

## COMMUTING MAPS ON STRICTLY UPPER TRIANGULAR MATRIX RINGS

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(Communicated by E. Poon)

*Abstract.* Let  $R$  be either a ring with 1 or a semiprime ring not necessarily with 1 and let  $N_n(R)$  be the  $n \times n$  strictly upper triangular matrix ring over  $R$ , where  $n \geq 3$  is an integer. We completely characterize additive maps  $f : N_n(R) \rightarrow N_n(R)$  satisfying  $[f(x), x] = 0$  for all  $x \in N_n(R)$ . Our theorem naturally generalizes a recent result obtained by Bounds [3] for strictly upper triangular matrix rings over a field of characteristic 0.

### 1. Introduction and results

Throughout here,  $R$  denotes an associative ring with center  $Z(R)$ .  $R$  is called prime if for any  $a, b \in R$ ,  $aRb = 0$  implies  $a = 0$  or  $b = 0$  and  $R$  is called semiprime if for any  $a \in R$ ,  $aRa = 0$  implies  $a = 0$ . For  $a, b \in R$ , we let  $[a, b] = ab - ba$  be the commutator of  $a$  and  $b$ . A map  $f : R \rightarrow R$  is called additive if  $f(x + y) = f(x) + f(y)$  for all  $x, y \in R$ . A map  $f : R \rightarrow R$  is said to be commuting if  $[f(x), x] = 0$  for all  $x \in R$ . The usual goal when treating a commuting map is to describe its form. The study of additive commuting maps was initiated by Divinsky and Posner. In 1955 Divinsky [12] proved that if a simple artinian ring  $R$  admits a commuting automorphism  $\sigma$ , then either  $R$  is commutative or  $\sigma$  is the identity map. On the other hand, in 1957 Posner [22] proved that if a prime ring  $R$  admits a commuting derivation  $d$ , then either  $R$  is commutative or  $d = 0$ . In 1993 Brešar [4] extended above two results to general additive maps and proved that if  $R$  is a prime ring with the extended centroid  $C$  and  $f : R \rightarrow R$  is an additive commuting map, then  $f$  must be of the form  $f(x) = \lambda x + \mu(x)$  for all  $x \in R$ , where  $\lambda \in C$  and  $\mu : R \rightarrow C$  is an additive map. This influential result has been extended to semiprime rings, superalgebras, von Neumann algebras,  $C^*$ -algebras, Lie algebras and matrix algebras etc. We refer the reader to the book [5] for the development of the theory of commuting maps. Recently, additive commuting maps on subrings or subsets of matrix rings have been widely investigated in the literature (see [7]–[11], [13]–[21], [23]–[28] for instance). In 2000 Beidar, Brešar and Chebotar [1] proved that if  $T_n(F)$  is the ring of all  $n \times n$  upper triangular matrices over a field  $F$  and  $f : T_n(F) \rightarrow T_n(F)$  is a linear commuting map, where  $n \geq 2$  is an integer, then  $f$  is of

*Mathematics subject classification* (2020): 15A78, 15A27, 16R60, 16N60.

*Keywords and phrases:* Commuting map, functional identity, strictly upper triangular matrix ring, semiprime ring.

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the form  $f(x) = \lambda x + \mu(x)$  for all  $x \in T_n(F)$ , where  $\lambda \in F$  and  $\mu : T_n(F) \rightarrow Z(T_n(F))$  is a linear map. This result was later extended to linear commuting maps on the ring of all upper triangular matrices over a commutative ring with 1 by Cheung in [6] and extended to additive commuting maps on the ring of all upper triangular matrices over an arbitrary ring with 1 by Eremita in [13]. In 2016 Bounds [3] successfully characterized linear commuting maps on the ring of all strictly upper triangular matrices over a field of characteristic 0. As usual, let  $R$  be a ring with 1, let  $M_n(R)$  be the ring of all  $n \times n$  matrices over  $R$  and let  $\{e_{i,j} \mid 1 \leq i, j \leq n\}$  be the set of matrix units in  $M_n(R)$ . Precisely, Bounds proved the following:

**THEOREM JB.** ([3]) *Let  $n \geq 4$  be an integer and let  $N_n(F)$  be the ring of all  $n \times n$  strictly upper triangular matrices over a field  $F$  of characteristic 0. Suppose that  $f : N_n(F) \rightarrow N_n(F)$  is a linear map such that  $[f(x), x] = 0$  for all  $x \in N_n(F)$ . Then there exist  $\lambda \in F$  and a linear map  $\mu : N_n(F) \rightarrow \Omega$  such that  $f(x) = \lambda x + \mu(x)$  for all  $x \in N_n(F)$ , where  $\Omega = \{\alpha e_{1,n-1} + \beta e_{1,n} + \gamma e_{2,n} : \alpha, \beta, \gamma \in F\}$ .*

The proof of Theorem JB depends heavily on the well-known fact about the centralizers of a nonderogatory matrix over a field  $F$  of characteristic 0. Up to now, it is still an open problem whether Theorem JB holds true for any field  $F$  of positive characteristic  $p \geq 2$ .

**PROBLEM 1.** *Let  $n \geq 4$  be an integer and let  $F$  be a field of characteristic  $p \geq 2$ . Assume that  $f : N_n(F) \rightarrow N_n(F)$  is a linear commuting map. Can we describe the form of  $f$ ?*

The goal of this paper is to give an affirmative answer to Problem 1. Moreover, we extend Theorem JB to strictly upper triangular matrix rings over an arbitrary ring  $R$  with 1. Precisely, we prove the following:

**THEOREM 1.1.** *Let  $R$  be a ring with 1 and with center  $Z(R)$ . Let  $N_n(R)$  be the ring of all  $n \times n$  strictly upper triangular matrices over  $R$  with center  $\mathcal{Z}$ , where  $n \geq 3$  is an integer. Suppose that  $f : N_n(R) \rightarrow N_n(R)$  is an additive map. Then  $[f(x), x] = 0$  for all  $x \in N_n(R)$  if and only if there exist  $\lambda \in Z(R)$ , an additive map  $\mu : N_n(R) \rightarrow \mathcal{Z}$ , and an additive map  $\nu : N_n(R) \rightarrow \Omega$  such that  $f(x) = \lambda x + \mu(x) + \nu(x)$  for all  $x \in N_n(R)$ , where  $\Omega = \{\alpha e_{1,n-1} + \beta e_{2,n} : \alpha, \beta \in R\}$  and  $\nu$  is defined by some  $a \in R$  such that  $\nu(x) = e_{1,1}x a e_{2,n-1} + e_{2,n-1} a x e_{n,n}$  for all  $x \in N_n(R)$ .*

In case  $R$  is a semiprime ring not necessarily with 1, we prove the following:

**THEOREM 1.2.** *Let  $R$  be a semiprime ring with the multiplier ring  $M(R)$  and with the centroid  $C(R)$ . Let  $N_n(R)$  be the ring of all  $n \times n$  strictly upper triangular matrices over  $R$  with center  $\mathcal{Z}$ , where  $n \geq 3$  is an integer. Suppose that  $f : N_n(R) \rightarrow N_n(R)$  is an additive map. Then  $[f(x), x] = 0$  for all  $x \in N_n(R)$  if and only if there exist  $\lambda \in C(R)$ , an additive map  $\mu : N_n(R) \rightarrow \mathcal{Z}$ , and an additive map  $\nu : N_n(R) \rightarrow \Omega$  such that  $f(x) = \lambda x + \mu(x) + \nu(x)$  for all  $x \in N_n(R)$ , where  $\Omega = \{\alpha e_{1,n-1} + \beta e_{2,n} : \alpha, \beta \in R\}$  and  $\nu$  is defined by some  $a \in M(R)$  such that  $\nu(x) = e_{1,1}x a e_{2,n-1} + e_{2,n-1} a x e_{n,n}$  for all  $x \in N_n(R)$ .*

### 2. Preliminaries

The following lemma is essential to our proof.

