

NORM-PARALLELISM OF HILBERT SPACE OPERATORS AND THE DAVIS–WIELANDT BEREZIN NUMBER

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Abstract. In this work, the concept of the Davis-Wielandt Berezin number is introduced. Some upper and lower bounds for the Davis-Wielandt Berezin number are introduced. A connection between norm-parallelism to the identity operator and an equality condition for the Davis-Wielandt Berezin number are also discussed. Some bounds for the Davis-Wielandt Berezin number for $n \times n$ operator matrices are established.

1. Introduction

Let $\mathcal{B}(\mathcal{H})$ be the Banach algebra of all bounded linear operators defined on a complex Hilbert space $(\mathcal{H}; \langle \cdot, \cdot \rangle)$ with the identity operator $1_{\mathcal{H}}$ in $\mathcal{B}(\mathcal{H})$. When $\mathcal{H} = \mathbb{C}^n$, we identify $\mathcal{B}(\mathcal{H})$ with the algebra $\mathcal{M}_n(\mathbb{C})$ of n -by- n complex matrices.

A functional Hilbert space is the Hilbert space of complex-valued functions on some set $\Omega \subset \mathbb{C}$ that the evaluation functionals $\varphi_{\lambda}(f) = f(\lambda)$, $\lambda \in \Omega$ are continuous on \mathcal{H} . Then, by the Riesz representation theorem there is a unique element $k_{\lambda} \in \mathcal{H}$ such that $f(\lambda) = \langle f, k_{\lambda} \rangle$ for all $f \in \mathcal{H}$ and every $\lambda \in \Omega$. The function k on $\Omega \times \Omega$ defined by $k(z, \lambda) = \langle k_{\lambda}, k_z \rangle$ is called the reproducing kernel of \mathcal{H} , see [7]. It was shown that $k_{\lambda}(z)$ can be represented by

$$k_{\lambda}(z) = \sum_{n=1}^{\infty} \overline{e_n(\lambda)} e_n(z)$$

for any orthonormal basis $\{e_n\}_{n \geq 1}$ of \mathcal{H} , see [52]. For example, for the Hardy-Hilbert space $H^2 = H^2(\mathbb{D})$ over the unit disc $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$, $\{z^n\}_{n \geq 1}$ is an orthonormal basis, therefore the reproducing kernel of H^2 is the function $k_{\lambda}(z) = \sum_{n=1}^{\infty} \overline{\lambda^n} z^n = (1 - \overline{\lambda}z)^{-1}$, $\lambda \in \mathbb{D}$. Let $\widehat{k}_{\lambda} = \frac{k_{\lambda}}{\|k_{\lambda}\|}$ be the normalized reproducing kernel of the space

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\mathcal{H} . For a given a bounded linear operator T on \mathcal{H} , the Berezin symbol (or Berezin transform) of T is the bounded function \tilde{T} on Ω defined by

$$\tilde{T}(\lambda) = \left\langle T\widehat{k}_\lambda(z), \widehat{k}_\lambda(z) \right\rangle, \lambda \in \Omega.$$

An important property of the Berezin symbol is that for all $T, S \in \mathcal{B}(\mathcal{H})$ if $\tilde{T}(\lambda) = \tilde{S}(\lambda)$ for all $\lambda \in \Omega$, then $T = S$ (at least when \mathcal{H} consists from analytic functions, see Zhu [57]). For more details, see [11, 15, 16, 23]–[33]. So, the map $T \rightarrow \tilde{T}$ is injective [18]. The Berezin set and the Berezin number of an operator T are defined, respectively, by

$$\text{Ber}(T) = \left\{ \tilde{T}(\lambda) : \lambda \in \Omega \right\} = \text{Range}(\tilde{T}),$$

and

$$\text{ber}(T) = \sup \{ |\gamma| : \gamma \in \text{Ber}(T) \} = \sup_{\lambda \in \Omega} \left| \tilde{T}(\lambda) \right|.$$

The Crawford Berezin number and the minimum Berezin modulus of the operator T are defined by

$$C_{\text{Ber}}(T) := \inf \{ |\tilde{T}(\lambda)| : \lambda \in \Omega \} \quad \text{and} \quad m_{\text{Ber}}(T) := \inf \{ \|T\widehat{k}_\lambda\| : \lambda \in \Omega \}$$

respectively (see [24]).

The Berezin norm of an operator $T \in \mathcal{B}(\mathcal{H})$ is defined by

$$\|T\|_{\text{Ber}} := \sup_{\lambda \in \Omega} \left\| T\widehat{k}_\lambda \right\|.$$

Recall that the numerical range, the numerical radius and the Crawford number of $T \in \mathcal{B}(\mathcal{H})$ are defined respectively, by

$$W(T) := \{ \langle Tx, x \rangle : x \in \mathcal{H} \text{ and } \|x\| = 1 \},$$

$$w(T) := \sup \{ |\langle Tx, x \rangle| : \langle Tx, x \rangle \in W(T) \},$$

and

$$C(T) := \inf \{ |\langle Tx, x \rangle| : \langle Tx, x \rangle \in W(T) \}.$$

It is well known that $w(\cdot)$ defines a norm on $\mathcal{B}(\mathcal{H})$, which is equivalent to the usual operator norm $\|\cdot\|$. In fact, for any $T \in \mathcal{B}(\mathcal{H})$, $\frac{1}{2}\|T\| \leq w(T) \leq \|T\|$; see [17].

Clearly, $\text{Ber}(T) \subset W(T)$ and $\text{ber}(T) \leq w(T)$. For example, Karaev [33] showed that if we consider $T = \langle \cdot, z \rangle z$ in H^2 , simple calculation then gives that $\tilde{T}(\lambda) = |\lambda|^2(1 - |\lambda|)$. Moreover, we have $\text{Ber}(T) = [0, \frac{1}{4}] \subset [0, 1] = W(T)$ and $\text{ber}(T) = \frac{1}{4} < 1 = w(T)$. For other results concerning the Berezin symbol the reader may refer to [14], [19], [20], [42]–[49] and the references therein.

One of the most less common celebrated generalization of the numerical range and the numerical radius is the Davis-Wielandt shell and its radius of $T \in \mathcal{B}(\mathcal{H})$, which are defined as:

$$DW(T) := \{(\langle Tx, x \rangle, \langle Tx, Tx \rangle), x \in \mathcal{H}, \|x\| = 1\},$$

and

$$dw(T) = \sup_{x \in \mathcal{H}, \|x\|=1} \{ \sqrt{|\langle Tx, x \rangle|^2 + \|Tx\|^4} \}. \tag{1}$$

It is easy to see that the Davis-Wielandt radius is not a norm. It has many properties that you can refer to reference [55]. The following inequality immediately comes from (1):

$$\max(w(T), \|T\|^2) \leq dw(T) \leq \sqrt{w^2(T) + \|T\|^4}$$

for any $T \in \mathcal{B}(\mathcal{H})$. Clearly, the projection of the set $DW(T)$ on the first co-ordinate is $W(T)$. One can easily check that $dw(T)$ is unitarily invariant but it does not define a norm on $\mathcal{B}(\mathcal{H})$.

The Davis-Wielandt shell and its radius were introduced and described firstly by Davis in [12] and [13] and Wielandt [51]. In fact, the Davis-Wielandt shell $DW(T)$ gives more information about the operator T and $W(T)$. For instance, in the finite dimensional case, Li and Poon proved [37] (see also [38]) that the normal property of Hilbert space operators can be completely determined by the geometrical shape of their Davis-Wielandt shells, namely, $T \in \mathcal{M}_n(\mathbb{C})$ is normal if and only if $DW(T)$ is a polyhedron in $\mathbb{C} \times \mathbb{R}$ identified with \mathbb{R}^3 . Moreover, in finite dimensional case, the spectrum of an operator T ; $\text{sp}(T)$ is finite and $DW(T)$ is always closed, cf [37, Theorem 2.3]. These conditions are no longer equivalent for an infinite-dimensional operator T , cf [37, Example 2.5].

In [41], Lins et al. proved that, if $T \in \mathcal{M}_n(\mathbb{C})$ is normal, then $DW(T)$ is the convex hull of the points $(\text{Re}(\lambda_j), \text{Im}(\lambda_j), |\lambda_j|^2)$ ($j = 1, \dots, n$), for $\lambda_j \in \text{sp}(T)$. Moreover, each point $(\text{Re}(\lambda_j), \text{Im}(\lambda_j), |\lambda_j|^2)$ is an extreme point of $DW(T)$. In particular case, if $n = 2$ i.e., $T = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ has eigenvalues λ_1, λ_2 , then $DW(T)$ degenerates to the line segment joining the points $(\lambda_1, |\lambda_1|^2)$ and $(\lambda_2, |\lambda_2|^2)$. So that $\dim DW(T) \leq 1$. In fact, the condition $\dim(DW(T)) \leq 1$ holds if and only if T is normal, with at most two distinct eigenvalues. Otherwise, $DW(T)$ is an ellipsoid (without its interior) centered at $(\frac{\lambda_1 + \lambda_2}{2}, \frac{1}{2} \text{tr}(|T|^2))$. Also, it was proved that if $\dim(DW(T)) \geq 2$, then $DW(T)$ is always convex. A complete description of $DW(T)$ for a quadratic operator T was given in [38]. For more details see also [3], [39], [40] and [41].

