

BOUNDS ON COEFFICIENTS AND THIRD HANKEL DETERMINANT FOR A CLASS OF ANALYTIC FUNCTIONS RELATED WITH CERTAIN CONIC DOMAIN

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Abstract. In this paper, we obtain upper bounds on initial coefficients and third Hankel determinant

$$H_{3,1}(f) = \begin{vmatrix} a_1 & a_2 & a_3 \\ a_2 & a_3 & a_4 \\ a_3 & a_4 & a_5 \end{vmatrix}$$

of the coefficients of analytic function $f(z) = z + a_2z^2 + a_3z^3 + \dots$, belonging to the class $\mathcal{S}^*(q)$ in the open unit disk \mathbb{D} , which satisfies the subordination condition that

$$zf'(z)/f(z) \prec q(z) \quad (z \in \mathbb{D}),$$

where $q(z) = \sqrt{1+z^2} + z$. Several results are presented exhibiting improvement in earlier work.

1. Introduction

Let $\mathcal{H}(\mathbb{D})$ denote the class of analytic functions in the open unit disk $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$, and \mathcal{A} be the subclass of $\mathcal{H}(\mathbb{D})$ normalized by the condition $f(0) = f'(0) - 1 = 0$. This means that $f \in \mathcal{A}$ has the Taylor's series expansion:

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (z \in \mathbb{D}). \quad (1)$$

Further, let \mathcal{P} be the class of *Carathéodory functions* $p \in \mathcal{H}(\mathbb{D})$ of the form

$$p(z) = 1 + \sum_{n=1}^{\infty} p_n z^n \quad (z \in \mathbb{D}), \quad (2)$$

having the positive real part in \mathbb{D} . If f and g are two analytic functions in \mathbb{D} , then we say that function f is subordinate to g in \mathbb{D} and write $f(z) \prec g(z)$ ($z \in \mathbb{D}$), if there exists a Schwarz function $w(z)$ analytic in \mathbb{D} with $w(0) = 0$, and $|w(z)| < 1$, such that $f(z) = g(w(z))$ ($z \in \mathbb{D}$). In particular, if the function g is univalent in \mathbb{D} , then the above subordination is equivalent to:

$$f(z) \prec g(z) \iff [f(0) = g(0) \quad \text{and} \quad f(\mathbb{D}) \subset g(\mathbb{D})].$$

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Let $\mathcal{S}^*(\phi)$ denote the class of functions $f \in \mathcal{A}$ satisfying $\frac{zf'}{f} \prec \phi(z)$, where ϕ is analytic with $\phi(0) = 1$. Note that, for $\phi(z) = (1+z)/(1-z)$, $\mathcal{S}^*(\phi)$ is the well known class of starlike functions. Also, Ma and Minda [14] proved some general results where ϕ is assumed to be univalent, and $\phi(\mathbb{D})$ is symmetric with respect to real axis and starlike with respect to $\phi(0) = 1$. Several other subclasses of \mathcal{A} have been defined in the literature by choosing appropriately the arbitrary function ϕ in the class $\mathcal{S}^*(\phi)$ (see, [10, 18, 17]). Also we observe that, if ϕ in $\mathcal{S}^*(\phi)$ is not univalent, then obtaining geometric properties for such classes is much more difficult. Such class of functions was studied by Sokol and Stankiewicz [22] (see also, [2]) by taking $\phi(z) = \sqrt{1+z}$, also Raina and Sokol [21] have studied a class $\mathcal{S}^*(q)$ which is defined by

$$\mathcal{S}^*(q) = \left\{ f \in \mathcal{A} : \frac{zf'(z)}{f(z)} \prec \sqrt{1+z^2} + z =: q(z) \right\}. \quad (3)$$

The branch of the square root in q is chosen to be $q(0) = 1$. Further, it was proved that $\mathcal{S}^*(q) \subset \mathcal{S}^*$ (see, [21, Lemma 2.1]).