LEMMA 2.1. *Let  $R$  be either a ring with 1 or a semiprime ring and let  $Z(R)$  be the center of  $R$ . Suppose that  $g : R \rightarrow R$  is an additive map. If  $xg(x) = 0$  for all  $x \in R$ , then  $g = 0$ . Similarly, if  $g(x)x = 0$  for all  $x \in R$ , then  $g = 0$ .*

*Proof.* By assumption,

$$xg(x) = 0 \tag{2.1}$$

for all  $x \in R$ . Assume first that  $1 \in R$ . Setting  $x = 1$  in (2.1), we have  $g(1) = 0$ . Replacing  $x$  with  $x + 1$  in (2.1) and using  $g(1) = 0 = xg(x)$ , we obtain  $g(x) = 0$  for all  $x \in R$ , as desired. Assume now that  $R$  is semiprime. Replacing  $x$  with  $x + y$  in (2.1) and using  $xg(x) = yg(y) = 0$ , we obtain

$$xg(y) + yg(x) = 0 \tag{2.2}$$

for all  $x, y \in R$ . Replacing  $y$  with  $zy$  in (2.2), we obtain  $xg(zy) + zyg(x) = 0$  for all  $x, y, z \in R$ . Multiplying (2.2) by  $z$  from the left, we obtain  $z xg(y) + zy g(x) = 0$  for all  $x, y, z \in R$ . The difference of the last two equations yields

$$xg(zy) - z xg(y) = 0 \tag{2.3}$$

for all  $x, y, z \in R$ . Replacing  $x$  with  $wx$  in (2.3), we obtain  $w xg(zy) - z w xg(y) = 0$  for all  $x, y, z, w \in R$ . Multiplying (2.3) by  $w$  from the left, we obtain  $w xg(zy) - w z xg(y) = 0$  for all  $x, y, z, w \in R$ . The difference of the last two equations yields  $(zw - wz)xg(y) = 0$  for all  $x, y, z, w \in R$ . Thus  $(g(y)w - wg(y))x(g(y)w - wg(y)) = 0$  for all  $x, y, w \in R$ . By semiprimeness of  $R$ ,  $g(y)w - wg(y) = 0$  for all  $y, w \in R$ . This implies that  $g(y) \in Z(R)$  for all  $y \in R$ . Using  $g(x) \in Z(R)$  and  $0 = xg(x) = g(x)x$  for all  $x \in R$ , by (2.2), we have  $0 = g(x)(xg(y) + yg(x)) = g(x)yg(x)$  for all  $x, y \in R$ . By semiprimeness of  $R$ ,  $g(x) = 0$  for all  $x \in R$ . This proves the lemma.  $\square$

Throughout the rest of this section,  $R$  denotes either a ring with 1 or a semiprime ring not necessarily with 1,  $M_n(R)$  denotes the ring of all  $n \times n$  matrices over  $R$ ,  $N_n(R)$  denotes the ring of all  $n \times n$  strictly upper triangular matrices over  $R$ , where  $n \geq 3$  is an integer and  $f : N_n(R) \rightarrow N_n(R)$  is an additive map such that  $[f(x), x] = 0$  for all  $x \in N_n(R)$ , that is,

$$f(x)x = x f(x) \tag{2.4}$$

for all  $x \in N_n(R)$ . Replacing  $x$  with  $x + y$  in (2.4), we obtain

$$f(x)y - y f(x) = x f(y) - f(y)x \tag{2.5}$$

for all  $x, y \in N_n(R)$ . As usual, we let  $\{e_{i,j} \mid 1 \leq i, j \leq n\}$  be the set of matrix units in  $M_n(R^*)$ , where  $R^* = R$  if  $1 \in R$  and  $R^*$  is the ring extension of  $R$  adjoint with 1 if  $1 \notin R$ . Then  $N_n(R) = \sum_{i,j=1, i < j}^n R e_{i,j}$  and the center  $Z(N_n(R))$  of  $N_n(R)$  coincides with  $R e_{1,n}$ . For two distinct integers  $i, j$  with  $1 \leq i < j \leq n$ , we write

$$f(\alpha e_{i,j}) = \sum_{s,t=1, s < t}^n c_{s,t}^{i,j}(\alpha) e_{s,t}$$

for all  $\alpha \in R$ , where each  $c_{s,t}^{i,j} : R \rightarrow R$  is an additive map.

LEMMA 2.2. *Let  $i, j$  be distinct integers such that  $1 \leq i < j \leq n$ . Then  $c_{\ell,i}^{i,j} = 0$  for every integer  $\ell$  with  $1 \leq \ell < i$  and  $c_{j,\ell}^{i,j} = 0$  for every integer  $\ell$  with  $j < \ell \leq n$ .*

*Proof.* Setting  $x = \alpha e_{i,j}$  in (2.4), we have

$$f(\alpha e_{i,j})\alpha e_{i,j} = \alpha e_{i,j}f(\alpha e_{i,j}) \tag{2.6}$$

for all  $\alpha \in R$ . Let  $\ell$  be an integer such that  $1 \leq \ell < i$ . Multiplying (2.6) by  $e_{\ell,\ell}$  from the left and by  $e_{j,j}$  from the right, we obtain  $e_{\ell,\ell}f(\alpha e_{i,j})\alpha e_{i,j} = 0$ . This implies  $c_{\ell,i}^{i,j}(\alpha)\alpha = 0$  for all  $\alpha \in R$ . By Lemma 2.1,  $c_{\ell,i}^{i,j} = 0$ . Let  $\ell$  be an integer such that  $j < \ell \leq n$ . Multiplying (2.6) by  $e_{i,i}$  from the left and by  $e_{\ell,\ell}$  from the right, we obtain  $0 = \alpha e_{i,j}f(\alpha e_{i,j})e_{\ell,\ell}$ . This implies that  $\alpha c_{j,\ell}^{i,j}(\alpha) = 0$  for all  $\alpha \in R$ . By Lemma 2.1,  $c_{j,\ell}^{i,j} = 0$ .  $\square$

LEMMA 2.3. *Let  $n \geq 4$  be an integer and let  $i, j$  be distinct integers such that  $1 \leq i < j \leq n - 2$ . Then  $c_{\ell,k}^{i,j} = 0$  for every integers  $\ell, k$  with  $j < \ell < k \leq n$ .*

*Proof.* Let  $\ell, k$  be integers with  $j < \ell < k \leq n$ . Setting  $x = \alpha e_{i,j}$  and  $y = \beta e_{j,\ell}$  in (2.5), we have

$$f(\alpha e_{i,j})\beta e_{j,\ell} - \beta e_{j,\ell}f(\alpha e_{i,j}) = \alpha e_{i,j}f(\beta e_{j,\ell}) - f(\beta e_{j,\ell})\alpha e_{i,j} \tag{2.7}$$

for all  $\alpha, \beta \in R$ . Multiplying (2.7) by  $e_{j,j}$  from the left and by  $e_{k,k}$  from the right, we obtain  $-\beta e_{j,\ell}f(\alpha e_{i,j})e_{k,k} = 0$ . This implies that  $\beta c_{\ell,k}^{i,j}(\alpha) = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{\ell,k}^{i,j} = 0$ .  $\square$

LEMMA 2.4. *Let  $i, j$  be distinct integers such that  $1 \leq i < j \leq n$ . Then  $c_{i,\ell}^{i,j} = 0$  for every integer  $\ell$  with  $i < \ell < j$ .*

*Proof.* Let  $\ell$  be an integer such that  $i < \ell < j$ . Setting  $x = \alpha e_{i,j}$  and  $y = \beta e_{\ell,j}$  in (2.5), we have

$$f(\alpha e_{i,j})\beta e_{\ell,j} - \beta e_{\ell,j}f(\alpha e_{i,j}) = \alpha e_{i,j}f(\beta e_{\ell,j}) - f(\beta e_{\ell,j})\alpha e_{i,j} \tag{2.8}$$

for all  $\alpha, \beta \in R$ . Note that  $\alpha e_{i,j}f(\beta e_{\ell,j})e_{j,j} = e_{i,i}f(\beta e_{\ell,j})\alpha e_{i,j} = 0$  as  $f(\beta e_{\ell,j}) \in N_n(R)$ . Multiplying (2.8) by  $e_{i,i}$  from the left and by  $e_{j,j}$  from the right, we obtain  $e_{i,i}f(\alpha e_{i,j})\beta e_{\ell,j} = 0$ . This implies that  $c_{i,\ell}^{i,j}(\alpha)\beta = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{i,\ell}^{i,j} = 0$ .  $\square$

LEMMA 2.5. *Let  $i, j$  be distinct integers such that  $1 \leq i < j \leq n$ . Then  $c_{\ell,k}^{i,j} = 0$  for every integers  $\ell, k$  with  $i < \ell < j$  and  $\ell < k \leq n$ .*

