscr{H})$ .

For a countably decomposable, properly infinite von Neumann algebra  $\mathscr{R}$  with a faithful normal semifinite tracial weight  $\tau$ , there exists a sequence  $\{V_i\}_{i=1}^{\infty}$  of partial isometries in  $\mathscr{R}$  such that

$$V_i V_i^* = I_{\mathscr{R}}, \quad \sum_{i=1}^{\infty} V_i^* V_i = I_{\mathscr{R}}, \quad \text{and } V_j V_i^* = 0 \text{ when } i \neq j.$$

Let  $\mathscr{R}\otimes\mathscr{B}(\mathscr{H})$  be a von Neumann algebra tensor product of  $\mathscr{R}$  and  $\mathscr{B}(\mathscr{H})$ .

DEFINITION 1.4. For all  $X \in \mathscr{R}$  and all  $\sum_{i,j=1}^{\infty} X_{i,j} \otimes E_{i,j} \in \mathscr{R} \otimes \mathscr{B}(\mathscr{H})$ , define

$$\phi: \mathscr{R} \to \mathscr{R} \otimes \mathscr{B}(\mathscr{H}) \quad \text{and} \quad \psi: \mathscr{R} \otimes \mathscr{B}(\mathscr{H}) \to \mathscr{R}$$

by

$$\phi(X) = \sum_{i,j=1}^{\infty} (V_i X V_j^*) \otimes E_{i,j} \quad \text{and} \quad \psi(\sum_{i,j=1}^{\infty} X_{i,j} \otimes E_{i,j}) = \sum_{i,j=1}^{\infty} V_i^* X_{i,j} V_j.$$

By Lemma 2.2.2 of [10], both  $\phi$  and  $\psi$  are normal \*-homomorphisms satisfying

$$\psi \circ \phi = id_{\mathscr{R}}$$
 and  $\phi \circ \psi = id_{\mathscr{R} \otimes \mathscr{B}(\mathscr{H})}.$ 

DEFINITION 1.5. Define a mapping  $\tilde{\tau} : (\mathscr{R} \otimes \mathscr{B}(\mathscr{H}))^+ \to [0,\infty]$  to be

$$ilde{ au}(y) = au(\psi(y)), \qquad orall y \in (\mathscr{R} \otimes \mathscr{B}(\mathscr{H}))^+.$$

By the above Definition, the following are proved in Lemma 2.2.4 of [10]:

(i)  $\tilde{\tau}$  is a faithful, normal, semifinite tracial weight of  $\mathscr{R} \otimes \mathscr{B}(\mathscr{H})$ .

(ii) 
$$\tilde{\tau}(\sum_{i,j=1}^{\infty} X_{i,j} \otimes E_{i,j}) = \sum_{i=1}^{\infty} \tau(X_{i,i}) \text{ for all } \sum_{i,j=1}^{\infty} X_{i,j} \otimes E_{i,j} \in (\mathscr{R} \otimes \mathscr{B}(\mathscr{H}))^+.$$
  
(iii)  
 $\mathscr{PF}(\mathscr{R} \otimes \mathscr{B}(\mathscr{H}), \tilde{\tau}) = \phi(\mathscr{PF}(\mathscr{R}, \tau)),$   
 $\widetilde{\tau}(\mathscr{R} \otimes \mathscr{P}(\mathscr{H}), \tilde{\tau}) = \psi(\mathscr{PF}(\mathscr{R}, \tau)),$ 

$$\begin{split} \mathscr{F}(\mathscr{R}\otimes\mathscr{B}(\mathscr{H}), ilde{ au}) &= \phi(\mathscr{F}(\mathscr{R}, au)), \ \mathscr{K}(\mathscr{R}\otimes\mathscr{B}(\mathscr{H}), ilde{ au}) &= \phi(\mathscr{K}(\mathscr{R}, au)). \end{split}$$

REMARK 1.6. It shows that  $\tilde{\tau}$  is a natural extension of  $\tau$  from  $\mathscr{R}$  to  $\mathscr{R} \otimes \mathscr{B}(\mathscr{H})$ . If no confusion arises,  $\tilde{\tau}$  will be also denoted by  $\tau$ . By Proposition 2.2.9 of [10], the ideal  $\mathscr{K}(\mathscr{R} \otimes \mathscr{B}(\mathscr{H}), \tilde{\tau})$  is independent of the choice of the system of matrix units  $\{E_{i,j}\}_{i,i=1}^{\infty}$  of  $\mathscr{B}(\mathscr{H})$  and the choice of the family  $\{V_i\}_{i=1}^{\infty}$  of partial isometries in  $\mathscr{R}$ .

Now we are ready to introduce the definition of approximate equivalence of \*-homomorphisms of a separable C<sup>\*</sup>-algebra into  $\mathscr{R}$  relative to  $\mathscr{K}(\mathscr{R}, \tau)$ .

Let  $\mathscr{A}$  be a separable C<sup>\*</sup>-subalgebra of  $\mathscr{R}$  with an identity  $I_{\mathscr{A}}$ . Suppose that  $\psi$  is a positive mapping from  $\mathscr{A}$  into  $\mathscr{R}$  such that  $\psi(I_{\mathscr{A}})$  is a projection in  $\mathscr{R}$ . Then for all  $0 \leq X \in \mathscr{A}$ , we have  $0 \leq \psi(X) \leq ||X|| \psi(I_{\mathscr{A}})$ . Therefore, it follows that

$$\psi(X)\psi(I_{\mathscr{A}}) = \psi(I_{\mathscr{A}})\psi(X) = \psi(X)$$

for all positive  $X \in \mathscr{A}$ . In other words,  $\psi(I_{\mathscr{A}})$  can be viewed as an identity of  $\psi(\mathscr{A})$ . Or,  $\psi(\mathscr{A}) \subseteq \psi(I_{\mathscr{A}}) \mathscr{R} \psi(I_{\mathscr{A}})$ .

DEFINITION 1.7. (Definition 2.3.1 of [10]) Suppose  $\{E_{i,j}\}_{i,j\geq 1}$  is a system of matrix units of  $\mathscr{B}(\mathscr{H})$ . Let  $M, N \in \mathbb{N} \cup \{\infty\}$ . Suppose that  $\psi_1, \ldots, \psi_M$  and  $\phi_1, \ldots, \phi_N$  are positive mappings from  $\mathscr{A}$  into  $\mathscr{R}$  such that  $\psi_1(I_{\mathscr{A}}), \ldots, \psi_M(I_{\mathscr{A}}), \phi_1(I_{\mathscr{A}}), \ldots, \phi_N(I_{\mathscr{A}})$  are projections in  $\mathscr{R}$ .