The Hankel determinant $H_{q,n}(f)$ of Taylor's coefficients for functions f of the form (1) is defined by

$$H_{q,n}(f) = \begin{vmatrix} a_n & a_{n+1} & \cdots & a_{n+q-1} \\ a_{n+1} & a_{n+2} & \cdots & a_{n+q} \\ \vdots & \vdots & \cdots & \vdots \\ a_{n+q-1} & a_{n+q} & \cdots & a_{n+2(q-1)} \end{vmatrix} \quad (a_1 = 1; n, q \in \mathbb{N} = \{1, 2, \dots\}). \quad (4)$$

The Hankel determinants are useful in the study of singularities and power series with integral coefficients [5]. Pommerenke [16] proved that the Hankel determinant of univalent functions satisfy $|H_{q,n}(f)| < kn^{-(1/2+\beta)q+3/2}$, where $\beta > 1/4000$ and k depends only on q . Later, Hayman [8] proved that $H_{2,n}(f) < An^{1/2}$ (A is an absolute constant) for a Areally mean univalent functions. The study of $H_{3,1}(f)$ for various subclasses of \mathcal{A} are of interest for many researchers [3, 15, 19, 20, 23]. Note that $H_{2,1}(f) = a_3 - a_2^2$ be the classical Fekete-Szegő functional and $H_{2,2}(f) = a_2a_4 - a_3^2$ be the second Hankel determinant. The problems of calculating $\max_{f \in F} |H_{2,1}(f)|$ and the $\max_{f \in F} |H_{2,2}(f)|$ for various compact subfamilies of \mathcal{A} was studied by many authors (see, [1, 4, 9, 11, 13]).

In the present paper, we obtain upper bounds on initial coefficients and third Hankel determinant $|H_{3,1}(f)|$ for functions $f \in \mathcal{S}^*(q)$.

We shall use the following lemmas.

LEMMA 1. (Duren [6]) *If $p \in \mathcal{P}$ is of the form (2), then*

$$|p_n| \leq 2 \quad (n \in \mathbb{N}). \quad (5)$$

The inequality (5) is sharp and the equality holds for the function $p(z) = \frac{1+z}{1-z}$.

LEMMA 2. (Efraimidis [7]) *If $p \in \mathcal{P}$ is of the form (2) and $\mu \in \mathbb{C}$, then*

$$|p_n - \mu p_k p_{n-k}| \leq 2 \max\{1, |2\mu - 1|\} \quad (1 \leq k \leq n - 1).$$

If $|2\mu - 1| \geq 1$, then the inequality is sharp for the function $p(z) = \frac{1+z}{1-z}$ or its rotations. If $|2\mu - 1| < 1$, then the inequality is sharp for the function $p(z) = \frac{1+z^n}{1-z^n}$ or its rotations.

LEMMA 3. (Libra and Złotkiewicz [12]) *If $p \in \mathcal{P}$ is of the form (2) with $p_1 \geq 0$, then*

$$2p_2 = p_1^2 + x(4 - p_1^2) \tag{6}$$

and

$$4p_3 = p_1^3 + 2p_1x(4 - p_1^2) - p_1x^2(4 - p_1^2) + 2(4 - p_1^2)(1 - |x|^2)z \tag{7}$$

for some x, z with $|x| \leq 1$ and $|z| \leq 1$.

LEMMA 4. (Raina and Sokół [21]) *If the function defined by (1) belongs to the class $\mathcal{S}^*(q)$, then*

$$|a_3 - \lambda a_2^2| \leq \max\{1/2, |\lambda - 3/4|\} \quad (\lambda \in \mathbb{C}).$$

Furthermore, this result is sharp.

2. Main Results

THEOREM 1. *Let the function $f \in \mathcal{S}^*(q)$ be given by (1). Then*

$$|a_2| \leq 1, \quad |a_3| \leq \frac{3}{4}, \quad |a_4| \leq \frac{1}{3} \quad \text{and} \quad |a_5| \leq \frac{1}{2}. \tag{8}$$

First two inequalities in (8) are sharp.

Proof. Let $f \in \mathcal{S}^*(q)$. By definition there exists an analytic function w with $w(0) = 0$ and $|w(z)| < 1$, such that

$$zf'(z) - w(z)f(z) = f(z)\sqrt{w^2(z) + 1}. \tag{9}$$

Assume that

$$w(z) = c_1z + c_2z^2 + c_3z^3 + \dots \quad (z \in \mathbb{D}). \tag{10}$$

Making use of (1) and (10) in (9), we get

$$\begin{aligned} f(z)\sqrt{w^2(z) + 1} &= z + a_2z^2 + \left(\frac{1}{2}c_1^2 + a_3\right)z^3 + \left(c_1c_2 + \frac{1}{2}c_1^2a_2 + a_4\right)z^4 \\ &\quad + \left(\frac{1}{2}c_2^2 + c_1c_3 - \frac{1}{8}c_1^4 + c_1c_2a_2 + \frac{1}{2}c_1^2a_3 + a_5\right)z^5 + \dots, \end{aligned} \tag{11}$$

and

$$\begin{aligned} z f'(z) - w(z) f(z) &= z + (2a_2 - c_1)z^2 + (3a_3 - c_1a_2 - c_2)z^3 \\ &\quad + (4a_4 - c_1a_3 - c_2a_2 - c_3)z^4 \\ &\quad + (5a_5 - c_1a_4 - c_2a_3 - c_3a_2 - c_4)z^5 + \dots \end{aligned} \quad (12)$$

