

EXISTENCE AND UNIQUENESS RESULTS FOR A CLASS OF FRACTIONAL DIFFERENTIAL EQUATIONS WITH NONLOCAL BOUNDARY CONDITIONS

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Abstract. This study focuses on constructing solutions for a specific type of second order fractional differential equation involving nonlocal boundary conditions. We additionally provide exemplifications that demonstrate the application of our results.

1. Introduction

This work aims to construct both minimal and maximal solutions for a specific class of second order fractional differential equations with nonlocal boundary conditions. Specifically, we tackle the following nonlinear problem:

$$\begin{cases} -({}^C\mathcal{D}_{0+}^\alpha u)(x) = f(x, u), & x \in [0, 1], \\ u(0) - au'(0) = g_1(u), \\ u(1) + bu'(1) = g_2(u), \end{cases} \quad (1)$$

where ${}^C\mathcal{D}_{0+}^\alpha$ is the Caputo fractional derivative of order α with $1 < \alpha < 2$, $f: [0, 1] \times \mathbb{R} \rightarrow \mathbb{R}$ continuous, a and b are two positive real numbers and $g_i: C([0, 1]; \mathbb{R}) \rightarrow \mathbb{R}$ continuous and non-decreasing for $i = 1, 2$.

Fractional differential equations are used in various scientific fields such as viscoelasticity, electrical circuits, electroanalytical chemistry, biology, control theory, electromagnetic theory, and biomedical problems (see [19], [21], [22], [24] and the references cited in [28]).

Various techniques, such as topological degree theory, the nonlinear alternative of Leray-Schauder, Mawhin's continuation theorem, Guo-Krasnoselskii's fixed point theorem, Banach's fixed point theorem, Krasnoselskii's fixed point theorem, and the Adomian decomposition method, have been employed by several authors to study fractional differential equations with nonlocal boundary conditions (see [1], [2], [3], [4], [10], [15], [16], [20], [29] and the references cited therein).

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It is well known that the method of upper and lower solutions combined with the monotone iterative technique, has been utilized by several authors in order to prove the existence of solutions to fractional differential equations (see [5], [9], [11], [12], [13], [25], [27] and the cited references therein).

The purpose of this work is to demonstrate its successful application to problems of type (1). To the best of our knowledge, this is the first work which shows the existence of minimal and maximal solutions for boundary value problems of type (1) by combining the method of upper and lower solutions with the monotone iterative technique.

The plan of this paper is organized as follows: In Section 2, we give some definitions and preliminary results. The main results are presented and proved in Section 3 and finally in Section 4, we give some examples illustrating the application of our results.

2. Preliminaries

In this section, we give some definitions and preliminary results that will be used in the remainder of this paper.

DEFINITION 1. ([26, Chapter 1 page 33]) Let $q > 0$ and $h \in L^1([0, 1]; \mathbb{R})$. The Riemann-Liouville integral of order q of h is defined by

$$(I_{0+}^q h)(x) = \frac{1}{\Gamma(q)} \int_0^x (x-s)^{q-1} h(s) ds, \text{ for a.e. } x \in [0, 1],$$

where Γ is the Gamma Euler function defined by

$$\Gamma(x) = \int_0^{+\infty} e^{-t} t^{x-1} dt,$$

where $x \in \mathbb{R}$ with $x > 0$.

REMARK 1. If $q = 0$, we put by definition $(I_{0+}^0 h)(x) = h(x)$.

DEFINITION 2. ([14, Definition 1.5] or [19, Chapter 1 page 2]) By $AC([0, 1]; \mathbb{R})$ we denote the set of functions u which are absolutely continuous on $[0, 1]$.

DEFINITION 3. ([14, Definition 1.5] or [19, Chapter 1 page 2]) By $AC^1([0, 1]; \mathbb{R})$ we denote the set of functions u which have continuous derivative u' on $[0, 1]$ such that $u' \in AC([0, 1]; \mathbb{R})$.

DEFINITION 4. ([14, Chapter 3 page 50] or [19, Chapter 2 page 91]) Let $1 < \alpha < 2$ and $h \in AC^1([0, 1]; \mathbb{R})$. The Caputo fractional derivative of order α of h is defined by

$$({}^C \mathcal{D}_{0+}^\alpha h)(x) = ({}^{RL} \mathcal{D}_{0+}^\alpha (h(t) - h(0) - th'(0)))(x), \text{ for a.e. } x \in [0, 1],$$

where ${}^{RL}\mathcal{D}_{0^+}^\alpha$ is the Riemann-Liouville fractional derivative defined by

$$\begin{aligned} ({}^{RL}\mathcal{D}_{0^+}^\alpha h)(x) &= \frac{d^2}{dx^2} (I_{0^+}^{2-\alpha} h)(x), \text{ for a.e. } x \in [0, 1] \\ &= \frac{1}{\Gamma(2-\alpha)} \frac{d^2}{dx^2} \int_0^x (x-s)^{1-\alpha} h(s) ds, \text{ for a.e. } x \in [0, 1]. \end{aligned}$$

DEFINITION 5. ([19, Chapter 1 page 42]) The Mittag-Leffler function with two parameters $E_{\delta,\mu}$ is defined by

$$E_{\delta,\mu}(x) = \sum_{n=0}^\infty \frac{x^n}{\Gamma(\delta n + \mu)}, \quad \delta > 0, \quad \mu \in \mathbb{R} \text{ and } x \in \mathbb{R}.$$

We have the following result.

LEMMA 1. [19, Lemma 2.21] *Let $1 < \alpha < 2$ and let $u \in C([0, 1]; \mathbb{R})$, then we have*

$$({}^C\mathcal{D}_{0^+}^\alpha \circ I_{0^+}^\alpha u)(x) = u(x), \text{ for all } x \in [0, 1].$$

NOTATION 1. *For all $1 < \alpha < 2$, we denote by $C^{\alpha,1}([0, 1]; \mathbb{R})$ the following space of functions*

$$C^{\alpha,1}([0, 1]; \mathbb{R}) = \{u \in C^1([0, 1]; \mathbb{R}) : {}^C\mathcal{D}_{0^+}^\alpha u \in C([0, 1]; \mathbb{R})\}.$$

We have the following results.

