# SPECTRAL MAPPING THEOREMS FOR WEYL SPECTRUM AND ISOLATED SPECTRAL POINTS

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Abstract. Spectral mapping theorems for Weyl spectrum and isolated spectral points were discussed by Gramsch, Lay and Oberai, etc. In this paper,  $\mathscr{L}(\mathscr{X})$  means the space of all bounded linear operator on an infinite-dimensional complex Banach space  $\mathscr{X}$ ,  $f \in \mathscr{H}(\sigma(T))$  means f is holomorphic on an open set  $\mathscr{U}$  containing the spectrum  $\sigma(T)$ , and  $f \in \mathscr{H}_{lnc}(\sigma(T))$  means f is holomorphic and locally nonconstant. Firstly, it is shown that, if  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ , then (1)  $\sigma_{uv}(f(T)) \subseteq f(\sigma_{uv}(T))$  where  $\sigma_{uv}(T)$  means the upper semi-Weyl spectrum; (2)  $\sigma_{uv}(f(T)) \supseteq f(\sigma_{uv}(T))$  is equivalent to the assertion that T is of stable sign index on  $\rho_{uf}(T)$  where  $\rho_{uf}(T)$  means the upper semi-Fredholm resolvent. Secondly, let  $T \in \mathscr{L}(\mathscr{X})$ , (1) if  $f \in \mathscr{H}_{lnc}(\sigma(T))$  or T is polaroid, then  $\sigma(f(T)) \setminus \pi_{00}(f(T)) \subseteq f(\sigma(T) \setminus \pi_{00}(T))$ ; (2) if T is isoloid, then  $\sigma(f(T)) \setminus \pi_{00}(f(T)) \subseteq f(\sigma(T) \setminus \pi_{00}(T))$ ; conspectral mapping theorems and Weyl type theorems are also given. At the end, an example is provided which implies that the conditions " $f \in \mathscr{H}_{lnc}(\sigma(T))$ ", "T is polaroid" and "T is isoloid" are crucial and inevitable.

### 1. Introduction

In this paper,  $\mathscr{L}(\mathscr{X})$  means the space of all bounded linear operator on an infinitedimensional complex Banach space  $\mathscr{X}$ ,  $f \in \mathscr{H}(\sigma(T))$  means f is holomorphic on an open set  $\mathscr{U}$  containing the spectrum  $\sigma(T)$ , and  $f \in \mathscr{H}_{lnc}(\sigma(T))$  means f is holomorphic and locally nonconstant on an open set  $\mathscr{U}$  containing  $\sigma(T)$ .

Let  $\sigma_p(T)$ ,  $\sigma_f(T)$ ,  $\sigma_w(T)$  and  $\pi_{00}(T)$  mean the point spectrum, Fredholm spectrum, Weyl spectrum and the set of all isolated eigenvalues of finite multiplicity of an operator *T* respectively.

In 1971, Gramsch and Lay [13, Theorem 2] discussed the spectral mapping theorem for Weyl spectrum via *F*-semigroup.

THEOREM 1.1. ([13]) Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ , then

 $\sigma_w(f(T)) \subseteq f(\sigma_w(T)).$ 

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In general, equality does not hold in Theorem 1.1 [13, page 23].

Let iso  $\sigma(T)$  be the set of all isolated point of  $\sigma(T)$ . An operator  $T \in \mathscr{L}(\mathscr{X})$  is said to be isoloid if iso  $\sigma(T) \subseteq \sigma_p(T)$ . In 1977, Oberai [15] proved some results on spectral mapping theorems for isolated spectral points and Weyl theorem.

THEOREM 1.2. ([15]) Let  $T \in \mathscr{L}(\mathscr{X})$  and p(t) a polynomial. Then

(1) 
$$\sigma(p(T)) \setminus \pi_{00}(T) \subseteq p(\sigma(T) \setminus \pi_{00}(T));$$

(2) If T is isoloid, then  $\sigma(p(T)) \setminus \pi_{00}(T) \supseteq p(\sigma(T) \setminus \pi_{00}(T))$ .

In general, Theorem 1.2 (2) may fail if T is not assumed to be isoloid [15, Example 1]. An operator  $T \in (W)$  means Weyl theorem holds for T, that is,

$$\sigma(T) \setminus \sigma_w(T) = \pi_{00}(T).$$

THEOREM 1.3. ([15]) Let  $T \in (W)$  and p(t) a polynomial. If T is isoloid, then  $\sigma_w(p(T)) = p(\sigma_w(T))$  if and only if  $p(T) \in (W)$ .

Let  $\sigma_a(T)$ ,  $\sigma_{uf}(T)$ ,  $\sigma_{bf}(T)$ ,  $\sigma_{ubf}(T)$ ,  $\sigma_{uw}(T)$ ,  $\sigma_{bw}(T)$  and  $\sigma_{ubw}(T)$  mean the approximate point spectrum, upper semi-Fredholm spectrum, B-Fredholm spectrum, upper semi-B-Fredholm spectrum, upper semi-Weyl spectrum, B-Weyl spectrum and upper semi-B-Weyl spectrum of an operator T respectively (see [4]).

DEFINITION 1.1. Let  $T \in \mathscr{L}(\mathscr{X})$ .

- (1) *T* is said to be of stable sign index on  $\rho_f(T) := C \setminus \sigma_f(T)$  if for each  $\lambda$ ,  $\mu \in \rho_f(T)$ ,  $\operatorname{ind}(T \lambda)$  and  $\operatorname{ind}(T \mu)$  have the same sign.
- (2) *T* is said to be of stable sign index on  $\rho_{uf}(T) := C \setminus \sigma_{uf}(T)$  if for each  $\lambda$ ,  $\mu \in \rho_{uf}(T)$ ,  $\operatorname{ind}(T \lambda)$  and  $\operatorname{ind}(T \mu)$  have the same sign.
- (3) *T* is said to be of stable sign index on  $\rho_{bf}(T) := C \setminus \sigma_{bf}(T)$  if for each  $\lambda, \mu \in \rho_{bf}(T)$ ,  $\operatorname{ind}(T \lambda)$  and  $\operatorname{ind}(T \mu)$  have the same sign.
- (4) *T* is said to be of stable sign index on  $\rho_{ubf}(T) := C \setminus \sigma_{ubf}(T)$  if for each  $\lambda$ ,  $\mu \in \rho_{ubf}(T)$ ,  $\operatorname{ind}(T \lambda)$  and  $\operatorname{ind}(T \mu)$  have the same sign.