Now equating the coefficients in (11) and (12), we have

$$\begin{aligned} a_2 &= c_1, \quad a_3 = \frac{1}{4}(2c_2 + 3c_1^2), \quad a_4 = \frac{1}{12}(5c_1^3 + 10c_1c_2 + 4c_3), \\ a_5 &= \frac{1}{24}(6c_2^2 + 14c_1c_3 + 4c_1^4 + 17c_1^2c_2 + 6c_4). \end{aligned} \quad (13)$$

If w is an analytic function such that $w(0) = 0$ and $|w(z)| < 1$ ($z \in D$), then we can easily write

$$\frac{1 + w(z)}{1 - w(z)} = 1 + p_1z + p_2z^2 + \dots := p(z), \quad (14)$$

where $p(z) \in \mathcal{P}$. Using the series expansion of $w(z)$ given by (10) in (14) and equating the coefficients, we obtain

$$\begin{aligned} c_1 &= \frac{1}{2}p_1, \quad c_2 = \frac{1}{4}(2p_2 - p_1^2), \quad c_3 = \frac{1}{8}(4p_3 - 4p_1p_2 + p_1^3), \\ c_4 &= \frac{1}{16}(8p_4 - 8p_1p_3 + 6p_1^2p_2 - 4p_2^2 - p_1^4), \\ c_5 &= \frac{1}{32}(16p_5 - 16p_1p_4 - 16p_2p_3 + 12p_1p_2^2 + 12p_1^2p_3 - 8p_1^3p_2 + p_1^5). \end{aligned} \quad (15)$$

Further using (15) in (13), we get

$$\begin{aligned} a_2 &= \frac{1}{2}p_1, \quad a_3 = \frac{1}{16}(4p_2 + p_1^2), \quad a_4 = \frac{1}{96}(-p_1^3 + 4p_1p_2 + 16p_3), \\ a_5 &= \frac{1}{384}(p_1^4 - 10p_1^2p_2 + 8p_1p_3 + 48p_4). \end{aligned} \quad (16)$$

Now making use of Lemma 1, we obtain easily that $|a_2| \leq 1$. To obtain bound on a_3 , we use Lemma 3, for some x and z such that $|x| \leq 1$ and $|z| \leq 1$, hence we obtain

$$|a_3| = \frac{1}{16} |3p_1^2 + 2x(4 - p_1^2)|.$$

Taking into account of invariance of $|a_2|$ under rotation, we can assume that $p_1 = 2a_2$ is real. Assume that $p_1 = p \in [0, 2]$. Applying the triangle inequality in the above equation with $\mu = |x|$, we obtain

$$|a_3| \leq \frac{1}{16} |3p^2 + 2\mu(4 - p^2)| := F(p, \mu).$$

We easily observe that F is an increasing function of μ on $[0, 1]$, hence it follows that

$$\max_{0 \leq \mu \leq 1} F(p, \mu) = F(p, 1) = \frac{1}{16}(p^2 + 8).$$

Again, we observe that $F(p, 1)$ is also an increasing function of p ($0 \leq p \leq 2$). Hence the maximum value of $F(p, \mu)$ is

$$\max_{\Omega} F(p, \mu) = F(2, 1) = \frac{3}{4}.$$

To show the sharpness of first two inequalities, consider

$$\frac{zf_1'(z)}{f_1(z)} = q(z) = \sqrt{1+z^2} + z. \tag{17}$$

Then $f_1 \in \mathcal{S}^*(q)$. Consequently

$$\begin{aligned} f_1(z) &= z \exp \int_0^z \frac{\sqrt{1+t^2} + t - 1}{t} dt \\ &= z + z^2 + \frac{3}{4}z^3 + \frac{5}{12}z^4 + \frac{2}{9}z^5 + \dots \quad (z \in \mathbb{D}). \end{aligned}$$

This gives that $|a_2| = 1$ and $|a_3| = \frac{3}{4}$.

