# A BISHOP-PHELPS-BOLLOBÁS TYPE PROPERTY FOR MINIMUM ATTAINING OPERATORS

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Abstract. In this article, we study the Bishop-Phelps-Bollobás type theorem for minimum attaining operators. More explicitly, if we consider a bounded linear operator T on a Hilbert space H and a unit vector  $x_0 \in H$  such that  $||Tx_0||$  is very close to the minimum modulus of T, then T and  $x_0$  are simultaneously approximated by a minimum attaining operator S on H and a unit vector  $y \in H$  for which ||Sy|| is equal to the minimum modulus of S. Further, we extend this result to a more general class of densely defined closed operators (need not be bounded) in Hilbert space. As a consequence, we get the denseness of the set of minimum attaining operators in the class of densely defined closed operators with respect to the gap metric.

## 1. Introduction

The renowned Bishop-Phelps theorem states that the space of norm attaining functionals on a Banach space is dense in the dual of the Banach space. Bollobás gave a quantitative version of the Bishop-Phelps theorem, which is known as the Bishop-Phelps-Bollobás theorem.

The operator version of the Bishop-Phelps theorem asks whether the class of all norm attaining operators between any two Banach spaces is dense in the space of all bounded linear operators between the Banach spaces with respect to the operator norm. There are several authors who have studied the operator version of Bishop-Phelps theorem on various Banach spaces, for example [1, 3, 10]. In general, the operator version of the Bishop-Phelps theorem need not hold. Lindenstrauss [10] gave a counter example which illustrated this fact. He also proved that the answer is affirmative if the domain space is reflexive.

Acosta et. al. [1] defined the notion of the Bishop-Phelps-Bollobás property (BPBP), which asserts that a pair of Banach spaces (X, Y) is said to have BPBP if for every  $\varepsilon > 0$ , there are  $\alpha(\varepsilon) > 0$  and  $\beta(\varepsilon) > 0$  with  $\beta(\varepsilon) \to 0$  as  $\varepsilon \to 0$  such that for every bounded linear operator *T* from *X* into *Y* with ||T|| = 1, if  $x_0 \in X$  with  $||x_0|| = 1$  such that  $||Tx_0|| > 1 - \alpha(\varepsilon)$ , then there exist  $x_{\varepsilon} \in X$ ,  $||x_{\varepsilon}|| = 1$  and a bounded linear operator *S* from *X* into *Y* with ||S|| = 1 such that

 $||Sx_{\varepsilon}|| = 1, ||x_{\varepsilon} - x_0|| < \beta(\varepsilon) \text{ and } ||T - S|| < \varepsilon.$ 

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It is proved by Chang and Dong [3] that for every Hilbert space H, (H,H) have the BPB property.

If *T* is a bounded linear operator on a Hilbert space *H*, then the minimum modulus of *T* is defined by  $m(T) = \inf\{||Tx|| : x \in H, ||x|| = 1\}$ . In this article, we introduce the minimum attaining analog of BPBP on Hilbert spaces. In particular, we show that:

Let *T* be a bounded linear operator on a Hilbert space *H* with m(T) > 0. Then for all  $\varepsilon \in (0, m(T))$  and a unit vector  $x_0$  in *H* satisfying

$$\|Tx_0\| < m(T) + \varepsilon, \tag{1.1}$$

there exist a bounded linear operator  $T_{\varepsilon}$  on H and a unit vector  $x_{\varepsilon}$  in H satisfying the following;

1.  $||T_{\varepsilon}x_{\varepsilon}|| = m(T_{\varepsilon}) = m(T)$ ,

2. 
$$||T-T_{\varepsilon}|| < \eta(\varepsilon,T)$$
,

3. 
$$||x_0-x_{\varepsilon}|| < \gamma(\varepsilon,T)$$
,

where  $\eta(\varepsilon, T), \gamma(\varepsilon, T) \to 0$  as  $\varepsilon \to 0$ .

In case, if m(T) = 0 then for all  $\varepsilon > 0$  and a unit vector  $x_0$  satisfying (1.1), there exists a bounded operator  $T_{\varepsilon}$  on H satisfying all the conditions (1), (2) and (3).

Later we extend this notion to a more general class of densely defined closed operators defined between Hilbert spaces.

This article is divided into four sections. In section 2, we set up some notations and terminologies. In section 3, we deal with the BPBP analog of bounded minimum attaining operators in the space of all bounded linear operators on a Hilbert space. In section 4, we extend the results of section 3 to the class of densely defined closed operators.

# 2. Preliminaries

In this article, we deal with complex Hilbert spaces, which are denoted by  $H, H_1, H_2$  etc. If M is a subspace of H, then the unit sphere in M is defined by  $S_M := \{x \in M : ||x|| = 1\}$ .

By a linear operator from  $H_1$  to  $H_2$ , we mean a linear mapping T whose domain D(T) and range R(T) are subspaces of  $H_1$  and  $H_2$ , respectively. It is called densely defined, if  $\overline{D(T)} = H_1$ . For every densely defined linear operator T, there exist a unique linear operator  $T^*$  called the *adjoint* of T, which satisfies

$$\langle Tx, y \rangle = \langle x, T^*y \rangle$$
, for  $x \in D(T), y \in D(T^*)$ ,

where  $D(T^*) = \{y \in H_2 : x \to \langle Tx, y \rangle \text{ is a continuous functional on } D(T) \}$ .

The graph  $\mathscr{G}(T)$  of a linear operator T from  $H_1$  to  $H_2$  is the subspace  $\{(x,Tx): x \in D(T)\}$  of  $H_1 \oplus H_2$ . A linear operator T is said to be *closed* if  $\mathscr{G}(T)$  is a closed subspace of  $H_1 \oplus H_2$ . We denote the class of closed linear operators from  $H_1$  to  $H_2$  by  $\mathscr{C}(H_1, H_2)$ . In particular,  $\mathscr{C}(H) := \mathscr{C}(H, H)$ . By the closed graph theorem, a linear

operator T is bounded if and only if T is closed and D(T) = H. We denote the class of bounded linear operators from  $H_1$  to  $H_2$  by  $\mathscr{B}(H_1, H_2)$  and  $\mathscr{B}(H, H)$  is simply denoted by  $\mathscr{B}(H)$ .

Let  $T \in \mathscr{C}(H_1, H_2)$  be a densely defined injective operator. Then the inverse of T is the linear map from R(T) into  $H_1$ , satisfying  $T^{-1}Tx = x$  for all  $x \in D(T)$ . In addition, if T is onto, then  $T^{-1} \in \mathscr{B}(H_2, H_1)$  and in addition satisfy  $TT^{-1}y = y$  for all  $y \in H_2$ .

An operator  $A \in \mathscr{B}(H_1, H_2)$  is called an *isometry*, if ||Ax|| = ||x||, for every  $x \in H_1$ and is a *partial isometry*, if  $A|_{N(A)^{\perp}}$  is an isometry, where N(A) denotes the null space of *A*. For the partial isometry *A*,  $N(A)^{\perp}$  is called the *initial space* and R(A) is called the *final space*.

A linear operator *S* is called an *extension* of *T*, if  $D(T) \subseteq D(S)$  and Sx = Tx, for all  $x \in D(T)$ . It is denoted by  $T \subseteq S$ . In addition if D(S) = D(T), then S = T. A linear operator *T* in *H* is said to be *normal* if *T* is densely defined, closed and  $T^*T = TT^*$ . If  $T = T^*$ , then it is called *self-adjoint*. If *T* is self-adjoint and  $\langle Tx, x \rangle \ge 0$ , for every  $x \in D(T)$ , then *T* is called a *positive* operator.