LEMMA 2. *If $u \in C^{\alpha,1}([0, 1]; \mathbb{R})$, then we have*

$$(I_{0^+}^\alpha \circ {}^C\mathcal{D}_{0^+}^\alpha u)(x) = u(x) - u(0) - xu'(0), \text{ for all } x \in [0, 1].$$

Proof. The proof is similar to that of the sufficient condition of Theorem 1 in [17], so we omit it. \square

THEOREM 2. ([11, Theorem 2.11 page 85]) *Let u such that $u \in C^{\alpha,1}([0, 1]; \mathbb{R})$ and assume that u attains its maximum at $x_0 \in (0, 1)$, then*

$$-({}^C\mathcal{D}_{0^+}^\alpha u)(x_0) \geq \frac{x_0^{-\alpha}}{\Gamma(2-\alpha)} ((1-\alpha)(u(0) - u(x_0)) + x_0 u'(0)).$$

REMARK 2. Theorem 2 improve Theorem 2.1 in [6] since we suppose only that $u \in C^{\alpha,1}([0, 1]; \mathbb{R})$.

PROPOSITION 3. Let u such that $u \in C^{\alpha,1}([0,1];\mathbb{R})$ and assume that

$$\begin{cases} -({}^C\mathfrak{D}_{0^+}^\alpha u)(x) + M_1 u(x) \leq 0, & x \in [0,1], \\ u(0) - au'(0) \leq 0, \\ u(1) + bu'(1) \leq 0, \end{cases}$$

where M_1 is a positive real number and $a \geq \frac{1}{\alpha - 1}$.

Then $u(x) \leq 0$, for all $x \in [0,1]$.

Proof. Assume that there exists $x_0 \in [0,1]$ such that

$$u(x_0) = \max_{x \in [0,1]} u(x) = \varepsilon > 0.$$

Since $u \in C^1([0,1];\mathbb{R})$, we have

$$u'(x_0) = 0.$$

Case 1: If $x_0 = 0$, we obtain the contradiction

$$0 < u(0) \leq 0.$$

Case 2: If $x_0 = 1$, we obtain the contradiction

$$0 < u(1) \leq 0.$$

Case 3: If $x_0 \in (0,1)$, we have

$$-({}^C\mathfrak{D}_{0^+}^\alpha u)(x_0) \leq -M_1 u(x_0) \leq 0.$$

That is

$$-({}^C\mathfrak{D}_{0^+}^\alpha u)(x_0) \leq 0. \tag{2}$$

On the other hand from Theorem 2, we have

$$\begin{aligned} -({}^C\mathfrak{D}_{0^+}^\alpha u)(x_0) &\geq \frac{x_0^{-\alpha}}{\Gamma(2-\alpha)} ((1-\alpha)(u(0) - u(x_0)) + x_0 u'(0)) \\ &\geq \frac{x_0^{-\alpha}}{a\Gamma(2-\alpha)} (u(x_0) - u(0) + ax_0 u'(0)) \\ &\geq \frac{x_0^{-\alpha}}{a\Gamma(2-\alpha)} (u(x_0) - u(0) + x_0 u(0)) \\ &= \frac{x_0^{-\alpha}}{a\Gamma(2-\alpha)} (u(x_0) - (1-x_0)u(0)) \\ &\geq \frac{x_0^{-\alpha}(1-x_0)}{a\Gamma(2-\alpha)} (u(x_0) - u(0)) > 0. \end{aligned}$$

Which is a contradiction with (2). \square

Now, we consider the following problem

$$\begin{cases} -({}^C\mathcal{D}_{0^+}^\alpha u)(x) + M_2u(x) = F(x), & x \in [0, 1], \\ u(0) - au'(0) = a_1, \\ u(1) + bu'(1) = a_2, \end{cases} \tag{3}$$

where $F : [0, 1] \rightarrow \mathbb{R}$ continuous, $M_2 \geq 0$, and a_1 and a_2 are real numbers.

THEOREM 4. ([14, Theorem 7.19]) *Let u_1 and u_2 be two linearly independent solutions of the homogeneous differential equation*

$$-({}^C\mathcal{D}_{0^+}^\alpha u)(x) + M_2u(x) = 0,$$

and let M_{hom} the matrix defined by

$$M_{\text{hom}} = \begin{pmatrix} u_1(0) - au'_1(0) & u_2(0) - au'_2(0) \\ u_1(1) + bu'_1(1) & u_2(1) + bu'_2(1) \end{pmatrix}.$$

(i) *If $\det M_{\text{hom}} \neq 0$, then the boundary value problem*

$$\begin{cases} -({}^C\mathcal{D}_{0^+}^\alpha u)(x) + M_2u(x) = 0, & x \in [0, 1], \\ u(0) - au'(0) = 0, \\ u(1) + bu'(1) = 0, \end{cases} \tag{4}$$

has only the trivial solution, and the problem (3) admits a unique solution.

(ii) *If $\det M_{\text{hom}} = 0$, then the boundary value problem (4) has nontrivial solutions.*

PROPOSITION 5. *The problem (3) admits a unique solution $u \in C^{\alpha,1}([0, 1]; \mathbb{R})$.*

Proof. From Theorem 1 in [18] the functions $u_1(x) = E_{\alpha,1}(M_2x^\alpha)$ and $u_2(x) = xE_{\alpha,2}(M_2x^\alpha)$ are two linearly independent solutions of the homogeneous fractional differential equation

$$-({}^C\mathcal{D}_{0^+}^\alpha u)(x) + M_2u(x) = 0.$$

We have

$$M_{\text{hom}} = \begin{pmatrix} u_1(0) - au'_1(0) & u_2(0) - au'_2(0) \\ u_1(1) + bu'_1(1) & u_2(1) + bu'_2(1) \end{pmatrix}.$$