To obtain bound on a_4 , applying Lemma 3, for some x and z such that $|x| \leq 1$ and $|z| \leq 1$, we get

$$|a_4| = \frac{1}{96} |5p_1^3 + (10p_1x - 4p_1x^2 + 8(1 - |x|^2)z)(4 - p_1^2)|. \tag{18}$$

Applying the triangle inequality in (18) with $\mu = |x|$, we obtain

$$|a_4| \leq \frac{1}{96} [5p^3 + (10p\mu + 4p\mu^2 + 8(1 - \mu^2))(4 - p^2)] := G(p, \mu).$$

Let $\Omega = \{(p, \mu) : 0 \leq p \leq 2 \text{ and } 0 \leq \mu \leq 1\}$. Differentiating G with respect to p and μ , respectively, we have

$$\frac{\partial G}{\partial \mu} := \frac{1}{48}(4 - p^2)(5p + 4\mu(p - 2)), \text{ and} \tag{19}$$

$$\frac{\partial G}{\partial p} := \frac{1}{192}\{6p^2(5 - 10\mu - 4\mu^2) + 32\mu^2(p + 1) + 16(5\mu + 2p)\}. \tag{20}$$

Solving (19) and (20), we obtain that $(0, 0)$ is a point of extremum. Since Ω is closed and bounded and G is continuous on Ω , the maximum shall be attained on the boundary of Ω . It is easy to see that on the boundary line $L_1 = \{(0, \mu) : 0 \leq \mu \leq 1\}$, we have $G(0, \mu) = \frac{1}{3}(1 - \mu^2)$, that gives critical point $(0, 0)$. On the boundary line $L_2 = \{(2, \mu) : 0 \leq \mu \leq 1\}$, we have $G(2, \mu) = \frac{5}{12}$, which is a constant. On the boundary line $L_3 = \{(p, 0) : 0 \leq p \leq 2\}$, we have $G(p, 0) = \frac{1}{96}(5p^3 - 8p^2 + 32)$, which gives the critical points $(0, 0)$ and $(16/15, 0)$. On the line $L_4 = \{(p, 1) : 0 \leq p \leq 2\}$,

we have $G(p, 1) = \frac{1}{24}(8p - p^3)$, which gives $(0, 0)$ and $(\sqrt{56/9}, 1)$ as critical points. Comparing all these results, we observe that the maximum of $G(p, \mu)$ exists at $(0, 0)$, that is

$$\max_{\Omega} G(p, \mu) = G(0, 0) = \frac{1}{3}.$$

In the same way for $|a_5|$, from (16) we have

$$|a_5| \leq |A| + |B|,$$

where $A = \frac{1}{384}p_1^4 - \frac{5}{192}p_1^2p_2$ and $B = \frac{1}{8}(p_4 + \frac{1}{6}p_1p_3)$. Using Lemma 2, we have $|B| \leq \frac{1}{3}$. Now using Lemma 3, for some x and z such that $|x| \leq 1$ and $|z| \leq 1$, we have

$$|A| = \frac{1}{384} |-4p_1^4 - 5p_1^2x(4 - p_1^2)|.$$

We may assume without restriction that $p_1 = p \in [0, 2]$ and $\mu = |x| \in [0, 1]$. Consequently

$$|A| \leq \frac{1}{384} \{4p^4 + 5p^2\mu(4 - p^2)\} := H(p, \mu). \quad (21)$$

We observe that H is an increasing function of μ on $[0, 1]$, hence it follows that

$$\max_{0 \leq \mu \leq 1} H(p, \mu) = H(p, 1) = \frac{1}{384} (-p^4 + 20p^2).$$

Clearly $H(p, 1)$ is also an increasing function of p ($0 \leq p \leq 2$). Hence the maximum value of $H(p, \mu)$ is

$$\max_{\Omega} H(p, \mu) = H(2, 1) = \frac{1}{6}.$$

This implies that $|A| \leq \frac{1}{6}$, and hence we get $|a_5| \leq \frac{1}{2}$. This completes the proof.

THEOREM 2. *Let the function $f \in \mathcal{S}^*(q)$ be given by (1). Then*

$$|a_2a_3 - a_4| \leq \frac{2}{9}\sqrt{\frac{8}{3}} \quad \text{and} \quad |a_2a_4 - a_3^2| \leq \frac{1}{4}. \quad (22)$$

Proof. On making use of (16), we have

$$|a_2a_3 - a_4| = \frac{1}{24} |p_1^3 + 2p_1p_2 - 4p_3|. \quad (23)$$

Using Lemma 3, for some x and z such that $|x| \leq 1$ and $|z| \leq 1$, we have

$$|a_2a_3 - a_4| = \frac{1}{24} |p_1^3 + (4 - p_1^2)\{-p_1x + p_1x^2 - 2(1 - |x|^2)z\}|.$$