THEOREM 2.1. [12, Theorem 13.31] If  $T \in \mathcal{C}(H)$  is a densely defined positive operator, then there exists a unique positive operator  $S \in \mathcal{C}(H)$  such that  $S^2 = T$ . This unique S is denoted by  $\sqrt{T}$ .

THEOREM 2.2. [2, Theorem 2, Page 184] Let  $T \in \mathscr{C}(H_1, H_2)$  be a densely defined operator. Then there exists a unique partial isometry  $V : H_1 \to H_2$  with the initial space  $N(T)^{\perp}$  and the final space  $\overline{R(T)}$  such that

$$T = V|T|, \text{ where } |T| = \sqrt{T^*T}.$$
(2.1)

Note that D(T) = D(|T|). The Equation (2.1) is called the *polar decomposition* of *T*.

Let  $\Sigma$  be a  $\sigma$ -algebra of subsets of a set X and H be a Hilbert space. A *spectral measure* for  $(X, \Sigma, H)$  is a map  $E : \Sigma \to \mathscr{B}(H)$  such that

- 1. For each  $\omega \in \Sigma$ ,  $E(\omega)$  is an orthogonal projection.
- 2.  $E(\emptyset) = 0, E(X) = I.$
- 3.  $E(\omega_1 \cap \omega_2) = E(\omega_1)E(\omega_2)$ , for all  $\omega_1, \omega_2 \in \Sigma$ .
- 4. If  $\{\omega_n\}_{n=1}^{\infty}$  is a sequence of mutually disjoint sets in  $\Sigma$ , then

$$E\left(\bigcup_{n=1}^{\infty}\omega_n\right)=\sum_{n=1}^{\infty}E(\omega_n),$$

where the series on the right hand side converges in the strong operator topology.

THEOREM 2.3. [12, Theorem 13.30] To every self-adjoint operator A in H, there corresponds a unique spectral measure E on the Borel subsets of real line, such that

$$A = \int_{-\infty}^{\infty} \lambda dE.$$

*Moreover, E is concentrated on*  $\sigma(A) \subset (-\infty, \infty)$ *, in the sense that*  $E(\sigma(A)) = I$ *.* 

The above theorem is called the spectral theorem for self-adjoint operators. For more detail about spectral theory, we refer [4, 12].

If T is a linear operator from  $H_1$  to  $H_2$ , then the *minimum modulus* of T is defined by  $m(T) = \inf\{||Tx|| : x \in S_{D(T)}\}$ .

It is well known that *T* has bounded inverse if and only if m(T) > 0. In this case  $||T^{-1}|| = 1/m(T)$ . For more details about minimum modulus, we refer to [13].

DEFINITION 2.4. [9, Definition 2.3] Let  $T \in \mathscr{C}(H_1, H_2)$  be a densely defined operator. Then *T* is called *minimum attaining*, if there exists  $x_0 \in S_{D(T)}$  such that  $||Tx_0|| = m(T)$ .

Among bounded operators, finite rank operators, partial isometries, all non injective operators are always minimum attaining. In fact, the set of all bounded minimum attaining operators is dense in the space all bounded operators with respect to the operator norm. For more details of this class, we refer to [5, 9].

#### 3. Bounded operators

This section is dedicated to the Bishop-Phelps type theorem for the minimum attaining operators in  $\mathscr{B}(H)$ . First, we prove a quantitative version of the Bishop-Phelps theorem for norm attaining operators. To some extent, this result is same as the one proved in [3, Theorem 3.1]. We need a few observations from this result which we use in proving our further results.

THEOREM 3.1. Let  $0 < \varepsilon < 1/2$ . For every self adjoint operator  $T \in \mathscr{B}(H)$  and  $x_0 \in S_H$  such that  $||Tx_0|| > ||T||(1-\varepsilon)$ , there exist a self adjoint operator  $S \in \mathscr{B}(H)$  and  $x_{\varepsilon} \in S_H$  such that

- 1.  $||Sx_{\varepsilon}|| = ||S|| = ||T||$ ,
- 2.  $||S T|| < C\sqrt{2\varepsilon}$ , for some constant C > 2||T||,
- 3.  $||x_0 x_{\varepsilon}|| < \sqrt{2\varepsilon} + \sqrt[4]{2\varepsilon}$ .

Moreover, we have the following;

(a) If T is positive, then S is positive.

$$(b) \ N(T) = N(S).$$

(c)  $m(S) \ge m(T)$ .

*Proof.* Without loss of generality, we assume that ||T|| = 1. Suppose E is the spectral measure associated with T. Define  $\omega_1 = \sigma(T) \cap [-1, -(1 - \sqrt{2\varepsilon})]$ ,  $\omega_2 = \sigma(T) \cap [(1 - \sqrt{2\varepsilon}), 1]$  and  $\omega_3 = \sigma(T) \cap (-(1 - \sqrt{2\varepsilon}), (1 - \sqrt{2\varepsilon}))$ . Note that  $\omega_1, \omega_2$  and  $\omega_3$  are mutually disjoint. Next, define

$$S = [-E(\omega_1) + E(\omega_2)] + TE(\omega_3). \tag{3.1}$$

Clearly, *S* is self-adjoint, as it is the sum of self-adjoint operators.

Let  $x_0 = x_1 + x_2$ , where  $x_1 \in R(E(\omega_1 \cup \omega_2))$  and  $x_2 \in R(E(\omega_3))$ . Let  $x_{\varepsilon} = x_1/||x_1||$ . Observe that  $||Sx_{\varepsilon}|| = 1$  and

$$S-T = \int_{\omega_1} (-1-\lambda) dE(\lambda) + \int_{\omega_2} (1-\lambda) dE(\lambda).$$

Note that if  $\lambda \in \omega_1$ , then  $-1 \leq \lambda \leq -(1 - \sqrt{2\varepsilon})$  so that  $\sup_{\lambda \in \omega_1} |1 + \lambda| = \sqrt{2\varepsilon}$ . Similarly

 $\sup_{\lambda \in \omega_2} |1 - \lambda| = \sqrt{2\varepsilon}, \text{ so that }$ 

$$||S-T|| \leq \sup_{\lambda \in \omega_1} |1+\lambda| + \sup_{\lambda \in \omega_2} |1-\lambda| \leq 2\sqrt{2\varepsilon}.$$

Thus in (2) we can choose C > 2. Observe that  $||T|_{R(E(\omega_1 \cup \omega_2))}|| \le 1$  and  $||T|_{R(E(\omega_3))}|| \le (1 - \sqrt{2\varepsilon})$ , thus we get

$$(1-\varepsilon)^{2} < ||Tx_{0}||^{2} \leq ||x_{1}||^{2} + \left((1-\sqrt{2\varepsilon})||x_{2}||\right)^{2}$$
  
=  $(||x_{1}||^{2} + ||x_{2}||^{2}) + \left(2\varepsilon - 2\sqrt{2\varepsilon}\right)||x_{2}||^{2}$   
=  $1 + \left(2\varepsilon - 2\sqrt{2\varepsilon}\right)||x_{2}||^{2}.$ 

That is,  $\varepsilon^2 - 2\varepsilon < (2\varepsilon - 2\sqrt{2\varepsilon}) ||x_2||^2$ . From this inequality, on simplification we obtain,

$$||x_2||^2 < \frac{2\varepsilon - \varepsilon^2}{2(\sqrt{2\varepsilon} - \varepsilon)} = \frac{\sqrt{2\varepsilon} + \varepsilon}{2} \leqslant \sqrt{2\varepsilon}.$$

Consequently, we have

$$||x_1|| = \sqrt{1 - ||x_2||^2} > \sqrt{1 - \sqrt{2\varepsilon}} \ge 1 - \sqrt{2\varepsilon},$$

and

$$||x_0 - x_{\varepsilon}|| = ||x_1 - (x_1/||x_1||) + x_2|| \le 1 - ||x_1|| + ||x_2|| < \sqrt{2\varepsilon} + \sqrt[4]{2\varepsilon}.$$

*Proof of* (a): Suppose T is positive. Then  $\sigma(T) \subseteq [0,1]$  and the operator in Equation (3.1) takes the form

$$S = E(\omega_2) + TE\left(\sigma(T) \cap [0, (1 - \sqrt{2\varepsilon}))\right).$$
(3.2)

For every  $x \in H$ , we have  $x = x_1 + x_2$ , where  $x_1 \in R[E(\omega_2)]$ ,  $x_2 \in R[E(\sigma(T) \cap [0, (1 - \sqrt{2\varepsilon})))]$ . Hence

$$\langle Sx, x \rangle = \|x_1\|^2 + \langle Tx_2, x_2 \rangle \geqslant \langle Tx, x \rangle.$$
(3.3)

The above inequality implies that *S* is positive, whenever *T* is positive. By the definition of the minimum modulus, we can easily verify that  $m(S) \ge m(T)$ .

