

VECTOR-STABILITY OF MULTIPLE VECTOR REFINABLE VECTORS

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Abstract. The stability is an expected property for refinable vectors, which is widely considered in the study of refinement equations. There are two types of stability for refinable vectors. One is *the ordinary-stability*, the other is *the vector-stability*. *The ordinary-stability* considers the stability of entries of refinable vectors, but *the vector-stability* considers the stability of refinable vectors themselves where they are considered as elements of super Hilbert spaces. In this paper, we give a necessary and sufficient condition for refinable vectors to be vector-stable. Our results improve some known ones.

1. Introduction and the main result

In this paper, we study vector refinement equations of the following form

$$\Phi(x) = \sum_{k \in \mathbb{Z}} \mathbf{P}_k \Phi(2x - k), \quad x \in \mathbb{R}. \quad (1)$$

Here, $\Phi = (\Phi_1, \dots, \Phi_n)$, where $\Phi_j \in L^2(\mathbb{R})^{(r)}$, $1 \leq j \leq n$ and $\{\mathbf{P}_k : k \in \mathbb{Z}\}$ is the refinement mask such that each \mathbf{P}_k is an $r \times r$ (complex) matrix. A nonzero solution of (1) is called a *refinable vector*.

Vector refinement equations are widely studied in the literature. Daubechies and Cohen [4], Heil and Colella [12], and Long, Chen and Yan [26, 27] studied the existence of solutions of (1). And Daubechies, Jia, Jiang, Lau, Micchelli, Shen, Zhou etc. discussed the regularity of refinable functions [1–3, 5–11, 16–19, 25, 28, 30–31]. In particular, the stability of solutions of vector refinement equations was characterized by Shen [29] and Jiang [22]. Hogan [14, 15] and Shen, Jiang and Lawton [23, 24] gave some characterizations for the stability of solutions of multiple vector refinement equations.

Recall that a vector $\Phi = (\phi_1, \dots, \phi_r)^T \in L^2(\mathbb{R})^{(r)}$ is said to be stable [14] if there exist constants $0 < \beta_1 \leq \beta_2 < \infty$ such that for any $a = \{a_{p,k} : 1 \leq p \leq r, k \in \mathbb{Z}\} \in \ell^2(\mathbb{Z})$,

$$\beta_1 \|a\|_{\ell^2(\mathbb{Z})}^2 \leq \left\| \sum_{k \in \mathbb{Z}} \sum_{p=1}^r a_{p,k} \phi_p(\cdot - k) \right\|_{L^2(\mathbb{R})}^2 \leq \beta_2 \|a\|_{\ell^2(\mathbb{Z})}^2.$$

For convenience, we call the vector Φ *ordinarily stable* whenever the above conditions are satisfied.

In this paper, we study the stability of refinable vectors in the following sense.

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DEFINITION 1. Let $\Phi = (\Phi_1, \dots, \Phi_n)$, where $\Phi_j \in L^2(\mathbb{R})^{(r)}$, $1 \leq j \leq n$. Φ is said to be *vector-stable* if there exist constants $0 < \beta_1 \leq \beta_2 < \infty$ such that for any $a = \{a_{j,k} : 1 \leq j \leq n, k \in \mathbb{Z}\} \in \ell^2(\mathbb{Z})$,

$$\beta_1 \|a\|_{\ell^2(\mathbb{Z})}^2 \leq \left\| \sum_{j=1}^n \sum_{k \in \mathbb{Z}} a_{j,k} \Phi_j(\cdot - k) \right\|_{L^2(\mathbb{R})^{(r)}}^2 \leq \beta_2 \|a\|_{\ell^2(\mathbb{Z})}^2.$$

Before going further, we introduce some notations used in this paper. The Fourier transform of a function in $L^1(\mathbb{R})$ is defined by

$$\hat{f}(\omega) = \int_{\mathbb{R}} f(x)e^{-ix\omega} dx.$$

For $F = (f_1, \dots, f_r)^T$, $G = (g_1, \dots, g_r)^T \in L^2(\mathbb{R})^{(r)}$, the inner product of F and G is given by

$$\langle F, G \rangle_{L^2(\mathbb{R})^{(r)}} = \int_{\mathbb{R}} \sum_{p=1}^r f_p(x) \overline{g_p(x)} dx,$$

the norm of F is defined by $\|F\|_{L^2(\mathbb{R})^{(r)}} = \langle F, F \rangle^{1/2}$ and $[F, G](\xi) = \sum_{j=1}^r \sum_{k \in \mathbb{Z}} \widehat{f_j}(\xi + 2\pi k) \overline{\widehat{g_j}(\xi + 2\pi k)}$.

In the Fourier domain, the refinement equation (1) can be written as

$$\widehat{\Phi}(\omega) = \mathbf{P}\left(\frac{\omega}{2}\right) \widehat{\Phi}\left(\frac{\omega}{2}\right),$$

where

$$\mathbf{P}(\omega) := \frac{1}{2} \sum_{k \in \mathbb{Z}} \mathbf{P}_k e^{-ik\omega},$$

and the Fourier transform of the vector-valued function Φ is defined componentwise.

The symbols \mathbb{N} and \mathbb{Z}_+ denote the set of natural numbers and non-negative integers, respectively. We denote by \mathbb{T} the quotient group $\mathbb{R}/2\pi\mathbb{Z}$.