In [51], Wielandt showed that the Davis-Wielandt shell is a useful tool for characterizing the eigenvalues of matrices in the set

$$\{P^*TP + Q^*SQ : P, Q \in \mathcal{M}_n(\mathbb{C}) \text{ are unitary}\}$$

for given $S, T \in \mathcal{M}_n(\mathbb{C})$.

Now, we want to introduce the concepts of the Davis-Wielandt Berezin set and the Davis-Wielandt Berezin number as follows:

$$\text{Ber}_{dw}(T) = \{(\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle, \langle T\widehat{k}_\lambda, T\widehat{k}_\lambda \rangle), \lambda \in \Omega\},$$

and

$$\text{ber}_{dw}(T) = \sup_{\lambda \in \Omega} \{ \sqrt{|\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4} \}.$$

We can clearly see that $\text{ber}_{dw}(T)$ is an generalization of $\text{ber}(T)$, moreover $\text{ber}_{dw}(T) \leq \text{ber}(T)$. It is easy to see that the Davis-Wielandt Berezin number of $T \in \mathcal{B}(\mathcal{H}(\Omega))$ satisfying the following inequality:

$$\max(\text{ber}(T), \|T\|_{\text{Ber}}^2) \leq \text{ber}_{dw}(T) \leq \sqrt{\text{ber}^2(T) + \|T\|_{\text{Ber}}^4}. \tag{2}$$

In this work, the concept of the Davis-Wielandt Berezin number is introduced. Some upper and lower bounds for the Davis-Wielandt Berezin number are introduced. A connection between norm-parallelism to the identity operator and an equality condition for the Davis-Wielandt Berezin number are also discussed. Some bounds for the Davis-Wielandt Berezin number for $n \times n$ operator matrices are established.

2. The Norm-parallelism and the Davis-Wielandt Berezin number

For $T \in \mathcal{B}(\mathcal{H})$, let \mathbb{M}_T be the set of all unit vectors for which T attains its norm; i.e.,

$$\mathbb{M}_T := \{x \in H : \|x\| = 1, \|Tx\| = \|T\|\}.$$

The concept of the norm-parallelism in $\mathcal{B}(\mathcal{H})$ has been introduced by Saddik [47] and recently discussed by Zamani and Moslehian in [54]–[56]. Let $S, T \in \mathcal{B}(\mathcal{H})$, we say that T is norm-parallel to S (see [54]), in symbol $T \parallel S$, if there exists $\lambda \in \{\alpha \in \mathbb{C} : |\alpha| = 1\}$ such that

$$\|T + \lambda S\| = \|T\| + \|S\|.$$

Such property is a useful tool in solving some problems in approximation theory, as pointed out in [54]. Equivalently, it has been shown in [54] that, $T \parallel S$ if and only if there exists a sequence of unit vectors x_n in \mathcal{H} such that

$$\lim_{n \rightarrow \infty} |\langle Tx_n, Sx_n \rangle| = \|T\| \|S\|. \tag{3}$$

From the norm properties of vectors in \mathcal{H} , it can be shown that [53]

$$\|b\|^2 \inf_{\gamma \in \mathbb{C}} \|a + \gamma b\|^2 = \|a\|^2 \|b\|^2 - |\langle a, b \rangle|^2, \quad \forall a, b \in \mathcal{H}.$$

In particular, two vectors a and b in \mathcal{H} are linearly dependent if and only if

$$\inf_{\gamma \in \mathbb{C}} \|a + \gamma b\|^2 = 0.$$

Employing this property, a necessary and sufficient condition for $T \in \mathcal{B}(\mathcal{H})$ to be norm-parallel to $S \in \mathcal{B}(\mathcal{H})$ was proved in [53], as elaborated in the following result.

THEOREM 1. *Let $S, T \in \mathcal{B}(\mathcal{H})$ be compact operators. Then the following conditions are equivalent:*

- (1) $T \parallel S$.
- (2) *There exists $x \in \mathbb{M}_T \cap \mathbb{M}_S$ such that for every $\xi \in \mathbb{C}$ the vectors $Tx + \xi Sx$ and Sx are linearly dependent.*

Let us begin with the following primary result.

LEMMA 1. *Let $S \in \mathcal{B}(\mathcal{H}(\Omega))$.*

- (1) *If $\Omega \subseteq \mathbb{C}$ is closed set, then the Berezin set $\text{Ber}(S)$ is a closed subset of the numerical range $W(S)$.*
- (2) *If $\Omega = \mathbb{C}$, then $\text{Ber}(S) = W(S)$ and so $\text{ber}(S) = \omega(S)$.*
- (3) *In particular, the restriction of the numerical range $W|_{\Omega}(S)$ onto Ω is exactly the Berezin set $\text{Ber}(S)$, and hence $\omega|_{\Omega}(S) = \text{ber}(S)$, where by $W|_{\Omega}(S)$ i.e.*

$$W|_{\Omega}(S) = \{ \langle Sx, x \rangle : x \in \mathcal{H}(\Omega) \text{ such that for some } \lambda \in \Omega, x = \hat{k}_{\lambda} \} = \text{Ber}(S).$$

Proof. (1) Let $S \in \mathcal{B}(\mathcal{H}(\Omega))$. It is well known that $\text{Ber}(S) \subseteq W(S)$. So that for any sequence of points λ_n in Ω , the normalized reproducing kernel of $\mathcal{H}(\Omega)$ is given by \hat{k}_{λ_n} . For $\tilde{S}(\lambda_n) \in \text{Ber}(S)$, we have $\hat{k}_{\lambda_n} \rightarrow \hat{k}_{\lambda}$ which implies that $\tilde{S}(\lambda_n) \rightarrow \tilde{S}(\lambda) \in \text{Ber}(S)$, as $n \rightarrow \infty$; whenever $\lambda_n \rightarrow \lambda$.

(2) This case follows clearly by noting that for each $x \in \mathcal{H}$ with $\|x\| = 1$, there exists an associated $\lambda \in \Omega = \mathbb{C}$ such that $x_{\lambda} = \hat{k}_{\lambda}$. Hence, $\text{ber}(S) = \omega(S)$.

(3) For the restriction onto Ω we get $W|_{\Omega}(S) = \text{Ber}(S)$, and hence $\omega|_{\Omega}(S) = \text{ber}(S)$. \square

It's convenient to note that, in the restriction case the inequality $\text{ber}(S) \leq \omega(S)$ still holds. So that, the reader shouldn't mix up or confuse between the $\omega|_{\Omega}(S)$ and $\omega(S)$.

COROLLARY 1. *Let Ω be a closed subset of \mathbb{C} and $S \in \mathcal{B}(\mathcal{H}(\Omega))$. If $W(S) \subset \Omega$, then we have $\text{ber}(S) = \omega(S)$.*

Proof. Follows from Lemma 1. \square

In the sequel, a norm-parallelism of Hilbert space operators and an equality condition for the Davis-Wielandt Berezin number is established.

THEOREM 2. *Let Ω be any closed subset of \mathbb{C} and $S \in \mathcal{B}(\mathcal{H}(\Omega))$. Then the following conditions are equivalent:*

- (1) $S \parallel 1_{\mathcal{H}}$.
- (2) $\text{ber}_{dw}(S) = \sqrt{\text{ber}^2(S) + \|S\|_{\text{Ber}}^4}$.

Proof. (1) \Rightarrow (2) Assume $S \parallel 1_{\mathcal{H}}$, by (3), $S \parallel 1_{\mathcal{H}}$ if and only if there exists a sequence of unit vectors $\{\widehat{k}_{\lambda}^{(n)}\}$ in $\mathcal{H}(\Omega)$ for some $\lambda \in \Omega$ such that

$$\lim_{n \rightarrow \infty} \left| \left\langle S\widehat{k}_{\lambda}^{(n)}, \widehat{k}_{\lambda}^{(n)} \right\rangle \right| = \|S\|_{\text{Ber}}. \quad (4)$$

Therefore, we have

$$\left| \left\langle S\widehat{k}_{\lambda}^{(n)}, \widehat{k}_{\lambda}^{(n)} \right\rangle \right| \leq \left\| S\widehat{k}_{\lambda}^{(n)} \right\| \leq \|S\|_{\text{Ber}} \quad \text{and} \quad \left| \left\langle S\widehat{k}_{\lambda}^{(n)}, \widehat{k}_{\lambda}^{(n)} \right\rangle \right| \leq \text{ber}(S) \leq \|S\|_{\text{Ber}}. \quad (5)$$

Hence by (4) and (5) we obtain that

$$\lim_{n \rightarrow \infty} \left\| S\widehat{k}_{\lambda}^{(n)} \right\| = \|S\|_{\text{Ber}} \quad \lim_{n \rightarrow \infty} \left| \left\langle S\widehat{k}_{\lambda}^{(n)}, \widehat{k}_{\lambda}^{(n)} \right\rangle \right| = \text{ber}(S). \quad (6)$$