Since $u_1(0) = u'_2(0) = 1$, $u'_1(0) = 0$, $u'_1(1) = M_2E_{\alpha,\alpha}(M_2)$ and $u'_2(1) = E_{\alpha,1}(M_2)$, we obtain

$$M_{\text{hom}} = \begin{pmatrix} & 1 & -a \\ E_{\alpha,1}(M_2) + bM_2E_{\alpha,\alpha}(M_2) & E_{\alpha,2}(M_2) + bE_{\alpha,1}(M_2) & \end{pmatrix}.$$

Which implies that

$$\det M_{\text{hom}} = E_{\alpha,2}(M_2) + bE_{\alpha,1}(M_2) + a(E_{\alpha,1}(M_2) + bM_2E_{\alpha,\alpha}(M_2))$$

$$= \begin{cases} 1 + b + a & \text{if } M_2 = 0, \\ \sum_{n=0}^{+\infty} \left(\frac{1}{\Gamma(\alpha n + 2)} + \frac{a+b}{\Gamma(\alpha n + 1)} + \frac{abM_2}{\Gamma(\alpha n + \alpha)} \right) M_2^n & \text{if } M_2 > 0. \end{cases}$$

Now taking into account that M_2 , a and b are all positive real numbers, we deduce that $\det M_{\text{hom}} > 0$ and consequently according to Theorem 4, it follows that the problem (3) admits a unique solution $u \in C^{\alpha,1}([0, 1]; \mathbb{R})$. \square

PROPOSITION 6. *Let u such that $u \in C^{\alpha,1}([0, 1]; \mathbb{R})$ and assume that*

$$\begin{cases} -({}^C\mathcal{D}_{0^+}^\alpha u)(x) \leq 0, & x \in [0, 1], \\ u(0) - au'(0) = \int_0^1 \mathfrak{h}_1(x)u(x)dx, \\ u(1) + bu'(1) = \int_0^1 \mathfrak{h}_2(x)u(x)dx, \end{cases}$$

where $\mathfrak{h}_i : [0, 1] \rightarrow \mathbb{R}^+$ are continuous with $\int_0^1 \mathfrak{h}_i(x)dx < 1$ for $i = 1, 2$ and $a \geq \frac{1}{\alpha - 1}$.

Then $u(x) \leq 0$, for all $x \in [0, 1]$.

Proof. Assume that there exists $x_0 \in [0, 1]$ such that

$$u(x_0) = \max_{x \in [0, 1]} u(x) = \varepsilon > 0.$$

Since $u \in C^1([0, 1]; \mathbb{R})$, we have

$$u'(x_0) = 0.$$

Case 1: If $x_0 = 0$, we have

$$\begin{aligned} u(0) &= \int_0^1 \mathfrak{h}_1(x)u(x)dx \\ &\leq u(0) \int_0^1 \mathfrak{h}_1(x)dx \\ &< u(0). \end{aligned}$$

That is

$$u(0) < u(0).$$

Which is a contradiction.

Case 2: If $x_0 = 1$, we have

$$\begin{aligned} u(1) &= \int_0^1 h_2(x)u(x) dx \\ &\leq u(1) \int_0^1 h_2(x) dx \\ &< u(1). \end{aligned}$$

That is

$$u(1) < u(1).$$

Which is a contradiction.

Case 3: $x_0 \in (0, 1)$.

In this case, we have

$$-({}^C\mathfrak{D}_{0^+}^\alpha u)(x_0) \leq 0. \tag{5}$$

On the other hand from Theorem 2, we have

$$\begin{aligned} -({}^C\mathfrak{D}_{0^+}^\alpha u)(x_0) &\geq \frac{x_0^{-\alpha}}{\Gamma(2-\alpha)} ((1-\alpha)(u(0) - u(x_0)) + x_0u'(0)) \\ &\geq \frac{x_0^{-\alpha}}{a\Gamma(2-\alpha)} (u(x_0) - u(0) + ax_0u'(0)) \\ &> \frac{x_0^{-\alpha}}{a\Gamma(2-\alpha)} (u(x_0) - u(0) + x_0(u(0) - u(x_0))) \\ &= \frac{x_0^{-\alpha}(1-x_0)}{a\Gamma(2-\alpha)} (u(x_0) - u(0)) > 0. \end{aligned}$$

Which is a contradiction with (5). \square

3. Main results

In this section, we give some definitions, state and prove our main results.

DEFINITION 6. We say that u is a solution of (1) if $u \in C^{\alpha,1}([0, 1]; \mathbb{R})$ and

$$\begin{cases} -({}^C\mathfrak{D}_{0^+}^\alpha u)(x) = f(x, u), & x \in [0, 1], \\ u(0) - au'(0) = g_1(u), \\ u(1) + bu'(1) = g_2(u). \end{cases}$$

DEFINITION 7. We say that \underline{u} is a lower solution of (1) if $\underline{u} \in C^{\alpha,1}([0, 1]; \mathbb{R})$ and

$$\begin{cases} -({}^C\mathfrak{D}_{0^+}^\alpha \underline{u})(x) \leq f(x, \underline{u}), & x \in [0, 1], \\ \underline{u}(0) - a\underline{u}'(0) \leq g_1(\underline{u}), \\ \underline{u}(1) + b\underline{u}'(1) \leq g_2(\underline{u}). \end{cases}$$

DEFINITION 8. We say that \bar{u} is an upper solution of (1) if $\bar{u} \in C^{\alpha,1}([0,1];\mathbb{R})$ and

$$\begin{cases} -({}^C\mathcal{D}_{0+}^{\alpha}\bar{u})(x) \geq f(x,\bar{u}), & x \in [0,1], \\ \bar{u}(0) - a\bar{u}'(0) \geq g_1(\bar{u}), \\ \bar{u}(1) + b\bar{u}'(1) \geq g_2(\bar{u}). \end{cases}$$

Assume the existence of an ordered pair of lower and upper solutions \underline{u} and \bar{u} satisfying

$$\underline{u}(x) \leq \bar{u}(x), \text{ for all } x \in [0,1].$$

Also, on the nonlinearity f and the real number a , we shall impose the following conditions.

- (H1) There exists a positive real number M such that $u \mapsto f(x,u) + Mu$ is non-decreasing for all $x \in [0,1]$ and $\underline{u} \leq u \leq \bar{u}$.
- (H2) $a \geq \frac{1}{\alpha - 1}$.
- (H3) The functionals $g_i : C([0,1];\mathbb{R}) \rightarrow \mathbb{R}$ are continuous and non-decreasing for $i = 1, 2$.