For a given integer $m \geq 2$, we say that a point $\omega \in \mathbb{R}$ is m -cyclic in \mathbb{T} if $2^m \omega = \omega \neq 0$ in \mathbb{T} . It was shown in [13] that if ω is m -cyclic in \mathbb{T} for some integer $m \geq 2$, then for any $k \in \mathbb{Z}$,

$$\omega + 2k\pi = 2^m \omega + 2^{m-q} v\pi \tag{2}$$

for some $n \in \mathbb{N}$, $q \in \{0, \dots, m-1\}$ and $v \in \mathbb{Z} \setminus 2\mathbb{Z}$. Also, if ω is cyclic, then $\omega + \pi$ is acyclic, i.e., is not m -cyclic in \mathbb{T} for any integer m .

Let

$$\mathcal{P}_{n,k}(\cdot) := \prod_{n > \ell > k} \mathbf{P}(2^\ell \cdot) = \mathbf{P}(2^{n-1} \cdot) \mathbf{P}(2^{n-2} \cdot) \dots \mathbf{P}(2^{k+1} \cdot), \quad \forall k, n \in \mathbb{Z}.$$

For the vector-stability of refinable vectors, Zhang and Sun gave some necessary and sufficient conditions [32, 33]. However, they considered only the case of single vector refinable vectors. In this paper, we extend their results to multiple vector refinable vectors.

Let $\Phi = (\Phi_1, \dots, \Phi_n)$, where $\Phi_j \in L^2(\mathbb{R})^{(r)}$, $1 \leq j \leq n$. We denote by $S_0(\Phi)$ the linear span of $\{\Phi_j(\cdot - k) : 1 \leq j \leq n, k \in \mathbb{Z}\}$ and by $S(\Phi)$ the closure of $S_0(\Phi)$ in $L^2(\mathbb{R})^{(r)}$. Define

$$\text{len}S(\Phi) := \min\{\#\Psi : S(\Psi) = S(\Phi)\}.$$

Our main result is the following.

THEOREM 1. *Assume that $\Phi = (\Phi_1, \dots, \Phi_n)$ is a compactly supported solution of the refinement equation (1) and $\text{len}S(\Phi) = n$. Then Φ is vector-stable if and only if for every $\lambda \in \mathbb{C}^n \setminus \{0\}$,*

- (i) *if $\lambda \widehat{\Phi}(0) = 0$, then there exists $n \in \mathbb{Z}_+$ so that $\lambda \mathbf{P}^n(0)\mathbf{P}(\pi) \neq 0$;*
- (ii) *if $\lambda \mathbf{P}(\omega) = 0$ for some $\omega \in \mathbb{R}$, then $\lambda \mathbf{P}(\omega + \pi) \neq 0$;*
- (iii) *for any integer $m \geq 2$ and any $\omega \in \mathbb{R}$ which is m -cyclic in \mathbb{T} , there exist $n \in \mathbb{N}$ and $q \in \{0, \dots, m-1\}$ so that*

$$\lambda \mathcal{P}_{mn,q}(\omega)\mathbf{P}(2^q\omega + \pi) \neq 0.$$

REMARK 1. Though Theorem 1 looks much like Theorem 1 in [13], they solve different problems. One of them gives a necessary and sufficient condition for refinable vectors to be vector-stable, another one gives a necessary and sufficient condition for refinable vectors to be ordinarily-stable. For the difference between two types of stability see [32, Example 4.1].

2. Proof of the main result

In this section, we give the proof of the main result. We begin with some preliminary results.

Given a vector function $F = (f_1, \dots, f_r)^T$ on \mathbb{R} , we set

$$F^0 := \sum_{k \in \mathbb{Z}} \sum_{p=1}^r |f_p(\cdot - k)|.$$

Then F^0 is a 1-periodic function. Define

$$\mathcal{L}^2(\mathbb{R})^{(r)} := \left\{ F = (f_1, \dots, f_r)^T : \|F\|_{\mathcal{L}^2(\mathbb{R})^{(r)}} := \|F^0\|_{L^2([0,1])} < \infty \right\}.$$

If $r = 1$, $\mathcal{L}^2(\mathbb{R})^{(1)}$ is written as $\mathcal{L}^2(\mathbb{R})$ for an abbreviation.

Given a function ϕ and a sequence a , the semi-convolution $a *_s \phi$ is the sum

$$\sum_{k \in \mathbb{Z}} a(k)\phi(\cdot - k).$$

Next we give a necessary and sufficient condition on the vector-stability of single vector in $\mathcal{L}^2(\mathbb{R})^{(r)}$.

PROPOSITION 1. [32, Theorem 3.3] Let $\Psi = (\psi_1, \dots, \psi_r)^T \in \mathcal{L}^2(\mathbb{R})^{(r)}$. Then Ψ is vector-stable if and only if

$$\sum_{k \in \mathbb{Z}} \sum_{p=1}^r |\hat{\psi}_p(\omega + 2k\pi)|^2 > 0, \quad \text{for all } \omega \in \mathbb{R}.$$

The following proposition shows that Ψ^{*sd} maps $\ell^1(\mathbb{Z})$ to $\mathcal{L}^2(\mathbb{R})$ and maps $\ell^2(\mathbb{Z})$ to $L^2(\mathbb{R})$.

PROPOSITION 2. [16, Theorem 2.1] If $\psi \in \mathcal{L}^2(\mathbb{R})$, then

$$\|\Psi^{*sd} c\|_{\mathcal{L}^2(\mathbb{R})} \leq \|\Psi\|_{\mathcal{L}^2(\mathbb{R})} \|c\|_{\ell^1(\mathbb{Z})}$$

and

$$\|\Psi^{*sd} c\|_{L^2(\mathbb{R})} \leq \|\Psi\|_{\mathcal{L}^2(\mathbb{R})} \|c\|_{\ell^2(\mathbb{Z})}.$$

The following lemma is the generalized form of Proposition 2.