Let  $\sigma_b(T)$ ,  $\sigma_{ub}(T)$ ,  $\sigma_{bb}(T)$  and  $\sigma_{ubb}(T)$  mean the Browder spectrum, upper semi-Browder spectrum, B-Browder spectrum and upper semi-B-Browder spectrum of an operator T respectively (see [4]). Denote  $P(T) := \sigma(T) \setminus \sigma_{bb}(T)$  the poles of the resolvent of T,  $P_0(T) := \sigma(T) \setminus \sigma_b(T)$  the poles of the resolvent of T with finite rank, acc  $\sigma(T) := \sigma(T) \setminus iso \sigma(T)$ , and  $\pi_0(T) := \sigma_p(T) \cap iso \sigma(T)$ . An operator  $T \in \mathscr{L}(\mathscr{X})$  is said to be polaroid if iso  $\sigma(T) \subseteq P(T)$ .

Theorem 1.1-1.3 are extended to Theorem 1.4-1.6 respectively.

THEOREM 1.4. ([16]) Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ , the following assertions are equivalent:

- (1) *T* is of stable sign index on  $\rho_f(T)$ .
- (2)  $\sigma_w(f(T)) = f(\sigma_w(T)).$
- (3)  $\sigma_w(p(T)) = p(\sigma_w(T))$  for each polynomial p.

THEOREM 1.5. ([14, 16]) Let  $T \in \mathscr{L}(\mathscr{X})$  be isoloid. If  $f \in \mathscr{H}(\sigma(T))$ , then

$$\sigma(f(T)) \setminus \pi_{00}(f(T)) = f(\sigma(T) \setminus \pi_{00}(T)).$$

It should be pointed out that Theorem 1.5 may fail when  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$ . See Example 5.1 (3) for details.

THEOREM 1.6. ([12]) Let T be polaroid and  $f \in \mathscr{H}(\sigma(T))$ . If  $T \in (W)$ , then T is of stable sign index on  $\rho_f(T)$  (i.e.,  $\sigma_w(f(T)) = f(\sigma_w(T))$ ) if and only if  $f(T) \in (W)$ .

In this work, the authors will give extensions of Theorem 1.4-1.6. In Section 2, the spectral mapping theorems for Weyl type spectrums, such as upper semi-Weyl spectrum, B-Weyl spectrum and upper semi-B-Weyl spectrum, are considered (see Theorem 2.1, Theorem 2.2, Theorem 2.3). Moreover, the spectral mapping theorems for B-Weyl spectrum and upper semi-B-Weyl spectrum may fail if  $f \notin \mathscr{H}_{lnc}(\sigma(T))$  (see Example 5.1 (1)-(2)).

In Section 3, the spectral mapping theorems for isolated spectral points are discussed (see Theorem 3.1, Theorem 3.2, Theorem 3.3, Theorem 3.4). Especially, Example 5.1 (3)-(10) are provided which illustrate the results may fail without the condition " $f \in \mathscr{H}_{lnc}(\sigma(T))$ " or "T is polaroid".

Weyl type theorems have been studied extensively in the last two decades (see [1, 5, 17]). Theorems 1.3 and 1.6 say that there is a close relation between spectral mapping theorems and Weyl type theorems.

In Section 4, we prove some two-out-of-three results on spectral mapping theorems for Weyl type spectrums, isolated spectral points and Weyl type theorems.

Lastly, we show an example which implies that the conditions "*T* is isoloid", "*T* is polaroid" or " $f \in \mathscr{H}_{lnc}(\sigma(T))$ " are crucial and inevitable.

## 2. Spectral mapping theorems for Weyl type spectrums

For every  $n \in \mathscr{Z}$ , let us define  $\Omega_n := \{\mu \in \sigma(T) : \operatorname{ind}(\mu - T) = n\}$ .

THEOREM 2.1. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ .

- (1)  $\sigma_{uw}(f(T)) \subseteq f(\sigma_{uw}(T)).$
- (2) *The following assertions are equivalent:* 
  - (a) *T* is of stable sign index on  $\rho_{uf}(T)$ .
  - (b)  $\sigma_{uw}(f(T)) \supseteq f(\sigma_{uw}(T)).$

(c)  $\sigma_{uw}(p(T)) \supseteq p(\sigma_{uw}(T))$  for each polynomial p.

Theorem 2.1 says that Theorem 1.4 holds for upper semi-Weyl spectrum. Since the assertion "T or T\* has SVEP" ensures "T is of stable sign index on  $\rho_{uf}(T)$ " (see [1, Theorem 3.36]), Theorem 2.1 is an extension of [2, Corollary 2.6].

*Proof.* (1) Suppose that  $f \in \mathscr{H}_{lnc}(\sigma(T))$  and  $\lambda \in \sigma_{uw}(f(T))$ . Then

$$f(T) - \lambda = \prod_{i=1}^{n} (T - \mu_i)^{k_i} h(T)$$
(2.1)

where  $\mu_1, \dots, \mu_n$  are different spectral points of T and h(T) is invertible. Thus, there exists  $\mu_0 \in {\mu_i, i = 1, \dots, n}$  with  $\mu_0 \in \sigma_{uw}(T)$  ([1, Remark 1.54]). So  $\lambda = f(\mu_0) \in f(\sigma_{uw}(T))$ .

Suppose that  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$  and  $\lambda \in \sigma_{uw}(f(T))$ . Let  $g(z) = f(z) - \lambda$ , then g is defined on an open set  $\mathscr{U} = \mathscr{U}_1 \cup \mathscr{U}_2$  with  $\mathscr{U}_1$ ,  $\mathscr{U}_2$  open,  $\mathscr{U}_1 \cap \mathscr{U}_2 = \phi$ ,  $\sigma_1 := \sigma(T) \cap \mathscr{U}_1 \neq \phi$ ,  $\sigma_2 := \sigma(T) \cap \mathscr{U}_2 \neq \phi$ ,  $g|_{\mathscr{U}_1} \equiv 0$  and  $g \in \mathscr{H}_{lnc}(\sigma_2)$ . Let  $E = E(\sigma_2)$  be the Riesz idempotent corresponding to  $\sigma_2$ ,  $T_1 = T|_{ker(E)}$ ,  $T_2 = T|_{E(\mathscr{X})}$ . Then  $\mathscr{X} = ker(E) \oplus E(\mathscr{X})$ ,  $\sigma(T_i) = \sigma_i$  (i = 1, 2).

Assume to the contrary that  $\lambda \notin f(\sigma_{uw}(T)) \supseteq f(\sigma_{uf}(T)) = \sigma_{uf}(f(T))$ , thus  $\lambda \in \rho_{uf}(f(T))$ . By [1, Lemma 3.62] or [13, Theorem 1],

$$\operatorname{ind}(g(T)) = \sum_{n \neq 0} n \alpha_n$$

where  $\alpha_n$  is the number of zeros of g on  $\Omega_n$ . Since  $\sigma_{uw}(T) = \sigma_{uf}(T) \cup (\cup_{n>0}\Omega_n)$  and  $\lambda \notin f(\sigma_{uw}(T))$ , we have

$$\operatorname{ind}(g(T)) = \sum_{n < 0} n \alpha_n \leq 0.$$

So  $\lambda \notin \sigma_{uw}(f(T))$ . This is a contradiction.