(a) Let  $\mathscr{F} \subseteq \mathscr{A}$  be a finite subset and  $\varepsilon > 0$ . Say  $\psi_1 \oplus \cdots \oplus \psi_M$  is  $(\mathscr{F}, \varepsilon)$ -stronglyapproximately-unitarily-equivalent to  $\phi_1 \oplus \cdots \oplus \phi_N$  over  $\mathscr{A}$ , denoted by

$$\psi_1 \oplus \psi_2 \oplus \cdots \oplus \psi_M \sim_{\mathscr{A}}^{(\mathscr{F}, \mathcal{E})} \phi_1 \oplus \phi_2 \oplus \cdots \oplus \phi_N, \qquad \operatorname{mod} \mathscr{K}(\mathscr{R}, \tau)$$

if there exists a partial isometry V in  $\mathscr{R} \otimes \mathscr{B}(\mathscr{H})$  such that

(i) 
$$V^*V = \sum_{i=1}^{M} \psi_i(I_{\mathscr{A}}) \otimes E_{i,i}$$
 and  $VV^* = \sum_{i=1}^{N} \phi_i(I_{\mathscr{A}}) \otimes E_{i,i}$ ;  
(ii)  $\sum_{\substack{i=1\\\mathscr{A};}}^{M} \psi_i(X) \otimes E_{i,i} - V^* \left(\sum_{i=1}^{N} \phi_i(X) \otimes E_{i,i}\right) V \in \mathscr{K}(\mathscr{R} \otimes \mathscr{B}(\mathscr{H}), \tau)$  for all  $X \in \mathscr{A}$ ;  
(iii)  $\|\sum_{i=1}^{M} \psi_i(X) \otimes E_{i,i} - V^* \left(\sum_{i=1}^{N} \phi_i(X) \otimes E_{i,i}\right) V\| < \varepsilon$  for all  $X \in \mathscr{F}$ .

(b) Say  $\psi_1 \oplus \cdots \oplus \psi_M$  is strongly-approximately-unitarily-equivalent to  $\phi_1 \oplus \cdots \oplus \phi_N$  over  $\mathscr{A}$ , denoted by

$$\psi_1 \oplus \psi_2 \oplus \cdots \oplus \psi_M \sim_{\mathscr{A}} \phi_1 \oplus \phi_2 \oplus \cdots \oplus \phi_N, \qquad \text{mod } \mathscr{K}(\mathscr{R}, \tau)$$

if, for any finite subset  $\mathscr{F} \subseteq \mathscr{A}$  and  $\varepsilon > 0$ ,

$$\psi_1 \oplus \psi_2 \oplus \cdots \oplus \psi_M \sim_{\mathscr{A}}^{(\mathscr{F},\varepsilon)} \phi_1 \oplus \phi_2 \oplus \cdots \oplus \phi_N, \qquad \mod \mathscr{K}(\mathscr{R},\tau).$$

In this paper we address the question of approximate summands and "compact" operators for semifinite von Neumann algebras  $\mathscr{R}$  and commutative separable C\*-algebras  $\mathscr{A}$ . In Section 2, relative to finite von Neumann algebras, we characterize the approximate summands of \*-homomorphisms by virtue of a natural condition. Precisely, we prove the following theorem.

THEOREM 2.2. Suppose  $\mathscr{A}$  is a separable unital commutative C\*-algebra and  $\mathscr{R}$  is a finite von Neumann algebra acting on a separable Hilbert space  $\mathscr{H}$ . Suppose P is a projection in  $\mathscr{R}$ ,  $\pi : \mathscr{A} \to \mathscr{R}$  is a unital \*-homomorphism and  $\rho : \mathscr{A} \to P\mathscr{R}P$  is a unital \*-homomorphism such that, for every  $X \in \mathscr{A}$ , we have

$$\mathscr{R}$$
-rank $(\rho(X)) \leq \mathscr{R}$ -rank $(\pi(X))$ .

Then there is a unital \*-homomorphism  $\gamma: \mathscr{A} \to P^{\perp} \mathscr{R} P^{\perp}$  such that

$$\gamma \oplus \rho \sim_a \pi$$
 in  $\mathscr{R}$ .

In Section 3, for two \*-homomorphisms  $\phi$  and  $\psi$  of a commutative  $C^*$ -algebra into a semifinite von Neumann factor  $\mathscr{R}$  with a faithful normal semifinite tracial weight  $\tau$ , the main theorem states that the approximately unitary equivalence of  $\phi$  and  $\psi$  implies that these two \*-homomorphisms are strongly-approximately-unitarily-equivalent over  $\mathscr{A}$  (defined as in Definition 1.7). Precisely, we obtian the following theorem.

THEOREM 3.3. Let X be a compact metric space. Suppose that  $\phi$  and  $\psi$  are two unital \*-homomorphisms of C(X) into a countably decomposable, properly infinite, semifinite factor  $\mathscr{R}$  with a faithful normal semifinite tracial weight  $\tau$  acting on a separable Hilbert space  $\mathscr{H}$ . Then the following are equivalent:

- 1.  $\phi \sim_a \psi$  in  $\mathscr{R}$ ,
- 2.  $\phi \sim_{C(X)} \psi$ , mod  $\mathscr{K}(\mathscr{R}, \tau)$ .

### 2. Representations relative to finite von Neumann algebras

THEOREM 2.1. Suppose  $\mathscr{A}$  is a separable unital commutative C\*-algebra and  $\mathscr{R}$  is a type II<sub>1</sub> factor with a faithful normal normalized trace  $\tau$ , acting on a separable Hilbert space  $\mathscr{H}$ . Suppose P is a projection in  $\mathscr{R}$ ,  $\pi : \mathscr{A} \to \mathscr{R}$  is a unital \*-homomorphism and  $\rho : \mathscr{A} \to \mathcal{P}\mathscr{R}P$  is a unital \*-homomorphism such that, for every  $X \in \mathscr{A}$ , we have

 $\mathscr{R}$ -rank $(\rho(X)) \leq \mathscr{R}$ -rank $(\pi(X))$ .

Then there is a unital \*-homomorphism  $\gamma : \mathscr{A} \to P^{\perp} \mathscr{R} P^{\perp}$  such that

$$\gamma \oplus \rho \sim_a \pi$$
 in  $\mathscr{R}$ .

*Proof.* It follows from Lemma 2.2 of [12] that  $\pi$  and  $\rho$  can be extended to normal unital \*-homomorphisms with domain, the second dual  $\mathscr{A}^{\#\#}$  of  $\mathscr{A}$ , so that

$$\mathscr{R}$$
-rank $(\rho(X)) \leq \mathscr{R}$ -rank $(\pi(X))$ 

holds for all  $X \in \mathscr{A}^{\#\#}$ . Since  $\mathscr{A}$  is separable, we can choose a countable family  $\{Q_1, Q_2, \ldots\}$  of projections in  $\mathscr{A}^{\#\#}$  such that

$$\mathscr{A} \subseteq C^*(Q_1, Q_2, \ldots).$$

However, if we let  $A = \sum_{k=1}^{\infty} 3^{-k}Q_k$ , then  $C^*(A) = C^*(Q_1, Q_2, ...)$ . It is also true that, for every  $X \in C^*(A)$ ,

$$\mathscr{R}$$
-rank  $(\rho(X)) \leq \mathscr{R}$ -rank  $(\pi(X))$ .