LEMMA 1. If $\Psi = (\psi_1, \dots, \psi_r)^T \in \mathcal{L}^2(\mathbb{R})^{(r)}$, then

$$\|\Psi^{*sd} c\|_{\mathcal{L}^2(\mathbb{R})^{(r)}} \leq \sqrt{r} \|\Psi\|_{\mathcal{L}^2(\mathbb{R})^{(r)}} \|c\|_{\ell^1(\mathbb{Z})} \quad (3)$$

and

$$\|\Psi^{*sd} c\|_{L^2(\mathbb{R})^{(r)}} \leq \|\Psi\|_{\mathcal{L}^2(\mathbb{R})^{(r)}} \|c\|_{\ell^2(\mathbb{Z})}. \quad (4)$$

Proof. Since

$$\begin{aligned} \|\Psi^{*sd} c\|_{\mathcal{L}^2(\mathbb{R})^{(r)}} &= \|(\Psi^{*sd} c)^0\|_{L^2([0,1])} = \left\| \sum_{k \in \mathbb{Z}} \sum_{p=1}^r (\psi_p^{*sd} c)(\cdot - k) \right\|_{L^2([0,1])} \\ &\leq \sum_{p=1}^r \left\| \sum_{k \in \mathbb{Z}} (\psi_p^{*sd} c)(\cdot - k) \right\|_{L^2([0,1])} = \sum_{p=1}^r \|\psi_p^{*sd} c\|_{\mathcal{L}^2(\mathbb{R})}, \end{aligned}$$

by Proposition 2, we have

$$\begin{aligned} \|\Psi^{*sd} c\|_{\mathcal{L}^2(\mathbb{R})^{(r)}} &\leq \sum_{p=1}^r \|\psi_p\|_{\mathcal{L}^2(\mathbb{R})} \|c\|_{\ell^1(\mathbb{Z})} = \|c\|_{\ell^1(\mathbb{Z})} \sum_{p=1}^r \|(\psi_p)^0\|_{L^2([0,1])} \\ &\leq \|c\|_{\ell^1(\mathbb{Z})} \sqrt{r} \left\| \sum_{p=1}^r (\psi_p)^0 \right\|_{L^2([0,1])} = \sqrt{r} \|\Psi\|_{\mathcal{L}^2(\mathbb{R})^{(r)}} \|c\|_{\ell^1(\mathbb{Z})}. \end{aligned}$$

This proves (3).

(4) follows from [32, Lemma 3.1]. \square

Let $F \in L^2(\mathbb{R})^{(r)}$, $G \in \mathcal{L}^2(\mathbb{R})^{(r)}$ and $c(F, G)(k) = \langle F, G(\cdot - k) \rangle_{L^2(\mathbb{R})^{(r)}}$. We have following lemma.

LEMMA 2. *The following inequality holds:*

$$\|c(F, G)\|_{\ell^2(\mathbb{Z})} \leq \|F\|_{L^2(\mathbb{R})^{(r)}} \|G\|_{\mathcal{L}^2(\mathbb{R})^{(r)}}. \tag{5}$$

Proof. For two sequences a and b , let

$$\langle a, b \rangle_{\ell^2(\mathbb{Z})} = \sum_{k \in \mathbb{Z}} a(k) \overline{b(k)}.$$

For any finitely supported sequence b , we obtain

$$\langle c(F, G), b \rangle_{\ell^2(\mathbb{Z})} = \langle F, G *_{sd} b \rangle_{L^2(\mathbb{R})^{(r)}}.$$

By Lemma 1, we have

$$|\langle c(F, G), b \rangle_{\ell^2(\mathbb{Z})}| \leq \|F\|_{L^2(\mathbb{R})^{(r)}} \|G *_{sd} b\|_{L^2(\mathbb{R})^{(r)}} \leq \|F\|_{L^2(\mathbb{R})^{(r)}} \|G\|_{\mathcal{L}^2(\mathbb{R})^{(r)}} \|b\|_{\ell^2(\mathbb{Z})}.$$

This proves (5). \square

Now, we give a necessary and sufficient condition on the vector-stability of multiple vectors in $\mathcal{L}^2(\mathbb{R})^{(r)}$.

THEOREM 2. *Let $\Phi = (\Phi_1, \dots, \Phi_n)$, where $\Phi_j \in \mathcal{L}^2(\mathbb{R})^{(r)}$, $1 \leq j \leq n$. Then Φ is vector-stable if and only if for any $\xi \in \mathbb{R}$, the sequences $\{\widehat{\Phi}_j(\xi + 2\pi k) : k \in \mathbb{Z}\}$ ($j = 1, \dots, n$) are linearly independent.*

Proof. (\Rightarrow). We prove this by contradiction. If, for some $\xi \in \mathbb{R}$, the sequences $\{\widehat{\Phi}_j(\xi + 2\pi k) : k \in \mathbb{Z}\}$ ($j = 1, \dots, n$) are linearly dependent, then there exist constants r_j ($j = 1, \dots, n$), not all zero, such that

$$\sum_{j=1}^n r_j \widehat{\Phi}_j(\xi + 2\pi k) = 0 \quad \text{for all } k \in \mathbb{Z}.$$

Let $\widetilde{\Phi} := \sum_{j=1}^n r_j \Phi_j$. Then by Proposition 1, $\widetilde{\Phi}$ is not vector-stable, namely, $\Phi_1, \dots, \Phi_n \in \mathcal{L}^2(\mathbb{R})^{(r)}$ are not vector-stable. This proves “(\Rightarrow)”.