(2) (a)  $\Rightarrow$  (b) Suppose that  $f \in \mathscr{H}_{lnc}(\sigma(T))$  and  $\lambda \notin \sigma_{uw}(f(T)) \supseteq \sigma_{uf}(f(T))$ , thus  $\lambda \in \rho_{uf}(f(T))$ . By (2.1),

$$0 \ge \operatorname{ind}(f(T) - \lambda) = \sum_{i=1}^{n} k_i \operatorname{ind}(T - \mu_i).$$

Hence  $\operatorname{ind}(T - \mu_i) \leq 0$  and  $\mu_i \notin \sigma_{uw}(T)$  for  $i = 1, \dots, n$ . So  $\lambda \notin f(\sigma_{uw}(T))$ .

Suppose that  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$  and  $\lambda \notin \sigma_{uw}(f(T))$ . Let  $g(z) = f(z) - \lambda$  as in the proof of (1), then  $g(T) = g(T_1) \oplus g(T_2) = 0 \oplus g(T_2)$ . Since  $\lambda \notin \sigma_{uf}(f(T))$ , we have  $0 \notin \sigma_{uf}(g(T_1))$  with  $\operatorname{ind}(g(T_1)) = 0$  and  $0 \notin \sigma_{uf}(g(T_2))$ . Hence  $\dim(\mathscr{X}_1) < \infty$  and  $\sigma(T_1) = \sigma_1 \subseteq P_0(T)$ . On the other hand,  $0 \ge \operatorname{ind}(g(T)) = \operatorname{ind}(g(T_2))$  and  $g \in \mathscr{H}_{lnc}(\sigma_2)$  deduce that the zeros of g on  $\sigma(T_2)$  do not belong to  $\sigma_{uw}(T_2)$ . Since  $\sigma_1 \cap \sigma_2 = \phi$ , the zeros of g on  $\sigma(T_2)$  do not belong to  $\sigma_{uw}(T)$ . So that  $\lambda \notin f(\sigma_{uw}(T))$ . (b) $\Rightarrow$ (c) Clear.

Proof of (c)  $\Rightarrow$  (a) is similar to [16, Theorem 2]: Assume to the contrary that *T* is not of stable sign index on  $\rho_{uf}(T)$ . Then there are  $\lambda_1$ ,  $\lambda_2 \in \rho_{uf}(T)$  with  $\operatorname{ind}(T - \lambda_1) > 0$  and  $\operatorname{ind}(T - \lambda_2) < 0$ . Let  $k = \operatorname{ind}(T - \lambda_1)$ ,  $m = -\operatorname{ind}(T - \lambda_2)$ ,  $p(z) = (z - \lambda_1)^m (z - \lambda_2)^k$ . Then p(T) is an upper semi-Fredholm operator ([1, Remark 1.54]) and  $\operatorname{ind}(p(T)) = km + k(-m) = 0$ , that is,  $0 \notin \sigma_{uw}(p(T))$ . Meanwhile,  $\lambda_1 \in \sigma_{uw}(T)$  and  $0 = p(\lambda_1) \in p(\sigma_{uw}(T))$ . This is a contradiction.  $\Box$ 

The following Theorem 2.2 says that Theorem 1.4 holds for B-Weyl spectrum and  $f \in \mathscr{H}_{lnc}(\sigma(T))$ .

THEOREM 2.2. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ .

(1)  $\sigma_{bw}(f(T)) \subseteq f(\sigma_{bw}(T)).$ 

(2) The following assertions are equivalent:

- (a) *T* is of stable sign index on  $\rho_{bf}(T)$ .
- (b)  $\sigma_{bw}(f(T)) \supseteq f(\sigma_{bw}(T))$  for each  $f \in \mathscr{H}_{lnc}(\sigma(T))$ .
- (c)  $\sigma_{bw}(p(T)) \supseteq p(\sigma_{bw}(T))$  for each nonconstant polynomial *p*.

Theorem 2.2 is a generalization of [9, Theorem 2.4], [18, Theorem 2.1] and [11, Corollary 2.8]. Theorem 2.2 (2) may fail without the condition " $f \in \mathscr{H}_{lnc}(\sigma(T))$ ", see (1) of Example 5.1.

*Proof.* (1) The case that f is constant is obvious, and it is sufficient to prove the case  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$  since [9, Theorem 2.4] proved the case  $f \in \mathscr{H}_{lnc}(\sigma(T))$ .

Suppose that  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$  and  $\lambda \in \sigma_{bw}(f(T))$ . Let  $g(z) = f(z) - \lambda$  as in the proof of Theorem 2.1 (1). Since  $\lambda \in \sigma_{bw}(f(T))$ ,  $g(T) = g(T_1) \oplus g(T_2)$  and  $g|_{\mathscr{U}_1} \equiv 0$ , then  $g(T_2)$  is not a B-Weyl operator. By  $g \in \mathscr{H}_{lnc}(\sigma_2) = \mathscr{H}_{lnc}(\sigma(T_2))$ , there exists  $\mu \in \sigma_{bw}(T_2) \subseteq \sigma_{bw}(T)$  such that  $\lambda = f(\mu)$ .

(2) (a)  $\Rightarrow$  (b) See [9, Theorem 2.4]. (b)  $\Rightarrow$  (c) Clear.

(c)  $\Rightarrow$  (a) Assume to the contrary that *T* is not of stable sign index on  $\rho_{bf}(T)$ . Then there are  $\lambda_1$ ,  $\lambda_2 \in \rho_{bf}(T)$  with  $\operatorname{ind}(T - \lambda_1) > 0$  and  $\operatorname{ind}(T - \lambda_2) < 0$ . Let  $k = \operatorname{ind}(T - \lambda_1)$ ,  $m = -\operatorname{ind}(T - \lambda_2)$ ,  $p(z) = (z - \lambda_1)^m (z - \lambda_2)^k$ . Then p(T) is a B-Fredholm operator ([7, Theorem 3.6], [6, Corollary 3.3]) and  $\operatorname{ind}(p(T)) = km + k(-m) = 0$  ([8, Theorem 3.2]), that is,  $0 \notin \sigma_{bw}(p(T))$ . Meanwhile,  $\lambda_1$ ,  $\lambda_2 \in \sigma_{bw}(T)$  and  $0 = p(\lambda_1) = p(\lambda_2) \in p(\sigma_{bw}(T))$ . This is a contradiction.  $\Box$ 

THEOREM 2.3. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ .

- (1)  $\sigma_{ubw}(f(T)) \subseteq f(\sigma_{ubw}(T)).$
- (2) The following assertions are equivalent:
  - (a) *T* is of stable sign index on  $\rho_{ubf}(T)$ .
  - (b)  $\sigma_{ubw}(f(T)) \supseteq f(\sigma_{ubw}(T))$  for each  $f \in \mathscr{H}_{lnc}(\sigma(T))$ .
  - (c)  $\sigma_{ubw}(p(T)) \supseteq p(\sigma_{ubw}(T))$  for each nonconstant polynomial p.