It is easily seen that if we prove the theorem for the restrictions of  $\pi$  and  $\rho$  to  $C^*(A)$ , we will have proved the theorem for  $\pi$  and  $\rho$  on  $\mathscr{A}$ . Hence, we can assume that  $\mathscr{A} = C^*(A)$  and  $0 \leq A \leq 1$ .

Let  $S = \rho(A) \in P \mathscr{R} P$  and  $T = \pi(A) \in \mathscr{R}$ . Thus the following inequality

$$\mathscr{R}$$
-rank $(f(S)P) \leq \mathscr{R}$ -rank $(f(T))$ 

holds for every  $f \in C(\sigma(A))$ . This leads to the inequality

$$\tau(f(S)P) \leqslant \tau(f(T))$$

for every  $f \in C(\sigma(A))_+$ . The Riesz representation theorem implies that there exist two regular Borel measures  $\mu_{\rho}$  and  $\mu_{\pi}$  on  $\sigma(A)$  such that the inequality

$$\tau(f(S)P) = \int_{\sigma(A)} f d\mu_{\rho} \leqslant \int_{\sigma(A)} f d\mu_{\pi} = \tau(f(T))$$

holds for every  $f \in C(\sigma(A))$ . It follows from Lusin's theorem that the preceding line holds for every bounded Borel measurable function  $f : \sigma(A) \to \mathbb{C}$ . Hence  $\mu_{\rho} \leq \mu_{\pi}$ and, for every  $z \in \sigma(A)$ , we have  $\tau(\chi_{\{z\}}(S)) \leq \tau(\chi_{\{z\}}(T))$ . Since  $\tau$  is faithful, the set  $L_S$  of  $z \in \sigma(S)$  satisfying  $\chi_{\{z\}}(S) \neq 0$  is countable. Hence  $\sum_{z \in L_S} z \chi_{\{z\}}(S)$  is a direct summand of S and  $\sum_{z \in L_S} z \chi_{\{z\}}(T)$  is a summand of T.

Since, for each  $z \in L_S$ , the projection  $\chi_{\{z\}}(S)$  is unitarily equivalent to a subprojection of  $\chi_{\{z\}}(T)$ , without loss of generality,  $\sum_{z \in L_S} z \chi_{\{z\}}(S)$  can be assumed to be a direct summand of T. Thus this summand can be removed from both S and T. Therefore, it can be assumed that S has no eigenvalues.

By the same way, the set  $L_T = \{z \in \sigma(T) : \chi_{\{z\}}(T) \neq 0\}$  is countable. Hence  $S\chi_{L_T}(S) = 0$ . Therefore, for every bounded nonnegative measurable function  $f : \mathbb{R} \to \mathbb{R}$ , we have

$$\tau(f(S)P) = \tau\left(\left(\chi_{\mathbb{C}\backslash L_T}f\right)(S)P\right) \leqslant \tau\left(\chi_{\mathbb{C}\backslash L_T}(T)f(T)\right)d\mu_{\pi}.$$

This yields that T can be replaced with  $T(1 - \chi_{\mathbb{C} \setminus L_T}(T))$  and  $\mathscr{R}$  can be replaced with

$$(1 - \chi_{\mathbb{C}\setminus L_T}(T)) \mathscr{R}(1 - \chi_{\mathbb{C}\setminus L_T}(T)).$$

Hence we can assume that  $\chi_{L_T}(T) = 0$ .

Similarly, since the equality

$$f(S) = \left(\chi_{\sigma(S)}f\right)(S)$$

holds for every bounded measurable function f, the operator T can be replaced with  $\chi_{\sigma(S)}(T)T$ . Hence we can assume that  $\sigma(S) = \sigma(T) = \sigma(A)$ . Thus  $\mu_{\rho} \leq \mu_{\pi}$  are both non-atomic measures with supports satisfying  $\sigma(S) = \sigma(T) = \sigma(A)$ . Moreover, we have the equalities

$$\mu_{\rho}(\sigma(A)) = \tau(P)$$
 and  $\mu_{\pi}(\sigma(A)) = 1$ .

It follows that  $v = \mu_{\pi} - \mu_{\rho}$  is a nonatomic measure and  $v(\sigma(A)) = 1 - \tau(P)$ . Thus there is a unital weak\*-continuous \*-isomorphism  $\Delta_S : L^{\infty}[0, \tau(P)] \to L^{\infty}(\mu_{\rho})$  such that for every  $f \in L^{\infty}[0, \tau(P)]$ ,

$$\int_{\sigma(A)} \Delta_S(f) d\mu_{\rho} = \int_0^{\tau(P)} f(x) dx.$$

Similarly, there is an isomorphism  $\Delta_{v}: L^{\infty}[\tau(P), 1] \to L^{\infty}(v)$  such that the equality

$$\int_{\sigma(A)} \Delta_{\nu}(f) \, d\nu = \int_{\tau(P)}^{1} f(x) \, dx.$$

holds for every  $f \in L^{\infty}[\tau(P), 1]$ .

Moreover, we can choose a maximal chain  $\mathscr{C} = \{Q_t : 0 \leq t \leq 1 - \tau(P)\}$  of projections in  $P^{\perp} \mathscr{R} P^{\perp}$  with  $\tau(Q_t) = t$  for  $0 \leq t \leq 1 - \tau(P)$ . Thus there exists a weak\*-continuous unital \*-homomorphism  $\Delta_1 : L^{\infty}[\tau(P), 1] \to W^*(\mathscr{C})$  such that, for every  $t \in [0, 1 - \tau(P)]$ , we have  $\Delta_1(\chi_{[\tau(P), \tau(P)+t)}) = Q_t$ , and such that, for every  $f \in L^{\infty}[\tau(P), 1]$  we have

$$\tau\left(\Delta_{1}\left(f\right)\right) = \int_{\tau(P)}^{1} f\left(x\right) dx$$

Define  $\Delta : C(\sigma(A)) \to P\mathscr{R}P + P^{\perp}\mathscr{R}P^{\perp} \subset \mathscr{R}$  by

$$\Delta(h) = h(S) \oplus \left(\Delta_1 \circ \Delta_{\mathcal{V}}^{-1}\right)(h).$$