The first main result of this work is

THEOREM 7. Let \underline{u} and \bar{u} be lower and upper solutions respectively for problem (1) such that $\underline{u} \leq \bar{u}$ in $[0,1]$. Assume that the conditions (Hi) for $i = 1, 2, 3$ are satisfied. Then the problem (1) has a maximal solution u^* and a minimal solution u_* such that for every solution u of (1) with $\underline{u} \leq u \leq \bar{u}$ in $[0,1]$, we have

$$\underline{u} \leq u_* \leq u \leq u^* \leq \bar{u} \text{ in } [0,1].$$

Proof. The proof will be given in several steps.

We take $\underline{u}_0 = \underline{u}$, and we define the sequence of functions $(\underline{u}_n)_{n \in \mathbb{N}}$ by

$$\begin{cases} -({}^C\mathcal{D}_{0+}^{\alpha}\underline{u}_{n+1})(x) + M\underline{u}_{n+1}(x) = f(x,\underline{u}_n) + M\underline{u}_n(x), & x \in [0,1], \\ \underline{u}_{n+1}(0) - a\underline{u}'_{n+1}(0) = g_1(\underline{u}_n), \\ \underline{u}_{n+1}(1) + b\underline{u}'_{n+1}(1) = g_2(\underline{u}_n). \end{cases} \quad (6)$$

Analogously, we take $\bar{u}_0 = \bar{u}$ and we define the sequence of functions $(\bar{u}_n)_{n \in \mathbb{N}}$ by

$$\begin{cases} -({}^C\mathcal{D}_{0+}^{\alpha}\bar{u}_{n+1})(x) + M\bar{u}_{n+1}(x) = f(x,\bar{u}_n) + M\bar{u}_n(x), & x \in [0,1], \\ \bar{u}_{n+1}(0) - a\bar{u}'_{n+1}(0) = g_1(\bar{u}_n), \\ \bar{u}_{n+1}(1) + b\bar{u}'_{n+1}(1) = g_2(\bar{u}_n). \end{cases} \quad (7)$$

Step 1: For all $n \in \mathbb{N}^*$, we have

$$\underline{u} \leq \underline{u}_1 \leq \dots \leq \underline{u}_n \leq \underline{u}_{n+1} \leq \bar{u}_{n+1} \leq \bar{u}_n \leq \dots \leq \bar{u}_1 \leq \bar{u} \text{ in } [0,1].$$

Let

$$w_0(x) := \underline{u}_0(x) - \underline{u}_1(x), \quad x \in [0, 1].$$

By (6) and using the definition of lower solution, we have

$$\begin{cases} -({}^C\mathcal{D}_{0+}^\alpha w_0)(x) + Mw_0(x) \leq 0, & x \in (0, 1), \\ w_0(0) - aw'_0(0) \leq 0, \\ w_0(1) + bw_0(1) \leq 0. \end{cases}$$

Then from Proposition 3, we obtain

$$w_0(x) \leq 0, \quad \text{for all } x \in [0, 1].$$

That is

$$\underline{u}_0 \leq \underline{u}_1 \quad \text{in } [0, 1]. \tag{8}$$

Similarly, we can prove that

$$\bar{u}_1 \leq \bar{u}_0 \quad \text{in } [0, 1]. \tag{9}$$

Now, we put by definition

$$p_1(x) = \underline{u}_1(x) - \bar{u}_1(x), \quad x \in [0, 1].$$

Combining (6) and (7), we obtain

$$-({}^C\mathcal{D}_{0+}^\alpha p_1)(x) + Mp_1(x) = f_0(x), \quad \text{for all } x \in [0, 1],$$

where

$$f_0(x) = f(x, \underline{u}) - f(x, \bar{u}) + M(\underline{u}(x) - \bar{u}(x)).$$

Since $\underline{u}_0 = \underline{u} \leq \bar{u} = \bar{u}_0$ in $[0, 1]$ and using the hypothesis (H1), we deduce that

$$-({}^C\mathcal{D}_{0+}^\alpha p_1)(x) + Mp_1(x) \leq 0, \quad x \in [0, 1]. \tag{10}$$

On the other hand, we have

$$p_1(0) - ap'_1(0) \leq g_1(\underline{u}) - g_1(\bar{u}).$$

Since $\underline{u} \leq \bar{u}$ and g_1 is increasing, we obtain

$$p_1(0) - ap'_1(0) \leq 0. \tag{11}$$

Similarly, we have

$$p_1(1) + bp'_1(1) \leq 0. \tag{12}$$

Combining (10), (11) and (12), we obtain

$$\begin{cases} -({}^C\mathcal{D}_{0+}^\alpha p_1)(x) + Mp_1(x) \leq 0, & x \in [0, 1], \\ p_1(0) - ap'_1(0) \leq 0, \\ p_1(1) + bp'_1(1) \leq 0. \end{cases}$$

Then from Proposition 3, we obtain

$$p_1(x) \leq 0, \text{ for all } x \in [0, 1],$$

That is

$$\underline{u}_1 \leq \bar{u}_1 \text{ in } [0, 1], \quad (13)$$

and then by (8), (9) and (13), we obtain

$$\underline{u}_0 \leq \underline{u}_1 \leq \bar{u}_1 \leq \bar{u}_0 \text{ in } [0, 1].$$

Assume for fixed $n \geq 1$, we have

$$\underline{u}_n \leq \underline{u}_{n+1} \leq \bar{u}_{n+1} \leq \bar{u}_n \text{ in } [0, 1],$$

and we show that

$$\underline{u}_{n+1} \leq \underline{u}_{n+2} \leq \bar{u}_{n+2} \leq \bar{u}_{n+1} \text{ in } [0, 1].$$

We put by definition

$$w_{n+1}(x) := \underline{u}_{n+1}(x) - \underline{u}_{n+2}(x), \quad x \in [0, 1].$$