(\Leftarrow). Given $a = \{a_{j,k} : 1 \leq j \leq n, k \in \mathbb{Z}\} \in \ell^2(\mathbb{Z})$, then by Lemma 1

$$\begin{aligned} \left\| \sum_{j=1}^n \sum_{k \in \mathbb{Z}} a_{j,k} \Phi_j(\cdot - k) \right\|_{L^2(\mathbb{R})^{(r)}}^2 &= \left\| \sum_{j=1}^n a_j *_{sd} \Phi_j \right\|_{L^2(\mathbb{R})^{(r)}}^2 \leq n \sum_{j=1}^n \|a_j *_{sd} \Phi_j\|_{L^2(\mathbb{R})^{(r)}}^2 \\ &\leq n \sum_{j=1}^n \|\Phi_j\|_{\mathcal{L}^2(\mathbb{R})^{(r)}}^2 \|a_j\|_{\ell^2(\mathbb{Z})}^2 \leq nC_2 \sum_{j=1}^n \|a_j\|_{\ell^2(\mathbb{Z})}^2 \\ &= nC_2 \|a\|_{\ell^2(\mathbb{Z})}^2, \end{aligned}$$

where $C_2 = \max \left\{ \|\Phi_1\|_{\mathcal{L}^2(\mathbb{R})^{(r)}}^2, \dots, \|\Phi_n\|_{\mathcal{L}^2(\mathbb{R})^{(r)}}^2 \right\}$ and $a_j = \{a_j(k) = a_{j,k} : k \in \mathbb{Z}\}$ ($1 \leq j \leq n$).

Note that $\Phi_1, \dots, \Phi_n \in \mathcal{L}^2(\mathbb{R})^{(r)}$, we have $\left\{ \widehat{\Phi}_j(\xi + 2\pi k) : k \in \mathbb{Z} \right\} \in \ell^2(\mathbb{Z})$ ($1 \leq j \leq n$). Since the sequences $\left\{ \widehat{\Phi}_j(\xi + 2\pi k) : k \in \mathbb{Z} \right\}$ ($1 \leq j \leq n$) are linearly independent, their Gram matrix $([\Phi_j, \Phi_k](\xi))_{1 \leq j, k \leq n}$ is nonsingular for $\xi \in \mathbb{T}$ and has its all entries in \mathcal{B} . Here

$$\mathcal{B} = \left\{ \sum_{k \in \mathbb{Z}} a_k e^{-2\pi k i \xi} : a = \{a_k : k \in \mathbb{Z}\} \in \ell^1(\mathbb{Z}) \text{ is a sequence} \right\}.$$

By Wiener’s lemma, the inverse matrix of $([\Phi_j, \Phi_k](\xi))_{1 \leq j, k \leq n}$ also has its all entries in \mathcal{B} . Take $b_{j,k} \in \ell^1(\mathbb{Z})$ ($j, k = 1, \dots, n$) such that the matrix $(\widehat{b}_{j,k}(\xi))_{1 \leq j, k \leq n}$ is the inverse of $([\Phi_j, \Phi_k](\xi))_{1 \leq j, k \leq n}$. For $1 \leq j \leq n$, let

$$\Psi_j := \sum_{k=1}^n \Phi_k *_{sd} b_{j,k}.$$

Then by Lemma 1, $\Psi_j \in \mathcal{L}^2(\mathbb{R})^{(r)}$ and for $1 \leq j, m \leq n$

$$[\Psi_j, \Phi_m](\xi) = \sum_{k=1}^n \widehat{b}_{j,k}(\xi) [\Phi_k, \Phi_m](\xi) = \delta_{j,m} \quad \text{for all } \xi \in \mathbb{T}.$$

Hence

$$\langle \Psi_j, \Phi_k(\cdot - \alpha) \rangle = \delta_{j,k} \delta_{0,\alpha}$$

and

$$a_{j,k} = \left\langle \sum_{m=1}^n \sum_{\alpha \in \mathbb{Z}} a_{m,\alpha} \Phi_m(\cdot - \alpha), \Psi_j(\cdot - k) \right\rangle \quad \text{for all } 1 \leq j \leq n, k \in \mathbb{Z}.$$

Therewith, by Lemma 2

$$\begin{aligned} \|a\|_{\ell^2(\mathbb{Z})}^2 &= \sum_{j=1}^n \|a_j\|_{\ell^2(\mathbb{Z})}^2 \\ &\leq \sum_{j=1}^n \left\| \sum_{m=1}^n \sum_{\alpha \in \mathbb{Z}} a_{m,\alpha} \Phi_m(\cdot - \alpha) \right\|_{L^2(\mathbb{R})^{(r)}}^2 \|\Psi_j\|_{\mathcal{L}^2(\mathbb{R})^{(r)}}^2 \\ &= \left\| \sum_{m=1}^n \sum_{\alpha \in \mathbb{Z}} a_{m,\alpha} \Phi_m(\cdot - \alpha) \right\|_{L^2(\mathbb{R})^{(r)}}^2 \sum_{j=1}^n \|\Psi_j\|_{\mathcal{L}^2(\mathbb{R})^{(r)}}^2, \end{aligned}$$

where $a_j = \{a_j(k) = a_{j,k} : k \in \mathbb{Z}\}$ ($1 \leq j \leq n$). Let $C_1 = 1/(\sum_{j=1}^n \|\Psi_j\|_{\mathcal{L}^2(\mathbb{R})^{(r)}}^2)$.