Theorem 2.3 is an extension of [18, Theorem 2.3], and Example 5.1 (2) below illustrates the condition " $f \in \mathscr{H}_{lnc}(\sigma(T))$ " is crucial.

*Proof.* (1) The case that f is constant is obvious, and it is sufficient to prove the case  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$  since [18, Theorem 2.3] proved the case  $f \in \mathscr{H}_{lnc}(\sigma(T))$ .

Suppose that  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$  and  $\lambda \in \sigma_{ubw}(f(T))$ . Let  $g(z) = f(z) - \lambda$  as in the proof of Theorem 2.2 (1). Since  $\lambda \in \sigma_{ubw}(f(T))$  and  $g(T) = g(T_1) \oplus \beta$ 

 $g(T_2) = 0 \oplus g(T_2)$ , then  $g(T_2)$  is not an upper semi-B-Weyl operator. By  $g \in \mathscr{H}_{lnc}(\sigma(T_2))$ and  $\sigma_1 \cap \sigma_2 = \phi$ , there exists  $\mu \in \sigma_{ubw}(T_2) \subseteq \sigma_{ubw}(T)$  such that  $\lambda = f(\mu)$ .

(2) (a) $\Rightarrow$ (b) See [18, Theorem 2.3]. (b) $\Rightarrow$ (c) Clear.

(c)  $\Rightarrow$  (a) Assume to the contrary that *T* is not of stable sign index on  $\rho_{ubf}(T)$ . Then there are  $\lambda_1$ ,  $\lambda_2 \in \rho_{ubf}(T)$  with  $\operatorname{ind}(T - \lambda_1) > 0$  and  $\operatorname{ind}(T - \lambda_2) < 0$ . Let  $k = \operatorname{ind}(T - \lambda_1)$ ,  $m = -\operatorname{ind}(T - \lambda_2)$ ,  $p(z) = (z - \lambda_1)^m (z - \lambda_2)^k$ . Then p(T) is an upper semi-B-Fredholm operator ([10, Corollary 4.4] or [7, Theorem 3.6]) and  $\operatorname{ind}(p(T)) = km + k(-m) = 0$  ([8, Theorem 3.2]), that is,  $0 \notin \sigma_{ubw}(p(T))$ . Meanwhile,  $\lambda_1 \in \sigma_{ubw}(T)$  and  $0 = p(\lambda_1) \in p(\sigma_{ubw}(T))$ . This is a contradiction.  $\Box$ 

#### 3. Spectral mapping theorems for isolated spectral points

THEOREM 3.1. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ .

(1) If  $f \in \mathscr{H}_{lnc}(\sigma(T))$ , then  $\sigma(f(T)) \setminus \pi_{00}(f(T)) \subseteq f(\sigma(T) \setminus \pi_{00}(T))$ .

(2) If T is polaroid, then  $\sigma(f(T)) \setminus \pi_{00}(f(T)) \subseteq f(\sigma(T) \setminus \pi_{00}(T))$ .

(3) If T is isoloid, then  $\sigma(f(T)) \setminus \pi_{00}(f(T)) \supseteq f(\sigma(T) \setminus \pi_{00}(T))$ .

Theorem 3.1 is an extension of Theorems 1.2 and 1.5, and Example 5.1 (3)-(4) illustrate the conditions " $f \in \mathscr{H}_{lnc}(\sigma(T))$ ", "*T* is polaroid" and "*T* is isoloid" are inevitable.

*Proof.* (1) The proof is similar to [15, Lemma 1]: Let  $\lambda \in \sigma(f(T)) \setminus \pi_{00}(f(T))$ .

If  $\lambda \in \operatorname{acc} \sigma(f(T))$ , it is easy to see that there exists  $\mu \in \operatorname{acc} \sigma(T) \subseteq \sigma(T) \setminus \pi_{00}(T)$  such that  $\lambda = f(\mu)$ .

If  $\lambda \in \text{iso } \sigma(f(T))$  and  $\lambda \notin \sigma_p(f(T))$ , by  $\sigma_p(f(T)) \supseteq f(\sigma_p(T))$ , there exists  $\mu \in \sigma(T) \setminus \sigma_p(T)$  such that  $\lambda = f(\mu)$ . So  $\lambda \in f(\sigma(T) \setminus \pi_{00}(T))$ .

If  $\lambda \in \text{iso } \sigma(f(T))$  and  $\lambda \in \sigma_p(f(T))$ , then  $\dim(\ker(f(T) - \lambda)) = \infty$ . By  $f \in \mathcal{H}_{lnc}(\sigma(T))$ , (2.1) and [1, Lemma 1.76], there exists  $\mu_0 \in \{\mu_i, i = 1, \dots, n\}$  such that  $\dim(\ker(T - \mu_0)) = \infty$ . So  $\lambda = f(\mu_0) \in f(\sigma(T) \setminus \pi_{00}(T))$ .

(2) By the proof of (1), it is sufficient to prove the case that  $\lambda \in \text{iso } \sigma(f(T))$ ,  $\dim(\ker(f(T) - \lambda)) = \infty$  and  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$ .

Let  $g(z) = f(z) - \lambda$  as in the proof of Theorem 2.2 (1), then  $g(T) = 0 \oplus g(T_2)$  and  $g \in \mathscr{H}_{lnc}(\sigma(T_2))$ . By the proof of (1), we assume that dim(ker  $g(T_1)) = \infty$ .

If  $\sigma(T_1)$  is a finite set, there exists  $\mu_0 \in \sigma(T_1)$  such that  $\dim(E(\{\mu_0\})\mathscr{X}) = \infty$ . Since *T* is polaroid, there exists an integer *p* such that

$$E(\{\mu_0\})\mathscr{X} = \ker (T_1 - \mu_0)^p = \ker (T - \mu_0)^p.$$

So dim $(\ker(T - \mu_0)) = \infty$  and  $\lambda = f(\mu_0) \in f(\sigma(T) \setminus \pi_{00}(T))$ .

If  $\sigma(T_1)$  is not a finite set, then it is easy to see that there exists  $\mu_0 \in \text{acc } \sigma(T_1)$ . So  $\lambda = f(\mu_0) \in f(\sigma(T) \setminus \pi_{00}(T))$ .

(3) It is sufficient to prove that  $\lambda \in \pi_{00}(f(T))$  implies  $\lambda \notin f(\sigma(T) \setminus \pi_{00}(T))$ .