*Proof of* (b): Let  $x \in N(S)$ . Then  $x = x_1 + x_2 + x_3$ , where  $x_1 \in R(E(\omega_1)), x_2 \in R(E(\omega_2))$  and  $x_3 \in R(E(\omega_3))$ . For i = 1, 2, we have  $(-1)^i ||x_i||^2 = \langle Sx, x_i \rangle = 0$ , which implies  $x_i = 0$ . Thus we get  $Tx = Tx_3 = Sx_3 = 0$  and consequently  $N(S) \subseteq N(T)$ .

Conversely, if  $y \in N(T)$ , then  $y \in R(E\{0\}) \subseteq R(E(\omega_3))$ , by [2, Theorem 4, Page 155]. This gives Sy = Ty = 0. Hence  $N(T) \subseteq N(S)$ .

*Proof of* (c): Let *T* be an arbitrary element of  $\mathscr{B}(H)$  and T = W|T| be its polar decomposition. Let  $S_1$  be the operator defined in (3.2) corresponding to the operator |T|. That is,

$$S_1 = E\left(\sigma(|T|) \setminus [0, (1 - \sqrt{2\varepsilon}))\right) + |T| E\left(\sigma(|T|) \cap [0, (1 - \sqrt{2\varepsilon}))\right).$$

Let  $S = WS_1$ . Then  $m(S_1) \ge m(|T|) = m(T)$ . By part (b), we have  $N(S_1) = N(|T|) = N(T)$ . It can be easily verified that  $N(S) = N(S_1)$ .

For  $y \in H$ , we have  $y = y_1 + y_2$ , where  $y_1 \in N(T)$  and  $y_2 \in N(T)^{\perp}$ . Hence

$$||Sy|| = ||WS_1y_1 + WS_1y_2|| = ||WS_1y_2|| = ||S_1y||.$$

The above equality implies that  $m(S) = m(S_1) \ge m(T)$ .  $\Box$ 

Remark 3.2.

- 1. Given  $\varepsilon > 0$ , it is possible to find a unit vector  $x_0$  such that  $||Tx_0|| > ||T||(1-\varepsilon)$  by the definition of the norm
- 2. If we do not assume ||T|| = 1 in Theorem 3.1, we have to define S as  $S = ||T||[E(\omega_2) E(\omega_1)] + TE(\omega_3)$ .

The following result is a Bishop-Phelps type theorem for minimum attaining operators.

THEOREM 3.3. Let  $T \in \mathscr{B}(H)$  be a positive operator,  $0 < \varepsilon < m(T)$  and  $x_0 \in S_H$  with

$$\|Tx_0\| < m(T) + \varepsilon. \tag{3.4}$$

Then there exist a positive operator  $T_{\varepsilon} \in \mathscr{B}(H)$  and  $x_{\varepsilon} \in S_H$  satisfying the following.

- $I. ||T_{\varepsilon}x_{\varepsilon}|| = m(T_{\varepsilon}) = m(T),$
- 2.  $||T T_{\varepsilon}|| < \eta(\varepsilon, T)$ ,

3.  $||x_0-x_{\varepsilon}|| < \gamma(\varepsilon,T)$ ,

where  $\eta(\varepsilon, T), \gamma(\varepsilon, T) \to 0$  as  $\varepsilon \to 0$ .

*Proof.* Note that *T* is invertible and  $T^{-1} \in \mathscr{B}(H)$ , hence  $Tx_0 \neq 0$ . By inequality (3.4), and the fact that  $m(T) = 1/||T^{-1}||$ ,  $T^{-1}$  satisfies the following condition;

$$\left\|T^{-1}\frac{Tx_0}{\|Tx_0\|}\right\| > \|T^{-1}\|(1-\delta), \text{ where } \delta = \frac{\varepsilon}{m(T)+\varepsilon}.$$
(3.5)

As  $0 < \varepsilon < m(T)$  we get  $0 < \delta < 1/2$ . By Theorem 3.1, there exist a positive operator  $S_{\varepsilon} \in \mathscr{B}(H)$  and  $x_{\varepsilon}^{1} \in S_{H}$ , such that

$$\|S_{\varepsilon}x_{\varepsilon}^{1}\| = \|S_{\varepsilon}\| = \|T^{-1}\|,$$
(3.6)

$$||T^{-1} - S_{\varepsilon}|| < C\sqrt{2\delta} \text{ for some constant } C > 0$$
(3.7)

and

$$\left\|x_{\varepsilon}^{1} - \frac{Tx_{0}}{\|Tx_{0}\|}\right\| < \sqrt{2\delta} + \sqrt[4]{2\delta}.$$
(3.8)

As a consequence of part (c) of Theorem 3.1,  $S_{\varepsilon}^{-1}$  exists. Define  $T_{\varepsilon} := S_{\varepsilon}^{-1}$  and  $x_{\varepsilon} := \frac{S_{\varepsilon} x_{\varepsilon}^{1}}{\|S_{\varepsilon} x_{\varepsilon}^{1}\|}$ . It can be easily seen from Equation (3.6) that

$$\left\| T_{\varepsilon} \frac{S_{\varepsilon} x_{\varepsilon}^{1}}{\|S_{\varepsilon} x_{\varepsilon}^{1}\|} \right\| = \frac{\|x_{\varepsilon}^{1}\|}{\|S_{\varepsilon} x_{\varepsilon}^{1}\|} = \frac{1}{\|S_{\varepsilon}\|}$$
$$= m \left( S_{\varepsilon}^{-1} \right) = m(T_{\varepsilon}) = m(T).$$

We know that the inverse of a positive operator is positive, hence  $T_{\varepsilon}$  is positive.

By part (c) of Theorem 3.1, we have  $m(S_{\varepsilon}) \ge m(T^{-1})$ . Using this inequality and relations (3.6), (3.7) we get that

$$\begin{split} \|T_{\varepsilon} - T\| &= \|T_{\varepsilon}(T^{-1} - T_{\varepsilon}^{-1})T\| \leq \|S_{\varepsilon}^{-1}\| \|T^{-1} - S_{\varepsilon}\| \|T\| \\ &\leq \frac{1}{m(S_{\varepsilon})} \|T^{-1} - S_{\varepsilon}\| \|T\| \\ &< C\|T\|^2 \sqrt{2\delta} \\ &= \eta(\varepsilon, T), \end{split}$$

where  $\eta(\varepsilon,T) = ||T||^2 C \sqrt{2\delta}$ . From the inequality (3.5), it is easy to see that  $\delta \to 0$  as  $\varepsilon \to 0$ . Consequently,  $\eta(\varepsilon,T) \to 0$  as  $\varepsilon \to 0$ .