By (6), we have

$$\begin{cases} -({}^C \mathfrak{D}_{0+}^\alpha w_{n+1})(x) + M w_{n+1}(x) = \widehat{f}_n(x), & x \in [0, 1], \\ w_{n+1}(0) - a w'_{n+1}(0) = g_1(\underline{u}_n) - g_1(\underline{u}_{n+1}), \\ w_{n+1}(1) + b w'_{n+1}(1) = g_2(\underline{u}_n) - g_2(\underline{u}_{n+1}), \end{cases}$$

where

$$\widehat{f}_n(x) = (f(x, \underline{u}_n) - f(x, \underline{u}_{n+1})) + M(\underline{u}_n(x) - \underline{u}_{n+1}(x)), \text{ for all } x \in [0, 1].$$

Since by the hypothesis of recurrence, we have $\underline{u}_n \leq \underline{u}_{n+1}$ in $[0, 1]$ and using the hypotheses (H1) and (H3) we obtain

$$\begin{cases} -({}^C \mathfrak{D}_{0+}^\alpha w_{n+1})(x) + M w_{n+1}(x) \leq 0, & x \in [0, 1], \\ w_{n+1}(0) - a w'_{n+1}(0) \leq 0, \\ w_{n+1}(1) + b w'_{n+1}(1) \leq 0. \end{cases}$$

Then from Proposition 3, it follows that

$$w_{n+1}(x) \leq 0, \text{ for all } x \in [0, 1].$$

That is

$$\underline{u}_{n+1}(x) \leq \underline{u}_{n+2}(x), \text{ for all } x \in [0, 1]. \quad (14)$$

Similarly, we can prove that

$$\bar{u}_{n+2} \leq \bar{u}_{n+1} \text{ in } [0, 1], \quad (15)$$

and

$$\underline{u}_{n+2} \leq \bar{u}_{n+2} \text{ in } [0, 1]. \tag{16}$$

Combining (14), (15) and (16), we obtain

$$\underline{u}_{n+1} \leq \underline{u}_{n+2} \leq \bar{u}_{n+2} \leq \bar{u}_{n+1} \text{ in } [0, 1],$$

and consequently for all $n \in \mathbb{N}$, we have

$$\underline{u}_n \leq \underline{u}_{n+1} \leq \bar{u}_{n+1} \leq \bar{u}_n \text{ in } [0, 1].$$

The proof of Step 1 is complete.

Step 2: The sequence of functions $(\underline{u}_n)_{n \in \mathbb{N}}$ and $(\bar{u}_n)_{n \in \mathbb{N}}$ are uniformly bounded in $C^1([0, 1]; \mathbb{R})$.

Let's $n \in \mathbb{N}$ and $x \in [0, 1]$, we have

$$-({}^C \mathcal{D}_{0^+}^\alpha \underline{u}_{n+1})(x) = f(x, \underline{u}_n) + M(\underline{u}_n(x) - \underline{u}_{n+1}(x)).$$

Then from Lemma 2, one has

$$\underline{u}_{n+1}(x) = \underline{u}_{n+1}(0) + x\underline{u}'_{n+1}(0) - \frac{1}{\Gamma(\alpha)} \int_0^x (x-t)^{\alpha-1} F_n(t) dt.$$

where

$$F_n(x) = f(x, \underline{u}_n) + M(\underline{u}_n(x) - \underline{u}_{n+1}(x)).$$

Which implies that

$$\underline{u}'_{n+1}(x) = \underline{u}'_{n+1}(0) - \frac{1}{\Gamma(\alpha-1)} \int_0^x (x-t)^{\alpha-2} F_n(t) dt.$$

That is

$$\underline{u}'_{n+1}(x) = \frac{\underline{u}_{n+1}(0) - g_1(\underline{u}_n)}{a} - \frac{1}{\Gamma(\alpha-1)} \int_0^x (x-t)^{\alpha-2} F_n(t) dt.$$

Since $\underline{u} \leq \underline{u}_n \leq \bar{u}$, for all $n \in \mathbb{N}$, f and g_1 are continuous, we obtain

$$|\underline{u}'_n(x)| \leq \frac{C_1 + C_2}{a} + \frac{C_3 + 2MC_1}{\Gamma(\alpha)},$$

where

$$C_1 = \max \left(\max_{x \in [0,1]} |\underline{u}(x)|, \max_{x \in [0,1]} |\bar{u}(x)| \right),$$

$$C_2 = \max \{ |g_1(u)|, \underline{u} \leq u \leq \bar{u} \},$$

and

$$C_3 = \max \{|f(x, u)|, x \in [0, 1] \text{ and } \underline{u} \leq u \leq \bar{u}\}.$$

If we put by definition $C = \frac{C_1 + C_2}{a} + \frac{C_3 + 2MC_1}{\Gamma(\alpha)}$, we get

$$\forall n \in \mathbb{N}, \forall x \in [0, 1], |\underline{u}'_n(x)| \leq C.$$

That is

$$\forall n \in \mathbb{N}, \|\underline{u}'_n\|_0 = \max_{x \in [0, 1]} |\underline{u}'_n(x)| \leq C.$$

Similarly, we can prove that the sequence of functions $(\bar{u}_n)_{n \in \mathbb{N}}$ is uniformly bounded in $C^1([0, 1]; \mathbb{R})$.

The proof of Step 2 is complete.

Step 3: The sequence of functions $(\underline{u}_n)_{n \in \mathbb{N}}$ converges to a minimal solution of (1).

By Step 2 the sequence $(\underline{u}_n)_{n \in \mathbb{N}}$ is uniformly bounded in $C^1([0, 1]; \mathbb{R})$.