Now, by the definition of $\text{ber}_{dw}(S)$ we have

$$\sqrt{\left| \left\langle S\widehat{k}_{\lambda}^{(n)}, \widehat{k}_{\lambda}^{(n)} \right\rangle \right|^2 + \left\| S\widehat{k}_{\lambda}^{(n)} \right\|^4} \leq \text{ber}_{dw}(S) \leq \sqrt{\text{ber}^2(S) + \|S\|_{\text{Ber}}^4}, \quad (7)$$

whence (6) and (7) imply that

$$\text{ber}_{dw}(S) = \sqrt{\text{ber}^2(S) + \|S\|_{\text{Ber}}^4}.$$

(2) \Rightarrow (1) Assume $\text{ber}_{dw}(S) = \sqrt{\text{ber}^2(S) + \|S\|_{\text{Ber}}^4}$. So, by the definition of $\text{ber}_{dw}(S)$, there exists a sequence of unit vectors $\{\widehat{k}_{\lambda}^{(n)}\}$ in $\mathcal{H}(\Omega)$, for some $\lambda \in \Omega$, such that

$$\lim_{n \rightarrow \infty} \sqrt{\left| \left\langle S\widehat{k}_{\lambda}^{(n)}, \widehat{k}_{\lambda}^{(n)} \right\rangle \right|^2 + \left\| S\widehat{k}_{\lambda}^{(n)} \right\|^4} = \sqrt{\text{ber}^2(S) + \|S\|_{\text{Ber}}^4}.$$

Then we have (6) holds. So that let us

Claim: $\text{ber}(S) = \|S\|_{\text{Ber}}$. Hence by (6) we have

$$\lim_{n \rightarrow \infty} \left| \left\langle S\widehat{k}_{\lambda}^{(n)}, \widehat{k}_{\lambda}^{(n)} \right\rangle \right| = \|S\|_{\text{Ber}}.$$

or equivalently, $S \parallel 1_{\mathcal{H}}$. Setting

$$S\widehat{k}_{\lambda}^{(n)} = \alpha_n\widehat{k}_{\lambda_1}^{(n)} + \beta_n\widehat{k}_{\lambda_2}^{(n)} \quad \text{for some } \lambda_1, \lambda_2 \in \Omega, \tag{8}$$

such that $\langle \widehat{k}_{\lambda_1}^{(n)}, \widehat{k}_{\lambda_2}^{(n)} \rangle = 0$, $\|\widehat{k}_{\lambda_2}^{(n)}\| = 1$, and for some $\alpha_n, \beta_n \in \mathbb{C}$. Thus, from (6) and (8) we have $\alpha_n = \langle S\widehat{k}_{\lambda_1}^{(n)}, \widehat{k}_{\lambda_1}^{(n)} \rangle$, $\beta_n = \langle S\widehat{k}_{\lambda_1}^{(n)}, \widehat{k}_{\lambda_2}^{(n)} \rangle$, $\lim_{n \rightarrow \infty} |\alpha_n| = \text{ber}(S)$, and

$$\lim_{n \rightarrow \infty} |\alpha_n|^2 + |\beta_n|^2 = \|S\|_{\text{Ber}}^2.$$

Let $\eta_n = \langle \widehat{k}_{\lambda_2}^{(n)}, \widehat{k}_{\lambda_1}^{(n)} \rangle$, $\zeta_n = \langle \widehat{k}_{\lambda_2}^{(n)}, \widehat{k}_{\lambda_2}^{(n)} \rangle$, and

$$S_n = \begin{bmatrix} \alpha_n & \eta_n \\ \beta_n & \zeta_n \end{bmatrix}.$$

Since $|\alpha_n| \leq \text{ber}(S_n) \leq \text{ber}(S)$, then

$$\lim_{n \rightarrow \infty} \text{ber}(S_n) = \text{ber}(S).$$

Moreover, we have

$$|\alpha_n|^2 \leq \text{ber} \left(\begin{bmatrix} |\alpha_n| & \frac{\overline{\alpha_n}\eta_n + \alpha_n\overline{\beta_n}}{2} \\ \frac{\overline{\alpha_n}\beta_n + \alpha_n\overline{\eta_n}}{2} & \frac{\overline{\alpha_n}\zeta_n + \alpha_n\overline{\zeta_n}}{2} \end{bmatrix} \right) = \text{ber}(\text{Re}(\overline{\alpha_n}S_n)) \leq \text{ber}(\overline{\alpha_n}S_n) \leq \text{ber}^2(S_n).$$

Thus, $\lim_{n \rightarrow \infty} \text{ber}(\text{Re}(\overline{\alpha_n}S_n)) = \text{ber}^2(S_n)$ and $\lim_{n \rightarrow \infty} \frac{\overline{\alpha_n}\eta_n + \alpha_n\overline{\beta_n}}{2} = 0$. It follows that

$$\lim_{n \rightarrow \infty} |\eta_n| = \lim_{n \rightarrow \infty} |\beta_n|. \tag{9}$$

On the other hand, we have

$$S_n^*S_n = \begin{bmatrix} |\alpha_n|^2 + |\beta_n|^2 & \overline{\alpha_n}\eta_n + \overline{\beta_n}\zeta_n \\ \alpha_n\overline{\eta_n} + \beta_n\overline{\zeta_n} & |\eta_n|^2 + |\zeta_n|^2 \end{bmatrix}$$

and this allows us to obtain that

$$|\alpha_n|^2 + |\beta_n|^2 \leq \|S_n^*S_n\|_{\text{Ber}} \leq \|S_n\|_{\text{Ber}}^2 \leq \|S\|_{\text{Ber}}^2.$$

The above inequality implies that $\lim_{n \rightarrow \infty} \|S_n^*S_n\|_{\text{Ber}} = \|S\|_{\text{Ber}}^2$, and so we get $\lim_{n \rightarrow \infty} \overline{\alpha_n}\eta_n + \overline{\beta_n}\zeta_n = 0$. This yields that

$$\lim_{n \rightarrow \infty} |\alpha_n| = \lim_{n \rightarrow \infty} |\zeta_n|. \tag{10}$$

By (9) and (10) we find that

$$\lim_{n \rightarrow \infty} |\alpha_n|^2 + |\beta_n|^2 = \lim_{n \rightarrow \infty} |\eta_n|^2 + |\zeta_n|^2 = \|S\|_{\text{Ber}}^2, \tag{11}$$

from that we get

$$\lim_{n \rightarrow \infty} S_n^* S_n = \begin{bmatrix} \|S\|_{\text{Ber}}^2 & 0 \\ 0 & \|S\|_{\text{Ber}}^2 \end{bmatrix}.$$

It follows that

$$\lim_{n \rightarrow \infty} \text{ber}(S_n) = \|S\|_{\text{Ber}}. \tag{12}$$

From (11) and (12), we conclude that $\text{ber}(S) = \|S\|_{\text{Ber}}$, and this proves our claim. Hence, the proof of the theorem is completely established. \square

As a consequence of Theorem 2, we have the following result [53].

COROLLARY 2. *Let Ω be any closed subset of \mathbb{C} and $S \in \mathcal{B}(\mathcal{H}(\Omega))$. The following conditions are equivalent:*

- (1) $\text{ber}_{dw}(S) = \sqrt{\text{ber}^2(S) + \|S\|_{\text{Ber}}^4}$.
- (2) $\text{ber}(S) = \|S\|_{\text{Ber}}$.
- (3) $\text{ber}_{dw}(S) = \|S\|_{\text{Ber}} \sqrt{1 + \|S\|_{\text{Ber}}^2}$.
- (4) $S^*S \leq \text{ber}^2(S) 1_{\mathcal{H}}$.

Proof. The equivalence (1) \Leftrightarrow (2) follows from the proof of Theorem 2.

(1) \Rightarrow (3) This implication follows from the equivalence (1) \Leftrightarrow (2).

(3) \Rightarrow (1) Assume $\text{ber}_{dw}(S) = \|S\|_{\text{Ber}} \sqrt{1 + \|S\|_{\text{Ber}}^2}$ for any operator $S \in \mathcal{B}(\mathcal{H}(\Omega))$. Since $\text{ber}(S) \leq \|S\|_{\text{Ber}}$, we have

$$\|S\|_{\text{Ber}} \sqrt{1 + \|S\|_{\text{Ber}}^2} = \text{ber}_{dw}(S) \leq \sqrt{\text{ber}^2(S) + \|S\|_{\text{Ber}}^4} \leq \|S\|_{\text{Ber}} \sqrt{1 + \|S\|_{\text{Ber}}^2}$$

and so that $\text{ber}_{dw}(S) = \sqrt{\text{ber}^2(S) + \|S\|_{\text{Ber}}^4}$.

(1) \Leftrightarrow (4) By the first equivalence $\text{ber}_{dw}(S) = \sqrt{\text{ber}^2(S) + \|S\|_{\text{Ber}}^4}$ if and only if $\text{ber}(S) = \|S\|_{\text{Ber}}$, that is $\|S\widehat{k}_\lambda\| \leq \text{ber}(S) \|\widehat{k}_\lambda\|$ for all $\widehat{k}_\lambda \in \mathcal{H}(\Omega)$, $\lambda \in \Omega$. This is equivalent to say that $\|S\widehat{k}_\lambda\|^2 \leq \text{ber}^2(S) \|\widehat{k}_\lambda\|^2$, that is $\langle S\widehat{k}_\lambda, S\widehat{k}_\lambda \rangle \leq \langle \text{ber}^2(S)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle$ for all $\widehat{k}_\lambda \in \mathcal{H}(\Omega)$, i.e., $\langle (S^*S - \text{ber}^2(S) 1_{\mathcal{H}})\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \leq 0$ for all $\widehat{k}_\lambda \in \mathcal{H}(\Omega)$, or equivalently $S^*S \leq \text{ber}^2(S) 1_{\mathcal{H}}$. \square

3. Some inequalities of the Davis-Wielandt Berezin number

In order to prove our results we need a sequence of lemmas.