From inequalities (3.4), (3.6), (3.7) and (3.8) we have the following estimate;

$$\begin{split} |x_{\varepsilon} - x_{0}|| &= \left\| \frac{S_{\varepsilon} x_{\varepsilon}^{1}}{||S_{\varepsilon} x_{\varepsilon}^{1}||} - x_{0} \right\| \\ &\leq \left\| \frac{S_{\varepsilon} x_{\varepsilon}^{1}}{||S_{\varepsilon} x_{\varepsilon}^{1}||} - \frac{T^{-1} x_{\varepsilon}^{1}}{||S_{\varepsilon} x_{\varepsilon}^{1}||} \right\| + \left\| \frac{T^{-1} x_{\varepsilon}^{1}}{||S_{\varepsilon} x_{\varepsilon}^{1}||} - \frac{x_{0}}{||Tx_{0}|| ||S_{\varepsilon} x_{\varepsilon}^{1}||} \right\| \\ &+ \left\| \frac{x_{0}}{||Tx_{0}|| ||S_{\varepsilon} x_{\varepsilon}^{1}||} - x_{0} \right\| \\ &\leq \frac{||S_{\varepsilon} - T^{-1}||}{||S_{\varepsilon} x_{\varepsilon}^{1}||} + \frac{||T^{-1}||}{||S_{\varepsilon} x_{\varepsilon}^{1}||} \left\| x_{\varepsilon}^{1} - \frac{Tx_{0}}{||Tx_{0}||} \right\| \\ &+ \frac{||x_{0}||}{||Tx_{0}|| ||S_{\varepsilon} x_{\varepsilon}^{1}||} \left\| 1 - ||Tx_{0}|| ||S_{\varepsilon} x_{\varepsilon}^{1}|| \right\| \\ &< m(T)C\sqrt{2\delta} + \sqrt{2\delta} + \sqrt{2\delta} + \frac{m(T)}{||Tx_{0}||} \frac{|m(T) - ||Tx_{0}|||}{m(T)} \\ &\leq m(T)C\sqrt{2\delta} + \sqrt{2\delta} + \sqrt{2\delta} + \frac{|m(T) - ||Tx_{0}|||}{m(T)}, \text{ as } ||Tx_{0}|| \ge m(T), \\ &= \gamma(\varepsilon.T), \end{split}$$

where  $\gamma(\varepsilon, T) = Cm(T)\sqrt{2\delta} + \sqrt{2\delta} + \sqrt[4]{2\delta} + \varepsilon/m(T)$ . Again using the fact from inequality (3.5) that  $\delta \to 0$  as  $\varepsilon \to 0$ , we conclude that  $\gamma(\varepsilon, T) \to 0$  as  $\varepsilon \to 0$ .  $\Box$ 

REMARK 3.4. Here we explain how to get explicitly  $T_{\varepsilon}$  satisfying the conclusions of Theorem 3.1. By (2) of Remark 3.2, we have

$$S_{\varepsilon} = \begin{bmatrix} \|T^{-1}\|E(\Delta_1) & 0\\ 0 & T^{-1}|_{R[E(\Delta_2)]} \end{bmatrix},$$

where  $\Delta_1 = \sigma(T^{-1}) \cap (||T^{-1}||(1-\sqrt{2\delta}), ||T^{-1}||], \Delta_2 = \sigma(T^{-1}) \cap [m(T), ||T^{-1}||(1-\sqrt{2\delta})]$  and *E* is the spectral measure corresponding to *T*. Note that

$$\begin{aligned} \Delta_{1} &= \sigma(T^{-1}) \cap (\|T^{-1}\|(1-\sqrt{2\delta}), \|T^{-1}\|] \\ &= \left\{ \mu \in \sigma(T) : \|T^{-1}\|(1-\sqrt{2\delta}) < \mu^{-1} \leqslant \|T^{-1}\| \right\} \\ &= \left\{ \mu \in \sigma(T) : m(T) \leqslant \mu < \frac{m(T)}{1-\sqrt{2\delta}} = m(T) + \frac{m(T)\sqrt{2\delta}}{1-\sqrt{2\delta}} \right\} \\ &= \sigma(T) \cap \left[ m(T), m(T) + m(T) \frac{\sqrt{2\delta}}{1-\sqrt{2\delta}} \right). \end{aligned}$$

Similarly,

$$\Delta_2 = \sigma(T^{-1}) \cap [m(T^{-1}), ||T^{-1}||(1 - \sqrt{2\delta})]$$
  
=  $\sigma(T) \cap \left[ m(T) + m(T) \frac{\sqrt{2\delta}}{1 - \sqrt{2\delta}}, ||T|| \right].$ 

Thus

$$T_{\varepsilon} = \begin{bmatrix} m(T)E\left(\sigma(T) \cap [m(T), m(T) + \delta_{1}]\right) & 0\\ 0 & T|_{R[E(\sigma(T) \setminus [m(T), m(T) + \delta_{1}])]} \end{bmatrix},$$
(3.9)

where  $\delta_1 = (m(T)\sqrt{2\delta})/(1-\sqrt{2\delta})$ .

THEOREM 3.5. Let  $T \in \mathscr{B}(H)$ ,  $0 < \varepsilon < m(T)$  and  $x_0 \in S_H$  with

$$\|Tx_0\| < m(T) + \varepsilon. \tag{3.10}$$

Then there exist  $T_{\varepsilon} \in \mathscr{B}(H)$  and  $x_{\varepsilon} \in S_H$  satisfying the following.

- 1.  $||T_{\varepsilon}x_{\varepsilon}|| = m(T_{\varepsilon}) = m(T)$ ,
- 2.  $||T-T_{\varepsilon}|| < \eta(\varepsilon,T)$ ,
- 3.  $||x_0 x_{\varepsilon}|| < \gamma(\varepsilon, T)$ ,

where  $\eta(\varepsilon, T), \gamma(\varepsilon, T) \rightarrow 0$  as  $\varepsilon \rightarrow 0$ .

*Proof.* Let T = V|T| be the polar decomposition of T. As m(T) > 0, T must be bounded below. In this case  $N(V) = N(T) = \{0\}$ . Hence V is an isometry. As m(T) = m(|T|) and  $|||T|x_0|| = ||Tx_0||$ , by applying Theorem 3.3 to |T|, we can find  $x_{\varepsilon} \in S_H$  and  $S_{\varepsilon} \in \mathscr{B}(H)$  satisfying the conditions stated in Theorem 3.3.

Next, let  $T_{\varepsilon} = VS_{\varepsilon}$ . Since V is an isometry, we have  $m(S_{\varepsilon}) = m(T_{\varepsilon})$  and

$$||T_{\varepsilon}x_{\varepsilon}|| = ||VS_{\varepsilon}x_{\varepsilon}|| = ||S_{\varepsilon}x_{\varepsilon}|| = m(T_{\varepsilon}) = m(T)$$

Next,  $||T_{\varepsilon} - T|| = ||V(S_{\varepsilon} - |T|)|| = ||S_{\varepsilon} - |T||| < \eta(\varepsilon, T)$ . This completes the proof.  $\Box$ 

Next we study the case when m(T) = 0.

THEOREM 3.6. Let  $\varepsilon > 0$ . Suppose  $T \in \mathscr{B}(H)$  is a positive operator, m(T) = 0and  $x_0 \in S_H$  with

$$\|Tx_0\| < m(T) + \varepsilon. \tag{3.11}$$

Then there exist a positive operator  $T_{\varepsilon} \in \mathscr{B}(H)$  and  $x_{\varepsilon} \in S_H$  satisfying the following.

- 1.  $||T_{\varepsilon}x_{\varepsilon}|| = m(T_{\varepsilon}) = m(T)$ ,
- 2.  $||T-T_{\varepsilon}|| < \eta(\varepsilon,T)$ ,
- 3.  $||x_0 x_{\varepsilon}|| < \gamma(\varepsilon, T)$ ,

where  $\eta(\varepsilon, T), \gamma(\varepsilon, T) \to 0$  as  $\varepsilon \to 0$ .