If  $z(\lambda) = \lambda$  is the identity map on  $\sigma(A)$ , then  $\Delta(z) = S \oplus B$  and

$$\begin{aligned} \tau(\Delta(h)) &= \tau(h(S)) + \tau(\Delta_1(\Delta_{\nu}^{-1}(h))) \\ &= \int_{\sigma(A)} h d\mu_{\rho} + \int_{\tau(P)}^{1} \Delta_{\nu}^{-1}(h)(x) dx \\ &= \int_{\sigma(A)} h d\mu_{\rho} + \int_{\sigma(A)} h d\nu = \int_{\sigma(A)} h d\mu_{\pi} = \tau(h(T)). \end{aligned}$$

Hence for every  $h \in C(\sigma(A))$ , we have  $\tau(h(S \oplus B)) = \tau(h(T))$ . Define a unital \*homomorphism  $\gamma : C(\sigma(A)) \to P^{\perp} \mathscr{R} P^{\perp}$  by

$$\gamma(h) = P^{\perp}h(B).$$

By Theorem 1.3, the above equality yields that  $\rho \oplus \gamma \sim_a \pi$  in  $\mathscr{R}$ . This completes the proof.  $\Box$ 

THEOREM 2.2. Suppose  $\mathscr{A}$  is a separable unital commutative C\*-algebra and  $\mathscr{R}$  is a finite von Neumann algebra acting on a separable Hilbert space  $\mathscr{H}$ . Suppose P is a projection in  $\mathscr{R}$ ,  $\pi : \mathscr{A} \to \mathscr{R}$  is a unital \*-homomorphism and  $\rho : \mathscr{A} \to P\mathscr{R}P$  is a unital \*-homomorphism such that, for every  $X \in \mathscr{A}$ , we have

$$\mathscr{R}$$
-rank $(\rho(X)) \leq \mathscr{R}$ -rank $(\pi(X))$ .

Then there is a unital \*-homomorphism  $\gamma : \mathscr{A} \to P^{\perp} \mathscr{R} P^{\perp}$  such that

 $\gamma \oplus \rho \sim_a \pi$  in  $\mathscr{R}$ .

*Proof.* First, we suppose  $\mathscr{R}$  is a II<sub>1</sub> von Neumann algebra acting on a separable Hilbert space  $\mathscr{H}$ . By applying the central decomposition technique of von Neumann algebras, we can then write

$$\mathscr{H} = L^{2}(\mu, \ell^{2}) = \int_{\Omega}^{\oplus} \ell^{2} d\mu(\omega) \text{ and } \mathscr{R} = \int_{\Omega}^{\oplus} \mathscr{R}_{\omega} d\mu(\omega),$$

where  $(\Omega, \mu)$  is a probability space and each  $\mathscr{R}_{\omega}$  is a II<sub>1</sub> factor with a unique trace  $\tau_{\omega}$ . Furthermore, a faithful normal tracial state  $\tau$  on  $\mathscr{R}$  can be defined in the following form

$$\tau\left(\int_{\Omega}^{\oplus} A(\omega) d\mu(\omega)\right) = \int_{\Omega} \tau_{\omega}(A(\omega)) d\mu(\omega).$$

Similarly, the projection  $P \in \mathscr{R}$  can be written in the form

$$P = \int_{\Omega}^{\oplus} P(\omega) d\mu(\omega),$$

where  $P(\omega)$  is a projection in  $\mathscr{R}_{\omega}$  a.e.  $(\mu)$ . Thus  $P\mathscr{R}P$  can be written in the form

$$P\mathscr{R}P = \int_{\Omega}^{\oplus} P(\omega) \mathscr{R}_{\omega} P(\omega) d\mu(\omega).$$

By Theorem 2.1, we can assume that  $\mathscr{A} = C^*(A)$  and  $0 \le A \le 1$ . Thus, for the identity map  $z(\lambda) = \lambda$  on  $\sigma(A)$ , suppose that  $\pi(z) = T$  and  $\rho(z) = S \in P \mathscr{R} P$ . Then we can write

$$T = \int_{\Omega}^{\oplus} T(\omega) d\mu(\omega)$$

and

$$S = PSP = \int_{\Omega}^{\oplus} S(\omega) d\mu(\omega) = \int_{\Omega}^{\oplus} P(\omega) S(\omega) P(\omega) d\mu(\omega)$$

It follows that, for every  $f \in C(\sigma(A))$ ,

$$\pi(f) = f(T) = \int_{\Omega}^{\oplus} f(T(\omega)) d\mu(\omega) = \int_{\Omega}^{\oplus} \pi_{\omega}(f) d\mu(\omega).$$

If f is in  $C(\sigma(A))$  and  $Q_{f(T)}$  is the projection onto the closure of the range of f(T), then

$$Q_{f(T)} = \int_{\Omega}^{\oplus} Q_{f(T(\omega))} d\mu(\omega)$$

Similarly, if  $Q_{f(S)P}$  is the range projection of f(S)P, then

$$Q_{f(S)P} = \int_{\Omega}^{\oplus} Q_{f(S(\omega))P(\omega)} d\mu(\omega).$$

If  $\mathscr{R}$ -rank $(f(S)P) \leq \mathscr{R}$ -rank(f(T)), then  $Q_{f(S)P}$  is Murray-von Neumann equivalent to a subprojection of  $Q_{f(T)}$ . Hence, for every central projection D, we have  $DQ_{f(S)P}$  is Murray-von Neumann equivalent to a subprojection of  $DQ_{f(T)}$ . Thus for every measurable subset  $E \subset \Omega$ ,

$$au\left(\chi_E Q_{f(S)P}
ight)\leqslant au\left(\chi_E Q_{f(T)}
ight),$$

which means that

$$\int_{E} \tau_{\omega} \left( Q_{f(S(\omega))P(\omega)} \right) d\mu \left( \omega \right) \leqslant \int_{E} \tau_{\omega} \left( Q_{f(T(\omega))} \right) d\mu \left( \omega \right).$$

This yields that

$$au_{\omega}\left(Q_{f(S(\omega))P(\omega)}
ight)\leqslant au_{\omega}\left(Q_{f(T(\omega))}
ight)$$
 a.e.  $(\mu)$ .

Since  $C(\sigma(A))$  is separable, we conclude that, except for a subset of  $\Omega$  of measure 0, for all  $f \in C(\sigma(A))$ ,

$$au_{\omega}\left(Q_{f(S(\omega))P(\omega)}
ight)\leqslant au_{\omega}\left(Q_{f(T(\omega))}
ight)$$

We can now use Theorem 2.1 and measurably choose  $B(\omega) = B(\omega)^* \in P(\omega)^{\perp} \mathscr{R}_{\omega} P(\omega)^{\perp}$ and define

$$\gamma_{\boldsymbol{\omega}}: C(A) \to P(\boldsymbol{\omega})^{\perp} \mathscr{R}_{\boldsymbol{\omega}} P(\boldsymbol{\omega})^{\perp} \quad \text{by} \quad \gamma_{\boldsymbol{\omega}}(f) = f(B(\boldsymbol{\omega})) P(\boldsymbol{\omega})^{\perp}$$

so that

$$\pi_{\omega} \sim_a \rho_{\omega} \oplus \gamma_{\omega}$$
 in  $\mathscr{R}_{\omega}$ .