Then $C_1 \|a\|_{\ell^2(\mathbb{Z})}^2 \leq \|\sum_{m=1}^n \sum_{\alpha \in \mathbb{Z}} a_{m,\alpha} \Phi_m(\cdot - \alpha)\|_{L^2(\mathbb{R})^{(r)}}^2$. From the above argument, Φ is vector-stable. \square

Denote by $\Pi(\mathbb{C})$ the ring of polynomials over \mathbb{C} . Let S be the linear space of all sequences $h : \mathbb{Z} \rightarrow \mathbb{C}$. For $\theta \in \mathbb{C} \setminus \{0\}$, the sequence given by

$$h_\theta : k \rightarrow \theta^k, \quad k \in \mathbb{Z},$$

is an element of S , which we shall denote by h_θ . We define $\tau h = h(\cdot + 1)$. If $p \in \Pi(\mathbb{C})$, $p(x) = \sum_{k \geq 0} a_k x^k$, then p induces the linear partial difference operator $p(\tau) := \sum_{k \geq 0} a_k \tau^k$. Let $P = (p_{ij})_{1 \leq i \leq m, 1 \leq j \leq n}$ be a matrix with all its entries $p_{ij} \in \Pi(\mathbb{C})$. For $(h_1, \dots, h_n) \in S^n$, consider the system of linear homogeneous partial difference equations

$$\sum_{j=1}^n p_{ij}(\tau)h_j = 0, \quad i = 1, \dots, m.$$

All the solutions to this system of equations form a subspace of S^n which we shall denote by $\tau(P)$. We have the following proposition.

PROPOSITION 3. [20, Theorem 2.1] Let P be an $m \times n$ matrix whose entries are elements of $\Pi(\mathbb{C})$. Then the following conditions are equivalent.

- (i) $\tau(P) \neq 0$.
- (ii) There exist some $\theta \in \mathbb{C} \setminus \{0\}$ and $(a_1, \dots, a_n) \in \mathbb{C}^n \setminus \{0\}$ such that

$$(a_1 h_\theta, \dots, a_n h_\theta) \in \tau(P).$$

Let $\Upsilon_1, \dots, \Upsilon_n \in L^2(\mathbb{R})$ or $\Upsilon_1, \dots, \Upsilon_n \in L^2(\mathbb{R})^{(r)}$. Assumption that $\Upsilon_1, \dots, \Upsilon_n$ are compactly supported. We define

$$K(\Upsilon_1, \dots, \Upsilon_n) := \left\{ (h_1, \dots, h_n) \in S^n : \sum_{j=1}^n [\Upsilon_j, h_j] = 0 \right\}$$

and

$$H(\Upsilon_1, \dots, \Upsilon_n) := \left\{ \sum_{j=1}^n [\Upsilon_j, h_j] : (h_1, \dots, h_n) \in S^n \right\}.$$

Here, $[\Upsilon_j, h_j] := \sum_{k \in \mathbb{Z}} \Upsilon_j(\cdot - k)h_j(k)$.

Let $\Phi_1, \dots, \Phi_n \in L^2(\mathbb{R})^{(r)}$ be compactly supported. The following lemma gives a characterization for $K(\Phi_1, \dots, \Phi_n)$.

LEMMA 3. There exists a matrix P with n columns whose entries are elements of $\Pi(\mathbb{C})$ such that $K(\Phi_1, \dots, \Phi_n) = \tau(P)$.

Proof. Let $G = (-1, 1)$. Since $\mathbb{R} = \cup_{k \in \mathbb{Z}} (G + k)$, we have that $(h_1, \dots, h_n) \in K(\Phi_1, \dots, \Phi_n)$ if and only if

$$\tau^\alpha \left(\sum_{j=1}^n [\Phi_j, h_j] \right) \Big|_G = 0, \quad \forall \alpha \in \mathbb{Z}.$$

Observe that $\tau^\alpha[F, h] = [F, \tau^\alpha h]$. Hence, $(h_1, \dots, h_n) \in K(\Phi_1, \dots, \Phi_n)$ if and only if

$$\sum_{j=1}^n \sum_{k \in \mathbb{Z}} (\tau^\alpha h_j)(k) \Phi_j(\cdot - k) \Big|_G = 0, \quad \forall \alpha \in \mathbb{Z}. \tag{6}$$

Since Φ_1, \dots, Φ_n are compactly supported, there exists a positive integer N such that $|k| > N$ implies

$$\Phi_j(\cdot - k)|_G = 0, \quad 1 \leq j \leq n.$$

This shows that the restriction of the linear space $H(\Phi_1, \dots, \Phi_n)$ to G is finite dimensional. Choose a basis Ψ_1, \dots, Ψ_m for it. For $k \in \mathbb{Z}$, $1 \leq j \leq n$, $\Phi_j(\cdot - k)|_G$ can be uniquely represented as follows:

$$\Phi_j(\cdot - k)|_G = \sum_{i=1}^m a_{ij}(k) \Psi_i, \tag{7}$$

where the coefficients $a_{ij}(k) \in \mathbb{C}$ and are zero for $|k| > N$. In terms of (7), (6) is equivalent to

$$\sum_{i=1}^m \left(\sum_{j=1}^n \sum_{|k| \leq N} a_{ij}(k) \tau^\alpha h_j(k) \right) \Psi_i = 0, \quad \forall \alpha \in \mathbb{Z}. \tag{8}$$

Note that $\tau^\alpha h(k) = h(k + \alpha) = \tau^k h(\alpha)$. Since Ψ_1, \dots, Ψ_m are linearly independent, (8) is equivalent to

$$\sum_{j=1}^n \left(\sum_{|k| \leq N} a_{ij}(k) \tau^k \right) h_j = 0, \quad i = 1, \dots, m.$$

Let $P = (p_{ij})_{1 \leq i \leq m, 1 \leq j \leq n}$, where

$$p_{ij}(x) = \sum_{|k| \leq N} a_{ij}(k) x^{k+N}, \quad i = 1, \dots, m, \quad j = 1, \dots, n.$$

Then we have $K(\Phi_1, \dots, \Phi_n) = \tau(P)$. \square

The following proposition is a consequence of the Poisson summation formula.