LEMMA 2. *Let $a, b \geq 0$ and $p, q > 1$ such that $\frac{1}{p} + \frac{1}{q} = 1$. Then*

- $ab \leq \frac{a^p}{p} + \frac{b^q}{q} \leq \left(\frac{a^{pr}}{p} + \frac{b^{qr}}{q}\right)^{\frac{1}{r}}$ for $r \geq 1$.
- For $r = 1$ we recapture the Power-Mean inequality, which reads

$$a^\alpha b^{1-\alpha} \leq \alpha a + (1 - \alpha)b \leq (\alpha a^p + (1 - \alpha)b^p)^{\frac{1}{p}}$$

for all $\alpha \in [0, 1]$, $a, b \geq 0$ and $p \geq 1$.

The next lemma follows from the spectral theorem for positive operators and Jensen inequality see [36].

LEMMA 3. (McCarty inequality) *Let $T \in \mathcal{B}(\mathcal{H})$, $T \geq 0$ and $x \in \mathcal{H}$ be a unit vector. Then*

- $\langle Tx, x \rangle^r \leq \langle T^r x, x \rangle$ for $r \geq 1$;
- $\langle T^r x, x \rangle \leq \langle Tx, x \rangle^r$ for $0 < r \leq 1$.

The generalized mixed Schwarz inequality was introduced in [22], as follows:

LEMMA 4. [36, Theorem 1] *Let $T \in \mathcal{B}(\mathcal{H})$ and $x, y \in \mathcal{H}$ be any vectors.*

- If f, g are non-negative continuous functions on $[0, \infty)$ which are satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$), then

$$|\langle Tx, y \rangle| \leq \|f(|T|)x\| \|g(|T^*|)y\|;$$

- If $0 \leq \alpha \leq 1$, then

$$|\langle Tx, y \rangle|^2 \leq \langle |T|^{2\alpha} x, x \rangle \langle |T^*|^{2(1-\alpha)} y, y \rangle.$$

We note that, the McCarthy inequality was extended for general Hilbert space operators in [5] and [6]. Also, the corresponding Cartesian decomposition version of Lemma 4 recently was proved in [4].

In some of our results we need the following two fundamental norm estimates, which are:

$$\|S + T\| \leq \frac{1}{2} \left(\|S\| + \|T\| + \sqrt{(\|S\| - \|T\|)^2 + 4\|S^{1/2}T^{1/2}\|^2} \right), \tag{13}$$

and

$$\|S^{1/2}T^{1/2}\| \leq \|ST\|^{1/2}.$$

Both estimates are valid for all positive operators $S, T \in \mathcal{B}(\mathcal{H})$. Also, it should be noted that (13) is sharper than the triangle inequality as pointed out by Kittaneh in [34].

Now, we obtain lower bounds for the Davis-Wielandt Berezin number in $\mathcal{B}(\mathcal{H}(\Omega))$.

THEOREM 3. Let $T \in \mathcal{B}(\mathcal{H}(\Omega))$. Then

- (i) $\text{ber}_{dw}^2(T) \geq \max(\text{ber}^2(T) + C_{\text{Ber}}^2(|T|^2), \|T\|_{\text{Ber}}^4 + C_{\text{Ber}}^2(T))$;
(ii) $\text{ber}_{dw}^2(T) \geq \max(\text{ber}(T)C_{\text{Ber}}(|T|^2), \|T\|_{\text{Ber}}^2 C_{\text{Ber}}(T))$.

Proof. If $\widehat{k}_\lambda \in \mathcal{H}(\Omega)$ be a normalized reproducing kernel, then

$$\begin{aligned} \text{ber}_{dw}^2(T) &\geq |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 \\ &= |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle^2 \\ &\geq |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + C_{\text{Ber}}^2(|T|^2). \end{aligned}$$

Now, by taking the supremum over all $\lambda \in \Omega$, we get

$$\text{ber}_{dw}^2(T) \geq \text{ber}^2(T) + C_{\text{Ber}}^2(|T|^2). \quad (14)$$

Also, we have

$$\begin{aligned} \text{ber}_{dw}^2(T) &\geq |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 \\ &\geq C_{\text{Ber}}^2(T) + \|T\widehat{k}_\lambda\|^4. \end{aligned} \quad (15)$$

From (14) and (15), the part (i) is hold.

For (ii), by applying arithmetic-geometric mean inequality, we have

$$\begin{aligned} \text{ber}_{dw}^2(T) &\geq |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 \\ &\geq 2|\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle| \|T\widehat{k}_\lambda\|^2 \\ &= 2|\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle| \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \\ &\geq 2|\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle| C_{\text{Ber}}(|T|^2). \end{aligned}$$

By taking the supremum over $\lambda \in \Omega$, we get

$$\text{ber}_{dw}^2(T) \geq 2 \text{ber}(T) C_{\text{Ber}}(|T|^2). \quad (16)$$

Moreover,

$$\begin{aligned} \text{ber}_{dw}^2(T) &\geq 2|\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle| \|T\widehat{k}_\lambda\|^2 \\ &\geq 2C_{\text{Ber}}(T) \|T\widehat{k}_\lambda\|^2. \end{aligned}$$

Now by taking the supremum over $\lambda \in \Omega$, we get

$$\text{ber}_{dw}^2(T) \geq 2C_{\text{Ber}}(T) \|T\|_{\text{Ber}}^2. \quad (17)$$

From (16) and (17), the part (ii) holds. \square

REMARK 1. You can see the inequalities obtained in Theorem 3 (i) is sharper than the lower bound obtained in (2). Because

$$\begin{aligned} \max(\text{ber}^2(T), \|T\|_{\text{Ber}}^4) &\leq \max(\text{ber}^2(T) + C_{\text{Ber}}^2(|T|^2), \|T\|_{\text{Ber}}^4 + C_{\text{Ber}}^2(T)) \\ &\leq \text{ber}_{dw}^2(T). \end{aligned}$$

In the next theorem we obtain lower and upper bounds for $\text{ber}_{dw}^2(T)$.

THEOREM 4. *Let $T \in \mathcal{B}(\mathcal{H}(\Omega))$. Then*

$$\frac{1}{2} (\text{ber}^2(T + |T|) + C_{\text{Ber}}^2(T - |T|^2)) \leq \text{ber}_{dw}^2(T) \leq \frac{1}{2} (\text{ber}^2(T + |T|) + \text{ber}^2(T - |T|^2)).$$

Proof. If $\widehat{k}_\lambda \in \mathcal{H}(\Omega)$, then

$$\begin{aligned} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 &= \frac{1}{2} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle T\widehat{k}_\lambda, T\widehat{k}_\lambda \rangle|^2 + \frac{1}{2} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle - \langle T\widehat{k}_\lambda, T\widehat{k}_\lambda \rangle|^2 \\ &= \frac{1}{2} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \frac{1}{2} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle - \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 \\ &= \frac{1}{2} |\langle T + |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \frac{1}{2} |\langle T - |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 \\ &\geq \frac{1}{2} \left(|\langle T + |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + C_{\text{Ber}}^2(T - |T|^2) \right). \end{aligned}$$

By taking the supremum over all $\lambda \in \Omega$, we get

$$\text{ber}_{dw}^2(T) \geq \frac{1}{2} (\text{ber}^2(T + |T|^2) + C_{\text{Ber}}^2(T - |T|^2)).$$

For finding the upper bound, we have

$$\begin{aligned} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 &= \frac{1}{2} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle T\widehat{k}_\lambda, T\widehat{k}_\lambda \rangle|^2 + \frac{1}{2} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle - \langle T\widehat{k}_\lambda, T\widehat{k}_\lambda \rangle|^2 \\ &= \frac{1}{2} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \frac{1}{2} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle - \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 \\ &= \frac{1}{2} |\langle T + |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \frac{1}{2} |\langle T - |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 \\ &\leq \frac{1}{2} (\text{ber}^2(T + |T|^2) + \text{ber}^2(T - |T|^2)). \end{aligned}$$

Again, by taking the supremum over all $\lambda \in \Omega$, we get

$$\text{ber}_{dw}^2(T) \leq \frac{1}{2} (\text{ber}^2(T + |T|^2) + \text{ber}^2(T - |T|^2)).$$

These statements complete the proof. \square

In the following theorem, the authors obtained some relation between the Davis-Wielandt Berezin number and the Berezin number.

THEOREM 5. [50] *Let $T \in \mathcal{B}(\mathcal{H}(\Omega))$. Then*

$$\text{ber}_{dw}^2(T) \leq \text{ber}^2(|T|^2 - T) + 2\|T\|_{\text{Ber}}^2 \text{ber}(T)$$

and

$$\text{ber}_{dw}^2(T) \leq \frac{1}{2} \text{ber}(|T| + 2|T|^4 + |T^*|^2) - \frac{1}{2} \inf_{\lambda} (\|T\widehat{k}_\lambda\| - \|T^*\widehat{k}_\lambda\|)^2. \quad (18)$$

In the next theorem, we obtain a lower bound for square of the Davis-Wielandt Berezin number.