It easily follows that if we define  $\gamma(f) = \int_{\Omega}^{\oplus} \gamma_{\omega}(f) d\mu(\omega)$ , then  $\pi \sim_a \rho \oplus \gamma$  in  $\mathscr{R}$ . This completes the proof.  $\Box$ 

## 3. Representations relative to semifinite infinite von Neumann algebras

As shown in the proof of Theorem 2.1, it is sufficient to replace a separable commutative C\*-algebra with some certain C(X) on a compact metric space X.

In the rest of this section, we assume that  $\mathscr{R}$  is a countably decomposable, properly infinite, semifinite von Neumann factor with a faithful, normal, semifinite tracial weight  $\tau$ . For an operator  $T \in \mathscr{R}$ , denote by R(T) the range projection onto the closure of the range of T. The following two lemmas are useful in the sequel.

LEMMA 3.1. For an operator A in  $\mathcal{R}$ , the following are equivalent:

- 1. A is in  $\mathscr{K}(\mathscr{R}, \tau)$ ;
- 2. |A| is in  $\mathscr{K}(\mathscr{R}, \tau)$ ;
- 3. for every  $\varepsilon > 0$ ,  $\tau(\chi_{[0,\varepsilon)}(|A|)) = \infty$  and  $\tau(\chi_{[\varepsilon,\infty)}(|A|)) < \infty$ ;
- 4. for every  $\varepsilon > 0$ ,  $\tau(\chi_{[0,\varepsilon]}(|A|)) = \infty$  and  $\tau(\chi_{(\varepsilon,\infty)}(|A|)) < \infty$ .

*Proof.* For an operator *A* in  $\mathscr{R}$ , Let A = V|A| be the polar decomposition of *A*. If *A* is in  $\mathscr{K}(\mathscr{R}, \tau)$ , then so is  $|A| = V^*A$ . On the other hand, if |A| is in  $\mathscr{K}(\mathscr{R}, \tau)$ , then so is A = V|A|. That  $(2) \Leftrightarrow (3)$  is equivalent to  $(2) \Leftrightarrow (4)$ . Thus, we only need to prove  $(2) \Leftrightarrow (3)$ . Suppose that |A| belongs to  $\mathscr{K}(\mathscr{R}, \tau)$  and  $\pi$  is the canonical \*-homomorphism of  $\mathscr{R}$  onto  $\mathscr{R}/\mathscr{K}(\mathscr{R}, \tau)$ . If  $\tau(\chi_{[0,\varepsilon)}(|A|)) < \infty$ , then  $\pi(\chi_{[0,\varepsilon)}(|A|)) = \pi(\chi_{[0,\varepsilon)}(|A|)|A|) = 0$ . It follows that

$$\pi(|A|) = \pi(\chi_{[0,\varepsilon)}(|A|) + \chi_{[\varepsilon,\infty)}(|A|)|A|).$$

Note that  $\chi_{[0,\varepsilon)}(|A|) + \chi_{[\varepsilon,\infty)}(|A|)|A|$  is invertible in  $\mathscr{R}$ , so  $\pi(|A|)$  is invertible in  $\mathscr{R}/\mathscr{K}(\mathscr{R},\tau)$ . This is a contradiction. By a similar method, if |A| belongs to  $\mathscr{K}(\mathscr{R},\tau)$ , then

$$\chi_{[\varepsilon,\infty)}(|A|) = \chi_{[\varepsilon,\infty)}(|A|)|A||A|^{-1}\chi_{[\varepsilon,\infty)}(|A|) \in \mathscr{K}(\mathscr{R},\tau).$$

If  $\chi_{[\varepsilon,\infty)}(|A|) \in \mathscr{K}(\mathscr{R},\tau)$ , then, for every  $n \in \mathbb{N}$ , there exists a positive operator  $A_n$  such that

- (i)  $\tau(R(A_n)) < \infty;$
- (ii)  $0 \leq A_n \leq \chi_{[\varepsilon,\infty)}(|A|);$
- (iii)  $\|\chi_{[\varepsilon,\infty)}(|A|) A_n\| < 1/n$ .

It is easy to obtain that  $0 \leq A_n \leq R(A_n) \leq \chi_{[\varepsilon,\infty)}(|A|)$ . Thus

$$\|\boldsymbol{\chi}_{[\boldsymbol{\varepsilon},\infty)}(|A|) - R(A_n)\| < 1/n.$$

A routine calculation shows that  $\chi_{[\varepsilon,\infty)}(|A|)$  is unitarily equivalent to  $R(A_n)$ . Therefore, we obtain that  $\tau(\chi_{[\varepsilon,\infty)}(|A|)) < \infty$  holds for every  $\varepsilon > 0$ . By the definition of  $\mathcal{K}(\mathcal{R},\tau)$ , we can prove  $(3) \Rightarrow (2)$ . This completes the proof.  $\Box$ 

LEMMA 3.2. Let X be a compact metric space. Suppose that  $\phi$  and  $\psi$  are two unital \*-homomorphisms of C(X) into a countably decomposable, properly infinite, semifinite factor  $\mathscr{R}$  with a faithful normal semifinite tracial weight  $\tau$  acting on a separable Hilbert space  $\mathscr{H}$ . If  $\phi \sim_a \psi$  in  $\mathscr{R}$ , then, for f in C(X),

$$\phi(f) \in \mathscr{K}(\mathscr{R}, \tau) \quad \Leftrightarrow \quad \psi(f) \in \mathscr{K}(\mathscr{R}, \tau).$$

*Proof.* First, we need to extend  $\phi$  and  $\psi$  to  $\hat{\phi}$  and  $\hat{\psi}$  as two normal unital \*homomorphisms of  $C(X)^{\#\#}$  into  $\mathscr{R}$ , respectively. Given any open subset  $\Delta$  of X, there exists a continuous function f such that

$$\begin{cases} 0 < f(x) \leq 1, & \text{if } x \in \Delta, \\ f(x) = 0, & \text{if } x \notin \Delta. \end{cases}$$

Thus, the increasing sequence  $\{f^{1/n}\}_{n\in\mathbb{N}}$  converges pointwise to  $\chi_{\Delta}$ . Furthermore, if  $\{f^{1/n}\}_{n\in\mathbb{N}}$  are viewed as elements in  $C(X)^{\#\#}$ , then  $f^{1/n}$  converges to  $\chi_{\Delta}$  in the weak\* topology. Since  $\phi(f^{1/n}) = \phi(f)^{1/n}$  and  $\{\phi(f)^{1/n}\}_{n\in\mathbb{N}}$  is a monotone increasing sequence of positive operators in  $\mathscr{R}$  with the upper bound  $I_{\mathscr{R}}$ . By applying Lemma 5.1.5 of [8],  $\phi(f)^{1/n}$  converges to the projection  $R(\phi(f))$  in the strong operator topology. Therefore,  $\phi$  can be extended to a unital normal \*-homomorphism  $\hat{\phi}$  of  $\mathscr{R}(X)$ , the \*-subalgebra of all the bounded Borel functions on X, into  $\mathscr{R}$  unambiguously such that  $\hat{\phi}(\chi_{\Delta}) = R(\phi(f))$ . For details, the reader is referred to Theorem 5.2.6 and Theorem 5.2.8 of [8].

