

SHAFFER–FINK TYPE INEQUALITIES FOR THE ELLIPTIC FUNCTION $\operatorname{sn}(u|k)$

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Abstract. The inequalities of Shafer and Fink, namely,

$$\frac{3x}{2 + \sqrt{1-x^2}} \leq \sin^{-1}(x) \leq \frac{\pi x}{2 + \sqrt{1-x^2}}, \quad x \in [0, 1)$$

are generalized to similar inequalities for the elliptic function $\operatorname{sn}(u|k)$.

1. Introduction

We start by presenting two very simple proofs of the Shafer and Fink inequalities. Consider the functions

$$f(\theta) = \pi \sin \theta - 2\theta - \theta \cos \theta, \quad 0 \leq \theta \leq \frac{\pi}{2}$$

and

$$g(\theta) = 3 \sin \theta - 2\theta - \theta \cos \theta, \quad 0 \leq \theta \leq \frac{\pi}{2}$$

Each of these is concave over its interval and the following boundary conditions are satisfied $f(0) = f(\frac{\pi}{2}) = 0$ and $g(0) = g'(\frac{\pi}{2}) = 0$. Hence

$$g(\theta) \leq 0 \text{ and } f(\theta) \geq 0, \quad \theta \in [0, \frac{\pi}{2}]$$

That is

$$\frac{3 \sin \theta}{2 + \cos \theta} \leq \theta \leq \frac{\pi \sin \theta}{2 + \cos \theta}, \quad \theta \in [0, \frac{\pi}{2}]$$

or, on putting $x = \sin \theta$

$$\frac{3x}{2 + \sqrt{1-x^2}} \leq \sin^{-1} x \leq \frac{\pi x}{2 + \sqrt{1-x^2}}, \quad x \in [0, 1] \tag{1}$$

These are, respectively, the Shafer and Fink inequalities.

The origins of these are to be found in [1] and [2] and a large bibliography concerning them and their extensions appears in [3].

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Our purpose in this note is to generalize these results to the case in which $\sin \theta$ is replaced by the Jacobi elliptic function $\operatorname{sn}(u|k)$. In short, it is our purpose to prove the following inequalities:

THEOREM. Let $0 < k < 1$. Then if

$$K(k) = \int_0^1 \frac{dt}{\sqrt{1-t^2}\sqrt{1-k^2t^2}}$$

we have

$$\frac{3x}{2 + \sqrt{1-x^2}\sqrt{1-k^2x^2}} \leq \operatorname{sn}^{-1}(x|k) \leq \frac{2K(k)x}{2 + \sqrt{1-x^2}\sqrt{1-k^2x^2}}, \quad x \in [0, 1] \quad (2)$$

2. The Jacobi elliptic functions

A very succinct introduction to these functions, when the independent variable is real, can be found in [4]. And in [5] there is a comprehensive list of their properties. In this section we remind the reader of some of these facts.

(a) Definitions. With $0 < k < 1$ the three Jacobi elliptic functions $\operatorname{sn}(u|k)$, $\operatorname{cn}(u|k)$ and $\operatorname{dn}(u|k)$ are usually defined by integrals such as, for example,

$$u = \int_0^{\operatorname{sn}(u|k)} \frac{dt}{\sqrt{1-t^2}\sqrt{1-k^2t^2}}$$

Let us follow [4] and write

$$x = \operatorname{sn}(u|k), \quad y = \operatorname{cn}(u|k) \quad \text{and} \quad z = \operatorname{dn}(u|k)$$

Then, whenever the independent variable u is restricted to the real field an equivalent definition of these functions is

$$\frac{dx}{du} = yz, \quad \frac{dy}{du} = -zx, \quad \frac{dz}{du} = -k^2xy$$

with

$$x(0) = 0, \quad y(0) = z(0) = 1$$

The parameter k is called the *elliptic modulus* and k' , defined by

$$k' = \sqrt{1-k^2}$$

is the *complementary elliptic modulus*.

Another constant involved in these matters is the following ;

$$K(k) = \int_0^1 \frac{dt}{\sqrt{1-t^2}\sqrt{1-k^2t^2}}$$

K is called the *complete elliptic integral of the first kind*.

(b) Properties. We have, using the notations of [4] :

$$\begin{aligned} x(0) &= 0, & x(K) &= 1 \\ y(0) &= 1, & y(K) &= 0 \\ z(0) &= 1, & z(K) &= k' = \sqrt{1 - k^2} \\ x^2 + y^2 &= 1, \\ k^2x^2 + z^2 &= 1, \\ k^2y^2 + k'^2 &= z^2, \\ y^2 + k'^2x^2 &= z^2 \end{aligned}$$

Visualization of these functions may be helped by mentioning that $x(u)$ increases from 0 to 1 and $y(u)$ decreases from 1 to 0 in $[0, K]$, their graphs generally resembling those of $\sin(u)$ and $\cos(u)$. The function z decreases from 1 to k' in $[0, K]$ with a minimum at K when

$$z = \sqrt{1 - k^2} > 0$$

These properties have been proved in [2], for example. The constant k will be fixed in $(0, 1)$ throughout but we note that as $k \rightarrow 0$

$$x \rightarrow \sin, \quad y \rightarrow \cos, \quad z \rightarrow 1 \quad \text{and} \quad K \rightarrow \frac{\pi}{2}$$

and, as $k \rightarrow 1$

$$x \rightarrow \tanh, \quad y \rightarrow \operatorname{sech}, \quad z \rightarrow \operatorname{sech} \quad \text{and} \quad K \rightarrow \infty$$

We shall not be concerned with behaviour outside $[0, K]$ but it may be mentioned here that $4K$ is a period of x and y while $2K$ is a period of z .