Now let $\varepsilon > 0$ and $t, s \in [0, 1]$ such that $t < s$, then for each $n \in \mathbb{N}$, we have

$$\begin{aligned} & |\underline{u}'_n(s) - \underline{u}'_n(t)| \\ &= \frac{1}{\Gamma(\alpha - 1)} \left| \int_0^s (s - \tau)^{\alpha-2} F_n(\tau) d\tau - \int_0^t (t - \tau)^{\alpha-2} F_n(\tau) d\tau \right| \\ &= \frac{1}{\Gamma(\alpha - 1)} \left| \int_0^t ((s - \tau)^{\alpha-2} - (t - \tau)^{\alpha-2}) F_n(\tau) d\tau + \int_t^s (s - \tau)^{\alpha-2} F_n(\tau) d\tau \right| \\ &\leq \frac{C_3 + 2MC_1}{\Gamma(\alpha - 1)} \left(\int_0^t ((t - \tau)^{\alpha-2} - (s - \tau)^{\alpha-2}) d\tau + \int_t^s (s - \tau)^{\alpha-2} d\tau \right) \\ &= \frac{C_3 + 2MC_1}{\Gamma(\alpha - 1)} \left(\frac{t^{\alpha-1}}{\alpha - 1} + \frac{(s - t)^{\alpha-1}}{\alpha - 1} - \frac{s^{\alpha-1}}{\alpha - 1} + \frac{(s - t)^{\alpha-1}}{\alpha - 1} \right) \\ &= \frac{C_3 + 2MC_1}{\Gamma(\alpha)} \left(t^{\alpha-1} - s^{\alpha-1} + 2(s - t)^{\alpha-1} \right) \\ &< \frac{2(C_3 + 2MC_1)}{\Gamma(\alpha)} (s - t)^{\alpha-1}. \end{aligned}$$

That is

$$|\underline{u}'_n(s) - \underline{u}'_n(t)| < \frac{2(C_3 + 2MC_1)}{\Gamma(\alpha)} (s - t)^{\alpha-1}. \quad (17)$$

Now since the functions $t \mapsto t^{\alpha-1}$ is continuous, then there exists $\delta(\varepsilon) > 0$ such that if $s - t < \delta(\varepsilon)$, we have

$$(s - t)^{\alpha-1} < \frac{\Gamma(\alpha) \varepsilon}{2(C_3 + 2MC_1 + 1)}. \quad (18)$$

Then by (17), (18) and if we choose $s - t < \delta(\varepsilon)$, we obtain

$$|\underline{u}'_n(s) - \underline{u}'_n(t)| < \varepsilon,$$

and therefore the sequence of functions $(\underline{u}'_n)_{n \in \mathbb{N}}$ is equicontinuous on $[0, 1]$.

Hence by Arzelá–Ascoli theorem, there exists a subsequence $(\underline{u}_{n_j})_{n_j \in \mathbb{N}}$ of $(\underline{u}_n)_{n \in \mathbb{N}}$ which converges in $C^1([0, 1]; \mathbb{R})$.

Let

$$u = \lim_{n_j \rightarrow +\infty} \underline{u}_{n_j},$$

then

$$u' = \lim_{n_j \rightarrow +\infty} \underline{u}'_{n_j}.$$

But by Step 1, the sequence $(\underline{u})_{n \in \mathbb{N}}$ is decreasing and bounded from below, then the pointwise limit of this sequence exists and it is denoted by u_* .

Hence we have $u = u_*$ and moreover, the whole sequence converges in $C^1([0, 1]; \mathbb{R})$ to u_* .

Let $x \in [0, 1]$, then from Lemma 2 we have

$$\underline{u}_{n+1}(x) = \underline{u}_{n+1}(0) + x\underline{u}'_{n+1}(0) - \frac{1}{\Gamma(\alpha)} \int_0^x (x-t)^{\alpha-1} F_n(t) dt.$$

Now as $n \rightarrow +\infty$, one has

$$\lim_{n \rightarrow +\infty} F_n(x) = f(s, u_*(s)).$$

Which implies that

$$u_*(s) = u_*(0) + xu'_*(0) - \frac{1}{\Gamma(\alpha)} \int_0^x (x-t)^{\alpha-1} f(s, u_*(s)) ds,$$

and from Lemma 1, we obtain

$$-({}^C \mathfrak{D}_{0^+}^\alpha u_*)(x) = f(x, u_*), \quad \forall x \in [0, 1].$$

Also, we have

$$u_*(0) - au'_*(0) = g_1(u_*),$$

and

$$u_*(1) + bu'_*(1) = g_2(u_*).$$

Consequently u_* is a solution of (1).

Now, we are going to prove that u_* is a minimal solution; i.e., if u is another solution of (1) such that $\underline{u} \leq u \leq \bar{u}$ on $[0, 1]$, then $u_* \leq u$ on $[0, 1]$.

Since u is a lower solution of (1), then by Step 1, we have

$$\forall n \in \mathbb{N}, \quad u \leq \underline{u}_n.$$

Letting $n \rightarrow +\infty$, we obtain

$$u \leq \lim_{n \rightarrow +\infty} \underline{u}_n = u_*.$$

Which means that u_* is a minimal solution of problem (1).

The proof of Step 3 is complete.

Similarly, we can prove that the sequence $(\bar{u}_n)_{n \in \mathbb{N}}$ converges to a maximal solution of (1).

The proof of our result is complete. \square

To prove the uniqueness of solutions for the problem (1), it is necessary to impose additional conditions on f and g_i .

On the nonlinearity f and the functional g_i , we shall impose the following additional conditions.

(H4) The function $y \mapsto f(x, y)$ is decreasing for all $x \in [0, 1]$, $\underline{u} \leq y \leq \bar{u}$.

(H5) $g_i(u) = \int_0^1 h_i(x) u(x) dx$ with $h_i : [0, 1] \rightarrow \mathbb{R}^+$ continuous and $\int_0^1 h_i(x) dx < 1$ for $i = 1, 2$.

We have the following result.