Since we have $|p_1| \leq 2$, so we may assume without restriction that $p_1 = p \in [0, 2]$. Applying the triangle inequality with $\mu = |x|$, the above equation yields

$$\begin{aligned} |a_2a_3 - a_4| &\leq \frac{1}{24}[p^3 + (4 - p^2)\{p\mu + p\mu^2 + 2(1 - \mu^2)\}] \\ &= \frac{1}{24}[p^3 + (4 - p^2)\{(p - 2)\mu^2 + p\mu + 2\}] \\ &:= J(p, \mu). \end{aligned}$$

Now differentiating J with respect to p and μ , respectively, we have

$$\frac{\partial J}{\partial \mu} := \frac{1}{24}(4 - p^2)(p + 2\mu(p - 2)), \tag{24}$$

and

$$\frac{\partial J}{\partial p} := \frac{1}{24}[3p^2(1 - \mu) - \mu^2(3p^2 - 4p - 4) - 4(p - \mu)]. \tag{25}$$

Solving (24) and (25) we obtain that $(0, 0)$ is the point of extremum. Now we shall examine extremum of J on the boundary. Taking the boundary line $L_1 = \{(0, \mu) : 0 \leq \mu \leq 1\}$, we have $J(0, \mu) = \frac{1}{3}(1 - \mu^2)$, that gives critical point $(0, 0)$. On the boundary line $L_2 = \{(2, \mu) : 0 \leq \mu \leq 1\}$, we have $J(2, \mu) = \frac{1}{3}$, which is a constant. On the boundary line $L_3 = \{(p, 0) : 0 \leq p \leq 2\}$, we have $J(p, 0) = \frac{1}{24}(p^3 + 2(4 - p^2))$, which gives the critical points $(0, 0)$ and $(4/3, 0)$. On the line $L_4 = \{(p, 1) : 0 \leq p \leq 2\}$, we have $J(p, 1) = \frac{1}{24}(8p - p^3)$, which gives $(\sqrt{8/3}, 1)$ as critical point. Comparing these results, we observe that

$$J(0, 1) < J(4/3, 0) < J(0, 0) < J(\sqrt{8/3}, 1).$$

Thus we get,

$$\max_{\Omega} J(p, \mu) = J(\sqrt{8/3}, 1) = \frac{2}{9}\sqrt{\frac{8}{3}}.$$

Further, in view of (16), we get

$$|a_2a_4 - a_3^2| = \frac{1}{768}|-7p_1^4 - 8p_1^2p_2 + 64p_1p_3 - 48p_2^2|. \tag{26}$$

In (26), using Lemma 3, for some x and z such that $|x| \leq 1$ and $|z| \leq 1$, we have

$$|a_2a_4 - a_3^2| = \left| -\frac{7}{768}p_1^4 - \frac{(4 - p_1^2)}{192}\{-p_1^2x + p_1^2x^2 + 12x^2 - 8p_1(1 - |x|^2)z\} \right|. \tag{27}$$

We assume without restriction that $p_1 = p \in [0, 2]$. Applying the triangle inequality with $\mu = |x|$ in (27) yields

$$|a_2a_4 - a_3^2| \leq \frac{7}{768}p^4 + \frac{(4 - p^2)}{192}\{p^2\mu + p^2\mu^2 + 12\mu^2 + 8p(1 - \mu^2)\}.$$

Further, the remaining part of the proof is similar to that of first inequality in (22), hence we omit the detail and this completes the proof.

REMARK 1. For $f \in \mathcal{S}^*(q)$ of the form (1), Raina *et. al.* [21] obtained upper bounds for the initial coefficient a_4 and the functional $a_2a_4 - a_3^2$. Here we observe that Theorems 1 and 2 provide an improvement on these bounds.

THEOREM 3. *Let the function $f \in \mathcal{S}^*(q)$ be given by (1). Then*

$$|H_{3,1}(f)| \leq \frac{7}{16} + \frac{2}{27} \sqrt{\frac{8}{3}}.$$

Proof. By the definition of $H_{3,1}(f)$, we have

$$|H_{3,1}(f)| \leq |a_3| |a_2a_4 - a_3^2| + |a_4| |a_4 - a_2a_3| + |a_5| |a_3 - a_2^2|.$$

Now, using Lemma 1, Theorems 1 and 2, we have

$$|H_{3,1}(f)| \leq \frac{3}{4} \cdot \frac{1}{4} + \frac{1}{3} \cdot \frac{2}{9} \sqrt{\frac{8}{3}} + \frac{1}{2} \cdot \frac{1}{2} = \frac{7}{16} + \frac{2}{27} \sqrt{\frac{8}{3}}.$$

Hence, it completes the proof.

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