THEOREM 6. *Let $T \in \mathcal{B}(\mathcal{H}(\Omega))$. If λ is a nonzero complex number, and $r > 0$, such that*

$$\|T - \lambda I\|_{\text{Ber}} \leq r. \tag{19}$$

Then

$$\text{ber}_{dw}^2(T) \geq \lambda^{-1} (\|T\widehat{k}_\lambda\|^2 + |\lambda|^2 - r^2) \|T\widehat{k}_\lambda\|^2. \tag{20}$$

Proof. If $\widehat{k}_\lambda \in \mathcal{H}(\Omega)$, then

$$\begin{aligned} \text{ber}_{dw}^2(T) &\geq |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 \\ &\geq 2|\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle| \|T\widehat{k}_\lambda\|^2 \quad (\text{by the arithmetic-geometric mean}) \end{aligned} \tag{21}$$

On the other hand, from (19), we have

$$\begin{aligned} \|T\widehat{k}_\lambda\|^2 + |\lambda|^2 - 2\text{Re}\bar{\lambda}\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle &= |\langle (T - \lambda)\widehat{k}_\lambda, (T - \lambda)\widehat{k}_\lambda \rangle| \\ &= \|T\widehat{k}_\lambda - \lambda\widehat{k}_\lambda\|^2 \\ &\leq \|T - \lambda I\|_{\text{Ber}}^2 \\ &\leq r^2. \end{aligned}$$

So,

$$\|T\widehat{k}_\lambda\|^2 + |\lambda|^2 \leq 2|\lambda| |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle| + r^2. \tag{22}$$

From (21) and (22), we have

$$\text{ber}_{dw}^2(T) \geq \lambda^{-1} (\|T\widehat{k}_\lambda\|^2 + |\lambda|^2 - r^2) \|T\widehat{k}_\lambda\|^2. \quad \square$$

REMARK 2. From (22) for any $T \in \mathcal{B}(\mathcal{H}(\Omega))$, nonzero complex number λ , and $r > 0$, we have

$$\begin{aligned} \text{ber}_{dw}^2(T) - \|T\widehat{k}_\lambda\|^4 &= |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 \\ &\leq \|T\widehat{k}_\lambda\|^2 \\ &\leq 2|\lambda| |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle| + r^2 - |\lambda|^2 \\ &\leq 2|\lambda| |\text{ber}(T) + r^2 - |\lambda|^2|. \end{aligned}$$

In the next theorem we obtain upper bound for the Davis-Wielandt Berezin number by stating the minimum Berezin modulus of an operator.

THEOREM 7. Let $T \in \mathcal{B}(\mathcal{H}(\Omega))$. Then

$$\text{ber}_{dw}^2(T) \leq \sup_{\theta \in \mathbb{R}} \text{ber}^2(e^{i\theta}T + |T|^2) - 2C_{\text{Ber}}(T)m_{\text{Ber}}^2(T). \tag{23}$$

Proof. Let $\theta \in \mathbb{R}$ such that $|\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle| = e^{i\theta} \langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle$. If $\widehat{k}_\lambda \in \mathcal{H}(\Omega)$, then

$$\begin{aligned} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 &= \langle e^{i\theta}T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle^2 + \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle^2 \\ &= (\langle e^{i\theta}T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle)^2 - 2\langle e^{i\theta}T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle. \end{aligned}$$

So,

$$\begin{aligned} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 + 2|\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle| \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle &= |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 + 2\langle e^{i\theta}T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \\ &= (\langle e^{i\theta}T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle |T|^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle)^2 \\ &= \langle (e^{i\theta}T + |T|^2)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle^2 \\ &\leq \text{ber}^2(e^{i\theta}T + |T|^2) \\ &\leq \sup_{\theta \in \mathbb{R}} \text{ber}^2(e^{i\theta}T + |T|^2). \end{aligned}$$

Therefore by taking the supremum over all $\lambda \in \Omega$, we get

$$\text{ber}_{dw}^2(T) + 2C_{\text{Ber}}(T)m_{\text{Ber}}^2(T) \leq \sup_{\theta \in \mathbb{R}} \text{ber}^2(e^{i\theta}T + |T|^2). \quad \square$$

REMARK 3. Note that inequality (23) in Theorem 7 is sharper than inequality (18) in Theorem 5.

THEOREM 8. Let $T \in \mathcal{B}(\mathcal{H}(\Omega))$. If f, g are nonnegative continuous functions on $[0, \infty)$ which are satisfying the relation $f(t)g(t) = t$ ($t \in [0, \infty)$), then

$$\text{ber}_{dw}^2(T) \leq \text{ber} \left[\frac{1}{p} (f^{2p}(|T|) + f^{2p}(|T^*T|)) + \frac{1}{q} (g^{2q}(|T^*|) + g^{2q}(|T^*T|)) \right], \tag{24}$$

for any $p \geq q > 1$ with $\frac{1}{p} + \frac{1}{q} = 1$.

Proof. From Lemmas 4, 2 and 3(b), we have

$$\begin{aligned} |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \|T\widehat{k}_\lambda\|^4 &= |\langle T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle|^2 + \langle T^*T\widehat{k}_\lambda, \widehat{k}_\lambda \rangle^2 \\ &\leq \langle f^2(|T|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \langle g^2(|T^*|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle f^2(|T^*T|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \langle g^2(|T^*T|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \\ &\leq \frac{1}{p} \langle f^2(|T|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle^p + \frac{1}{q} \langle g^2(|T^*|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle^q + \frac{1}{p} \langle f^2(|T^*T|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle^p \\ &\quad + \frac{1}{q} \langle g^2(|T^*T|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle^q \end{aligned}$$

$$\begin{aligned} &\leq \frac{1}{p} \langle f^{2p}(|T|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \frac{1}{q} \langle g^{2q}(|T^*|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \frac{1}{p} \langle f^{2p}(|T^*T|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \\ &\qquad\qquad\qquad + \frac{1}{q} \langle g^{2q}(|T^*T|)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \\ &\leq \left\langle \frac{1}{p} (f^{2p}(|T|) + f^{2p}(|T^*T|)) + \frac{1}{q} (g^{2q}(|T^*|) + g^{2q}(|T^*T|)) \widehat{k}_\lambda, \widehat{k}_\lambda \right\rangle \\ &\leq \text{ber} \left[\frac{1}{p} (f^{2p}(|T|) + f^{2p}(|T^*T|)) + \frac{1}{q} (g^{2q}(|T^*|) + g^{2q}(|T^*T|)) \right]. \end{aligned}$$

Therefore by taking the supremum over all $\lambda \in \Omega$, we get the desired result. \square

COROLLARY 3. *Let $T \in \mathcal{B}(\mathcal{H}(\Omega))$. Then for all $p > 1$,*

$$\text{ber}_{dw}^2(T) \leq \text{ber} \left(\frac{1}{2} (|T|^2 + |T^*|^2 + 2|T|^4) \right). \tag{25}$$

Proof. Inequality (25) immediately comes from inequality (24) by putting $f(t) = g(t) = t^{\frac{1}{2}}$, and $p = q = 2$. \square

4. Further refined inequalities

In order to establish our main first result concerning the the Euclidean Berezin number, we need to recall the concept of generalized Euclidean Berezin number of an n -tuple operator; which was introduced by Bakherad in [44]. Namely, for an n -tuple $\mathbf{T} = (T_1, \dots, T_n) \in \mathcal{B}(\mathcal{H}(\Omega))^n := \mathcal{B}(\mathcal{H}(\Omega)) \times \dots \times \mathcal{B}(\mathcal{H}(\Omega))$; i.e., for $T_1, \dots, T_n \in \mathcal{B}(\mathcal{H}(\Omega))$. The Euclidean operator radius of T_1, \dots, T_n is defined by

$$\text{ber}_p(T_1, \dots, T_n) := \sup_{\lambda \in \Omega} \left(\sum_{i=1}^n \left| \langle T_i \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^p \right)^{1/p} \quad \text{for all } \widehat{k}_\lambda \in \mathcal{H}(\Omega), p \geq 1. \tag{26}$$

The following properties of the generalized Euclidean Berezin number could be proved easily.

- (1) $\text{ber}_p(T_1, \dots, T_n) = 0$ if and only if $T_k = 0$ for each $k = 1, \dots, n$.
- (2) $\text{ber}_p(\lambda T_1, \dots, \lambda T_n) = |\lambda| \text{ber}_p(T_1, \dots, T_n)$.
- (3) $\text{ber}_p(X_1 + Y_1, \dots, X_n + Y_n) \leq \text{ber}_p(X_1, \dots, X_n) + \text{ber}_p(Y_1, \dots, Y_n)$.
- (4) $\text{ber}_p(X_1, \dots, X_n) = \text{ber}_p(X_1^*, \dots, X_n^*)$.
- (5) $\text{ber}_p(X_1^* X_1, \dots, X_n^* X_n) = \text{ber}_p(X_1 X_1^*, \dots, X_n X_n^*)$

for every $T_k, X_k, Y_k, C \in \mathcal{B}(\mathcal{H}(\Omega))$ ($1 \leq k \leq n$) and every scalar $\lambda \in \mathbb{C}$. In case $p = 2$ we refer to the Euclidean Berezin number $\text{ber}_e(\cdot, \dots, \cdot)$.

The following relation between the Euclidean Berezin number $\text{ber}_e(Y, Y^*Y)$ and the Davis-Wielandt radius $\text{ber}_{dw}(Y)$ holds for every $Y \in \mathcal{B}(\mathcal{H}(\Omega))$.