THEOREM 8. *Assume that hypotheses (Hi) for $i = 1, 2, 4, 5$ are satisfied and \underline{u} and \bar{u} be lower and upper solutions respectively for problem (1) such that $\underline{u} \leq \bar{u}$ in $[0, 1]$. Then the problem (1) admits a unique solution u such that $\underline{u} \leq u \leq \bar{u}$ in $[0, 1]$.*

Proof. By Theorem 7, the problem (1) admits a minimal solution u_* and a maximal solution u^* such that

$$\underline{u} \leq u_* \leq u^* \leq \bar{u} \text{ in } [0, 1].$$

We put by definition

$$\hat{u}(x) = u^*(x) - u_*(x), \quad x \in [0, 1].$$

We have

$$\hat{u}(x) \geq 0 \text{ for all } x \in [0, 1]. \tag{19}$$

Now we are going to prove that

$$\hat{u}(x) \leq 0 \text{ for all } x \in [0, 1].$$

We have

$$\begin{cases} -({}^C\mathfrak{D}_{0^+}^\alpha \widehat{u})(x) = f(x, u^*(x)) - f(x, u_*(x)), & x \in [0, 1], \\ \widehat{u}(0) - a\widehat{u}'(0) = \int_0^1 \mathfrak{h}_1(x) \widehat{u}(x) dx, \\ \widehat{u}(1) + b\widehat{u}'(1) = \int_0^1 \mathfrak{h}_2(x) \widehat{u}(x) dx. \end{cases}$$

Using the hypothesis (H4), we get

$$\begin{cases} -({}^C\mathfrak{D}_{0^+}^\alpha \widehat{u})(x) \leq 0, & x \in [0, 1], \\ \widehat{u}(0) - a\widehat{u}'(0) = \int_0^1 \mathfrak{h}_1(x) \widehat{u}(x) dx, \\ \widehat{u}(1) + b\widehat{u}'(1) = \int_0^1 \mathfrak{h}_2(x) \widehat{u}(x) dx. \end{cases}$$

Now using the hypothesis (H5), then from Proposition 6, we obtain

$$\widehat{u}(x) \leq 0, \text{ for all } x \in [0, 1].$$

and by the inequality (19), it follows that

$$\widehat{u}(x) = 0, \text{ for all } x \in [0, 1].$$

That is

$$u^*(x) = u_*(x) \text{ for all } x \in [0, 1],$$

and consequently it follows that the problem (1) admits a unique solution. \square

REMARK 3. Theorem 8 remains valid if we replace the hypothesis (H5) by the following hypothesis

$$\begin{aligned} \text{(H6)} \quad g_i(u) &= \int_0^1 \mathfrak{h}_i(x) u(x) dx + \sum_{j=1}^{m_i} \delta_{i,j} u(\sigma_{i,j}), \text{ where } \mathfrak{h}_i : I \rightarrow \mathbb{R}^+ \text{ continuous, } \delta_{i,j} \geq \\ &0 \text{ for all } 1 \leq j \leq m_i, 0 < \sigma_{i,1} < \dots < \sigma_{i,m_i} < 1 \text{ and } \int_0^1 \mathfrak{h}_i(x) dx + \sum_{j=1}^{m_i} \delta_{i,j} < 1 \text{ for} \\ &i = 1, 2. \end{aligned}$$

4. Examples

In this section, we give some examples illustrating the application of our results.

4.1. Example 1

We consider the following problem

$$\begin{cases} -({}^C\mathcal{D}_{0^+}^\alpha u)(x) = x - \frac{\Gamma(\alpha)}{2}xu(x), & x \in [0, 1], \\ u(0) - au'(0) = \int_0^1 xu(x) dx, \\ u(1) = \int_0^1 xu(x) dx, \end{cases} \quad (20)$$

where ${}^C\mathcal{D}_0^\alpha$ is the Caputo fractional derivative of order α with $1 < \alpha < 2$ and a a positive real number such that $a \geq \frac{1}{\alpha - 1}$.

We put by definition

$$\underline{u}(x) = 0 \quad \text{and} \quad \bar{u}(x) = \frac{2}{\Gamma(\alpha)}, \quad \text{for all } x \in [0, 1],$$

First it is easy to check that \underline{u} and \bar{u} are lower and upper solutions for the problem (20).

On the other hand it is not difficult to see that the function $(x, u) \mapsto -\frac{\Gamma(\alpha)}{2}xu(x) + x$ satisfies the other assumptions of Theorem 8 and consequently it follows that the problem (20) admits a unique solution u such that

$$0 \leq u(x) \leq \frac{2}{\Gamma(\alpha)}, \quad \text{for all } x \in [0, 1].$$

4.2. Example 2

We consider the following problem

$$\begin{cases} -({}^C\mathcal{D}_{0^+}^\alpha u)(x) = x^2 + x + 1 - e^{u(x)}, & x \in [0, 1], \\ u(0) - au'(0) = \int_0^1 x^\beta u(x) dx, \\ u(1) + bu'(1) = \int_0^1 x^\beta u(x) dx, \end{cases} \quad (21)$$

where ${}^C\mathcal{D}_0^\alpha$ is the Caputo fractional derivative of order α with $1 < \alpha < 2$, a a positive real number such that $a \geq \frac{1}{\alpha - 1}$ and $\beta > 0$.

We put by definition

$$\underline{u}(x) = 0 \text{ and } \bar{u}(x) = \frac{11}{10}, \text{ for all } x \in [0, 1].$$

First it is not difficult to see that \underline{u} is a lower solution for the problem (21).

Now \bar{u} is an upper solution for the problem (21) if we have

$$\left\{ \begin{array}{l} -({}^C\mathcal{D}_{0^+}^\alpha \bar{u})(x) \geq x^2 + x + 1 - e^{\bar{u}(x)}, \quad x \in [0, 1], \\ \bar{u}(0) - a\bar{u}'(0) \geq \int_0^1 x^\beta \bar{u}(x) dx, \\ \bar{u}(1) + b\bar{u}'(1) \geq \int_0^1 x^\beta \bar{u}(x) dx. \end{array} \right.$$

That is

$$\left\{ \begin{array}{l} 0 \geq x^2 + x + 1 - e^{1.1}, \quad x \in [0, 1], \\ \frac{11}{10} \geq \frac{11}{10(\beta + 1)}, \\ \frac{11}{10} \geq \frac{11}{10(\beta + 1)}. \end{array} \right.$$

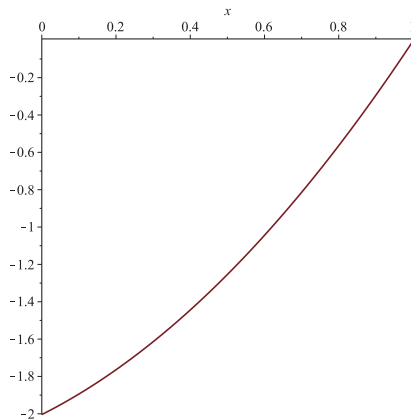


Figure 1: Graph of the function ϕ_1 .