*Proof.* Let  $\ell, k$  be integers such that  $i < \ell < j$  and  $\ell < k \leq n$ . Setting  $x = \alpha e_{i,j}$  and  $y = \beta e_{i,\ell}$  in (2.5), we have

$$f(\alpha e_{i,j})\beta e_{i,\ell} - \beta e_{i,\ell}f(\alpha e_{i,j}) = \alpha e_{i,j}f(\beta e_{i,\ell}) - f(\beta e_{i,\ell})\alpha e_{i,j} \tag{2.9}$$

for all  $\alpha, \beta \in R$ . Note that  $e_{ii}f(\beta e_{i,\ell})\alpha e_{i,j} = 0$  as  $f(\beta e_{i,\ell}) \in N_n(R)$ . Multiplying (2.9) by  $e_{i,i}$  from the left and by  $e_{k,k}$  from the right, we obtain

$$-\beta e_{i,\ell}f(\alpha e_{i,j})e_{kk} = \alpha e_{i,j}f(\beta e_{i,\ell})e_{k,k} \tag{2.10}$$

for all  $\alpha, \beta \in R$ . Assume first that  $k \leq j$ . Then  $\alpha e_{i,j}f(\beta e_{i,\ell})e_{k,k} = 0$  as  $f(\beta e_{i,\ell}) \in N_n(R)$ . With this, by (2.10), we obtain  $\beta e_{i,\ell}f(\alpha e_{i,j})e_{k,k} = 0$ . This implies that  $\beta c_{\ell,k}^{i,j}(\alpha) = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{\ell,k}^{i,j} = 0$ , as desired. Assume now that  $k > j$ . In this case,  $i < \ell < j < k \leq n$ . By (2.10),  $-\beta c_{\ell,k}^{i,j}(\alpha) = \alpha c_{j,k}^{i,\ell}(\beta)$  for all  $\alpha, \beta \in R$ . In view of Lemma 2.3,  $c_{j,k}^{i,\ell} = 0$ . So we have  $\beta c_{\ell,k}^{i,j}(\alpha) = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{\ell,k}^{i,j} = 0$ , as desired.  $\square$

LEMMA 2.6. *Let  $n \geq 4$  be an integer and let  $i, j$  be distinct integers such that  $3 \leq i < j \leq n$ . Then  $c_{\ell,k}^{i,j} = 0$  for every integers  $\ell, k$  with  $1 \leq \ell < k < i$ .*

*Proof.* Let  $\ell, k$  be integers such that  $1 \leq \ell < k < i$ . Clearly,  $1 \leq \ell < k < i < j \leq n$ . Setting  $x = \alpha e_{i,j}$  and  $y = \beta e_{k,i}$  in (2.5), we have

$$f(\alpha e_{i,j})\beta e_{k,i} - \beta e_{k,i}f(\alpha e_{i,j}) = \alpha e_{i,j}f(\beta e_{k,i}) - f(\beta e_{k,i})\alpha e_{i,j} \tag{2.11}$$

for all  $\alpha, \beta \in R$ . Multiplying (2.11) by  $e_{\ell,\ell}$  from the left and by  $e_{i,i}$  from the right, we obtain  $e_{\ell,\ell}f(\alpha e_{i,j})\beta e_{k,i} = 0$ . This implies that  $c_{\ell,k}^{i,j}(\alpha)\beta = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{\ell,k}^{i,j} = 0$ .  $\square$

LEMMA 2.7. *Let  $n \geq 4$  be an integer and let  $i, j$  be distinct integers such that  $2 \leq i < j \leq n$ . Then  $c_{\ell,k}^{i,j} = 0$  for every integers  $\ell, k$  with  $1 \leq \ell < i < k < j$ .*

*Proof.* Let  $\ell, k$  be integers such that  $1 \leq \ell < i < k < j$ . Setting  $x = \alpha e_{i,j}$  and  $y = \beta e_{k,j}$  in (2.5), we have

$$f(\alpha e_{i,j})\beta e_{k,j} - \beta e_{k,j}f(\alpha e_{i,j}) = \alpha e_{i,j}f(\beta e_{k,j}) - f(\beta e_{k,j})\alpha e_{i,j} \tag{2.12}$$

for all  $\alpha, \beta \in R$ . Multiplying (2.12) by  $e_{\ell,\ell}$  from the left and by  $e_{j,j}$  from the right, we obtain  $e_{\ell,\ell}f(\alpha e_{i,j})\beta e_{k,j} = -e_{\ell,\ell}f(\beta e_{k,j})\alpha e_{i,j}$ . This implies that  $c_{\ell,k}^{i,j}(\alpha)\beta = -c_{\ell,i}^{k,j}(\beta)\alpha$  for all  $\alpha, \beta \in R$ . By Lemma 2.6,  $c_{\ell,i}^{k,j} = 0$  as  $\ell < i < k < j$ . Thus we have  $c_{\ell,k}^{i,j}(\alpha)\beta = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{\ell,k}^{i,j} = 0$ .  $\square$

LEMMA 2.8. *Let  $n \geq 4$  be an integer and let  $i, j$  be distinct integers such that  $2 \leq i < j \leq n - 1$ . Then  $c_{\ell,k}^{i,j} = 0$  for every integers  $\ell, k$  with  $1 \leq \ell < i < j \leq k \leq n - 1$ .*

*Proof.* Let  $\ell, k$  be integers such that  $1 \leq \ell < i < j \leq k \leq n - 1$ . Setting  $x = \alpha e_{i,j}$  and  $y = \beta e_{k,n}$  in (2.5), we have

$$f(\alpha e_{i,j})\beta e_{k,n} - \beta e_{k,n}f(\alpha e_{i,j}) = \alpha e_{i,j}f(\beta e_{k,n}) - f(\beta e_{k,n})\alpha e_{i,j} \tag{2.13}$$

for all  $\alpha, \beta \in R$ . Multiplying (2.13) by  $e_{\ell,\ell}$  from the left and by  $e_{n,n}$  from the right, we obtain  $e_{\ell,\ell}f(\alpha e_{i,j})\beta e_{k,n} = 0$ . This implies that  $c_{\ell,k}^{i,j}(\alpha)\beta = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{\ell,k}^{i,j} = 0$ .  $\square$

LEMMA 2.9. *Let  $n \geq 4$  be an integer and let  $i, j$  be distinct integers such that  $2 \leq i < j \leq n - 1$ . Then  $c_{i,k}^{i,j} = 0$  for every integer  $k$  with  $j < k \leq n$ .*

*Proof.* Let  $k$  be an integer such that  $j < k \leq n$ . Clearly,  $2 \leq i < j < k \leq n$ . Setting  $x = \alpha e_{i,j}$  and  $y = \beta e_{1,i}$  in (2.5), we have

$$f(\alpha e_{i,j})\beta e_{1,i} - \beta e_{1,i}f(\alpha e_{i,j}) = \alpha e_{i,j}f(\beta e_{1,i}) - f(\beta e_{1,i})\alpha e_{i,j} \tag{2.14}$$

for all  $\alpha, \beta \in R$ . Multiplying (2.14) by  $e_{1,1}$  from the left and by  $e_{k,k}$  from the right, we obtain  $-\beta e_{1,i}f(\alpha e_{i,j})e_{k,k} = 0$ . This implies that  $\beta c_{i,k}^{i,j}(\alpha) = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{i,k}^{i,j} = 0$ .  $\square$

LEMMA 2.10. *Let  $n \geq 5$  be an integer and let  $i, j$  be distinct integers such that  $3 \leq i < j \leq n - 1$ . Then  $c_{\ell,n}^{i,j} = 0$  for every integer  $\ell$  with  $2 \leq \ell < i$ .*

*Proof.* Let  $\ell$  be an integer such that  $2 \leq \ell < i$ . Clearly,  $2 \leq \ell < i < j \leq n - 1$ . Setting  $x = \alpha e_{i,j}$  and  $y = \beta e_{1,\ell}$  in (2.5), we have

$$f(\alpha e_{i,j})\beta e_{1,\ell} - \beta e_{1,\ell}f(\alpha e_{i,j}) = \alpha e_{i,j}f(\beta e_{1,\ell}) - f(\beta e_{1,\ell})\alpha e_{i,j} \tag{2.15}$$

for all  $\alpha, \beta \in R$ . Multiplying (2.15) by  $e_{1,1}$  from the left and by  $e_{n,n}$  from the right, we obtain  $-\beta e_{1,\ell}f(\alpha e_{i,j})e_{n,n} = 0$ . This implies that  $\beta c_{\ell,n}^{i,j}(\alpha) = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{\ell,n}^{i,j} = 0$ .  $\square$

### 3. Proof of Theorems 1.1 and 1.2

LEMMA 3.1. *Let  $R$  be either a ring with 1 or a semiprime ring. Suppose that  $f : N_n(R) \rightarrow N_n(R)$  is an additive map such that  $[f(x), x] = 0$  for all  $x \in N_n(R)$ , where  $n \geq 4$  is an integer. Then for every distinct integers  $i, j$  with  $2 \leq i < j \leq n - 1$ , there exist additive maps  $c_{i,j}^{i,j}, c_{1,n}^{i,j} : R \rightarrow R$  such that  $f(\alpha e_{i,j}) = c_{i,j}^{i,j}(\alpha)e_{i,j} + c_{1,n}^{i,j}(\alpha)e_{1,n}$  for all  $\alpha \in R$ .*