*Proof.* Consider  $S_{\varepsilon} = T + 2\varepsilon I$ . It is easy to see that  $S_{\varepsilon}$  is a positive operator and  $m(S_{\varepsilon}) = 2\varepsilon$ . From the condition (3.11), we get

$$\begin{aligned} \|S_{\varepsilon}x_0\| \leq \|Tx_0\| + 2\varepsilon \\ <\varepsilon + 2\varepsilon = \varepsilon + m(S_{\varepsilon}). \end{aligned}$$

Note that  $0 < \varepsilon < m(S_{\varepsilon})$ . By Theorem 3.3, there exist a positive operator  $T_{\varepsilon}^1 \in \mathscr{B}(H)$  and  $x_{\varepsilon} \in S_H$  such that

$$\|T_{\varepsilon}^{1}x_{\varepsilon}\| = 2\varepsilon = m(T_{\varepsilon}^{1}), \|T_{\varepsilon}^{1} - S_{\varepsilon}\| < \eta(\varepsilon, T) \text{ and } \|x_{0} - x_{\varepsilon}\| < \gamma(\varepsilon, T),$$
(3.12)

with the condition that  $\eta(\varepsilon, T), \gamma(\varepsilon, T) \to 0$  as  $\varepsilon \to 0$ .

Since  $T_{\varepsilon}^{1}$  is a positive operator and  $m(T_{\varepsilon}^{1}) = 2\varepsilon$ , it follows that  $T_{\varepsilon}^{1}x_{\varepsilon} = (2\varepsilon)x_{\varepsilon}$  by [7, Proposition 3.9].

Take  $T_{\varepsilon} := T_{\varepsilon}^{1} - (2\varepsilon)I$ . Note that  $T_{\varepsilon}$  is a positive operator and  $||T_{\varepsilon}x_{\varepsilon}|| = ||T_{\varepsilon}^{1}x_{\varepsilon} - (2\varepsilon)Ix_{\varepsilon}|| = 0 = m(T_{\varepsilon}) = m(T)$ . By (3.12), we have that

$$\begin{aligned} \|T_{\varepsilon} - T\| &= \left\|T_{\varepsilon}^{1} - 2\varepsilon I - S_{\varepsilon} + 2\varepsilon I\right\| \\ &= \|T_{\varepsilon}^{1} - S_{\varepsilon}\| \\ &< \eta(\varepsilon, T). \quad \Box \end{aligned}$$

REMARK 3.7. Here we indicate a procedure to get  $T_{\varepsilon}$  satisfying conclusions of Theorem 3.6. By Remark 3.4, we have

$$T_{\varepsilon}^{1} = \begin{bmatrix} m(S_{\varepsilon})E\left(\sigma(S_{\varepsilon}) \cap [2\varepsilon, 2\varepsilon + \alpha(\varepsilon)]\right) & 0\\ 0 & S_{\varepsilon}|_{R[E(\sigma(S_{\varepsilon}) \setminus [2\varepsilon, 2\varepsilon + \alpha(\varepsilon)])]} \end{bmatrix},$$

for some function  $\alpha(\varepsilon)$  of  $\varepsilon$ . Observe that

$$2\varepsilon I = 2\varepsilon E\left(\sigma(S_{\varepsilon}) \cap [2\varepsilon, 2\varepsilon + \alpha(\varepsilon)]\right) + 2\varepsilon E\left(\sigma(S_{\varepsilon}) \setminus [2\varepsilon, 2\varepsilon + \alpha(\varepsilon)]\right)$$

We know that  $(S_{\varepsilon} - 2\varepsilon I)|_{R[E(\sigma(S_{\varepsilon}) \setminus [2\varepsilon, 2\varepsilon + \alpha(\varepsilon)])]} = T|_{R[E(\sigma(S_{\varepsilon}) \setminus [2\varepsilon, 2\varepsilon + \alpha(\varepsilon)])]}$  and  $\sigma(S_{\varepsilon}) \setminus [2\varepsilon, 2\varepsilon + \alpha(\varepsilon)] = \sigma(T) \setminus [0, \alpha(\varepsilon)]$ . Thus

$$T_{\varepsilon} = \begin{bmatrix} 0 & 0 \\ 0 & T |_{R[E(\sigma(T) \setminus [0, \alpha(\varepsilon)])]} \end{bmatrix}.$$
 (3.13)

THEOREM 3.8. Let  $\varepsilon > 0$ . Suppose  $T \in \mathscr{B}(H)$  with m(T) = 0 and  $x_0 \in S_H$  with

$$\|Tx_0\| < m(T) + \varepsilon. \tag{3.14}$$

Then there exist  $T_{\varepsilon} \in \mathscr{B}(H)$  and  $x_{\varepsilon} \in S_H$  satisfying the following.

 $1. ||T_{\varepsilon}x_{\varepsilon}|| = m(T_{\varepsilon}) = m(T),$ 

2. 
$$||T-T_{\varepsilon}|| < \eta(\varepsilon,T)$$
,

3.  $||x_0 - x_{\varepsilon}|| < \gamma(\varepsilon, T)$ ,

where  $\eta(\varepsilon, T), \gamma(\varepsilon, T) \to 0$  as  $\varepsilon \to 0$ .

*Proof.* Let T = V|T| be the polar decomposition of T. Using the fact that  $||T|x_0|| = ||Tx_0|| < m(T) + \varepsilon$  and earlier arguments, we conclude that there exist a positive operator  $\tilde{T}_{\varepsilon} \in \mathscr{B}(H)$  and  $x_{\varepsilon} \in S_H$  such that

$$\|\tilde{T}_{\varepsilon}x_{\varepsilon}\| = m(\tilde{T}_{\varepsilon}), \|\tilde{T}_{\varepsilon} - |T|\| < \eta(\varepsilon, T) \text{ and } \|x_0 - x_{\varepsilon}\| < \gamma(\varepsilon, T).$$

Define  $T_{\varepsilon} := V\tilde{T}_{\varepsilon}$ . From Equations (3.9), (3.13) and [2, Theorem 4, Page 155], we observe that  $N(T) \subseteq R(E\{0\}) \subseteq N(\tilde{T}_{\varepsilon})$ , where *E* is the spectral measure associated with |T|. That is,  $N(\tilde{T}_{\varepsilon})^{\perp} \subseteq N(T)^{\perp}$ .

Observe that  $||T_{\varepsilon}x_{\varepsilon}|| = ||V\tilde{T}_{\varepsilon}x_{\varepsilon}|| = ||\tilde{T}_{\varepsilon}x_{\varepsilon}|| = m(\tilde{T}_{\varepsilon}) = m(T_{\varepsilon})$ . Here we used the fact that  $V|_{N(\tilde{T}_{\varepsilon})^{\perp}}$  is an isometry.

Next,  $\|T_{\varepsilon} - T\| \leq \|V\| \|\tilde{T}_{\varepsilon} - |T|\| < \eta(\varepsilon, T)$ . This proves the result.  $\Box$ 

REMARK 3.9. Given  $\varepsilon > 0$  it is possible to find a unit vector  $x_0$  such that  $||Tx_0|| < m(T) + \varepsilon$  by the definition of the minimum modulus.

We illustrate Theorem 3.8 with a few examples.