LEMMA 5. Let $Y \in \mathcal{B}(\mathcal{H}(\Omega))$. Then

$$\text{ber}_e(Y, Y^*Y) = \text{ber}_{dw}(Y). \tag{27}$$

Proof. Setting $n = 2$, $T_1 = Y$ and $T_2 = Y^*Y$, $Y \in \mathcal{B}(\mathcal{H}(\Omega))$ in (5), we have

$$\begin{aligned} \text{ber}_e(Y, Y^*Y) &:= \sup_{\lambda \in \Omega} \left(\left| \langle Y\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^2 + \left| \langle Y^*Y\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^2 \right)^{1/2} \\ &= \sup_{\lambda \in \Omega} \left\{ \sqrt{\left| \langle Y\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^2 + \|Y\widehat{k}_\lambda\|^4} \right\} \\ &= \text{ber}_{dw}(Y), \end{aligned}$$

which gives the Davis-Wielandt radius of Y , as required. \square

THEOREM 9. Let $Y \in \mathcal{B}(\mathcal{H}(\Omega))$. Then $\text{ber}_{dw}(Y) = \sqrt{2} \cdot \text{ber}(Y)$ if and only if Y is selfadjoint idempotent operator.

Proof. To prove the ‘only if part’, from Lemma 5, we have $\text{ber}_e(Y, Y^*Y) = \text{ber}_{dw}(Y)$ for any $Y \in \mathcal{B}(\mathcal{H})$. Clearly if Y is selfadjoint idempotent operator, then $\text{ber}_{dw}(Y) = \text{ber}_e(Y, Y^*Y) = \text{ber}_e(Y, Y^2) = \text{ber}_e(Y, Y)$. On the other hand, by setting $n = 2$ and $T_1 = T_2 = Y$, in (27), we get $\text{ber}_e(Y, Y) = \sqrt{2} \cdot \text{ber}(Y)$. Hence, $\text{ber}_{dw}(Y) = \sqrt{2} \cdot \text{ber}(Y)$. The ‘if part’ follows by noting that, $Y^*Y = Y^2$ if and only if Y is selfadjoint and therefore $Y^*Y = Y$, when Y is an idempotent operator, i.e., $Y^2 = Y$. \square

In 2005, Kittaneh [35] proved that

$$\frac{1}{4} \|S^*S + SS^*\| \leq w^2(S) \leq \frac{1}{2} \|S^*S + SS^*\| \tag{28}$$

for a Hilbert space operator $S \in \mathcal{B}(\mathcal{H})$.

The corresponding version of the above inequality in terms of Berezin numbers can be obtained such as:

$$\frac{1}{4} \|R^*R + RR^*\|_{\text{Ber}} \leq \text{ber}^2(R) \leq \frac{1}{2} \|R^*R + RR^*\|_{\text{Ber}} \tag{29}$$

for Hilbert space operator $R \in \mathcal{B}(\mathcal{H}(\Omega))$. The following result extends (29) for the Euclidean Berezin number.

LEMMA 6. Let $R_k \in \mathcal{B}(\mathcal{H}(\Omega))$ ($k = 1, \dots, n$). Then

$$\frac{1}{2^{p+1}n^{p-1}} \left\| \sum_{k=1}^n R_k^*R_k + R_kR_k^* \right\|_{\text{Ber}}^p \leq \text{ber}_{2^p}^{2^p}(R_1, \dots, R_n) \leq \frac{1}{2^p} \left\| \sum_{k=1}^n (R_k^*R_k + R_kR_k^*)^p \right\|_{\text{Ber}} \tag{30}$$

for all $p \geq 1$.

Proof. Let $G_k + iH_k$ be the Cartesian decomposition of R_k for all $k = 1, \dots, n$. As in the proof of (28) in [35], we have

$$\begin{aligned} \left| \langle R_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^{2p} &= \left(\langle G_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle^2 + \langle H_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle^2 \right)^p \\ &\geq \frac{1}{2^p} \left(\left| \langle G_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right| + \left| \langle H_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right| \right)^{2p} \\ &\geq \frac{1}{2^p} \left| \langle G_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle H_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^{2p} \\ &= \frac{1}{2^p} \left| \langle G_k \pm H_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^{2p}. \end{aligned}$$

Summing over j and then taking the supremum over all unit vector $\widehat{k}_\lambda \in \mathcal{H}(\Omega)$, we get

$$\begin{aligned} \text{ber}_{2^p}^{2p}(R_1, \dots, R_n) &= \sup_{\lambda \in \Omega} \sum_{j=1}^n \left| \langle R_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^{2p} \\ &\geq \frac{1}{2^p} \sup_{\lambda \in \Omega} \sum_{k=1}^n \left| \langle G_k \pm H_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^{2p} \\ &\geq \frac{1}{2^p} \frac{1}{n^{p-1}} \sup_{\lambda \in \Omega} \left(\sum_{k=1}^n \left| \langle G_k \pm H_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^2 \right)^p \\ &= \frac{1}{2^p} \frac{1}{n^{p-1}} \left\| \sum_{k=1}^n (G_k \pm H_k)^2 \right\|_{\text{Ber}}^p, \end{aligned}$$

where we have used Jensen’s inequality in the last inequality. Thus,

$$\begin{aligned} 2 \text{ber}_{2^p}^{2p}(R_1, \dots, R_n) &\geq \frac{1}{2^p} \frac{1}{n^{p-1}} \left\| \sum_{k=1}^n (G_k + H_k)^2 \right\|_{\text{Ber}}^p + \frac{1}{2^p} \frac{1}{n^{p-1}} \left\| \sum_{k=1}^n (G_k - H_k)^2 \right\|_{\text{Ber}}^p \\ &\geq \frac{1}{2^p} \frac{1}{n^{p-1}} \left\| \sum_{k=1}^n (G_k + H_k)^2 + \sum_{k=1}^n (G_k - H_k)^2 \right\|_{\text{Ber}}^p \\ &= \frac{1}{2^p} \frac{1}{n^{p-1}} \left\| \sum_{k=1}^n \left\{ (G_k + H_k)^2 + (G_k - H_k)^2 \right\} \right\|_{\text{Ber}}^p \\ &= \frac{1}{n^{p-1}} \left\| \sum_{k=1}^n G_k^2 + H_k^2 \right\|_{\text{Ber}}^p \\ &= \frac{1}{n^{p-1}} \left\| \sum_{k=1}^n \frac{R_k^* R_k + R_k R_k^*}{2} \right\|_{\text{Ber}}^p \\ &= \frac{1}{2^p n^{p-1}} \left\| \sum_{k=1}^n R_k^* R_k + R_k R_k^* \right\|_{\text{Ber}}^p, \end{aligned}$$

and hence,

$$\text{ber}_{2^p}^{2p}(R_1, \dots, R_n) \geq \frac{1}{2^{p+1}n^{p-1}} \left\| \sum_{k=1}^n R_k^* R_k + R_k R_k^* \right\|_{\text{Ber}}^p,$$

which proves the left hand side of the inequality in (30).

To prove the second inequality, for every unit vector $\widehat{k}_\lambda \in \mathcal{H}(\Omega)$ we have

$$\begin{aligned} \sum_{k=1}^n \left| \langle R_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^{2p} &= \sum_{k=1}^n \left(\langle G_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle^2 + \langle H_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle^2 \right)^p \\ &\leq \sum_{k=1}^n \left(\langle G_k^2 \widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle H_k^2 \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right)^p \\ &= \sum_{k=1}^n \langle (G_k^2 + H_k^2) \widehat{k}_\lambda, \widehat{k}_\lambda \rangle^p, \end{aligned}$$

which implies that

$$\begin{aligned} \sup_{\lambda \in \Omega} \sum_{k=1}^n \left| \langle R_k \widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^{2p} &= \text{ber}_{2^p}^{2p}(R_1, \dots, R_n) \\ &\leq \sup_{\lambda \in \Omega} \sum_{k=1}^n \langle (G_k^2 + H_k^2) \widehat{k}_\lambda, \widehat{k}_\lambda \rangle^p \\ &\leq \sup_{\lambda \in \Omega} \left\langle \sum_{k=1}^n (G_k^2 + H_k^2)^p \widehat{k}_\lambda, \widehat{k}_\lambda \right\rangle \\ &= \left\| \sum_{k=1}^n (G_k^2 + H_k^2)^p \right\|_{\text{Ber}} = \frac{1}{2^p} \left\| \sum_{k=1}^n (R_k^* R_k + R_k R_k^*)^p \right\|_{\text{Ber}}, \end{aligned}$$

which proves the right hand side of (30). \square

REMARK 4. In particular, setting $n = 2$ and $p = 1$ in (30) we get

$$\begin{aligned} \frac{1}{4} \|R_1^* R_1 + R_1 R_1^* + R_2^* R_2 + R_2 R_2^*\|_{\text{Ber}} &\leq \text{ber}_c^2(R_1, R_2) \\ &\leq \frac{1}{2} \|R_1^* R_1 + R_1 R_1^* + R_2^* R_2 + R_2 R_2^*\|_{\text{Ber}}. \end{aligned}$$

Moreover, if we choose $R_1 = R_2 = R$, then

$$\frac{1}{2} \|R^* R + R R^*\|_{\text{Ber}} \leq \text{ber}_c^2(R, R) \leq \|R^* R + R R^*\|_{\text{Ber}}.$$

But $\text{ber}_c(R, R) = \sqrt{2} \text{ber}(R)$, which implies that

$$\frac{1}{4} \|R^* R + R R^*\|_{\text{Ber}} \leq \text{ber}^2(R) \leq \frac{1}{2} \|R^* R + R R^*\|_{\text{Ber}}.$$

Now, based on Lemmas 5 and 6, we can introduce our first main result, as follows:

THEOREM 10. *Let $R \in \mathcal{B}(\mathcal{H}(\Omega))$. Then*

$$\frac{1}{4} \left\| |R|^2 + |R^*|^2 + 2|R|^4 \right\|_{\text{Ber}} \leq \text{ber}_{dw}^2(R) \leq \frac{1}{2} \left\| |R|^2 + |R^*|^2 + 2|R|^4 \right\|_{\text{Ber}}. \tag{31}$$

Proof. Setting $n = 2$, $p = 1$, $R_1 = X$ and $R_2 = Y$ in (30), we get

$$\begin{aligned} \frac{1}{4} \|X^*X + XX^* + Y^*Y + YY^*\|_{\text{Ber}} &\leq \text{ber}_c^2(X, Y) \\ &\leq \frac{1}{2} \|X^*X + XX^* + Y^*Y + YY^*\|_{\text{Ber}}. \end{aligned}$$

Replacing X by R and Y by R^*R , we get

$$\frac{1}{4} \|R^*R + RR^* + 2|R|^4\|_{\text{Ber}} \leq \text{ber}_c^2(R, R^*R) \leq \frac{1}{2} \|R^*R + RR^* + 2|R|^4\|_{\text{Ber}}.$$

But as we have shown in Lemma 5 that, $\text{ber}_c(R, R^*R) = \text{ber}_{dw}(R)$, hence we have

$$\frac{1}{4} \left\| |R|^2 + |R^*|^2 + 2|R|^4 \right\|_{\text{Ber}} \leq \text{ber}_{dw}^2(R) \leq \frac{1}{2} \left\| |R|^2 + |R^*|^2 + 2|R|^4 \right\|_{\text{Ber}},$$

as desired. \square

The following result refines sharply the upper bound in (2).

THEOREM 11. *If $R \in \mathcal{B}(\mathcal{H}(\Omega))$, then*

$$\begin{aligned} \frac{1}{\sqrt{2}} \|R + R^*R\|_{\text{Ber}} \leq \text{ber}_{dw}(R) &\leq \sqrt{\left\| \frac{1}{4} (|R| + |R^*|)^2 + |R|^4 \right\|_{\text{Ber}}} \\ &\leq \sqrt{\frac{1}{4} \left(\|R\|_{\text{Ber}} + \|R^2\|_{\text{Ber}}^{1/2} \right)^2 + \|R\|_{\text{Ber}}^4}. \end{aligned} \tag{32}$$

Proof. Since we have

$$\begin{aligned} \text{ber}_{dw}^2(R) &= \sup_{\lambda \in \Omega} \left\{ \left| \langle R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^2 + \left| \langle R^*R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^2 \right\} \\ &\geq \frac{1}{2} \sup_{\lambda \in \Omega} \left\{ \left| \langle R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right| + \left| \langle R^*R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right| \right\}^2 \\ &= \frac{1}{2} \sup_{\lambda \in \Omega} \left\{ \left| \langle R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle + \langle R^*R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right| \right\}^2 \\ &= \frac{1}{2} \sup_{\lambda \in \Omega} \left\{ \left| \langle (R + R^*R)\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right| \right\}^2 \\ &= \frac{1}{2} \|R + R^*R\|_{\text{Ber}}^2, \end{aligned}$$

which proves the first inequality in (32). Also, since we have

$$\begin{aligned}
 \text{ber}_{dw}^2(R) &= \sup_{\lambda \in \Omega} \left\{ \left| \langle R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^2 + \left\| R\widehat{k}_\lambda \right\|^4 \right\} \\
 &= \sup_{\lambda \in \Omega} \left\{ \left| \langle R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^2 + \left| \langle R^*R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^2 \right\} \\
 &\leq \sup_{\lambda \in \Omega} \left\{ \left(\left| \langle R\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^{\frac{1}{2}} \left| \langle R^*\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right|^{\frac{1}{2}} \right)^2 + \left| \langle R^*R^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right| \right\} \\
 &\hspace{15em} \text{(by Lemmas 3 and 4)} \\
 &\leq \sup_{\lambda \in \Omega} \left[\left\langle \frac{|R| + |R^*|}{2} \widehat{k}_\lambda, \widehat{k}_\lambda \right\rangle^2 + \left| \langle R^*R^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right| \right] \\
 &\leq \sup_{\lambda \in \Omega} \left[\left\langle \left(\frac{|R| + |R^*|}{2} \right)^2 \widehat{k}_\lambda, \widehat{k}_\lambda \right\rangle + \left| \langle R^*R^2\widehat{k}_\lambda, \widehat{k}_\lambda \rangle \right| \right] \hspace{5em} \text{(by Lemma 3)} \\
 &= \sup_{\lambda \in \Omega} \left\langle \left(\left(\frac{|R| + |R^*|}{2} \right)^2 + |R^*R^2| \right) \widehat{k}_\lambda, \widehat{k}_\lambda \right\rangle \\
 &= \frac{1}{4} \left\| (|R| + |R^*|)^2 + 4|R^*R^2| \right\|_{\text{Ber}},
 \end{aligned}$$

and this proves the second inequality in (32). Applying the triangle inequality on the above inequality, we get

$$\begin{aligned}
 \text{ber}_{dw}^2(R) &\leq \frac{1}{4} \left\| (|R| + |R^*|)^2 + 4|R^*R^2| \right\|_{\text{Ber}} \leq \frac{1}{4} \left\| (|R| + |R^*|)^2 \right\|_{\text{Ber}} + \left\| |R^*R^2| \right\|_{\text{Ber}} \\
 &= \frac{1}{4} \left\| |R| + |R^*| \right\|_{\text{Ber}}^2 + \left\| |R|^4 \right\|_{\text{Ber}}.
 \end{aligned}$$

Now, applying (13) to the first term in the above inequality, we get $\left\| |R| + |R^*| \right\|_{\text{Ber}} \leq \left\| R \right\|_{\text{Ber}} + \left\| R^2 \right\|_{\text{Ber}}^{1/2}$. Now substituting this inequality in the last inequality above, we get the third inequality in (32), and this completes the proof.

To see that the second inequality in (31) is a refinement of the second inequality in (2), assume $RR^* \leq R^*R \leq \text{ber}^2(R) 1_{\mathcal{H}}$. Thus, from (31) we have

$$\begin{aligned}
 \text{ber}_{dw}^2(R) &\leq \frac{1}{2} \left\| |R|^2 + |R^*|^2 + 2|R|^4 \right\|_{\text{Ber}} \\
 &\leq \frac{1}{2} \left\| \text{ber}^2(R) 1_{\mathcal{H}} + \text{ber}^2(R) 1_{\mathcal{H}} + 2\text{ber}^4(R) 1_{\mathcal{H}} \right\|_{\text{Ber}} \\
 &\leq \text{ber}^2(R) + \left\| R \right\|_{\text{Ber}}^4.
 \end{aligned}$$

Follows by the assumption, since $\text{ber}(R) = \left\| R \right\|_{\text{Ber}}$ (see Corollary 2), which implies that

$$\begin{aligned}
 \text{ber}_{dw}(R) &\leq \sqrt{\frac{1}{2} \left\| |R|^2 + |R^*|^2 + 2|R|^4 \right\|_{\text{Ber}}} \leq \sqrt{\text{ber}^2(R) + \left\| R \right\|_{\text{Ber}}^4} \\
 &= \left\| R \right\|_{\text{Ber}} \sqrt{1 + \left\| R \right\|_{\text{Ber}}^2},
 \end{aligned}$$

which means that the right-hand side of (31) refines the right-hand side of (2). \square

EXAMPLE 1. $\Omega = \{(x, y) : |x|^2 + |y|^2 \leq 6, x, y \in \mathbb{C}\}$. Therefore, Ω is closed subset of \mathbb{C} . Consider Let $R = \begin{bmatrix} 0 & 1 \\ 2 & 1 \end{bmatrix}$. We have $W(R) \subseteq \Omega$ with $\|R\|_{\text{Ber}} = 2.28825$ and $\text{ber}(R) = 2.08114$. The upper bound of (2) gives $\text{ber}_{dw}(R) \leq 5.63449$. However, by applying (31), we have $\text{ber}_{dw}(R) \leq 5.61938$, which implies that, the upper bound in (31) is better than the upper bound in (2).

REMARK 5. We note that, a refinement of the inequality (6) could be stated as follows:

$$\frac{1}{\sqrt{2}} \|R + R^*R\| \leq \text{ber}_{dw}(R) \leq \sqrt{w\left(\frac{1}{4}(|R| + |R^*|)^2 + |R|^4\right)}.$$

Consider R as in Example 1. Applying the above inequality, we get $\text{ber}_{dw}(R) \leq 5.59709$, which is better than the result obtained by (5). Furthermore, (31) gives that

$$\text{ber}_{dw}(R) \leq \sqrt{w\left(\frac{1}{4}(|R| + |R^*|)^2 + |R|^4\right)} \leq \sqrt[4]{\frac{1}{2} \|T^*T + TT^*\|},$$

where $T = \frac{1}{4}(|R| + |R^*|)^2 + |R|^4$. Employing the previous second upper bound for R in Example 1, we get the same result as those obtained by (31) and (2), even we use (13); which indeed refines (32).

5. The Davis-Wielandt radius inequalities for $n \times n$ matrix operators

Several numerical radius type inequalities improving and refining the inequality

$$\frac{1}{2} \|S\| \leq w(S) \leq \|S\| \quad (S \in \mathcal{B}(\mathcal{H}))$$

have been recently obtained by many other authors; see for example [1]–[10], and [21]. Recently, Bakherad [8] proved the following result concerning the Berezin number of $n \times n$ operator matrices.

