

## THE SPECTRAL EQUALITY FOR UPPER TRIANGULAR OPERATOR MATRICES WITH UNBOUNDED ENTRIES

DEYU WU, ALATANCANG CHEN AND TIN-YAU TAM

*Abstract.* Let

$$M_C = \begin{bmatrix} A & C \\ 0 & B \end{bmatrix} : D(M_C) \subset X \times X \rightarrow X \times X$$

be a  $2 \times 2$  unbounded upper triangular operator matrix on the complex Hilbert space  $X \times X$ . We investigate the conditions under which  $\sigma(M_C) = \sigma(A) \cup \sigma(B)$  holds in the diagonally dominant ( $D(M_C) = D(A) \times D(B)$ ) and upper dominant case ( $D(M_C) = D(A) \times D(C)$ ). Some necessary and sufficient conditions are obtained. The results generalize some results of Han, Du, and Barraa in the bounded case.

*Mathematics subject classification (2010):* 47B47, 47A10.

*Keywords and phrases:* Spectral equality, upper triangular operator matrices, null space.

### REFERENCES

- [1] M. BARRAA AND M. BOUMAZGOUR, *A note on the spectrum of an upper triangular operator matrix*, Proc. Amer. Math. Soc. **131** (2003), 3083–3088.
- [2] H. K. DU AND J. PAN, *Perturbation of spectrums of  $2 \times 2$  operator matrices*, Proc. Amer. Math. Soc. **121** (1994), 761–776.
- [3] P. R. HALMOS, *A Hilbert space problem book*, Springer-Verlag, New York, 1982.
- [4] J. K. HAN, H. Y. LEE AND W. Y. LEE, *Invertible completions of  $2 \times 2$  upper triangular operator matrices*, Proc. Amer. Math. Soc. **129** (2000), 119–123.
- [5] V. HARDT AND R. MENNICKEN, *On the spectrum of unbounded off-diagonal  $2 \times 2$  operator matrices in Banach spaces*, recent advances in operator theory (Groningen, 1998), 243–266, Oper. Theory Adv. Appl. **124**, Birkhuser, Basel, 2001.
- [6] V. HARDT, A. KONSTANTINOV AND R. MENNICKEN, *On the spectrum of the product of closed operators*, Math. Nachr. **215** (2000), 91–102.
- [7] I. S. HWANG AND W. Y. LEE, *The boundedness below of  $2 \times 2$  upper triangular operator matrices*, Integral Equations Operator Theory **39** (2001), 267–276.
- [8] J. J. HUANG, A. CHEN AND H. WANG, *The symplectic eigenfunction expansion theorem and its application to the plate bending equation*, Chinese Physics B, **16** (2009), 3616–3623.
- [9] T. KATO, *Perturbation Theory for Linear Operators*, Springer-Verlag: Berlin Heidelberg, 1995.
- [10] R. NAGEL, *Towards a “matrix theory” for unbounded operator matrices*, Math. Z. **201** (1989), 57–68.
- [11] L. SAKHNOVICH, *Effective construction of a class of positive operators in Hilbert space, which do not admit triangular factorization*, J. Funct. Anal. **263** (2012), 803–817.
- [12] C. TRETTER, *Spectral Theory of Block Operator Matrices and Applications*, Imperial College Press, London, 2008.
- [13] C. TRETTER, *Spectral inclusion for unbounded block operator matrices*, J. Funct. Anal. **256** (2009), 3806–3829.
- [14] D. Y. WU AND A. CHEN, *Invertibility of nonnegative Hamiltonian operator with unbounded entries*, J. Math. Anal. Appl. **373** (2011), 410–413.
- [15] D. Y. WU AND A. CHEN, *Spectral inclusion properties of the numerical range in a space with an indefinite metric*, Linear Algebra Appl. **435** (2011), 1131–1136.