*Proof.* Let  $i, j$  be two distinct integers such that  $2 \leq i < j \leq n - 1$ . Write  $f(\alpha e_{i,j}) = \sum_{s,t=1, s < t}^n c_{s,t}^{i,j}(\alpha)e_{s,t}$  for all  $\alpha \in R$ , where each  $c_{s,t}^{i,j} : R \rightarrow R$  is an additive map. By Lemmas 2.2, 2.4 and 2.9,  $c_{s,t}^{i,j} = c_{i,t}^{i,j} = 0$  for all integers  $s, t$  with  $1 \leq s < i < t \leq n$  and  $t \neq j$ . Next by Lemmas 2.2, 2.5 and 2.8,  $c_{s,j}^{i,j} = c_{j,t}^{i,j} = 0$  for all integers  $s, t$  with  $1 \leq s < j < t \leq n$  and  $s \neq i$ . Finally, by Lemmas 2.3, 2.5, 2.6, 2.7, 2.8 and 2.10,  $c_{s,t}^{i,j} = 0$  for all integers  $s, t$  with  $1 \leq s < t \leq n$ ,  $s, t \notin \{i, j\}$  and  $(s, t) \neq (1, n)$ . With these, we obtain  $f(\alpha e_{i,j}) = c_{i,j}^{i,j}(\alpha)e_{i,j} + c_{1,n}^{i,j}(\alpha)e_{1,n}$  for all  $\alpha \in R$ , as desired.  $\square$

LEMMA 3.2. *Let  $R$  be either a ring with 1 or a semiprime ring. Suppose that  $f : N_n(R) \rightarrow N_n(R)$  is an additive map such that  $[f(x), x] = 0$  for all  $x \in N_n(R)$ , where  $n \geq 4$  is an integer. Then the following conditions hold:*

- (1) *There exist additive maps  $c_{1,n}^{1,n}, c_{2,n}^{2,n}, c_{1,n}^{2,n} : R \rightarrow R$  such that  $f(\alpha e_{1,n}) = c_{1,n}^{1,n}(\alpha)e_{1,n}$  and  $f(\alpha e_{2,n}) = c_{2,n}^{2,n}(\alpha)e_{2,n} + c_{1,n}^{2,n}(\alpha)e_{1,n}$  for all  $\alpha \in R$ ;*

(2) There exist additive maps  $c_{n-1,n}^{n-1,n}, c_{2,n}^{n-1,n}, c_{1,n}^{n-1,n} : R \rightarrow R$  such that  $f(\alpha e_{n-1,n}) = c_{n-1,n}^{n-1,n}(\alpha)e_{n-1,n} + c_{2,n}^{n-1,n}(\alpha)e_{2,n} + c_{1,n}^{n-1,n}(\alpha)e_{1,n}$  for all  $\alpha \in R$ ;

(3) If  $n \geq 5$ , then for every integer  $i$  with  $3 \leq i \leq n - 2$ , there exist additive maps  $c_{i,n}^{i,n}, c_{1,n}^{i,n} : R \rightarrow R$  such that  $f(\alpha e_{i,n}) = c_{i,n}^{i,n}(\alpha)e_{i,n} + c_{1,n}^{i,n}(\alpha)e_{1,n}$  for all  $\alpha \in R$ .

*Proof.* (1) Applying Lemmas 2.4 and 2.5 to  $f(\alpha e_{1,n})$  and applying Lemmas 2.2, 2.4, 2.5 and 2.7 to  $f(\alpha e_{2,n})$ , we are done.

(2) By Lemmas 2.2 and 2.6,  $f(\alpha e_{n-1,n}) = \sum_{\ell=1}^{n-1} c_{\ell,n}^{n-1,n}(\alpha)e_{\ell,n}$ , where each  $c_{\ell,n}^{n-1,n} : R \rightarrow R$  is an additive map. If  $n = 4$ , then  $n - 1 = 3$  and then

$$\begin{aligned} f(\alpha e_{n-1,n}) &= \sum_{\ell=1}^{n-1} c_{\ell,n}^{n-1,n}(\alpha)e_{\ell,n} = \sum_{\ell=1}^3 c_{\ell,n}^{n-1,n}(\alpha)e_{\ell,n} \\ &= c_{n-1,n}^{n-1,n}(\alpha)e_{n-1,n} + c_{2,n}^{n-1,n}(\alpha)e_{2,n} + c_{1,n}^{n-1,n}(\alpha)e_{1,n} \end{aligned}$$

for all  $\alpha \in R$ , as desired. So we may assume  $n \geq 5$ . Let  $\ell$  be an integer such that  $3 \leq \ell \leq n - 2$ . By Lemma 3.1,  $f(\beta e_{2,\ell}) = c_{2,\ell}^{2,\ell}(\beta)e_{2,\ell} + c_{1,n}^{2,\ell}(\beta)e_{1,n}$  for all  $\beta \in R$ , where  $c_{2,\ell}^{2,\ell}, c_{1,n}^{2,\ell} : R \rightarrow R$  are additive maps. Setting  $x = \alpha e_{n-1,n}$  and  $y = \beta e_{2,\ell}$  in (2.5), we have

$$f(\alpha e_{n-1,n})\beta e_{2,\ell} - \beta e_{2,\ell}f(\alpha e_{n-1,n}) = \alpha e_{n-1,n}f(\beta e_{2,\ell}) - f(\beta e_{2,\ell})\alpha e_{n-1,n} \tag{3.1}$$

for all  $\alpha, \beta \in R$ . Note that  $\alpha e_{n-1,n}f(\beta e_{2,\ell})e_{n,n} = 0$  as  $f(\beta e_{2,\ell}) \in N_n(R)$ . Multiplying (3.1) by  $e_{2,2}$  from the left and by  $e_{n,n}$  from the right, we get  $-\beta e_{2,\ell}f(\alpha e_{n-1,n})e_{n,n} = -e_{2,2}f(\beta e_{2,\ell})\alpha e_{n-1,n}$ . Since  $\ell \leq n - 2 < n - 1$  and  $f(\beta e_{2,\ell}) = c_{2,\ell}^{2,\ell}(\beta)e_{2,\ell} + c_{1,n}^{2,\ell}(\beta)e_{1,n}$ , we get  $e_{2,2}f(\beta e_{2,\ell})\alpha e_{n-1,n} = 0$ . Thus  $\beta e_{2,\ell}f(\alpha e_{n-1,n})e_{n,n} = 0$ . This implies that  $\beta c_{\ell,n}^{n-1,n}(\alpha) = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{\ell,n}^{n-1,n} = 0$ . Hence  $c_{\ell,n}^{n-1,n} = 0$  for every integer  $\ell$  with  $3 \leq \ell \leq n - 2$ . This proves the result.

(3) Let  $i$  be an integer such that  $3 \leq i \leq n - 2$ . By Lemmas 2.2, 2.4, 2.5, 2.6 and 2.7,  $f(\alpha e_{i,n}) = \sum_{\ell=1}^i c_{\ell,n}^{i,n}(\alpha)e_{\ell,n}$ , where each  $c_{\ell,n}^{i,n} : R \rightarrow R$  is an additive map. Let  $\ell$  be an integer such that  $2 \leq \ell < i < n - 1$ . By Lemma 3.1,  $f(\alpha e_{i,n-1}) = c_{i,n-1}^{i,n-1}(\alpha)e_{i,n-1} + c_{1,n}^{i,n-1}(\alpha)e_{1,n}$  for all  $\alpha \in R$ , where  $c_{i,n-1}^{i,n-1}, c_{1,n}^{i,n-1} : R \rightarrow R$  are additive maps. Write  $f(\beta e_{1,\ell}) = \sum_{s,t=1, s < t}^n c_{s,t}^{1,\ell}(\beta)e_{s,t}$  for all  $\beta \in R$ , where each  $c_{s,t}^{1,\ell} : R \rightarrow R$  is an additive map. Setting  $x = \beta e_{1,\ell}$  and  $y = \alpha e_{i,n-1}$  in (2.5), we have

$$f(\beta e_{1,\ell})\alpha e_{i,n-1} - \alpha e_{i,n-1}f(\beta e_{1,\ell}) = \beta e_{1,\ell}f(\alpha e_{i,n-1}) - f(\alpha e_{i,n-1})\beta e_{1,\ell} \tag{3.2}$$

for all  $\alpha, \beta \in R$ . Multiplying (3.2) by  $e_{1,1}$  from the left and by  $e_{n-1,n-1}$  from the right, we obtain  $e_{1,1}f(\beta e_{1,\ell})\alpha e_{i,n-1} = \beta e_{1,\ell}f(\alpha e_{i,n-1})e_{n-1,n-1}$ . Since  $2 \leq \ell < i$  and  $f(\alpha e_{i,n-1}) = c_{i,n-1}^{i,n-1}(\alpha)e_{i,n-1} + c_{1,n}^{i,n-1}(\alpha)e_{1,n}$ , we have  $e_{1,1}f(\alpha e_{i,n-1})e_{n-1,n-1} = 0$ . Thus  $e_{1,1}f(\beta e_{1,\ell})\alpha e_{i,n-1} = 0$ . This implies that  $c_{1,i}^{1,\ell}(\beta)\alpha = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{1,i}^{1,\ell} = 0$ .