3. The proofs

In the proofs of the Lemma and of the Theorem which follow it is convenient to write

$$Q \equiv [z^2 + k^2y^2] - 4k^2x^2$$

We have:

LEMMA.

$$\text{If } Q > 0, \text{ then } \frac{x}{yz} \geq u, \quad u \in [0, K]$$

Proof. Consider

$$w(u) = x - uyz$$

Then

$$\begin{aligned} w'(u) &= yz - yz + u[xz^2 + k^2xy^2] \\ &= ux[z^2 + k^2y^2] \\ w''(u) &= x[z^2 + k^2y^2] + uyz[z^2 + k^2y^2] + ux[-k^22zxy - k^22yxz] \\ &= x[z^2 + k^2y^2] + uyz\{[z^2 + k^2y^2] - 4k^2x^2\} \\ &= x[z^2 + k^2y^2] + uyzQ \end{aligned}$$

Since $Q > 0$ then $w''(u) > 0$. So $w(u)$ is convex and, since

$$w(0) = w'(0) = 0$$

we have

$$w(u) \geq 0, \quad u \in [0, K]$$

and so

$$\frac{x}{yz} \geq u, \quad u \in [0, K]$$

as was to be proved.

Proof of the Theorem (left side). Consider

$$f(u) = 3 \operatorname{sn}(u|k) - 2u - u \operatorname{cn}(u|k) \operatorname{dn}(u|k)$$

or equivalently,

$$f(u) = 3x - 2u - uyz$$

Differentiating with respect to u , we get

$$f'(u) = 2yz - 2 + ux[z^2 + k^2y^2]$$

Then

$$\begin{aligned} f''(u) &= -2xz^2 - 2k^2xy^2 + x[z^2 + k^2y^2] + uyz[z^2 + k^2y^2] \\ &\quad + ux[-k^22zxy - k^22yxz] \\ &= -x[z^2 + k^2y^2] + uyz\{[z^2 + k^2y^2] - 4k^2x^2\} \\ &= -x[z^2 + k^2y^2] + uyzQ \end{aligned}$$

where, again,

$$Q \equiv [z^2 + k^2y^2] - 4k^2x^2$$

When $u = 0$, $Q = 1 + k^2 > 0$ and when $u = K$, $Q = 1 - 5k^2$, so that, depending on k , Q may take both signs.

When $Q < 0$ we see that $f''(u)$ is negative. And when $Q > 0$ we find that $f''(u)$ is negative again, by virtue of the Lemma and the fact that

$$z^2 + k^2y^2 > Q = [z^2 + k^2y^2] - 4k^2x^2$$

So $f(u)$ is concave. And since $f(0) = f'(0) = 0$ then

$$f(u) < 0, \quad u \in [0, K]$$

and so

$$u > \frac{3 \operatorname{sn}(u|k)}{2 + \operatorname{cn}(u|k) \operatorname{dn}(u|k)}, \quad u \in [0, K].$$

Putting $x = \operatorname{sn}(u)$ this reads

$$\operatorname{sn}^{-1}(x|k) > \frac{3x}{2 + \sqrt{1-x^2}\sqrt{1-k^2x^2}}, \quad x \in [0, 1]$$

which is the left inequality of (2).

Proof of the Theorem (right side). The proof of this is very similar. We consider

$$g(u) = 2K \operatorname{sn}(u|k) - 2u - u \operatorname{cn}(u|k) \operatorname{dn}(u|k)$$

or equivalently,

$$g(u) = 2Kx - 2u - uyz$$

Differentiating with respect to u , we get

$$g'(u) = (2K - 1)yz - 2 + ux[z^2 + k^2y^2]$$

and

$$g''(u) = -2(K - 1)x[z^2 + k^2y^2] + uyzQ$$

Just as previously we see that if $Q < 0$ then $g''(u) < 0$. And if $Q > 0$ then $g''(u) < 0$ by virtue the fact that

$$z^2 + k^2y^2 > Q = [z^2 + k^2y^2] - 4k^2x^2$$

and the Lemma, which, in this case, gives

$$\frac{x}{yz} > \frac{u}{2K - 2}$$

since $2K - 2 \geq \pi - 2 > 1$.

(Note that since $K(0) = \pi/2$ and $K = K(k)$ increases with k (see [2]), this inequality persists for $k \in (0, 1)$). So $g(u)$ is concave. And since $g(0) = g(K) = 0$ we have

$$g(u) \geq 0, \quad u \in [0, K]$$

Hence

$$u < \frac{2K \operatorname{sn}(u|k)}{2 + \operatorname{cn}(u|k) \operatorname{dn}(u|k)}, \quad u \in [0, K].$$

Putting $x = \operatorname{sn}(u|k)$ this reads

$$\operatorname{sn}^{-1}(x|k) < \frac{2Kx}{2 + \sqrt{1-x^2}\sqrt{1-k^2x^2}}, \quad x \in [0, 1]$$

and this is the right side of (2).

So the proof of the Theorem is complete.

A final note. If we let $k \rightarrow 0$ in (2) we recover (1) and if we let $k \rightarrow 1$ (2) becomes

$$\tanh^{-1} x > \frac{3x}{3 - x^2}, \quad x \in [0, 1].$$

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