EXAMPLE 3.10. Let  $0 < \varepsilon < 1$ . Consider the operator  $M: L^2[-1,1] \rightarrow L^2[-1,1]$  defined by

Mf(t) = tf(t) for  $t \in [-1,1], f \in L^2[-1,1].$ 

It is easy to check that m(M) = 0. We define a function  $g = (1/2\varepsilon^2)\chi_{(-\varepsilon^2,\varepsilon^2)}$ . Then  $g \in L^2[-1,1]$  and it satisfy

$$||Mg||_2 = \frac{\varepsilon}{\sqrt{6}} < \varepsilon = m(M) + \varepsilon.$$

Now, we show that M and g can be approximated by an operator  $M_{\varepsilon} \in \mathscr{B}(L^2[-1,1])$ and  $g_{\varepsilon} \in L^2[-1,1]$ , respectively. To deduce this, we define  $M_{\varepsilon} = MP_{\omega}$ , where

$$\boldsymbol{\omega} = \left\{ h \in L^2[-1,1] : \text{ support of } h \subseteq [-1,1] \setminus (-\varepsilon^2,\varepsilon^2) \right\},\$$

 $P_{\omega}$  is orthogonal projection onto  $\omega$  and  $g_{\varepsilon} := g$ . We observe that  $||M_{\varepsilon}g_{\varepsilon}|| = 0$  and

$$\|g - g_{\varepsilon}\|_{2} = 0 < \varepsilon,$$
  
$$\|M - M_{\varepsilon}\| \leq \left(\sup_{t \in (-\varepsilon^{2}, \varepsilon^{2})}\right)^{\frac{1}{2}} < \sqrt{2}\varepsilon^{2}.$$

EXAMPLE 3.11. Let  $0 < \varepsilon < 1$ . Consider the operator  $T : \ell^2(\mathbb{N}) \to \ell^2(\mathbb{N})$  defined

$$T(x_1, x_2, x_3, \ldots) = \left(x_1, \frac{x_2}{2}, \frac{x_3}{3}, \ldots\right) \text{ for } (x_1, x_2, x_3, \ldots) \in \ell^2(\mathbb{N}).$$

by

Note that  $\sigma(T) = \left\{\frac{1}{n} : n \in \mathbb{N}\right\} \cup \{0\}$  and  $m(T) = d(0, \sigma(T)) = \inf\{|\lambda| : \lambda \in \sigma(T)\} = 0.$ 

Suppose  $x_0 = \sum_{i=1}^{\infty} \alpha_i e_i \in \ell^2(\mathbb{N})$  satisfying  $||Tx_0|| < \varepsilon = m(T) + \varepsilon$ , where  $\{e_i\}_{i \in \mathbb{N}}$  is the standard othonormal basis for  $\ell^2(\mathbb{N})$  and  $\alpha_i$  is a scalar for every  $i \in \mathbb{N}$ . As  $\left(\frac{\alpha_i}{i}\right) \in \ell^2(\mathbb{N})$ , we get an  $n_{\varepsilon} \in \mathbb{N}$  such that  $\frac{1}{n_{\varepsilon}} < \varepsilon$  and  $\sum_{i=1}^{n_{\varepsilon}} \alpha_i^2 < \varepsilon^2$ .

Now we choose  $x_{\varepsilon} = \sum_{i=n_{\varepsilon}+1}^{\infty} \alpha_i e_i$  and define  $T_{\varepsilon} \in \mathscr{B}(\ell^2(\mathbb{N}))$  by

$$T_{\varepsilon}(x_1, x_2, \dots, x_{n_{\varepsilon}}, x_{n_{\varepsilon}+1} \dots) = (x_1, \frac{x_2}{2}, \dots, \frac{x_{n_{\varepsilon}}}{n_{\varepsilon}}, 0, \dots), \text{ for all } (x_n) \in \ell^2(\mathbb{N}).$$

It is easy to observe that  $||T_{\varepsilon}x_{\varepsilon}|| = 0$ ,  $||x_0 - x_{\varepsilon}|| < \varepsilon$  and

$$||T - T_{\varepsilon}|| = \sup_{i \ge n_{\varepsilon}+1} |1/i| = 1/(n_{\varepsilon}+1) < \varepsilon.$$

It can be easily shown that T is not minimum attaining.

Let us take  $\varepsilon = \frac{1}{3}$ ,  $x_0 = e_4$ . Then

$$||Te_4|| = \frac{1}{4} < \frac{1}{3} = m(T) + \varepsilon.$$

Now take  $x_{\varepsilon} = e_4$ . For  $n \ge n_0 = 4$  we have  $\frac{1}{n} < \varepsilon$ . Define

$$T_{\varepsilon}(x_1, x_2, x_3, \ldots) = \left(x_1, \frac{x_2}{2}, \frac{x_3}{3}, 0, \ldots\right) \text{ for } (x_n) \in \ell^2(\mathbb{N}).$$

Then

$$(T-T_{\mathcal{E}})(x_1,x_2,\ldots) = \left(0,0,0,\frac{x_4}{4},\frac{x_5}{5},\ldots\right).$$

Hence  $||T - T_{\varepsilon}|| = \frac{1}{4} < \varepsilon$ . Clearly  $||x_0 - x_{\varepsilon}|| = 0 < \varepsilon$ . If we take  $\varepsilon = \frac{1}{3}$ ,  $x_0 = e_5$ . Then

$$||Te_5|| = \frac{1}{5} < \frac{1}{3} = m(T) + \varepsilon.$$

For  $n \ge n_0 = 4$ ,  $\frac{1}{n} < \varepsilon$ . In this case, take  $x_{\varepsilon} = e_5$ . Define

$$T_{\varepsilon}(x_1, x_2, x_3, x_4, \ldots) = \left(x_1, \frac{x_2}{2}, \frac{x_3}{3}, \frac{x_4}{4}, 0, \ldots\right) \text{ for } (x_n) \in \ell^2(\mathbb{N}).$$

Then

$$(T-T_{\varepsilon})(x_1,x_2,\ldots) = \left(0,0,0,0,\frac{x_5}{5},\ldots\right).$$

Hence  $||T - T_{\varepsilon}|| = \frac{1}{5} < \varepsilon$ . Clearly  $||x_0 - x_{\varepsilon}|| = 0 < \varepsilon$ .

#### 4. Unbounded operators

In this section, we generalize the results of the earlier section to densely defined closed operators, which are not necessarily bounded. In this case, we have to discuss the approximation of operators in the gap topology. For this purpose first we define the gap between two closed subspaces of a Hilbert space.

Let M,N be two closed subspaces of a Hilbert space H. Define  $d(M,N) = \sup_{x \in S_M} \text{dist}(x, S_N)$ . The gap between M and N is defined by

$$\theta(M,N) = \max\{d(M,N), d(N,M)\}.$$

For  $T_1, T_2 \in \mathscr{C}(H_1, H_2)$ , the gap between  $T_1$  and  $T_2$  is defined by the gap between the corresponding graphs. That is,

$$\theta(T_1,T_2)=\theta(\mathscr{G}(T_1),\mathscr{G}(T_2)).$$

It is well known that  $\theta(\cdot, \cdot)$  is a metric on  $\mathscr{C}(H_1, H_2)$  and is called the gap metric. For more details about this metric we refer to [6, 8, 11].

PROPOSITION 4.1. [6, Theorem 2.20, Page 205] Let  $S, T \in \mathcal{C}(H)$ . Assume that both  $S^{-1}$  and  $T^{-1}$  exists. Then  $\theta(S,T) = \theta(T^{-1},S^{-1})$ .

PROPOSITION 4.2. [9, Theorem 3.1(2)] Let  $S, T \in \mathscr{C}(H_1, H_2)$  with D(S) = D(T). If  $S - T \in \mathscr{B}(H_1, H_2)$ , then  $\theta(S, T) \leq ||S - T||$ .

Next, we prove our main theorem in this section.