Next setting  $x = \alpha e_{i,n}$  and  $y = \beta e_{1,\ell}$  in (2.5), we have

$$f(\alpha e_{i,n})\beta e_{1,\ell} - \beta e_{1,\ell}f(\alpha e_{i,n}) = \alpha e_{i,n}f(\beta e_{1,\ell}) - f(\beta e_{1,\ell})\alpha e_{i,n} \tag{3.3}$$

for all  $\alpha, \beta \in R$ . Multiplying (3.3) by  $e_{1,1}$  from the left and by  $e_{n,n}$  from the right, we obtain  $-\beta e_{1,\ell} f(\alpha e_{i,n}) e_{n,n} = -e_{1,1} f(\beta e_{1,\ell}) \alpha e_{i,n}$ . Note that  $e_{1,1} f(\beta e_{1,\ell}) \alpha e_{i,n} = c_{1,i}^{1,\ell}(\beta) \alpha e_{1,n} = 0$  as  $c_{1,i}^{1,\ell} = 0$ . Thus  $\beta e_{1,\ell} f(\alpha e_{i,n}) e_{n,n} = 0$ . This implies that  $\beta c_{\ell,n}^{i,n}(\alpha) = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{\ell,n}^{i,n} = 0$ . Hence  $c_{\ell,n}^{i,n} = 0$  for every integer  $\ell$  with  $2 \leq \ell < i < n - 1$ . This proves the result.  $\square$

LEMMA 3.3. *Let  $R$  be either a ring with 1 or a semiprime ring. Suppose that  $f : N_n(R) \rightarrow N_n(R)$  is an additive map such that  $[f(x), x] = 0$  for all  $x \in N_n(R)$ , where  $n \geq 4$  is an integer. Then the following conditions hold:*

- (1) *There exist additive maps  $c_{1,n-1}^{1,n-1}, c_{1,n}^{1,n-1} : R \rightarrow R$  such that  $f(\alpha e_{1,n-1}) = c_{1,n-1}^{1,n-1}(\alpha) e_{1,n-1} + c_{1,n}^{1,n-1}(\alpha) e_{1,n}$  for all  $\alpha \in R$ ;*
- (2) *There exist additive maps  $c_{1,2}^{1,2}, c_{1,n-1}^{1,2}, c_{1,n}^{1,2} : R \rightarrow R$  such that  $f(\alpha e_{1,2}) = c_{1,2}^{1,2}(\alpha) e_{1,2} + c_{1,n-1}^{1,2}(\alpha) e_{1,n-1} + c_{1,n}^{1,2}(\alpha) e_{1,n}$  for all  $\alpha \in R$ ;*
- (3) *If  $n \geq 5$ , then for every integer  $j$  with  $3 \leq j \leq n - 2$ , there exist additive maps  $c_{1,j}^{1,j}, c_{1,n}^{1,j} : R \rightarrow R$  such that  $f(\alpha e_{1,j}) = c_{1,j}^{1,j}(\alpha) e_{1,j} + c_{1,n}^{1,j}(\alpha) e_{1,n}$  for all  $\alpha \in R$ .*

*Proof.* (1) By Lemmas 2.2, 2.4 and 2.5, we are done.

(2) By Lemmas 2.2 and 2.3,  $f(\alpha e_{1,2}) = \sum_{k=2}^n c_{1,k}^{1,2}(\alpha) e_{1,k}$  for all  $\alpha \in R$ , where each  $c_{1,k}^{1,2} : R \rightarrow R$  is an additive map. If  $n = 4$ , then  $n - 1 = 3$  and  $f(\alpha e_{1,2}) = \sum_{k=2}^n c_{1,k}^{1,2}(\alpha) e_{1,k} = \sum_{k=2}^4 c_{1,k}^{1,2}(\alpha) e_{1,k} = c_{1,2}^{1,2}(\alpha) e_{1,2} + c_{1,n-1}^{1,2}(\alpha) e_{1,n-1} + c_{1,n}^{1,2}(\alpha) e_{1,n}$  for all  $\alpha \in R$ , as desired. So we may assume  $n \geq 5$ . Let  $k$  be an integer such that  $3 \leq k \leq n - 2$ . By Lemma 3.1,  $f(\beta e_{k,n-1}) = c_{k,n-1}^{k,n-1}(\beta) e_{k,n-1} + c_{1,n}^{k,n-1}(\beta) e_{1,n}$  for all  $\beta \in R$ , where  $c_{k,n-1}^{k,n-1}, c_{1,n}^{k,n-1} : R \rightarrow R$  are additive maps. Setting  $x = \alpha e_{1,2}$  and  $y = \beta e_{k,n-1}$  in (2.5), we have

$$f(\alpha e_{1,2}) \beta e_{k,n-1} - \beta e_{k,n-1} f(\alpha e_{1,2}) = \alpha e_{1,2} f(\beta e_{k,n-1}) - f(\beta e_{k,n-1}) \alpha e_{1,2} \tag{3.4}$$

for all  $\alpha, \beta \in R$ . Clearly,  $n - 1 > 2$ . Multiplying (3.4) by  $e_{1,1}$  from the left and by  $e_{n-1,n-1}$  from the right, we obtain  $e_{1,1} f(\alpha e_{1,2}) \beta e_{k,n-1} = \alpha e_{1,2} f(\beta e_{k,n-1}) e_{n-1,n-1}$ . Recall that  $3 \leq k$  and  $f(\beta e_{k,n-1}) = c_{k,n-1}^{k,n-1}(\beta) e_{k,n-1} + c_{1,n}^{k,n-1}(\beta) e_{1,n}$ . Thus we have  $e_{1,2} f(\beta e_{k,n-1}) e_{n-1,n-1} = 0$ . So  $e_{1,1} f(\alpha e_{1,2}) \beta e_{k,n-1} = 0$ . This implies that  $c_{1,k}^{1,2}(\alpha) \beta = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{1,k}^{1,2} = 0$ . Hence  $c_{1,k}^{1,2} = 0$  for every integer  $k$  with  $3 \leq k \leq n - 2$ . This proves the result.

(3) Let  $j$  be an integer such that  $3 \leq j \leq n - 2$ . By Lemmas 2.2, 2.3, 2.4 and 2.5,  $f(\alpha e_{1,j}) = \sum_{k=j}^n c_{1,k}^{1,j}(\alpha) e_{1,k}$ , where each  $c_{1,k}^{1,j} : R \rightarrow R$  is an additive map. Let  $k$  be an integer such that  $3 \leq j < k \leq n - 1$ . Setting  $x = \alpha e_{1,j}$  and  $y = \beta e_{k,n}$  in (2.5), we have

$$f(\alpha e_{1,j}) \beta e_{k,n} - \beta e_{k,n} f(\alpha e_{1,j}) = \alpha e_{1,j} f(\beta e_{k,n}) - f(\beta e_{k,n}) \alpha e_{1,j} \tag{3.5}$$

for all  $\alpha, \beta \in R$ . Multiplying (3.5) by  $e_{1,1}$  from the left and by  $e_{n,n}$  from the right, we obtain

$$e_{1,1} f(\alpha e_{1,j}) \beta e_{k,n} = \alpha e_{1,j} f(\beta e_{k,n}) e_{n,n} \tag{3.6}$$



for all  $\alpha, \beta \in R$ . By Lemma 3.2,  $f(\beta e_{k,n}) = c_{k,n}^{k,n}(\beta)e_{k,n} + c_{1,n}^{k,n}(\beta)e_{1,n}$  for all  $\beta \in R$  if  $k \neq n - 1$  and  $f(\beta e_{k,n}) = c_{k,n}^{k,n}(\beta)e_{k,n} + c_{2,n}^{k,n}(\beta)e_{2,n} + c_{1,n}^{k,n}(\beta)e_{1,n}$  for all  $\beta \in R$  if  $k = n - 1$ , where  $c_{k,n}^{k,n}, c_{2,n}^{k,n}, c_{1,n}^{k,n} : R \rightarrow R$  are additive maps. Thus  $e_{1,j}f(\beta e_{k,n})e_{n,n} = 0$  as  $3 \leq j < k$ . So by (3.6),  $e_{1,1}f(\alpha e_{1,j})\beta e_{k,n} = 0$ . This implies that  $c_{1,k}^{1,j}(\alpha)\beta = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{1,k}^{1,j} = 0$ . Hence  $c_{1,k}^{1,j} = 0$  for every integer  $k$  with  $j < k \leq n - 1$ . This proves the result.  $\square$