Suppose that  $f \in \mathscr{H}_{lnc}(\sigma(T))$ ,  $\lambda \in \pi_{00}(f(T))$  and  $M := \{\mu \in \sigma(T) : f(\mu) - \lambda = 0\}$ . Then  $M \subseteq \text{iso } \sigma(T)$  and it is a finite set. By (2.1), [1, Lemma 1.76] and T is isoloid, we have  $M \subseteq \pi_{00}(T)$ . So  $\lambda \notin f(\sigma(T) \setminus \pi_{00}(T))$ .

Suppose that  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$ ,  $\lambda \in \pi_{00}(f(T))$  and  $M = \{\mu \in \sigma(T) : f(\mu) - \lambda = 0\}$ . Let  $g(z) = f(z) - \lambda$  as in the proof of Theorem 2.2 (1), then  $M = \sigma(T_1) \cup M_2$  where  $M_2 := \{\mu \in \sigma(T_2) : f(\mu) - \lambda = 0\}$ .

Since  $g \in \mathscr{H}_{lnc}(\sigma(T_2))$  and  $\sigma(T_1) \cap \sigma(T_2) = \phi$ ,  $M_2 \subseteq \pi_{00}(T_2) \subseteq \pi_{00}(T)$  follows. Meanwhile,  $\lambda \in \pi_{00}(f(T))$  ensures dim $(\mathscr{X}_1) < \infty$ . Thus  $\sigma(T_1)$  is a finite set and dim $(E(\{\mu\})\mathscr{X}) < \infty$  for every  $\mu \in \sigma(T_1)$ . It is clear that  $\sigma(T_1) \subseteq \pi_{00}(T)$ . Therefore  $M \subseteq \pi_{00}(T)$  and  $\lambda \notin f(\sigma(T) \setminus \pi_{00}(T))$ .  $\Box$ 

Denote  $\pi_{00}^a(T) := \{\lambda \in \text{iso } \sigma_a(T) : 0 < \dim \ker(T - \lambda) < \infty\}, P_0^a(T) := \sigma_a(T) \setminus \sigma_{ub}(T)$  the set of all left poles of the resolvent with finite rank.

An operator  $T \in \mathscr{L}(\mathscr{X})$  is said to be *a*-isoloid if iso  $\sigma_a(T) \subseteq \sigma_p(T)$ . An operator  $T \in \mathscr{L}(\mathscr{X})$  is said to be *a*-polaroid if iso  $\sigma_a(T) \subseteq P(T)$ .

THEOREM 3.2. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ .

(1) If  $f \in \mathscr{H}_{lnc}(\sigma_a(T))$ , then  $\sigma_a(f(T)) \setminus \pi_{00}^a(f(T)) \subseteq f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .

(2) If T is a-polaroid, then  $\sigma_a(f(T)) \setminus \pi_{00}^a(f(T)) \subseteq f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .

(3) If T is a-isoloid, then  $\sigma_a(f(T)) \setminus \pi_{00}^a(f(T)) \supseteq f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .

The conditions " $f \in \mathscr{H}_{lnc}(\sigma_a(T))$ ", "T is *a*-polaroid" and "T is *a*-isoloid" are crucial (see Example 5.1 (5)-(6)).

*Proof.* (1) Let  $\sigma_a(f(T)) \setminus \pi_{00}^a(f(T))$ . If  $\lambda \in \text{acc } \sigma_a(f(T))$ , by  $\sigma_a(f(T)) = f(\sigma_a(T))$ , it is easy to see that there exists  $\mu \in \text{acc } \sigma_a(T) \subseteq \sigma_a(T) \setminus \pi_{00}^a(T)$  such that  $\lambda = f(\mu)$ .

If  $\lambda \in \text{iso } \sigma_a(f(T))$  and  $\lambda \notin \sigma_p(f(T))$ , by  $\sigma_p(f(T)) \supseteq f(\sigma_p(T))$ , there exists  $\mu \in \sigma_a(T) \setminus \sigma_p(T)$  such that  $\lambda = f(\mu)$ . So  $\lambda \in f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .

If  $\lambda \in \text{iso } \sigma_a(f(T))$  and  $\lambda \in \sigma_p(f(T))$ , then  $\dim(\ker(f(T) - \lambda)) = \infty$ . Since  $f \in \mathscr{H}_{lnc}(\sigma_a(T))$ , we have

$$f(T) - \lambda = \prod_{i=1}^{n} (T - \mu_i)^{k_i} h(T)$$
(3.1)

where  $\mu_1, \dots, \mu_n$  are different elements of  $\sigma_a(T)$  and  $0 \notin \sigma_a(h(T))$ . By (3.1) and [1, Lemma 1.76], there exists  $\mu_0 \in {\mu_i, i = 1, \dots, n}$  such that  $\dim(\ker(T - \mu_0)) = \infty$ . So  $\lambda = f(\mu_0) \in f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .

(2) By the proof of (1), it is sufficient to prove the case that  $\lambda \in \text{iso } \sigma(f(T))$ ,  $\dim(\ker(f(T) - \lambda)) = \infty$  and  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma_a(T))$ .

Obviously,  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$ . Let  $g(z) = f(z) - \lambda$  as in the proof of Theorem 2.2 (1), then  $g(T) = 0 \oplus g(T_2)$  and  $g \in \mathscr{H}_{lnc}(\sigma(T_2)) \subseteq \mathscr{H}_{lnc}(\sigma_a(T_2))$ . By the proof of (1), we assume that dim(ker  $g(T_1)) = \infty$ .

If  $\sigma_a(T_1)$  is a finite set, then  $\sigma(T_1) = \sigma_a(T_1)$  for  $\partial \sigma(T_1) \subseteq \sigma_a(T_1)$ . Thus there exists  $\mu_0 \in \sigma_a(T_1)$  such that  $\dim(E(\{\mu_0\})\mathscr{X}) = \infty$ . Since *T* is *a*-polaroid, there exists an integer *p* such that

$$E(\{\mu_0\})\mathscr{X} = \ker (T_1 - \mu_0)^p = \ker (T - \mu_0)^p.$$

So dim $(\ker(T - \mu_0)) = \infty$  and  $\lambda = f(\mu_0) \in f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .

If  $\sigma_a(T_1)$  is not a finite set, then it is easy to see that there exists  $\mu_0 \in \text{acc } \sigma_a(T_1)$ . So  $\lambda = f(\mu_0) \in f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .

(3) It is sufficient to prove that  $\lambda \in \pi_{00}^a(f(T))$  implies  $\lambda \notin f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .

Suppose that  $f \in \mathscr{H}_{lnc}(\sigma_a(T))$ ,  $\lambda \in \pi_{00}^a(f(T))$  and  $M^a := \{\mu \in \sigma_a(T) : f(\mu) - \lambda = 0\}$ . Then  $M^a \subseteq \text{iso } \sigma_a(T)$  and it is a finite set. By (3.1), [1, Lemma 1.76] and T is *a*-isoloid,  $M^a \subseteq \pi_{00}^a(T)$  follows. So  $\lambda \notin f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .

Suppose that  $f \in \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma_a(T)) \subseteq \mathscr{H}(\sigma(T)) \setminus \mathscr{H}_{lnc}(\sigma(T))$  and  $\lambda \in \pi_{00}^a(f(T))$ . Let  $g(z) = f(z) - \lambda$  as in the proof of Theorem 2.2 (1), then  $M^a = \sigma_a(T_1) \cup M_2^a$  where  $M_2^a := \{\mu \in \sigma_a(T_2) : f(\mu) - \lambda = 0\}$ .

Since  $g \in \mathscr{H}_{lnc}(\sigma(T_2)) \subseteq \mathscr{H}_{lnc}(\sigma_a(T_2))$  and  $\sigma(T_1) \cap \sigma(T_2) = \phi$ ,  $M_2^a \subseteq \pi_{00}^a(T_2) \subseteq \pi_{00}^a(T)$  follows.

Meanwhile,  $\lambda \in \pi_{00}^a(f(T))$  ensures dim $(\mathscr{X}_1) < \infty$ . Thus  $\sigma(T_1)$  is a finite set,  $\sigma(T_1) = \sigma_a(T_1)$ . So dim $(E(\{\mu\})\mathscr{X}) < \infty$  for every  $\mu \in \sigma_a(T_1)$ . Since *T* is *a*-isoloid, we have  $\sigma_a(T_1) \subseteq \pi_{00}^a(T)$ . Therefore  $M^a \subseteq \pi_{00}^a(T)$  and  $\lambda \notin f(\sigma_a(T) \setminus \pi_{00}^a(T))$ .  $\Box$ 

THEOREM 3.3. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ .

(1) 
$$\sigma(f(T)) \setminus \pi_0(f(T)) \subseteq f(\sigma(T) \setminus \pi_0(T)).$$

(2) If  $f \in \mathscr{H}_{lnc}(\sigma(T))$  and T is isoloid, then

$$\sigma(f(T)) \setminus \pi_0(f(T)) \supseteq f(\sigma(T) \setminus \pi_0(T)).$$

Example 5.1 (7)-(8) imply that the conditions " $f \in \mathscr{H}_{lnc}(\sigma(T))$ " and "T is isoloid" are inevitable in (2) of Theorem 3.3, and [9, Lemma 2.9] and [11, Lemma 3.3] may fail without the condition " $f \in \mathscr{H}_{lnc}(\sigma(T))$ ".

*Proof.* (1) [9] and [11] proved the case  $f \in \mathscr{H}_{lnc}(\sigma(T))$  of (1), now we show a proof of the general case. Let  $\lambda \in \sigma(f(T)) \setminus \pi_0(f(T))$ . If  $\lambda \in \operatorname{acc} \sigma(f(T))$ , it is easy to see that there exists  $\mu \in \operatorname{acc} \sigma(T) \subseteq \sigma(T) \setminus \pi_0(T)$  such that  $\lambda = f(\mu)$ .

If  $\lambda \in \text{iso } \sigma(f(T))$  and  $\lambda \notin \sigma_p(f(T))$ , by  $\sigma_p(f(T)) \supseteq f(\sigma_p(T))$ , there exists  $\mu \in \sigma(T) \setminus \sigma_p(T)$  such that  $\lambda = f(\mu)$ . So  $\lambda \in f(\sigma(T) \setminus \pi_0(T))$ .

(2) See [9, Lemma 2.9] or [11, Lemma 3.3] for the proof.  $\Box$ 

Denote  $\pi_0^a(T) := \{\lambda \in iso \sigma_a(T) : 0 < \dim ker(T - \lambda)\}, P^a(T) := \sigma_a(T) \setminus \sigma_{ubb}(T)$ the set of all left poles of the resolvent.

THEOREM 3.4. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ .

(1)  $\sigma_a(f(T)) \setminus \pi_0^a(f(T)) \subseteq f(\sigma_a(T) \setminus \pi_0^a(T)).$ 

(2) If  $f \in \mathscr{H}_{lnc}(\sigma_a(T))$  and T is a-isoloid, then

$$\sigma_a(f(T)) \setminus \pi_0^a(f(T)) \supseteq f(\sigma_a(T) \setminus \pi_0^a(T)).$$

The conditions " $f \in \mathscr{H}_{lnc}(\sigma_a(T))$ " and "T is *a*-isoloid" are inevitable (see Example 5.1 (9)-(10)).

*Proof.* (1) Let  $\sigma_a(f(T)) \setminus \pi_0^a(f(T))$ . If  $\lambda \in \text{acc } \sigma_a(f(T))$ , by  $\sigma_a(f(T)) = f(\sigma_a(T))$ , it is easy to see that there exists  $\mu \in \text{acc } \sigma_a(T) \subseteq \sigma_a(T) \setminus \pi_0^a(T)$  such that  $\lambda = f(\mu)$ .

If  $\lambda \in \text{iso } \sigma_a(f(T))$  and  $\lambda \notin \sigma_p(f(T))$ , by  $\sigma_p(f(T)) \supseteq f(\sigma_p(T))$ , there exists  $\mu \in \sigma_a(T) \setminus \sigma_p(T)$  such that  $\lambda = f(\mu)$ . So  $\lambda \in f(\sigma_a(T) \setminus \pi_0^a(T))$ .

(2) It is sufficient to prove that  $\lambda \in \pi_0^a(f(T))$  implies  $\lambda \notin f(\sigma_a(T) \setminus \pi_0^a(T))$ .

Suppose that  $f \in \mathscr{H}_{lnc}(\sigma_a(T))$ ,  $\lambda \in \pi_0^a(f(T))$  and  $M_a := \{\mu \in \sigma_a(T) : f(\mu) - \lambda = 0\}$ . Then  $M_a \subseteq \text{iso } \sigma_a(T)$  and it is a finite set. By [1, Lemma 1.76] and T is *a*-isoloid, we have  $M_a \subseteq \pi_0^a(T)$ . So  $\lambda \notin f(\sigma_a(T) \setminus \pi_0^a(T))$ .  $\Box$ 

#### 4. Some two-out-of-three results on Weyl type spectrums

We prove some two-out-of-three results on spectral mapping theorems for Weyl type spectrum, isolated spectral points and Weyl type theorems.

 $T \in (aW)$  means *a*-Weyl theorem holds for *T*, that is,

$$\sigma_a(T) \setminus \sigma_{uw}(T) = \pi_{00}^a(T).$$

 $T \in (gW)$  means generalized Weyl theorem holds for T, that is,

$$\sigma(T) \setminus \sigma_{bw}(T) = \pi_0(T).$$

 $T \in (gaW)$  means generalized *a*-Weyl theorem holds for *T*, that is,

$$\sigma_a(T) \setminus \sigma_{ubw}(T) = \pi_0^a(T).$$

THEOREM 4.1. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ . If  $T \in (W)$ , then any two of the following three assertions imply the third one.