Since

$$\phi_1(x) := x^2 + x + 1 - e^{1.1} \leq 0, \text{ for all } x \in [0, 1],$$

we obtain \bar{u} is an upper solution for the problem (21).

On the other hand it is not difficult to see that the function $(x, u) \mapsto x^2 + x + 1 - e^u$ satisfies the other assumptions of Theorem 8 and consequently it follows that the problem (21) admits a unique solution u such that

$$0 \leq u(x) \leq \frac{11}{10}, \text{ for all } x \in [0, 1].$$

4.3. Example 3

We consider the following problem

$$\begin{cases} -({}^C\mathcal{D}_{0+}^\alpha u)(x) = u(x)(u(x) - 1) + \mathfrak{h}(x), & x \in [0, 1], \\ u(0) - au'(0) = \int_0^1 x^\beta u(x) dx, \\ u(1) + bu'(1) = \int_0^1 x^\beta u(x) dx, \end{cases} \quad (22)$$

where ${}^C\mathcal{D}_0^\alpha$ is the Caputo fractional derivative of order α with $1 < \alpha < 2$, $\mathfrak{h}; [0, 1] \rightarrow [0, \frac{1}{4}]$ continuous, a a positive real number such that $a \geq \frac{1}{\alpha - 1}$ and $\beta > 0$.

We put by definition

$$\underline{u}(x) = 0 \text{ and } \bar{u}(x) = \frac{1}{2}, \text{ for all } x \in [0, 1],$$

It is easy to check that \underline{u} and \bar{u} are lower and upper solutions for the problem (22).

On the other hand it is not difficult to see that the function $(x, u) \mapsto \mathfrak{h}(x) + u(u - 1)$ satisfies the other assumptions of Theorem 8 and consequently it follows that the problem (22) admits a unique solution u such that

$$0 \leq u(x) \leq \frac{1}{2}, \text{ for all } x \in [0, 1].$$

4.4. Example 4

We consider the following problem

$$\begin{cases} -({}^C\mathcal{D}_{0+}^{\frac{3}{2}} u)(x) = u(x) \left(u(x) - \frac{1}{2} \right) (1 - u(x)), & x \in [0, 1], \\ u(0) - au'(0) = 0, \\ u(1) = \frac{1}{2}u\left(\frac{4}{5}\right) + \frac{1}{3}u\left(\frac{9}{10}\right). \end{cases} \quad (23)$$

where ${}^C\mathcal{D}_0^{\frac{3}{2}}$ is the Caputo fractional derivative of order $\frac{3}{2}$ and a a positive real number such that $a \geq 2$.

We put by definition

$$\underline{u}(x) = \frac{x^{\frac{3}{2}}}{2}, \text{ for all } x \in [0, 1],$$

and

$$\bar{u}(x) = 2 - x, \text{ for all } x \in [0, 1].$$

First since

$$\bar{u}(1) = 1 \geq \frac{1}{2}\bar{u}\left(\frac{4}{5}\right) + \frac{1}{3}\bar{u}\left(\frac{9}{10}\right) = \frac{1}{2}\left(2 - \frac{4}{5}\right) + \frac{1}{3}\left(2 - \frac{9}{10}\right) = 0.96667,$$

then it is not difficult to prove that \bar{u} is an upper solution for the problem (23).

Now \underline{u} is a lower solution for the problem (23) if we have

$$\begin{cases} -\left({}^C\mathcal{D}_{0+}^{\frac{3}{2}}\underline{u}\right)(x) \leq \underline{u}(x) \left(\underline{u}(x) - \frac{1}{2}\right) (1 - \underline{u}(x)), & x \in [0, 1], \\ \underline{u}(0) - a\underline{u}'(0) \leq 0, \\ \underline{u}(1) \leq \frac{1}{2}\underline{u}\left(\frac{4}{5}\right) + \frac{1}{3}\underline{u}\left(\frac{9}{10}\right) \end{cases}$$

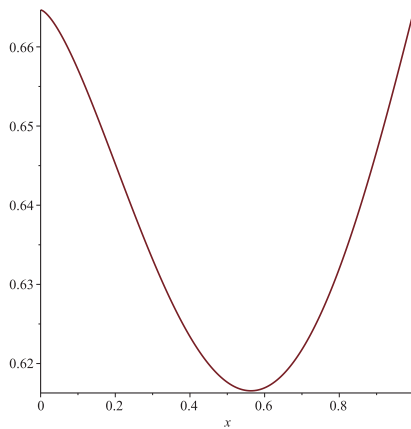


Figure 2: Graph of the function ϕ_2 .

That is

$$\begin{cases} -\frac{\Gamma\left(\frac{5}{2}\right)}{2} \leq \frac{3}{8}x^3 - \frac{1}{4}x^{\frac{3}{2}} - \frac{1}{8}x^{\frac{9}{2}}, & x \in [0, 1], \\ -\frac{3a}{2} \leq 0, \\ \frac{1}{2} \leq \frac{1}{2}\left(\frac{4}{5}\right)^{\frac{3}{2}} + \frac{1}{3}\left(\frac{9}{10}\right) = 0.64238. \end{cases}$$

Since

$$\Phi_2(x) := \frac{3}{8}x^3 - \frac{1}{4}x^{\frac{3}{2}} - \frac{1}{8}x^{\frac{9}{2}} + \frac{\Gamma\left(\frac{5}{2}\right)}{2} \geq 0, \text{ for all } x \in [0, 1],$$

it follows that \underline{u} is a lower solution for the problem (23).

On the other hand it is not difficult to see that the function $(x, u) \mapsto u(u - \frac{1}{2})(1 - u)$ satisfies the other assumptions of Theorem 8 and consequently it follows that the problem (23) admits a unique solution u such that

$$\frac{x^{\frac{3}{2}}}{2} \leq u(x) \leq 2 - x, \text{ for all } x \in [0, 1].$$

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