By applying Lemma 3.1, it is sufficient to suppose that  $\phi(f)$  is a positive element in  $\mathscr{K}(\mathscr{R}, \tau)$ . Thus, for every  $\varepsilon > 0$ , we have  $\tau(\chi_{(\varepsilon,\infty)}(\phi(f))) < \infty$ . Note that there exists a continuous function *h* defined as

$$h(x) = \begin{cases} \frac{x - \varepsilon}{x}, & \varepsilon < x, \\ 0, & 0 \leqslant x \leqslant \varepsilon \end{cases}$$

such that

$$\chi_{(\varepsilon,\infty)}(\phi(f)) = \chi_{(\varepsilon,\infty)}(\hat{\phi}(f)) = \hat{\phi}(\chi_{(\varepsilon,\infty)}(f)) = \hat{\phi}(\chi_{(0,\infty)}(h \circ f)) = R(\phi(h \circ f)).$$

The same equality holds for  $\psi$  and  $\hat{\psi}$ . By applying Theorem 1.3, the relation  $\phi \sim_a \psi$  in  $\mathscr{R}$  yields the following equality

$$\tau(\boldsymbol{\chi}_{(\boldsymbol{\mathcal{E}},\infty)}(\boldsymbol{\phi}(f))) = \tau(R(\boldsymbol{\phi}(h \circ f))) = \tau(R(\boldsymbol{\psi}(h \circ f))) = \tau(\boldsymbol{\chi}_{(\boldsymbol{\mathcal{E}},\infty)}(\boldsymbol{\psi}(f))).$$

A similar argument ensures that

$$\tau(\boldsymbol{\chi}_{[0,\varepsilon)}(\boldsymbol{\phi}(f))) = \tau(\boldsymbol{\chi}_{[0,\varepsilon)}(\boldsymbol{\psi}(f))).$$

Therefore,  $\phi(f)$  in  $\mathscr{K}(\mathscr{R}, \tau)$  implies  $\psi(f)$  in  $\mathscr{K}(\mathscr{R}, \tau)$ , and vice versa.  $\Box$ 

Suppose that  $\phi$  and  $\psi$  as assumed are two unital \*-homomorphisms of C(X) into  $\mathscr{R}$ . Then, by Definition 1.7, the relation  $\phi \sim_{C(X)} \psi$ , mod  $\mathscr{K}(\mathscr{R}, \tau)$  implies that  $\phi \sim_a \psi$  in  $\mathscr{R}$ . In the rest of this section, we aim to prove the converse of this.

THEOREM 3.3. Let X be a compact metric space. Suppose that  $\phi$  and  $\psi$  are two unital \*-homomorphisms of C(X) into a countably decomposable, properly infinite, semifinite factor  $\mathscr{R}$  with a faithful normal semifinite tracial weight  $\tau$  acting on a separable Hilbert space  $\mathscr{H}$ . Then the following are equivalent:

```
1. \phi \sim_a \psi in \mathcal{R},
```

2.  $\phi \sim_{C(X)} \psi$ , mod  $\mathscr{K}(\mathscr{R}, \tau)$ .

*Proof.* Assume that  $\phi$  and  $\psi$  are approximately unitarily equivalent relative to  $\mathscr{R}$ . By applying Theorem 1.3, for every f in C(X), the equality

$$\mathscr{R}$$
-rank $(\phi(f)) = \mathscr{R}$ -rank $(\psi(f))$ 

holds and yields that  $\tau(R(\phi(f))) = \tau(R(\psi(f)))$ . Thus, the equality ker  $\phi = \ker \psi$  holds. This ensures that  $\psi \circ \phi^{-1}$  is a well-defined unital \*-isomorphism of  $\phi(C(X))$  onto  $\psi(C(X))$  and we denote this isomorphism by  $\rho$ . That is, for every *A* in  $\phi(C(X))$  and every *f* in C(X),

$$\rho(A) = \psi \circ \phi^{-1}(A), \quad \rho(\phi(f)) = \psi(f).$$

Therefore, the following two statements are equivalent

1.  $\phi \sim_{C(X)} \psi$ , mod  $\mathscr{K}(\mathscr{R}, \tau)$ ;

2. id  $\sim_{\phi(C(X))} \rho$ , mod  $\mathscr{K}(\mathscr{R}, \tau)$ , where id stands for the identity mapping.

In the following, we need to partition X into two parts in order to reduce the proof into two special cases. Then we assemble them to complete the proof.

By a routine computation, it is easy to verify that the set

$$\mathscr{I} = \{ f \in C(X) : \phi(f) \in \mathscr{K}(\mathscr{R}, \tau) \}$$

is a closed ideal in C(X). Note that, by Lemma 3.2, the equality

$$\phi(C(X)) \cap \mathscr{K}(\mathscr{R}, \tau) = \psi(C(X)) \cap \mathscr{K}(\mathscr{R}, \tau)$$

holds. This implies that the equality  $\mathscr{I} = \{f \in C(X) : \psi(f) \in \mathscr{K}(\mathscr{R}, \tau)\}$  also holds.

By applying Theorem 3.4.1 of [8], there exists a closed subset F of the compact metric space X such that

$$\mathscr{I} = \{ f \in C(X) : f(x) = 0, \forall x \in F \}.$$

As shown in Lemma 3.2, we denote by  $\hat{\phi}$  and  $\hat{\psi}$  the normal extensions of  $\mathscr{B}(X)$  into  $\mathscr{R}$  induced by  $\phi$  and  $\psi$ , respectively. Note that, for every f in C(X), the projections  $\hat{\phi}(\chi_F)$  and  $\hat{\psi}(\chi_F)$  reduce  $\phi(f)$  and  $\psi(f)$ , respectively.