PROPOSITION 4.3. Let  $T \in \mathscr{C}(H)$  be positive. Let  $\varepsilon$  be such that  $\varepsilon \in (0, m(T))$  if m(T) > 0 and,  $\varepsilon > 0$  when m(T) = 0. Let  $x_0 \in S_{D(T)}$  with

$$\|Tx_0\| < m(T) + \varepsilon. \tag{4.1}$$

Then there exist a densely defined operator  $T_{\varepsilon} \in \mathscr{C}(H)$  which is positive and  $x_{\varepsilon} \in S_{D(T_{\varepsilon})}$  satisfying the following.

- 1.  $T_{\varepsilon}x_{\varepsilon} = m(T_{\varepsilon})x_{\varepsilon} = m(T)x_{\varepsilon}$ ,
- 2.  $||x_0-x_{\varepsilon}|| < \gamma(\varepsilon,T)$ ,
- 3.  $\theta(T,T_{\varepsilon}) < \eta(\varepsilon,T)$ ,

where  $\eta(\varepsilon, T), \gamma(\varepsilon, T) \to 0$  as  $\varepsilon \to 0$ .

*Proof.* Case (1): m(T) > 0: then  $T^{-1}$  exists and  $T^{-1} \in \mathscr{B}(H)$ . From the given condition (4.1), we deduce that

$$\left\|\frac{T^{-1}Tx_0}{\|Tx_0\|}\right\| > \|T^{-1}\|(1-\delta), \text{ where } \delta = \frac{\varepsilon}{m(T)+\varepsilon}.$$

As  $0 < \varepsilon < m(T)$ , we have  $0 < \delta < \frac{1}{2}$ . Hence by Theorem 3.1, there exist  $S_{\varepsilon} \in \mathscr{B}(H)$ and  $y_{\varepsilon} \in S_H$  such that

$$\|S_{\varepsilon}y_{\varepsilon}\| = \|S_{\varepsilon}\| = \|T^{-1}\|,$$
(4.2)

$$||T^{-1} - S_{\varepsilon}|| < C\sqrt{2\delta}, \text{ for some constant } C,$$

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$$||T^{-1} - S_{\varepsilon}|| < C\sqrt{2\delta}, \text{ for some constant } C,$$

$$\left\|\frac{Tx_0}{\|Tx_0\|} - y_{\varepsilon}\right\| < \sqrt{2\delta} + \sqrt[4]{2\delta}.$$
(4.4)

Also  $N(S_{\varepsilon}) = N(T^{-1}) = \{0\}$ . Hence  $S_{\varepsilon}^{-1} : R(S_{\varepsilon}) \to H$  exists. We define  $T_{\varepsilon} := S_{\varepsilon}^{-1}$ and  $x_{\varepsilon} := \frac{S_{\varepsilon}y_{\varepsilon}}{\|S_{\varepsilon}y_{\varepsilon}\|}$ . We have  $D(T_{\varepsilon}) = R(S_{\varepsilon})$  and as  $S_{\varepsilon}$  is injective, we have  $\overline{R(S_{\varepsilon})} = N(S_{\varepsilon})^{\perp} = H$ . Hence  $T_{\varepsilon}$  is a densely defined operator. It is clear that  $T_{\varepsilon} \in \mathscr{C}(H)$ . Using the positivity it can be shown that  $T_{\varepsilon}$  is a positive operator. By similar explanation as given in the Proof of Theorem 3.3, we get

$$\|T_{\varepsilon}x_{\varepsilon}\| = m(T_{\varepsilon}) (= m(T)) \text{ and} \\ \|x_{\varepsilon} - x_{0}\| < Cm(T)\sqrt{2\delta} + \sqrt{2\delta} + \sqrt[4]{2\delta} + \frac{\varepsilon}{m(T)} \\ < \gamma(\varepsilon, T),$$

where  $\gamma(\varepsilon, T) = Cm(T)\sqrt{2\delta} + \sqrt{2\delta} + \sqrt[4]{2\delta} + \frac{\varepsilon}{m(T)}$ . As  $T_{\varepsilon}$  is a positive operator, the equation  $||T_{\varepsilon}x_{\varepsilon}|| = m(T_{\varepsilon}) = m(T)$  implies that

$$T_{\varepsilon}x_{\varepsilon} = m(T_{\varepsilon})x_{\varepsilon} = m(T)x_{\varepsilon}$$
, by [7, Proposition 3.9].

Since  $N(T_{\varepsilon}) = \{0\}, R(T_{\varepsilon}) = D(S_{\varepsilon}) = H$ , we get that  $T_{\varepsilon}^{-1} : H \to R(S_{\varepsilon})$  exists and  $T_{\varepsilon}^{-1} = S_{\varepsilon}$ . By Proposition 4.1, we have the following inequality;

$$\theta(T_{\varepsilon},T) = \theta(S_{\varepsilon},T^{-1}) \leqslant ||S_{\varepsilon}-T^{-1}|| < C\sqrt{2\delta} =: \eta(\varepsilon,T).$$

*Case* (2): Let m(T) = 0. Define  $\hat{T} := T + 2\varepsilon I$ . Note that  $\hat{T}$  is positive,  $D(\hat{T}) = D(T)$  and  $m(\hat{T}) = 2\varepsilon$ . Also  $||\hat{T}x_0|| \le ||Tx_0|| + 2\varepsilon < \varepsilon + 2\varepsilon = \varepsilon + m(\hat{T})$ . By Case (1), there exist a positive operator  $T_2 \in \mathcal{C}(H), x_{\varepsilon} \in S_{D(T_2)}$  such that

$$T_2 x_{\varepsilon} = m(T_2) x_{\varepsilon} = m(\hat{T}) x_{\varepsilon}, \ \theta(\hat{T}, T_2) < \eta_1(\varepsilon, T) \text{ and } \|x - x_{\varepsilon}\| < \gamma(\varepsilon, T).$$

Define  $T_{\varepsilon} := T_2 - 2\varepsilon I$ . Clearly  $D(T_{\varepsilon}) = D(T_2)$ ,  $m(T_{\varepsilon}) = m(T_2) - 2\varepsilon = 0$  and by [7, Proposition 3.8]  $T_{\varepsilon}$  is positive. Also  $T_{\varepsilon}x_{\varepsilon} = T_2x_{\varepsilon} - 2\varepsilon x_{\varepsilon} = 0 = m(T_{\varepsilon})x_{\varepsilon} = m(T)x_{\varepsilon}$ . We have the following approximation;

$$\begin{split} \theta(T,T_{\varepsilon}) &= \theta\left(\hat{T} - 2\varepsilon I, T_2 - 2\varepsilon I\right), \\ &\leq \theta\left(\hat{T} - 2\varepsilon I, \hat{T}\right) + \theta(\hat{T},T_2) + \theta\left(T_2, T_2 - 2\varepsilon I\right), \\ &\leq 2\varepsilon + \eta_1(\varepsilon,T) + 2\varepsilon, \\ &= 4\varepsilon + \eta_1(\varepsilon,T)(:= \eta(\varepsilon,T)). \end{split}$$

This completes the proof.  $\Box$ 

REMARK 4.4. In Proposition 4.3, more precisely  $T_{\varepsilon}$  has the following structure.

$$T_{\varepsilon} = m(T)E\left(\sigma(T) \cap [0, m(T) + \alpha(\varepsilon)]\right) + TE\left(\sigma(T) \setminus [0, m(T) + \alpha(\varepsilon)]\right), \quad (4.5)$$

where  $\alpha(\varepsilon) \to 0$  as  $\varepsilon \to 0$  and *E* is the spectral measure corresponding to *T*. Moreover,  $N(T) \subseteq N(T_{\varepsilon})$ .