PROPOSITION 4. [20, Lemma 3.2] Let ϕ be a compactly supported distribution on \mathbb{R} . Then for a given $\omega \in \mathbb{C}$, the sequence $\{e^{ik\omega} : k \in \mathbb{Z}\}$ lies in $K(\phi)$ if and only if

$$\hat{\phi}(\omega + 2k\pi) = 0, \quad \forall k \in \mathbb{Z}.$$

Based on Proposition 4, we give the following lemma.

LEMMA 4. Let $\Psi = (\psi_1, \dots, \psi_r)^T \in L^2(\mathbb{R})^{(r)}$ be compactly supported. Then for a given $\omega \in \mathbb{C}$, the sequence $\{e^{ik\omega} : k \in \mathbb{Z}\}$ lies in $K(\Psi)$ if and only if

$$\hat{\Psi}(\omega + 2k\pi) = 0, \quad \forall k \in \mathbb{Z}.$$

Proof. (\Rightarrow) If $\{e^{ik\omega} : k \in \mathbb{Z}\} \in K(\Psi)$, then $\{e^{ik\omega} : k \in \mathbb{Z}\} \in K(\psi_j)$, $1 \leq j \leq r$. By Proposition 4, we have $\hat{\psi}_j(\omega + 2k\pi) = 0$, $1 \leq j \leq r$, $k \in \mathbb{Z}$, that is $\hat{\Psi}(\omega + 2k\pi) = 0$, $k \in \mathbb{Z}$.

(\Leftarrow) If $\hat{\Psi}(\omega + 2k\pi) = 0$, $k \in \mathbb{Z}$, then $\hat{\psi}_j(\omega + 2k\pi) = 0$, $1 \leq j \leq r$, $k \in \mathbb{Z}$. By Proposition 4, we have $\{e^{ik\omega} : k \in \mathbb{Z}\} \in K(\psi_j)$, $1 \leq j \leq r$, that is $\{e^{ik\omega} : k \in \mathbb{Z}\} \in K(\Psi)$. \square

Now, we give two equivalent conditions of $K(\Phi_1, \dots, \Phi_n) \neq 0$.

LEMMA 5. *Let $\Phi_1, \dots, \Phi_n \in L^2(\mathbb{R})^{(r)}$ be compactly supported. Then the following conditions are equivalent.*

- (i) $K(\Phi_1, \dots, \Phi_n) \neq 0$.
- (ii) There exist some $\theta \in \mathbb{C} \setminus \{0\}$ and $(a_1, \dots, a_n) \in \mathbb{C}^n \setminus \{0\}$ such that

$$(a_1 h_\theta, \dots, a_n h_\theta) \in K(\Phi_1, \dots, \Phi_n). \quad (9)$$
- (iii) There exists some $\omega \in \mathbb{C}$ such that the sequences $\{\hat{\Phi}_j(\omega + 2k\pi) : k \in \mathbb{Z}\}$ ($j = 1, \dots, n$) are linearly dependent.

Proof. By Lemma 3, $K(\Phi_1, \dots, \Phi_n) = \tau(P)$ for some matrix P of polynomials. Hence, the equivalence between (i) and (ii) follows from Proposition 3.

Suppose (9) is true. Choose $\omega \in \mathbb{C}$ so that $e^{i\omega} = \theta$, and set

$$\tilde{\Phi} := \sum_{j=1}^n a_j \Phi_j. \quad (10)$$

Then (9) and (10) imply

$$\sum_{k \in \mathbb{Z}} \theta^k \tilde{\Phi}(\cdot - k) = \sum_{k \in \mathbb{Z}} \theta^k \sum_{j=1}^n a_j \Phi_j(\cdot - k) = \sum_{j=1}^n [\Phi_j, a_j h_\theta] = 0.$$

In other words, $h_\theta \in K(\tilde{\Phi})$. Hence, by Lemma 4,

$$\hat{\tilde{\Phi}}(\omega + 2k\pi) = 0, \quad \forall k \in \mathbb{Z}.$$

It follows from (10) that

$$\sum_{j=1}^n a_j \hat{\Phi}_j(\omega + 2k\pi) = 0, \quad \forall k \in \mathbb{Z}. \quad (11)$$

Since $(a_1, \dots, a_n) \neq 0$, this proves that (ii) implies (iii).

Finally, suppose (iii) holds. Then there exists some $(a_1, \dots, a_n) \in \mathbb{C}^n \setminus \{0\}$ such that (11) is true. With $\theta = e^{i\omega}$ and $\tilde{\Phi}$ given by (10), we obtain

$$\hat{\tilde{\Phi}}(\omega + 2k\pi) = 0, \quad \forall k \in \mathbb{Z}.$$

Hence, $h_\theta \in K(\tilde{\Phi})$. Thus (9) follows. \square

A compactly supported $\Phi = (\Phi_1, \dots, \Phi_n)$ ($\Phi_j \in L^2(\mathbb{R})^{(r)}$, $1 \leq j \leq n$) is said to have linearly independent shifts if the map

$$(a_1, \dots, a_n) \rightarrow \sum_{j=1}^n \sum_{k \in \mathbb{Z}} a_j(k) \Phi_j(\cdot - k)$$

is one-to-one on $\mathbb{C}^{\mathbb{Z}}$. $S_0(\Phi)$ denotes the linear span of $\{\Phi_j(\cdot - k) : k \in \mathbb{Z}, 1 \leq j \leq n\}$. Let $[r_\Phi, s_\Phi]$ be the smallest integer-bounded interval containing $\text{supp } \Phi$. The length of the interval $[r_\Phi, s_\Phi]$ is

$$\ell(\Phi) = s_\Phi - r_\Phi.$$

We call $\ell(\Phi)$ the length of Φ . Let $\tilde{\Phi} = (\tilde{\Phi}_1, \dots, \tilde{\Phi}_n)$ be a finite collection of compactly supported vector functions on \mathbb{R} . The length of $\tilde{\Phi}$, denoted $\ell(\tilde{\Phi})$, is defined by

$$\ell(\tilde{\Phi}) = \sum_{j=1}^n \ell(\tilde{\Phi}_j).$$

The following lemma shows that a compactly supported vector function is linear combination of a collection of linearly independent compactly supported vector functions.