- (1)  $\sigma_w(f(T)) = f(\sigma_w(T)).$
- (2)  $\sigma(f(T)) \setminus \pi_{00}(f(T)) = f(\sigma(T) \setminus \pi_{00}(T)).$
- (3)  $f(T) \in (W)$ .

*Proof.* (1) and (2) $\Rightarrow$ (3): Let  $T \in (W)$ , then

$$\sigma(f(T)) \setminus \pi_{00}(f(T)) = f(\sigma(T) \setminus \pi_{00}(T)) = f(\sigma_w(T)) = \sigma_w(f(T)).$$

So (3) holds.

(2) and (3) $\Rightarrow$ (1): Let  $T \in (W)$ , then

$$\sigma_{w}(f(T)) = \sigma(f(T)) \setminus \pi_{00}(f(T)) = f(\sigma(T) \setminus \pi_{00}(T)) = f(\sigma_{w}(T)).$$

So (1) holds.

(3) and (1) $\Rightarrow$ (2): Let  $T \in (W)$ , then

$$\sigma(f(T)) \setminus \pi_{00}(f(T)) = \sigma_w(f(T)) = f(\sigma_w(T)) = f(\sigma(T) \setminus \pi_{00}(T)).$$

So (2) holds.  $\Box$ 

Since T is polaroid ensures T is isoloid, Theorem 4.1 and Theorem 3.1 deduce the following result.

COROLLARY 4.1. Let  $T \in (W)$  and  $f \in \mathscr{H}(\sigma(T))$ . If (i) T is isoloid and  $f \in \mathscr{H}_{lnc}(\sigma(T))$  or (ii) T is polaroid, then the following two assertions are equivalent to each other.

(1)  $\sigma_w(f(T)) = f(\sigma_w(T)).$ 

(2) 
$$f(T) \in (W)$$
.

Corollary 4.1 is a generalization of Theorem 1.6. Corollary 4.1 together with Theorem 1.4 and Theorem 3.1 implies that [4, Theorem 3.14 (ii)] holds for all  $f \in \mathscr{H}(\sigma(T))$ .

Theorems 4.2-4.4 hold in a similar manner to Theorem 4.1, so we write down them without proofs.

THEOREM 4.2. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ . If  $T \in (aW)$ , then any two of the following three assertions imply the third one.

- (1)  $\sigma_{uw}(f(T)) = f(\sigma_{uw}(T)).$
- (2)  $\sigma_a(f(T)) \setminus \pi_{00}^a(f(T)) = f(\sigma_a(T) \setminus \pi_{00}^a(T)).$
- (3)  $f(T) \in (aW)$ .

Theorem 4.2 and Theorem 3.2 deduce the result below.

COROLLARY 4.2. Let  $T \in (aW)$  and  $f \in \mathscr{H}(\sigma(T))$ . If (i) T is a-polaroid or (ii) T is a-isoloid and  $f \in \mathscr{H}_{lnc}(\sigma_a(T))$ , then the following two assertions are equivalent to each other.

- (1)  $\sigma_{uw}(f(T)) = f(\sigma_{uw}(T)).$
- (2)  $f(T) \in (aW)$ .

By [2, Theorem 3.6], Corollary 4.2 implies that [4, Theorem 3.12 (i)] holds for all  $f \in \mathscr{H}(\sigma(T))$ .

THEOREM 4.3. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ . If  $T \in (gW)$ , then any two of the following three assertions imply the third one.

- (1)  $\sigma_{bw}(f(T)) = f(\sigma_{bw}(T)).$
- (2)  $\sigma(f(T)) \setminus \pi_0(f(T)) = f(\sigma(T) \setminus \pi_0(T)).$
- (3)  $f(T) \in (gW)$ .

Theorem 4.3 and Theorem 3.3 deduce the following result.

COROLLARY 4.3. Let  $T \in (gW)$  and  $f \in \mathscr{H}(\sigma(T))$ . If T is isoloid and  $f \in \mathscr{H}_{lnc}(\sigma(T))$ , then the following two assertions are equivalent to each other.

- (1)  $\sigma_{bw}(f(T)) = f(\sigma_{bw}(T)).$
- (2)  $f(T) \in (gW)$ .

Example 5.1 (11) implies that the condition  $f \in \mathscr{H}_{lnc}(\sigma(T))$  in Corollary 4.3 is inevitable, and, for  $f \notin \mathscr{H}_{lnc}(\sigma(T))$ , Corollary 4.3 may fail even if T is polaroid.

Example 5.1 (11) also implies that [9, Theorem 2.10] and [11, Theorem 3.4] may fail if  $f \notin \mathscr{H}_{lnc}(\sigma(T))$ .

THEOREM 4.4. Let  $T \in \mathscr{L}(\mathscr{X})$  and  $f \in \mathscr{H}(\sigma(T))$ . If  $T \in (gaW)$ , then any two of the following three assertions imply the third one.

- (1)  $\sigma_{ubw}(f(T)) = f(\sigma_{ubw}(T)).$
- (2)  $\sigma_a(f(T)) \setminus \pi_0^a(f(T)) = f(\sigma_a(T) \setminus \pi_0^a(T)).$
- (3)  $f(T) \in (gaW)$ .

Theorem 4.4 and Theorem 3.4 deduce the following result.

COROLLARY 4.4. Let  $T \in (gaW)$  and  $f \in \mathscr{H}(\sigma(T))$ . If T is a-isoloid and  $f \in \mathscr{H}_{lnc}(\sigma_a(T))$ , then the following two assertions are equivalent to each other.

(1) 
$$\sigma_{ubw}(f(T)) = f(\sigma_{ubw}(T)).$$

(2) 
$$f(T) \in (gaW)$$
.

Example 5.1 (12) implies that the condition  $f \in \mathscr{H}_{lnc}(\sigma_a(T))$  is crucial, and, for  $f \notin \mathscr{H}_{lnc}(\sigma_a(T))$ , Corollary 4.4 may fail even if *T* is *a*-polaroid.

### 5. An example

EXAMPLE 5.1. Let U be the unilateral right shift operator on the Hilbert space  $l_2(N)$  defined by  $U(x_0, x_1, x_2, \dots) = (0, x_0, x_1, x_2, \dots)$ , S the weighted unilateral right shift operator on the Hilbert space  $l_2(N)$  defined by  $U(x_0, x_1, x_2, \dots) = (0, x_0, \frac{1}{2}x_1, \frac{1}{3}x_2, \dots)$ ,  $\mathscr{D} := \{z : |z| \le 1\}$  and  $\partial \mathscr{D} := \{z : |z| = 1\}$ .