LEMMA 3.4. *Let  $R$  be a ring with 1 and with center  $Z(R)$ .*

(1) *Let  $g : R \rightarrow R$  and  $h : R \rightarrow R$  be additive maps such that  $g(x)y = xh(y)$  for all  $x, y \in R$ . Then there exists  $a \in R$  such that  $g(x) = xa$  and  $h(x) = ax$  for all  $x \in R$ .*

(2) *Let  $g : R \rightarrow R$  be an additive map such that  $g(x)y = xg(y)$  for all  $x, y \in R$ . Then there exists  $\lambda \in Z(R)$  such that  $g(x) = \lambda x$  for all  $x \in R$ .*

*Proof.* (1) Clearly,  $g(x) = xh(1)$  and  $g(1)y = h(y)$  for all  $x, y \in R$ . Thus  $(xh(1))y = g(x)y = xh(y) = x(g(1)y)$  for all  $x, y \in R$ . So  $h(1) = g(1)$ , as desired. (2) By (1), there exists  $a \in R$  such that  $g(x) = ax = xa$  for all  $x \in R$ . Clearly,  $a \in Z(R)$ , as desired.  $\square$

Let  $R$  be a semiprime ring. An ideal  $I$  of  $R$  is called essential if  $I \cap J \neq 0$  for every nonzero ideal  $J$  of  $R$ . The symmetric Martindale ring of quotients of  $R$ , denoted by  $Q_s(R)$ , is also a semiprime ring and can be characterized as a ring satisfying the following four axioms [2, Proposition 2.2.3]:

(Q1)  $R$  is a subring of  $Q_s(R)$ .

(Q2) For any  $a \in Q_s(R)$ , there exists an essential ideal  $I$  of  $R$  such that  $aI \cup Ia \subseteq R$ .

(Q3) If  $a \in Q_s(R)$  and  $I$  is an essential ideal of  $R$ , then  $aI = 0$  if and only if  $a = 0$ .

(Q4) Given an essential ideal  $I$  of  $R$ , a left  $R$ -module homomorphism  $g : I \rightarrow R$  and a right  $R$ -module homomorphism  $h : I \rightarrow R$  such that  $g(x)y = xh(y)$  for all  $x, y \in I$ , there exists  $a \in Q_s(R)$  such that  $g(x) = xa$  and  $h(x) = ax$  for all  $x \in I$ .

We denote by  $M(R)$  the multiplier ring of  $R$ , that is,

$$M(R) = \{a \in Q_s(R) \mid aR + Ra \subseteq R\}$$

and by  $C(R)$  the centroid of  $R$ , that is,  $C(R) = Z(Q_s(R)) \cap M(R)$ . We refer the reader to the book [2] for the basic terminology and notation.

LEMMA 3.5. *Let  $R$  be a semiprime ring with the multiplier ring  $M(R)$  and with the centroid  $C(R)$*

(1) *Let  $g : R \rightarrow R$  and  $h : R \rightarrow R$  be additive maps such that  $g(x)y = xh(y)$  for all  $x, y \in R$ . Then there exists  $a \in M(R)$  such that  $g(x) = xa$  and  $h(x) = ax$  for all  $x \in R$ .*

(2) *Let  $g : R \rightarrow R$  be an additive map such that  $g(x)y = xg(y)$  for all  $x, y \in R$ . Then there exists  $\lambda \in C(R)$  such that  $g(x) = \lambda x$  for all  $x \in R$ .*

*Proof.* (1) Clearly,  $g(zx)y = zxh(y)$  and  $z(g(x)y) = z(xh(y))$  for all  $x, y, z \in R$ . The difference of the last two equations yields  $g(zx)y = zg(x)y$  for all  $x, y, z \in R$ . Thus  $(g(zx) - zg(x))R = 0$  for all  $x, z \in R$ . By semiprimeness of  $R$ ,  $g(zx) = zg(x)$  for all  $x, z \in R$ . This implies that  $g$  is a left  $R$ -module homomorphism. By symmetry,  $h$  is

a right  $R$ -module homomorphism. By axiom (Q4), there exists  $a \in Q_s(R)$  such that  $g(x) = xa$  and  $h(x) = ax$  for all  $x \in R$ . Clearly,  $aR = h(R) \subseteq R$  and  $Ra = g(R) \subseteq R$ . Hence  $a \in M(R)$ . (2) By (1), there exists  $\lambda \in M(R)$  such that  $g(x) = \lambda x = x\lambda$  for all  $x \in R$ . By [2, Remark 2.3.1],  $\lambda \in Z(Q_s(R))$ . Hence  $\lambda \in C(R)$ .  $\square$

LEMMA 3.6. *Let  $R$  be a ring with 1 and with center  $Z(R)$  (resp. a semiprime ring with the multiplier ring  $M(R)$  and with the centroid  $C(R)$ ). Suppose that  $f : N_n(R) \rightarrow N_n(R)$  is an additive map such that  $[f(x), x] = 0$  for all  $x \in N_n(R)$ , where  $n \geq 4$  is an integer. Then there exist  $\lambda \in Z(R)$  (resp.  $\lambda \in C(R)$ ),  $a \in R$  (resp.  $a \in M(R)$ ) and additive maps  $c_{1,n}^{1,2}, c_{1,n}^{n-1,n}, c_{1,n}^{i,i+1} : R \rightarrow R$  such that  $f(\alpha e_{1,2}) = (\lambda \alpha) e_{1,2} + (\alpha a) e_{1,n-1} + c_{1,n}^{1,2}(\alpha) e_{1,n}$ ,  $f(\alpha e_{n-1,n}) = (\lambda \alpha) e_{n-1,n} + (a\alpha) e_{2,n} + c_{1,n}^{n-1,n}(\alpha) e_{1,n}$  and  $f(\alpha e_{i,i+1}) = (\lambda \alpha) e_{i,i+1} + c_{1,n}^{i,i+1}(\alpha) e_{1,n}$  for all  $\alpha \in R$  and  $2 \leq i \leq n-2$ .*

*Proof.* By Lemmas 3.2 (2) and 3.3 (2), there exist additive maps  $c_{1,2}^{1,2}, c_{1,n-1}^{1,2}, c_{1,n}^{1,2} : R \rightarrow R$  such that  $f(\alpha e_{1,2}) = c_{1,2}^{1,2}(\alpha) e_{1,2} + c_{1,n-1}^{1,2}(\alpha) e_{1,n-1} + c_{1,n}^{1,2}(\alpha) e_{1,n}$  and there exist additive maps  $c_{n-1,n}^{n-1,n}, c_{2,n}^{n-1,n}, c_{1,n}^{n-1,n} : R \rightarrow R$  such that  $f(\alpha e_{n-1,n}) = c_{n-1,n}^{n-1,n}(\alpha) e_{n-1,n} + c_{2,n}^{n-1,n}(\alpha) e_{2,n} + c_{1,n}^{n-1,n}(\alpha) e_{1,n}$  for all  $\alpha \in R$ . And by Lemma 3.1, for every integer  $i$  with  $2 \leq i \leq n-2$ , there exist additive maps  $c_{i,i+1}^{i,i+1}, c_{1,n}^{i,i+1} : R \rightarrow R$  such that  $f(\alpha e_{i,i+1}) = c_{i,i+1}^{i,i+1}(\alpha) e_{i,i+1} + c_{1,n}^{i,i+1}(\alpha) e_{1,n}$  for all  $\alpha \in R$ .

Let  $i$  be an integer such that  $1 \leq i \leq n-2$ . Setting  $x = \alpha e_{i,i+1}$  and  $y = \beta e_{i+1,i+2}$  in (2.5), we have

$$f(\alpha e_{i,i+1})\beta e_{i+1,i+2} - \beta e_{i+1,i+2}f(\alpha e_{i,i+1}) = \alpha e_{i,i+1}f(\beta e_{i+1,i+2}) - f(\beta e_{i+1,i+2})\alpha e_{i,i+1} \tag{3.7}$$

for all  $\alpha, \beta \in R$ . Multiplying (3.7) by  $e_{i,i}$  from the left and by  $e_{i+2,i+2}$  from the right, we obtain  $e_{i,i}f(\alpha e_{i,i+1})\beta e_{i+1,i+2} = \alpha e_{i,i+1}f(\beta e_{i+1,i+2})e_{i+2,i+2}$ . This implies

$$c_{i,i+1}^{i,i+1}(\alpha)\beta = \alpha c_{i+1,i+2}^{i+1,i+2}(\beta) \tag{3.8}$$