LEMMA 6. *Let $\Phi = (\Phi_1, \dots, \Phi_n)$ ($\Phi_j \in L^2(\mathbb{R})^{(r)}$, $1 \leq j \leq n$) be compactly supported. Then there exists a compactly supported Ψ with the following properties:*

- (i) *The shifts of the Ψ are linearly independent;*
- (ii) $\Phi \subset S_0(\Psi)$.

Proof. If $K(\Phi_1, \dots, \Phi_n) = 0$, then we may take $\Psi = \Phi$.

Suppose $K(\Phi_1, \dots, \Phi_n) \neq 0$. By Lemma 5, there exist some $\theta \in \mathbb{C} \setminus \{0\}$ and $(a_1, \dots, a_n) \in \mathbb{C}^n \setminus \{0\}$ such that

$$(a_1 h_\theta, \dots, a_n h_\theta) \in K(\Phi_1, \dots, \Phi_n),$$

that is

$$\sum_{j=1}^n \sum_{k \in \mathbb{Z}} a_j \theta^k \Phi_j(\cdot - k) = 0. \tag{12}$$

After shifting the Φ_j appropriately, we may assume that all $r_{\Phi_j} = 0$. Then $s_{\Phi_j} = \ell(\Phi_j)$. Let

$$\ell = \max\{\ell(\Phi_j) : a_j \neq 0\}.$$

For simplicity, we assume that $a_1 \neq 0$ and $\ell(\Phi_1) = \ell$. Let $\rho = \sum_{j=1}^n a_j \Phi_j$ and $\Psi = \sum_{k=0}^\infty \theta^k \rho(\cdot - k)$. By our choice of ρ , we deduce from (12) that

$$\sum_{k \in \mathbb{Z}} \theta^k \rho(\cdot - k) = 0.$$

Let $\Psi = (\Psi, \Phi_2, \dots, \Phi_n)$. We have

$$\Psi - \theta\Psi(\cdot - 1) = \sum_{k=0}^{\infty} \theta^k \rho(\cdot - k) - \sum_{k=0}^{\infty} \theta^{k+1} \rho(\cdot - k - 1) = \rho = \sum_{j=1}^n a_j \Phi_j.$$

Since $a_1 \neq 0$, we have $\Phi_1 \in S_0(\Psi)$, that is $\Phi \in S_0(\Psi)$. Clearly, $\text{supp } \Psi \subseteq [0, \infty)$. Note that

$$\begin{aligned} \Psi(x) &= \sum_{k=0}^{\infty} \theta^k \rho(x - k) = \sum_{j=1}^n \sum_{k=0}^{\infty} a_j \theta^k \Phi_j(\cdot - k) = \sum_{j=1}^n \sum_{k \in \mathbb{Z}} a_j \theta^k \Phi_j(\cdot - k) \\ &= \sum_{k \in \mathbb{Z}} \theta^k \rho(x - k) = 0, \quad x > \ell(\Phi) - 1. \end{aligned}$$

Consequently, $\text{supp } \Psi \subseteq [0, \ell - 1]$, that is $\ell(\Psi) < \ell(\Phi)$. Repeat the preceding process until $\ell(\Psi)$ achieves its minimum. The resulting vector function Ψ has the property that the shifts of Ψ are linearly independent. \square

Proof of Theorem 1. Suppose that Φ is vector-stable. Note that every element of $2\pi\mathbb{Z} \setminus \{0\}$ has the form $2^{n+1}(2k+1)\pi$ for some $n \in \mathbb{Z}_+$ and $k \in \mathbb{Z}$. Then we have

$$\widehat{\Phi}(2^{n+1}(2k+1)\pi) = \mathbf{P}^n(0)\mathbf{P}(\pi)\widehat{\Phi}((2k+1)\pi).$$

If the condition (i) is false, then $\{\lambda\widehat{\Phi}(2k\pi) : k \in \mathbb{Z}\} = 0$. Also, if the condition (ii) is false, then

$$\lambda\widehat{\Phi}(2\omega + 4k\pi) = \lambda\mathbf{P}(\omega)\widehat{\Phi}(\omega + 2k\pi) = 0, \quad \forall k \in \mathbb{Z}$$

and

$$\lambda\widehat{\Phi}(2\omega + 2\pi + 4k\pi) = \lambda\mathbf{P}(\omega + \pi)\widehat{\Phi}(\omega + 2k\pi + \pi) = 0, \quad \forall k \in \mathbb{Z}.$$

Therefore, we have $\{\lambda\widehat{\Phi}(2\omega + 2k\pi) : k \in \mathbb{Z}\} = 0$.