To deal with one of the two special cases mentioned above, we adopt the classical method initiated by Voiculescu. That is, for every  $A \in \phi(C(X))$  and  $B \in \psi(C(X))$ , we can define representations  $\rho_e$  and  $\rho'_e$  as follows

$$\rho_e(A) \triangleq \psi \circ \phi^{-1}(A)|_{\operatorname{ran} \hat{\psi}(\chi_F)}, \quad \rho'_e(B) \triangleq \phi \circ \psi^{-1}(B)|_{\operatorname{ran} \hat{\phi}(\chi_F)}.$$

Note that

$$\rho_e(\phi(C(X)) \cap \mathscr{K}(\mathscr{R}, \tau)) = \rho'_e(\psi(C(X)) \cap \mathscr{K}(\mathscr{R}, \tau)) = 0.$$

By applying Theorem 5.3.1 of [10], we have

$$\operatorname{id}_{\phi(C(X))} \sim_{\phi(C(X))} \operatorname{id}_{\phi(C(X))} \oplus \rho_e, \quad \operatorname{mod} \mathscr{K}(\mathscr{R}, \tau),$$

$$\operatorname{id}_{\psi(C(X))} \sim_{\psi(C(X))} \operatorname{id}_{\psi(C(X))} \oplus \rho'_e, \quad \operatorname{mod} \mathscr{K}(\mathscr{R}, \tau).$$

Therefore, for every  $f \in C(X)$ , it follows that

$$\phi(f) \sim_{C(X)} \phi(f) \oplus (\psi(f)|_{\operatorname{ran} \hat{\psi}(\chi_F)}), \quad \operatorname{mod} \mathscr{K}(\mathscr{R}, \tau)$$
(3.1)

and

$$\psi(f) \sim_{C(X)} \psi(f) \oplus (\phi(f)|_{\operatorname{ran} \hat{\phi}(\chi_F)}), \quad \operatorname{mod} \mathscr{K}(\mathscr{R}, \tau).$$
(3.2)

Note that, for every  $f \in C(X)$ , the equalities

$$\phi(f) \oplus (\psi(f)|_{\operatorname{ran}} \hat{\psi}(\chi_F)) = (\phi(f)|_{\operatorname{ran}} \hat{\phi}(\chi(F))) \oplus (\phi(f)|_{\operatorname{ran}} \hat{\phi}(\chi_F)) \oplus (\psi(f)|_{\operatorname{ran}} \hat{\psi}(\chi_F))$$
(3.3)

and

$$\psi(f) \oplus (\phi(f)|_{\operatorname{ran}}_{\hat{\psi}(\chi_F)}) = (\psi(f)|_{\operatorname{ran}}_{\hat{\psi}(\chi(F))}) \oplus (\psi(f)|_{\operatorname{ran}}_{\hat{\psi}(\chi_F)}) \oplus (\phi(f)|_{\operatorname{ran}}_{\hat{\psi}(\chi_F)})$$
(3.4)

hold. Thus, the above relations from (3.1) to (3.4) imply that, to prove that

 $\phi \sim_{C(X)} \psi, \mod \mathscr{K}(\mathscr{R}, \tau),$ 

it is sufficient to prove that

$$\phi|_{\operatorname{ran}} \hat{\phi}(\chi_{(X-F)}) \sim_{C(X)} \psi|_{\operatorname{ran}} \hat{\psi}(\chi_{(X-F)}), \mod \mathscr{K}(\mathscr{R}, \tau).$$

And this is the other special case.

For every f in C(X), write

$$\phi_0(f) \triangleq \phi(f)|_{\operatorname{ran} \hat{\phi}(\chi_{(X-F)})}$$
 and  $\psi_0(f) \triangleq \psi(f)|_{\operatorname{ran} \hat{\psi}(\chi_{(X-F)})}$ .

Since X is a compact metric space and F is a closed subset of X, we can construct a continuous function h such that

$$h(x) = \operatorname{dist}(x, F), \quad \forall x \in X,$$

where dist(*x*, *F*) is the distance between *x* and *F*. This construction of *h* ensures that  $\phi(h)$  is bounded and belongs to  $\mathscr{K}(\mathscr{R}, \tau)$ . By applying Lemma 3.1, it follows that:

1. for every positive integer k, the projection  $\hat{\phi}(\chi_{(\frac{1}{2},\infty)}(h))$  is finite, i.e.,

$$\tau(\hat{\phi}(\chi_{\left(\frac{1}{k},\infty\right)}(h))) < \infty;$$

2. for every positive integer k,

$$\hat{\phi}(\chi_{\left(\frac{1}{k},\infty\right)}(h))\leqslant \hat{\phi}(\chi_{\left(\frac{1}{k+1},\infty\right)}(h));$$

3. as *k* goes to infinity, the projection  $\hat{\phi}(\chi_{(\frac{1}{k},\infty)}(h))$  converges to  $\hat{\phi}(\chi_{(X-F)})$  in the strong operator topology.

For a fixed  $\delta > 0$ , define a closed subset  $\Delta$  of *X* by

$$\Delta \triangleq \{x \in X : \operatorname{dist}(x, F) = \delta\}$$

Then  $\hat{\phi}(\chi_{\Delta})$  is a sub-projection of certain  $\hat{\phi}(\chi_{(\frac{1}{k},\infty)}(h))$ . Therefore, there exist at most countably many such  $\hat{\phi}(\chi_{\Delta})$  satisfying  $\tau(\hat{\phi}(\chi_{\Delta})) > 0$ . This implies that there exists a decreasing sequence  $\{\alpha_k\}_{k=1}^{\infty}$  in the unit interval converging to 0 such that

$$\hat{\phi}(\boldsymbol{\chi}_{(\alpha_{k+1},\alpha_k)}(h)) = \hat{\phi}(\boldsymbol{\chi}_{(\alpha_{k+1},\alpha_k]}(h)) = \hat{\phi}(\boldsymbol{\chi}_{[\alpha_{k+1},\alpha_k]}(h)).$$
(3.5)

Write  $\alpha_0 = +\infty$ . For every *k* in  $\mathbb{N}$ ,

$$\tau(\hat{\phi}(\chi_{(\alpha_{k+1},\alpha_k]}(h))) < \infty.$$

Note that, for every  $k \ge 1$ ,  $\Delta_k \triangleq \{x \in X : \alpha_k < \operatorname{dist}(x, F) < \alpha_{k-1}\}$  is open in *X*. Thus, there exists a positive continuous function  $h_k$  satisfying

- 1.  $0 \leq h_k \leq 1$ ,  $\forall k \geq 1$ ;
- 2.  $h_k(x) > 0, \forall x \in \Delta_k;$

3. 
$$h_k(x) = 0, \forall x \in X \setminus \Delta_k;$$

4.  $R(\phi(h_k)) = \hat{\phi}(\chi_{(\alpha_k,\alpha_{k-1})}(h)).$ 

Since  $\tau(R(\phi(h_k))) = \tau(R(\psi(h_k))) < \infty$ , the reduced von Neumann algebras

$$\mathcal{N}_{k} = \hat{\phi}(\boldsymbol{\chi}_{(\alpha_{k},\alpha_{k-1}]}(h))\mathscr{R}\hat{\phi}(\boldsymbol{\chi}_{(\alpha_{k},\alpha_{k-1}]}(h))$$

and

$$\mathscr{M}_{k} = \hat{\psi}(\boldsymbol{\chi}_{(\alpha_{k},\alpha_{k-1}]}(h))\mathscr{R}\hat{\psi}(\boldsymbol{\chi}_{(\alpha_{k},\alpha_{k-1}]}(h))$$

are both type  $II_1$  factors.