*Proof.* Without loss of generality, we assume that  $N(T) \neq \{0\}$ . Then m(T) = 0 and

$$T_{\varepsilon} = TE(\sigma(T) \setminus [0, \alpha(\varepsilon)]).$$

By [2, Theorem 4, Page 155], we know that

$$N(T) \subseteq R(E(\{0\})) \subseteq R(E(\sigma(T) \cap [0, \alpha(\varepsilon)])) \subseteq N(T_{\varepsilon}). \quad \Box$$

THEOREM 4.5. Let  $T \in \mathcal{C}(H)$  be densely defined. Let  $\varepsilon \in (0, m(T))$  if m(T) > 0and,  $\varepsilon > 0$  when m(T) = 0. Let  $x_0 \in S_{D(T)}$  be such that

$$\|Tx_0\| < m(T) + \varepsilon. \tag{4.6}$$

Then there exist a densely defined operator  $T_{\varepsilon} \in \mathscr{C}(H)$  and  $x_{\varepsilon} \in S_{D(T_{\varepsilon})}$  satisfying the following.

- $I. ||T_{\varepsilon}x_{\varepsilon}|| = m(T_{\varepsilon}) = m(T),$
- 2.  $||x_0 x_{\varepsilon}|| < \gamma(\varepsilon, T)$ ,
- 3.  $\theta(T,T_{\varepsilon}) < \eta(\varepsilon,T)$ ,

where  $\eta(\varepsilon, T), \gamma(\varepsilon, T) \to 0$  as  $\varepsilon \to 0$ .

*Proof.* Let T = W|T| be the polar decomposition of T. From the given condition (4.6), we have  $||T|x_0|| = ||Tx_0|| < m(T) + \varepsilon = m(|T|) + \varepsilon$ . As a result of Proposition 4.3, there exist a densely defined positive operator  $S_{\varepsilon} \in \mathscr{C}(H), x_{\varepsilon} \in S_{D(S_{\varepsilon})}$  such that

$$S_{\varepsilon}x_{\varepsilon} = m(S_{\varepsilon})x_{\varepsilon} = m(T)x_{\varepsilon}, \ \theta(S_{\varepsilon}, |T|) < \eta(\varepsilon, T) \text{ and } \|x_0 - x_{\varepsilon}\| < \gamma(\varepsilon, T),$$
(4.7)

 $\eta(\varepsilon,T), \ \gamma(\varepsilon,T) \to 0 \text{ as } \varepsilon \to 0.$ 

Define  $T_{\varepsilon} = WS_{\varepsilon}$ . Note that

1. 
$$D(T_{\varepsilon}) = \{x \in D(S_{\varepsilon}) : S_{\varepsilon}x \in D(W) = H\} = D(S_{\varepsilon}),$$

2. 
$$N(T_{\varepsilon}) = N(S_{\varepsilon}),$$

3.  $||T_{\varepsilon}y|| = ||S_{\varepsilon}y||$ , for every  $y \in D(T_{\varepsilon})$ .

Clearly  $N(S_{\varepsilon}) \subseteq N(T_{\varepsilon})$ . To get the reverse containment, let  $x \in N(T_{\varepsilon})$ . So  $S_{\varepsilon}x \in N(W) = N(T) \subseteq N(S_{\varepsilon})$ , this implies  $S_{\varepsilon}^2 x = 0$ . Since  $S_{\varepsilon}$  is a positive operator, we see that  $S_{\varepsilon}x = 0$ , that is  $x \in N(S_{\varepsilon})$ . Hence  $N(T_{\varepsilon}) \subseteq N(S_{\varepsilon})$  and consequently  $N(S_{\varepsilon}) = N(T_{\varepsilon})$ .

Every  $x \in D(S_{\varepsilon}) = D(T_{\varepsilon})$  can be written as  $x = x_1 + x_2$ , where  $x_1 \in N(S_{\varepsilon})$  and  $x_2 \in N(S_{\varepsilon})^{\perp} \cap D(S_{\varepsilon})$ . From Remark 4.4,  $N(S_{\varepsilon})^{\perp} \subseteq N(T)^{\perp}$ . Thus  $||WS_{\varepsilon}x_2|| = ||S_{\varepsilon}x_2||$ . Consequently, we have the following equality;

$$||T_{\varepsilon}x|| = ||WS_{\varepsilon}(x_1 + x_2)|| = ||WS_{\varepsilon}x_2|| = ||S_{\varepsilon}x_2|| = ||S_{\varepsilon}x||.$$

Thus we conclude that  $||T_{\varepsilon}x_{\varepsilon}|| = ||S_{\varepsilon}x_{\varepsilon}|| = m(S_{\varepsilon}) = m(T_{\varepsilon}) (= m(T)).$ 

We proceed to show that  $\theta(T_{\varepsilon}, T) = \theta(S_{\varepsilon}, |T|)$ . First we claim that  $||Tx - T_{\varepsilon}y|| = ||T|x - S_{\varepsilon}y||$  for every  $x \in D(T)$  and  $y \in D(T_{\varepsilon})$ . Assuming the claim, we have

$$dist((x, Tx), S_{\mathscr{G}(T_{\mathcal{E}})}) = \inf_{\substack{y \in D(T_{\mathcal{E}}) \\ \|y\|^{2} + \|T_{\mathcal{E}}y\|^{2} = 1}} \|(x, Tx) - (y, T_{\mathcal{E}}y)\|$$
  
$$= \inf_{\substack{y \in D(S_{\mathcal{E}}) \\ \|y\|^{2} + \|S_{\mathcal{E}}y\|^{2} = 1}} \|(x, Tx) - (y, T_{\mathcal{E}}y)\|$$
  
$$= \inf_{\substack{y \in D(S_{\mathcal{E}}) \\ \|y\|^{2} + \|S_{\mathcal{E}}y\|^{2} = 1}} \sqrt{\|x - y\|^{2} + \|Tx - T_{\mathcal{E}}y\|^{2}}$$
  
$$= \inf_{\substack{y \in D(S_{\mathcal{E}}) \\ \|y\|^{2} + \|S_{\mathcal{E}}y\|^{2} = 1}} \sqrt{\|x - y\|^{2} + \||T|x - S_{\mathcal{E}}y\|^{2}}$$
  
$$= dist((x, |T|x), S_{\mathscr{G}(S_{\mathcal{E}})}), \forall x \in D(T).$$

By simple computation, we get  $\theta(T_{\varepsilon}, T) = \theta(S_{\varepsilon}, |T|) < \eta(\varepsilon, T)$ .

To prove our claim, suppose  $x \in D(T)$  and  $y \in D(T_{\varepsilon})$ . Then  $x = x_1 + x_2$  and  $y = y_1 + y_2$ , where  $x_1 \in N(T), x_2 \in N(T)^{\perp} \cap D(T), y_1 \in N(T_{\varepsilon})$  and  $y_2 \in N(T_{\varepsilon})^{\perp} \cap D(T_{\varepsilon})$ . Using the fact that  $N(T_{\varepsilon})^{\perp} \subseteq N(T)^{\perp}$ , we have

$$\begin{aligned} \|Tx - T_{\varepsilon}y\| &= \|W|T|x_2 - WS_{\varepsilon}y_2\| \\ &= \|W(|T|x_2 - S_{\varepsilon}y_2)\| \\ &= \||T|x_2 - S_{\varepsilon}y_2\| \\ &= \||T|x - S_{\varepsilon}y\|. \end{aligned}$$

This completes the proof.  $\Box$ 

As a consequence of Theorem 4.5, we conclude that the set of all minimum attaining operators is dense in the class of all densely defined closed operators with respect to the gap metric.

COROLLARY 4.6. Let  $T \in \mathscr{C}(H_1, H_2)$  be densely defined. Then for  $\varepsilon > 0$  there exists a minimum attaining densely defined operator  $S \in \mathscr{C}(H_1, H_2)$  such that  $\theta(S, T) \leq \varepsilon$ .

## A more sharpened version of the above corollary can be found in [9].

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