for all  $\alpha, \beta \in R$  and  $i = 1, \dots, n-2$ . Since  $n \geq 4$ , by (3.8) we have  $c_{1,2}^{1,2}(\alpha)\beta = \alpha c_{2,3}^{2,3}(\beta)$  and  $c_{2,3}^{2,3}(\alpha)\beta = \alpha c_{3,4}^{3,4}(\beta)$  for all  $\alpha, \beta \in R$ . By Lemma 3.4 (1) (resp. Lemma 3.5 (1)), there exist  $u, v \in R$  (resp.  $u, v \in M(R)$ ) such that  $c_{2,3}^{2,3}(\alpha) = u\alpha$  and  $c_{2,3}^{2,3}(\alpha) = \alpha v$  for all  $\alpha \in R$ . With these, we have  $c_{2,3}^{2,3}(\alpha) = u\alpha = \alpha v$  and then  $c_{2,3}^{2,3}(\alpha)\beta = (u\alpha)\beta = u(\alpha\beta) = (\alpha\beta)v = \alpha(\beta v) = \alpha c_{2,3}^{2,3}(\beta)$  for all  $\alpha, \beta \in R$ . By Lemma 3.4 (2) (resp. Lemma 3.5 (2)), there exists  $\lambda \in Z(R)$  (resp.  $\lambda \in C(R)$ ) such that  $c_{2,3}^{2,3}(\alpha) = \lambda\alpha$  for all  $\alpha \in R$ . Then  $c_{1,2}^{1,2}(\alpha)\beta = \alpha c_{2,3}^{2,3}(\beta) = \alpha(\lambda\beta) = (\lambda\alpha)\beta$  for all  $\alpha, \beta \in R$ . Thus  $(c_{1,2}^{1,2}(\alpha) - \lambda\alpha)\beta = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{1,2}^{1,2}(\alpha) = \lambda\alpha = c_{2,3}^{2,3}(\alpha)$  for all  $\alpha \in R$ . Similarly, we have  $c_{2,3}^{2,3}(\alpha) = \lambda\alpha = c_{3,4}^{3,4}(\alpha)$  for all  $\alpha \in R$ . Now using (3.8) repeatedly, we obtain  $c_{i,i+1}^{i,i+1}(\alpha) = \lambda\alpha$  for all  $\alpha \in R$  and  $i = 1, \dots, n-1$ .

Setting  $x = \alpha e_{1,2}$  and  $y = \beta e_{n-1,n}$  in (2.5), we have

$$f(\alpha e_{1,2})\beta e_{n-1,n} - \beta e_{n-1,n}f(\alpha e_{1,2}) = \alpha e_{1,2}f(\beta e_{n-1,n}) - f(\beta e_{n-1,n})\alpha e_{1,2} \tag{3.9}$$

for all  $\alpha, \beta \in R$ . Multiplying (3.9) by  $e_{1,1}$  from the left and by  $e_{n,n}$  from the right, we obtain  $e_{1,1}f(\alpha e_{1,2})\beta e_{n-1,n} = \alpha e_{1,2}f(\beta e_{n-1,n})e_{n,n}$ . This implies  $c_{1,n-1}^{1,2}(\alpha)\beta = \alpha c_{2,n}^{n-1,n}(\beta)$  for all  $\alpha, \beta \in R$ . Thus by Lemma 3.4 (1) (resp. Lemma 3.5 (1)), there exists  $a \in R$  (resp.  $a \in M(R)$ ) such that  $c_{1,n-1}^{1,2}(\alpha) = \alpha a$  and  $c_{2,n}^{n-1,n}(\beta) = a\beta$  for all  $\alpha, \beta \in R$ . This proves the lemma.  $\square$

**LEMMA 3.7.** *Let  $R$  be either a ring with 1 or a semiprime ring. Suppose that  $f : N_n(R) \rightarrow N_n(R)$  is an additive map such that  $[f(x), x] = 0$  for all  $x \in N_n(R)$ , where  $n \geq 4$  is an integer. Let  $\lambda$  and  $a$  be the elements described in Lemma 3.6. Then for every integer  $i$  with  $2 \leq i \leq n - 2$ , there exists an additive map  $c_{1,n}^{i,n} : R \rightarrow R$  such that  $f(\alpha e_{i,n}) = (\lambda\alpha)e_{i,n} + c_{1,n}^{i,n}(\alpha)e_{1,n}$  for all  $\alpha \in R$ .*

*Proof.* By Lemma 3.2 (1) and (3), for every integer  $i$  with  $2 \leq i \leq n - 2$ , there exist additive maps  $c_{i,n}^{i,n}, c_{1,n}^{i,n} : R \rightarrow R$  such that  $f(\alpha e_{i,n}) = c_{i,n}^{i,n}(\alpha)e_{i,n} + c_{1,n}^{i,n}(\alpha)e_{1,n}$  for all  $\alpha \in R$ . Let  $i$  be an integer such that  $2 \leq i \leq n - 2$ . By Lemma 3.6,  $f(\beta e_{i-1,i}) = (\lambda\beta)e_{1,2} + (\beta a)e_{1,n-1} + c_{1,n}^{1,2}(\beta)e_{1,n}$  for all  $\beta \in R$  if  $i = 2$  and  $f(\beta e_{i-1,i}) = (\lambda\beta)e_{i-1,i} + c_{1,n}^{i-1,i}(\beta)e_{1,n}$  for all  $\beta \in R$  if  $3 \leq i \leq n - 2$ . In particular,  $e_{i-1,i-1}f(\beta e_{i-1,i})e_{i,n} = \lambda\beta e_{i-1,n}$  as  $i < n - 1$ . Setting  $x = \alpha e_{i,n}$  and  $y = \beta e_{i-1,i}$  in (2.5), we have

$$f(\alpha e_{i,n})\beta e_{i-1,i} - \beta e_{i-1,i}f(\alpha e_{i,n}) = \alpha e_{i,n}f(\beta e_{i-1,i}) - f(\beta e_{i-1,i})\alpha e_{i,n} \tag{3.10}$$

for all  $\alpha, \beta \in R$ . Multiplying (3.10) by  $e_{i-1,i-1}$  from the left and by  $e_{n,n}$  from the right, we see that  $-\beta e_{i-1,i}f(\alpha e_{i,n})e_{n,n} = -e_{i-1,i-1}f(\beta e_{i-1,i})\alpha e_{i,n}$ . This implies that  $\beta c_{i,n}^{i,n}(\alpha) = \lambda\beta\alpha$  for all  $\alpha, \beta \in R$ . Thus  $\beta(c_{i,n}^{i,n}(\alpha) - \lambda\alpha) = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{i,n}^{i,n}(\alpha) = \lambda\alpha$  for all  $\alpha \in R$ , proving the lemma.  $\square$

**LEMMA 3.8.** *Let  $R$  be either a ring with 1 or a semiprime ring. Suppose that  $f : N_n(R) \rightarrow N_n(R)$  is an additive map such that  $[f(x), x] = 0$  for all  $x \in N_n(R)$ , where  $n \geq 4$  is an integer. Let  $\lambda$  and  $a$  be the elements described in Lemma 3.6. Then for every distinct integers  $i, j$  with  $1 \leq i < j \leq n - 1$  and  $(i, j) \neq (1, 2)$ , there exists an additive map  $c_{1,n}^{i,j} : R \rightarrow R$  such that  $f(\alpha e_{i,j}) = (\lambda\alpha)e_{i,j} + c_{1,n}^{i,j}(\alpha)e_{1,n}$  for all  $\alpha \in R$ .*

*Proof.* By Lemma 3.1 and Lemma 3.3 (1) and (3), for every distinct integers  $i, j$  with  $1 \leq i < j \leq n - 1$  and  $(i, j) \neq (1, 2)$ , there exist additive maps  $c_{i,j}^{i,j}, c_{1,n}^{i,j} : R \rightarrow R$  such that  $f(\alpha e_{i,j}) = c_{i,j}^{i,j}(\alpha)e_{i,j} + c_{1,n}^{i,j}(\alpha)e_{1,n}$  for all  $\alpha \in R$ . Let  $i, j$  be distinct integers such that  $1 \leq i < j \leq n - 1$  and  $(i, j) \neq (1, 2)$ . By Lemmas 3.6 and 3.7,  $f(\beta e_{j,n}) = (\lambda\beta)e_{j,n} + c_{1,n}^{j,n}(\beta)e_{1,n}$  for all  $\beta \in R$  if  $2 \leq j \leq n - 2$  and  $f(\beta e_{j,n}) = (\lambda\beta)e_{n-1,n} + (a\beta)e_{2,n} + c_{1,n}^{n-1,n}(\beta)e_{1,n}$  for all  $\beta \in R$  if  $j = n - 1$ . In particular, we have  $e_{i,j}f(\beta e_{j,n})e_{n,n} = \lambda\beta e_{i,n}$  as  $j \geq 2$  and  $n - 1 \geq 3$ .