Furthermore, for every f in C(X) and  $k \ge 1$ , define two \*-homomorphisms  $\phi_k$  and  $\psi_k$  of C(X) into  $\mathscr{R}$  by

$$\phi_k(f) = \hat{\phi}(\boldsymbol{\chi}_{(\alpha_k,\alpha_{k-1}]}(h)f), \quad \boldsymbol{\psi}_k(f) = \hat{\boldsymbol{\psi}}(\boldsymbol{\chi}_{(\alpha_k,\alpha_{k-1}]}(h)f)$$

belonging to  $\mathcal{N}_k$  and  $\mathcal{M}_k$ , respectively.

Note that the equality  $\tau(R(\phi(h_k f))) = \tau(R(\psi(h_k f)))$  implies

$$\tau(R(\phi_k(f))) = \tau(R(\hat{\phi}(\chi_{(\alpha_{k+1},\alpha_k]}(h)f))) = \tau(R(\hat{\psi}(\chi_{(\alpha_{k+1},\alpha_k]}(h)f))) = \tau(R(\psi_k(f))).$$
(3.6)

Therefore, by applying (3.6) and Theorem 1.3, for all  $k \ge 1$ , it follows the relation

$$\phi_k \sim_{C(X)} \psi_k, \quad \mod \mathscr{K}(\mathscr{R}, \tau).$$
 (3.7)

Since X is a compact matric space, there exists a sequence  $\mathscr{B} = \{f_i\}_{i \in \mathbb{N}}$  dense in C(X). By applying (3.7), there exists a sequence  $\{V_{mk}\}_{m,k=1}^{\infty}$  of unitary operators from  $\mathscr{M}_k$  to  $\mathscr{N}_k$  such that

$$\|V_{mk}^*\phi_k(f_i)V_{mk}-\psi_k(f_i)\|<\frac{1}{2^m}\cdot\frac{1}{2^k},\quad 1\leqslant i\leqslant m+k.$$

Define a partial isometry  $V_m$  by  $V_m \triangleq \bigoplus_{k=1}^{\infty} V_{mk}$ . Then, it follows that

(a) for every  $m \ge 1$ ,

$$V_m^*V_m = \psi_0(1)$$
 and  $V_mV_m^* = \phi_0(1);$ 

(b) for every f in C(X) and every  $m \ge 1$  the limit

$$\sum_{k=1}^{\infty} \|V_{mk}^*\phi_k(f)V_{mk} - \psi_k(f)\| < \infty$$

shows that  $V_m^*\phi_0(f)V_m - \psi_0(f)$  is in  $\mathscr{K}(\mathscr{R}, \tau)$ ;

(c) for every f in C(X), there corresponds a sufficiently large m, such that

$$\|V_m^*\phi_0(f)V_m-\psi_0(f)\|<\frac{1}{2^m}.$$

By the definition, the above (a), (b), and (c) lead to that

$$\phi_0 \sim_{C(X)} \psi_0, \mod \mathscr{K}(\mathscr{R}, \tau).$$

Thus, combining the above reductions, we obtain that

$$\phi \sim_{C(X)} \psi, \mod \mathscr{K}(\mathscr{R}, \tau).$$

This completes the proof.  $\Box$ 

#### REFERENCES

- [1] WILLIAM ARVESON, Notes on extensions of C\* -algebras, Duke Math. J. 44 (1977), no. 2, 329–355.
- [2] DAVID BERG, An extension of the Weyl-von Neumann theorem to normal operators, Trans. Amer. Math. Soc. 160 (1971), 365–371.
- [3] ALIN CIUPERCA, THIERRY GIORDANO, PING WONG NG AND ZHUANG NIU, Amenability and uniqueness, Adv. Math. 240 (2013), 325–345.
- KENNETH DAVIDSON, C\*-algebras by example, Fields Institute Monographs, 6. American Mathematical Society, Providence, RI, 1996.
- [5] KENNETH DAVIDSON, Normal operators are diagonal plus Hilbert-Schmidt, J. Operator Theory 20 (1988), no. 2, 241–249.
- [6] HUIRU DING AND DON HADWIN, Approximate equivalence in von Neumann algebras, Sci. China Ser. A 48 (2005), no. 2, 239–247.
- [7] DONALD HADWIN, Nonseparable approximate equivalence, Trans. Amer. Math. Soc. 266 (1981), no. 1, 203–231.
- [8] RICHARD KADISON AND JOHN RINGROSE, Fundamentals of the theory of operator algebras. Vol. I. Elementary theory, Reprint of the 1983 original. Graduate Studies in Mathematics, 15. American Mathematical Society, Providence, RI, 1997.
- [9] RICHARD KADISON AND JOHN RINGROSE, Fundamentals of the theory of operator algebras. Vol. II. Advanced theory, Corrected reprint of the 1986 original. Graduate Studies in Mathematics, 16. American Mathematical Society, Providence, RI, 1997.
- [10] QIHUI LI, JUNHAO SHEN, RUI SHI, A generalization of the Voiculescu theorem for normal operators in semifinite von Neumann algebras, arXiv:1706.09522 [math.OA].
- [11] JON VON NEUMANN, Charakterisierung des Spektrums eines Integraloperators, Actualits Sci. Indust. 229, Hermann, Paris, 1935.
- [12] MASAMICHI TAKESAKI, *Theory of operator algebras. I*, reprint of the first (1979) edition, Encyclopaedia of Mathematical Sciences, 124, Operator Algebras and Non-commutative Geometry, 5, Springer-Verlag, Berlin, 2002.
- [13] DAN VOICULESCU, A non-commutative Weyl-von Neumann theorem, Rev. Roumaine Math. Pures Appl. 21 (1976), no. 1, 97–113.
- [14] HERMANN WEYL, Über beschränkte quadratische formen, deren differenz vollstetig ist, Rend. Circ. Mat. Palermo 27 (1) (1909), 373–392.

(Received January 19, 2018)

Don Hadwin Department of Mathematics & Statistics University of New Hampshire Durham, 03824, US e-mail: don@math.unh.edu

> Rui Shi School of Mathematical Sciences

Dalian University of Technology Dalian, 116024, P. R. China e-mail: ruishi@dlut.edu.cn; ruishi.math@gmail.com