Now, if \mathbf{P} does not satisfy condition (iii), then we show that

$$\lambda\widehat{\Phi}(\omega + 2k\pi) = 0, \quad \forall k \in \mathbb{Z}.$$

Suppose ω is m -cyclic in \mathbb{T} for some integer $m \geq 2$, and let $k \in \mathbb{Z}$ be given. Then by (2), there exist $n \in \mathbb{N}$, $q \in \{0, \dots, m-1\}$ and $v \in \mathbb{Z} \setminus 2\mathbb{Z}$ such that

$$\begin{aligned} \lambda\widehat{\Phi}(\omega + 2k\pi) &= \lambda\widehat{\Phi}(2^{mn}\omega + 2^{mn-q}v\pi) = \lambda\mathcal{P}_{mn,q}(\omega)\widehat{\Phi}(2^{q+1}\omega + 2v\pi) \\ &= \lambda\mathcal{P}_{mn,q}(\omega)\mathbf{P}(2^q\omega + v\pi)\widehat{\Phi}(2^q\omega + v\pi). \end{aligned}$$

So, $\lambda\widehat{\Phi}(\omega + 2k\pi)$ is zero if condition (iii) is not satisfied. By arbitrariness of k , we have $\{\lambda\widehat{\Phi}(2\omega + 2k\pi) : k \in \mathbb{Z}\} = 0$. This completes the proof of necessity.

To prove sufficiency, suppose that the shifts of Φ is not vector-stable. Moreover, assume that \mathbf{P} satisfies conditions (i) and (ii). Then we show that (iii) is violated.

Since the shifts of Φ is not vector-stable, by Theorem 2, there exists $\omega_0 \in \mathbb{R}$ such that

$$\lambda\widehat{\Phi}(\omega_0 + 2k\pi) = 0, \quad \forall k \in \mathbb{Z}.$$

Lemma 6 implies that Φ is a finite linear combination of the shifts of the Ψ . In the Fourier domain, this implies the existence of a trigonometric polynomial matrix U satisfying

$$\widehat{\Phi}(\omega) = U(\omega)\widehat{\Psi}(\omega). \tag{13}$$

Note that the shifts of the Ψ are linearly independent. Then by (13), we get $\lambda U(\omega_0) = 0$. Since that $\text{len}S(\Phi) = n$, we have that $\det U$ is a non-trivial trigonometric polynomial, which in turn implies that the rational trigonometric polynomials matrix B is well-defined by the relation

$$U(2\omega)B(\omega) = \mathbf{P}(\omega)U(\omega). \tag{14}$$

Therewith, equation (14) implies that

$$\lambda \mathbf{P}\left(\frac{\omega_0}{2}\right)U\left(\frac{\omega_0}{2}\right) = \lambda U(\omega_0)B\left(\frac{\omega_0}{2}\right) = 0$$

and

$$\lambda \mathbf{P}\left(\frac{\omega_0}{2} + \pi\right)U\left(\frac{\omega_0}{2} + \pi\right) = \lambda U(\omega_0)B\left(\frac{\omega_0}{2} + \pi\right) = 0.$$

By condition (ii), it follows that U is singular at either $\frac{\omega_0}{2}$ or $\frac{\omega_0}{2} + \pi$. Since $\det U$ is a trigonometric polynomial, it has only finitely many zeros in $\mathbb{T} + i\mathbb{R}$ and the arguments used in the proof of [21, Lemma 1] imply that $2^m \omega_0 - \omega_0 \in 2\pi\mathbb{Z}$ for some $m \geq 2$. If $\omega_0 = 0$, then $\lambda \widehat{\Phi}(0) = 0$ and (i) implies that $\lambda \mathbf{P}^n(0)\mathbf{P}(\pi)$ is not zero for some $n \in \mathbb{Z}_+$. Evidently,

$$\lambda \mathbf{P}^n(0)\mathbf{P}(\pi)U(\pi) = U(0)B^n(0)B(\pi) = 0.$$

But this is a contradiction, since π is acyclic, so $\det U(\pi) \neq 0$.

Accepting the existence of the integer $m \geq 2$ and the ω_0 is m -cyclic, we proceed to prove that this violates condition (iii).

Observe that

$$2^{mn} \omega_0 - \omega_0 = \frac{2^{mn} - 1}{2^m - 1} (2^m \omega_0 - \omega_0) \in 2\pi\mathbb{Z}.$$

Then $\lambda U(\omega_0) = 0$ implies that $\lambda U(2^{mn} \omega_0) = 0$. Therefore, by (14) and the 2π periodicity of U

$$\begin{aligned} & \lambda \mathcal{S}_{mn,q}(\omega_0)\mathbf{P}(2^q \omega_0 + \pi)U(2^q \omega_0 + \pi) \\ &= \lambda \mathcal{S}_{mn,q}(\omega_0)U(2^{q+1} \omega_0 + 2\pi)B(2^q \omega_0 + \pi) \\ &= \lambda \mathcal{S}_{mn,q}(\omega_0)U(2^{q+1} \omega_0)B(2^q \omega_0 + \pi) \\ &= \lambda U(2^{mn} \omega_0)\mathcal{B}_{mn,q}(\omega_0)B(2^q \omega_0 + \pi) \\ &= 0, \end{aligned}$$

where

$$\mathcal{B}_{n,k} := \prod_{n>\ell>k} B(2^\ell) = B(2^{n-1})B(2^{n-2}) \dots B(2^{k+1}), \quad \forall k, n \in \mathbb{Z}.$$

Since $2^q\omega_0 + \pi$ is acyclic, $\det U(2^q\omega_0 + \pi) \neq 0$, so

$$\lambda \mathcal{P}_{mn,q}(\omega_0) \mathbf{P}(2^q\omega_0 + \pi) = 0$$

for every $n \in \mathbb{N}$ and $q \in \{0, \dots, m-1\}$. \square

3. Results and discussion

A wavelet system is generally derived from a refinable function via a multiresolution analysis. Stability is an important property of refinable function. In this paper, we discuss the vector-stability of refinable vectors and we give a necessary and sufficient condition for refinable vectors to be vector-stable. Our results improve some known ones. Studying vector-stability of refinable vectors in $L^p(\mathbb{R})^{(r)}$ is the goal of future work.

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