Setting  $x = \alpha e_{i,j}$  and  $y = \beta e_{j,n}$  in (2.5), we have

$$f(\alpha e_{i,j})\beta e_{j,n} - \beta e_{j,n}f(\alpha e_{i,j}) = \alpha e_{i,j}f(\beta e_{j,n}) - f(\beta e_{j,n})\alpha e_{i,j} \tag{3.11}$$

for all  $\alpha, \beta \in R$ . Multiplying (3.11) by  $e_{i,i}$  from the left and by  $e_{n,n}$  from the right, we obtain  $e_{i,i}f(\alpha e_{i,j})\beta e_{j,n} = \alpha e_{i,j}f(\beta e_{j,n})e_{n,n}$ . This implies that  $c_{i,j}^{i,j}(\alpha)\beta = \alpha\lambda\beta$  for all

$\alpha, \beta \in R$ . Thus  $(c_{i,j}^{i,j}(\alpha) - \lambda\alpha)\beta = 0$  for all  $\alpha, \beta \in R$ . By Lemma 2.1,  $c_{i,j}^{i,j}(\alpha) = \lambda\alpha$  for all  $\alpha \in R$ , proving the lemma.  $\square$

LEMMA 3.9. *Let  $R$  be a ring with 1 (resp. a semiprime ring with the multiplier ring  $M(R)$ ) and let  $N_3(R)$  be the ring of all  $3 \times 3$  strictly upper triangular matrices over  $R$  with center  $\mathcal{Z}$ . Suppose that  $f : N_3(R) \rightarrow N_3(R)$  is an additive map. Then  $[f(x), x] = 0$  for all  $x \in N_3(R)$  if and only if there exist an additive map  $\mu : N_3(R) \rightarrow \mathcal{Z}$  and an additive map  $\nu : N_3(R) \rightarrow \Omega$  such that  $f(x) = \mu(x) + \nu(x)$  for all  $x \in N_3(R)$ , where  $\Omega = \{\alpha e_{1,2} + \beta e_{2,3} : \alpha, \beta \in R\}$  and  $\nu$  is defined by some  $a \in R$  (resp.  $a \in M(R)$ ) such that  $\nu(x) = e_{1,1}xae_{2,2} + e_{2,2}axe_{3,3}$  for all  $x \in N_3(R)$ .*

*Proof.* The implication “ $\Leftarrow$ ” is trivial. For the implication “ $\Rightarrow$ ”: For two distinct integers  $i, j$  with  $1 \leq i < j \leq 3$  and write  $f(\alpha e_{ij}) = \sum_{s,t=1, s < t}^3 c_{st}^{ij}(\alpha) e_{st}$  for all  $\alpha \in R$ , where each  $c_{st}^{ij} : R \rightarrow R$  is an additive map. By Lemma 2.2,  $c_{2,3}^{1,2} = 0$  and  $c_{1,2}^{2,3} = 0$ . Thus  $f(\alpha e_{1,2}) = c_{1,2}^{1,2}(\alpha)e_{1,2} + c_{1,3}^{1,2}(\alpha)e_{1,3}$  and  $f(\beta e_{2,3}) = c_{2,3}^{2,3}(\beta)e_{2,3} + c_{1,3}^{2,3}(\beta)e_{1,3}$  for all  $\alpha, \beta \in R$ . Setting  $x = \alpha e_{1,2}$  and  $y = \beta e_{2,3}$  in (2.5), we obtain

$$\begin{aligned} 0 &= f(\alpha e_{1,2})\beta e_{2,3} - \beta e_{2,3}f(\alpha e_{1,2}) - \alpha e_{1,2}f(\beta e_{2,3}) + f(\beta e_{2,3})\alpha e_{1,2} \\ &= (c_{1,2}^{1,2}(\alpha)e_{1,2} + c_{1,3}^{1,2}(\alpha)e_{1,3})\beta e_{2,3} - \beta e_{2,3}(c_{1,2}^{1,2}(\alpha)e_{1,2} + c_{1,3}^{1,2}(\alpha)e_{1,3}) \\ &\quad - \alpha e_{1,2}(c_{2,3}^{2,3}(\beta)e_{2,3} + c_{1,3}^{2,3}(\beta)e_{1,3}) + (c_{2,3}^{2,3}(\beta)e_{2,3} + c_{1,3}^{2,3}(\beta)e_{1,3})\alpha e_{1,2} \\ &= (c_{1,2}^{1,2}(\alpha)\beta - \alpha c_{2,3}^{2,3}(\beta))e_{1,3} \end{aligned}$$

for all  $\alpha, \beta \in R$ . Thus  $c_{1,2}^{1,2}(\alpha)\beta - \alpha c_{2,3}^{2,3}(\beta) = 0$  for all  $\alpha, \beta \in R$ . By Lemma 3.4 (resp. Lemma 3.5), there exists  $a \in R$  (resp.  $a \in M(R)$ ) such that  $c_{1,2}^{1,2}(\alpha) = \alpha a$  and  $c_{2,3}^{2,3}(\alpha) = a\alpha$  for all  $\alpha \in R$ . Recall that  $\mathcal{Z} = Re_{13}$ . Thus  $f(\alpha e_{1,2}) - (\alpha a)e_{1,2} = c_{1,3}^{1,2}(\alpha)e_{1,3} \in \mathcal{Z}$  and  $f(\alpha e_{2,3}) - (a\alpha)e_{2,3} = c_{1,3}^{2,3}(\alpha)e_{1,3} \in \mathcal{Z}$  for all  $\alpha \in R$ . By Lemmas 2.4 and 2.5,  $c_{1,2}^{1,3} = 0$  and  $c_{2,3}^{1,3} = 0$ . So  $f(\alpha e_{1,3}) = c_{1,3}^{1,3}(\alpha)e_{1,3} \in \mathcal{Z}$  for all  $\alpha \in R$ . Let  $\nu : N_3(R) \rightarrow \Omega$  be the additive map defined by  $\nu(x) = e_{1,1}xae_{2,2} + e_{2,2}axe_{3,3}$  for all  $x \in N_3(R)$ , where  $\Omega = \{\alpha e_{1,2} + \beta e_{2,3} : \alpha, \beta \in R\}$ . Then  $f(x) - \nu(x) \in \mathcal{Z}$  for all  $x \in N_3(R)$ . Hence  $f(x) = \mu(x) + \nu(x)$  for all  $x \in N_3(R)$ , where  $\mu : N_3(R) \rightarrow \mathcal{Z}$  is the additive map defined by  $\mu(x) = f(x) - \nu(x)$  for all  $x \in N_3(R)$ . This proves the lemma.  $\square$

We are now ready to prove Theorems 1.1 and 1.2.

*Proof of Theorems 1.1 and 1.2.* The implication “ $\Leftarrow$ ” is trivial. For the implication “ $\Rightarrow$ ”: By Lemma 3.9, we may assume  $n \geq 4$ . Let  $\lambda$  and  $a$  be the elements described in Lemma 3.6 and let  $\Omega = \{\alpha e_{1,n-1} + \beta e_{2,n} : \alpha, \beta \in R\}$ . Let  $\nu : N_n(R) \rightarrow \Omega$  be the additive map defined by  $\nu(x) = e_{1,1}xae_{2,n-1} + e_{2,n-1}axe_{n,n}$  for all  $x \in N_n(R)$ . Clearly,  $\nu(\alpha e_{i,j}) = 0$  for all  $\alpha \in R$  and distinct integers  $i, j$  with  $1 \leq i < j \leq n$  and  $(i, j) \notin \{(1, 2), (n-1, n)\}$ . By Lemmas 3.6, 3.7 and 3.8,  $f(\alpha e_{i,j}) - \lambda(\alpha e_{i,j}) - \nu(\alpha e_{i,j}) \in Re_{1,n}$  for all  $\alpha \in R$  and distinct integers  $i, j$  with  $1 \leq i < j \leq n$  and  $(i, j) \neq (1, n)$ . Moreover, in view of Lemma 3.2 (1),  $f(\alpha e_{1,n}) \in Re_{1,n}$  and hence  $f(\alpha e_{1,n}) - \lambda(\alpha e_{1,n}) - \nu(\alpha e_{1,n}) \in Re_{1,n}$  for all  $\alpha \in R$ . Recall that  $\mathcal{Z} = Re_{1,n}$ . So

$f(x) - \lambda x - v(x) \in \mathcal{Z}$  for  $x \in N_n(\mathcal{R})$ . Let  $\mu : N_n(\mathcal{R}) \rightarrow \mathcal{Z}$  be the additive map defined by  $\mu(x) = f(x) - \lambda x - v(x)$  for  $x \in N_n(\mathcal{R})$ . Consequently,  $f(x) = \lambda x + \mu(x) + v(x)$  for all  $x \in N_n(\mathcal{R})$ . This proves the theorems.  $\square$

*Acknowledgements.* The authors are thankful to the referee for the very thorough reading of the paper and valuable suggestions.

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(Received March 16, 2023)

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