- (1) If T := U and  $f \equiv 0 \notin \mathscr{H}_{lnc}(\sigma(T))$ , then  $\sigma_{bw}(f(T)) \not\supseteq f(\sigma_{bw}(T))$ . In fact, *T* is hyponormal and of stable sign index on  $\rho_{bf}$ ,  $\sigma(T) = \sigma_{bw}(T) = \mathscr{D}$ ,  $\sigma(f(T)) = \{0\}$ ,  $\sigma_{bw}(f(T)) = \phi$  and  $f(\sigma_{bw}(T)) = \{0\}$ .
- (2) If  $T := U^*$  and  $f \equiv 0 \notin \mathscr{H}_{lnc}(\sigma(T))$ , then  $\sigma_{ubw}(f(T)) \not\supseteq f(\sigma_{ubw}(T))$ . In fact, *T* is co-hyponormal and of stable sign index on  $\rho_{ubf}(T)$ ,  $\sigma(T) = \sigma_{bw}(T) = \sigma_{ubw}(T) = \mathscr{O}_{bw}(T) = \{0\}$ ,  $\sigma_{ubw}(f(T)) \subseteq \sigma_{bw}(f(T)) = \phi$  and  $f(\sigma_{ubw}(T)) = \{0\}$ .
- (3) If  $T := S^*$  and  $f \equiv 0$ , then *T* is not polaroid and  $\sigma(f(T)) \setminus \pi_{00}(f(T)) = \{0\} \not\subseteq f(\sigma(T) \setminus \pi_{00}(T))$ . In fact,  $\sigma(T) = \sigma_w(T) = \pi_{00}(T) = \{0\}, \ \sigma(f(T)) = \{0\}, \ \pi_{00}(f(T)) = \phi$ .

- (4) If  $T := I \oplus \frac{1}{2}U \oplus (S-I)$  on  $\mathscr{H} = \mathscr{C} \oplus l_2(N) \oplus l_2(N)$  and  $f(z) = z^2$ . Then *T* is not isoloid, and  $\sigma(f(T)) \setminus \pi_{00}(f(T)) = \{z : |z| \leq \frac{1}{4}\} \not\supseteq f(\sigma(T) \setminus \pi_{00}(T))$ . In fact,  $\sigma(T) = \{1\} \cup \{z : |z| \leq \frac{1}{2}\} \cup \{-1\}, \pi_{00}(T) = \{1\}, \pi_{00}(f(T)) = \{1\}.$
- (5) If  $T := S^*$  and  $f \equiv 0$ , then T is not a-polaroid and

$$\sigma_a(f(T)) \setminus \pi^a_{00}(f(T)) \not\subseteq f(\sigma_a(T) \setminus \pi^a_{00}(T)).$$

In fact,  $\sigma(T) = \sigma_a(T) = \pi_{00}^a(T) = \{0\}, \ \sigma_a(f(T)) = \{0\}, \ \pi_{00}^a(f(T)) = \phi$ .

- (6) If  $T := I \oplus \frac{1}{2}U \oplus (S-I)$  on  $\mathscr{H} = \mathscr{C} \oplus l_2(N) \oplus l_2(N)$  and  $f(z) = z^2$ . Then *T* is not *a*-isoloid, and  $\sigma_a(f(T)) \setminus \pi_{00}^a(f(T)) = \{z : |z| = \frac{1}{4}\} \not\supseteq f(\sigma_a(T) \setminus \pi_{00}^a(T))$ . In fact,  $\sigma_a(T) = \{1\} \cup \{z : |z| = \frac{1}{2}\} \cup \{-1\}, \ \pi_{00}^a(T) = \{1\}, \ \pi_{00}^a(f(T)) = \{1\}.$
- (7) If T := U and  $f \equiv 0$ . Then  $\sigma(T) = \mathcal{D}$ ,  $\sigma_p(T) = \phi$ ,  $\sigma(f(T)) = \{0\}$  and  $\pi_0(f(T)) = \{0\}$ . So T is isoloid and polaroid, but  $\sigma(f(T)) \setminus \pi_0(f(T)) \not\supseteq f(\sigma(T) \setminus \pi_0(T))$ .
- (8) If  $T := I \oplus \frac{1}{2}S_1 \oplus (S_2 I)$  on  $\mathscr{H} = l_2(N) \oplus l_2(N) \oplus l_2(N)$  and  $f(z) = z^2$ . Then  $\sigma(T) = \{1\} \cup \{z : |z| \leq \frac{1}{2}\} \cup \{-1\}, \ \pi_0(T) = \{1\}, \ \sigma(f(T)) = \{1\} \cup \{z : |z| \leq \frac{1}{4}\}, \ \pi_0(f(T)) = \{1\}$ . So *T* is not isoloid, and

$$\sigma(f(T))\setminus \pi_0(f(T)) = \{z : |z| \leq \frac{1}{4}\} \not\supseteq f(\sigma(T)\setminus \pi_0(T)).$$

- (9) If T := U and and  $f \equiv 0$ . Then  $\sigma(T) = \mathscr{D}$ ,  $\sigma_a(T) = \partial \mathscr{D}$ ,  $\pi_0^a(T) = \phi$ ,  $\sigma_a(f(T)) = \pi_0^a(f(T)) = \{0\}$ . So *T* is *a*-isoloid and *a*-polaroid, but  $\sigma_a(f(T)) \setminus \pi_0^a(f(T)) \not\supseteq f(\sigma_a(T) \setminus \pi_0^a(T))$ .
- (10) If  $T := I \oplus \frac{1}{2}S_1 \oplus (S_2 I)$  on  $\mathscr{H} = l_2(N) \oplus l_2(N) \oplus l_2(N)$  and  $f(z) = z^2$ . Then  $\sigma_a(T) = \{1\} \cup \{z : |z| = \frac{1}{2}\} \cup \{-1\}, \ \pi_0^a(T) = \{1\}, \ \sigma_a(f(T)) = \{1\} \cup \{z : |z| = \frac{1}{4}\}, \ \pi_0^a(f(T)) = \{1\}.$  So *T* is not *a*-isoloid, and  $\sigma_a(f(T)) \setminus \pi_0^a(f(T)) = \{z : |z| = \frac{1}{4}\} \not\supseteq f(\sigma_a(T) \setminus \pi_0^a(T)).$
- (11) If T := U and  $f \equiv 0$ . Then  $\sigma(T) = \sigma_{bw}(T) = \mathscr{D}$ , and  $\sigma_{bw}(f(T)) = \phi$ . So T is isoloid and polaroid,  $\sigma_{bw}(f(T)) \neq f(\sigma_{bw}(T)) = \{0\}$  and  $f(T) = 0 \in (gW)$ .
- (12) If T := U and  $f \equiv 0$ . Then  $\sigma_a(T) = \sigma_{ubw}(T) = \partial \mathcal{D}$ , and  $\sigma_{ubw}(f(T)) = \phi$ . So T is *a*-isoloid and *a*-polaroid,  $\sigma_{ubw}(f(T)) \neq f(\sigma_{ubw}(T)) = \{0\}$  and  $f(T) = 0 \in (gaW)$ .

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