Let $\mathbf{S} = [S_{ij}] \in \mathcal{B}(\bigoplus_{i=1}^n \mathcal{H}_i(\Omega_i))$ such that $S_{ij} \in \mathcal{B}(\mathcal{H}_j(\Omega_j), \mathcal{H}_i(\Omega_i))$. Then

$$w(\mathbf{S}) \leq \begin{cases} \text{ber}([S_{ij}]) & i = j, \\ \|[S_{ij}]\|_{\text{Ber}}, & i \neq j, \end{cases}$$

In the next result, we present Davis-Wielandt radius inequality for $n \times n$ matrix Operators.

THEOREM 12. Let $\mathbf{T} = [T_{ij}] \in \mathcal{B}(\bigoplus_{i=1}^n \mathcal{H}_i(\Omega_i))$. Then

$$\text{ber}_{dw}(\mathbf{T}) \leq w([t_{ij}]), \tag{33}$$

where

$$t_{ij} = \begin{cases} \text{ber}(T_{ii}) + \|T_{ii}\|_{\text{Ber}}^2, & j = i \\ \|T_{ij}\|_{\text{Ber}} + \|T_{ij}\|_{\text{Ber}}^2, & j \neq i \end{cases}.$$

Proof. Let $\mathcal{H}(\Omega) = \bigoplus_{i=1}^n \mathcal{H}_i(\Omega_i)$. For every $\lambda = (\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n$, let a unit vector $\widehat{\mathbf{k}}_\lambda = [k_{\lambda_1} \cdots k_{\lambda_n}]^T \in \mathcal{H}(\Omega)$. Then we have

$$\begin{aligned} \text{ber}_{dw}(\mathbf{T}) &= \sup_{(\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n} \sqrt{\left| \langle \mathbf{T}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle \right|^2 + \left| \langle \mathbf{T}^* \mathbf{T}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle \right|^2} \\ &\leq \sup_{(\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n} \left\{ \left| \langle \mathbf{T}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle \right| + \left| \langle \mathbf{T}^* \mathbf{T}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle \right| \right\} \\ &\quad (\text{since } \sqrt{a+b} \leq \sqrt{a} + \sqrt{b}). \end{aligned}$$

But since

$$\begin{aligned} \left| \langle \mathbf{T}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle \right| &= \left| \sum_{i,j=1}^n \langle T_{ij}k_{\lambda_j}, k_{\lambda_i} \rangle \right| \\ &\leq \sum_{i,j=1}^n \left| \langle T_{ij}k_{\lambda_j}, k_{\lambda_i} \rangle \right| \\ &= \sum_{i=1}^n \left| \langle T_{ii}k_{\lambda_i}, k_{\lambda_i} \rangle \right| + \sum_{\substack{i,j=1 \\ j \neq i}}^n \left| \langle T_{ij}k_{\lambda_j}, k_{\lambda_i} \rangle \right| \\ &\leq \sum_{i=1}^n \text{ber}(T_{ii}) \|k_{\lambda_i}\|^2 + \sum_{\substack{i,j=1 \\ j \neq i}}^n \|T_{ij}\|_{\text{Ber}} \|k_{\lambda_j}\| \|k_{\lambda_i}\| \\ &= \sum_{i=1}^n t_{ij} \|k_{\lambda_j}\| \|k_{\lambda_i}\|. \end{aligned} \tag{34}$$

Similarly, we have

$$\begin{aligned} \left| \langle \mathbf{T}^* \mathbf{T}\mathbf{x}, \mathbf{x} \rangle \right| &= \left| \sum_{i,j=1}^n \langle T_{ij}^* T_{ij}k_{\lambda_j}, k_{\lambda_i} \rangle \right| \\ &\leq \sum_{i=1}^n \text{ber}(T_{ii}^* T_{ii}) \|k_{\lambda_i}\|^2 + \sum_{\substack{i,j=1 \\ j \neq i}}^n \|T_{ij}^* T_{ij}\|_{\text{Ber}} \|k_{\lambda_i}\| \|k_{\lambda_j}\|. \end{aligned} \tag{35}$$

Adding (34) and (35), we get

$$\begin{aligned} \text{ber}_{dw}(\mathbf{T}) &\leq \sup_{(\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n} \left\{ \left| \langle \mathbf{T}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle \right| + \left| \langle \mathbf{T}^* \mathbf{T}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle \right| \right\} \\ &\leq \sum_{i=1}^n (\text{ber}(T_{ii}) + \text{ber}(T_{ii}^* T_{ii})) \|k_{\lambda_i}\|^2 + \sum_{\substack{i,j=1 \\ j \neq i}}^n \left(\|T_{ij}\|_{\text{Ber}} + \|T_{ij}^* T_{ij}\|_{\text{Ber}} \right) \|k_{\lambda_i}\| \|k_{\lambda_j}\| \end{aligned}$$

$$\begin{aligned}
 &= \sum_{i=1}^n \left(\text{ber}(T_{ii}) + \|T_{ii}\|_{\text{Ber}}^2 \right) \|k_{\lambda_i}\|^2 + \sum_{j \neq i}^n \left(\|T_{ij}\|_{\text{Ber}} + \|T_{ij}\|_{\text{Ber}}^2 \right) \|k_{\lambda_i}\| \|k_{\lambda_j}\| \\
 &\leq \sum_{i,j=1}^n t_{ij} \|k_{\lambda_i}\| \|k_{\lambda_j}\| \\
 &= \langle [t_{ij}] \mathbf{x}, \mathbf{x} \rangle,
 \end{aligned}$$

where $\mathbf{x} = (\|k_{\lambda_1}\| \|k_{\lambda_2}\| \dots \|k_{\lambda_n}\|)^T$ with $\|\mathbf{x}\| = 1$. Therefore

$$\text{ber}_{dw}(\mathbf{T}) = \sup_{(\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n} \left\{ \left| \langle \mathbf{T} \widehat{\mathbf{k}}_{\lambda}, \widehat{\mathbf{k}}_{\lambda} \rangle \right| + \left| \langle \mathbf{T}^* \widehat{\mathbf{T}} \widehat{\mathbf{k}}_{\lambda}, \widehat{\mathbf{k}}_{\lambda} \rangle \right| \right\} \leq \omega([t_{ij}])$$

Thus, we obtain the right-hand side inequality in (33), and this completes the proof. \square

COROLLARY 4. Let $\mathbf{T} = \begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix} \in \mathcal{B}(\mathcal{H}_1(\Omega_1) \oplus \mathcal{H}_2(\Omega_2))$. Then

$$\text{ber}_{dw}(\mathbf{T}) \leq \frac{1}{2} \left(a + d + \sqrt{(a-d)^2 + (b+c)^2} \right),$$

where,

$$a = \text{ber}(T_{11}) + \|T_{11}\|_{\text{Ber}}^2, \quad b = \|T_{12}\|_{\text{Ber}} + \|T_{12}\|_{\text{Ber}}^2, \quad c = \|T_{21}\|_{\text{Ber}} + \|T_{21}\|_{\text{Ber}}^2,$$

and $d = \text{ber}(T_{22}) + \|T_{22}\|_{\text{Ber}}^2$.

Proof. Take $n = 2$ in Theorem 12. Let a, b, c, d be as defined above. Then

$$\begin{aligned}
 \text{ber}_{dw} \left(\begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix} \right) &\leq w \left(\begin{bmatrix} a & b \\ c & d \end{bmatrix} \right) \\
 &= r \left(\begin{bmatrix} a & \frac{b+c}{2} \\ \frac{b+c}{2} & d \end{bmatrix} \right) \\
 &= \frac{1}{2} \left(a + d + \sqrt{(a-d)^2 + (b+c)^2} \right)
 \end{aligned}$$

as required. \square

COROLLARY 5. Let $\begin{bmatrix} T_{11} & 0 \\ 0 & T_{22} \end{bmatrix} \in \mathcal{B}(\mathcal{H}_1(\Omega_1) \oplus \mathcal{H}_2(\Omega_2))$, then

$$\text{ber}_{dw} \left(\begin{bmatrix} T_{11} & 0 \\ 0 & T_{22} \end{bmatrix} \right) \leq \max \left\{ \text{ber}(T_{11}) + \|T_{11}\|_{\text{Ber}}^2, \text{ber}(T_{22}) + \|T_{22}\|_{\text{Ber}}^2 \right\}.$$

In special case, if $\mathcal{H}_1(\Omega_1) = \mathcal{H}_2(\Omega_2)$ and $T_{11} = T_{22} = T$, then

$$\text{ber}_{dw} \left(\begin{bmatrix} T & 0 \\ 0 & T \end{bmatrix} \right) \leq \text{ber}_{dw}(T) + \|T\|_{\text{Ber}}^2.$$

Proof. From Corollary 4, we have

$$\begin{aligned} \text{ber}_{dw} \left(\begin{bmatrix} T_{11} & 0 \\ 0 & T_{22} \end{bmatrix} \right) &\leq \max \{ \text{ber}(T_{11}) + w(T_{11}^* T_{11}), \text{ber}(T_{22}) + \text{ber}(T_{22}^* T_{22}) \} \\ &= \max \left\{ \text{ber}(T_{11}) + \text{ber} \left(|T_{11}|^2 \right), \text{ber}(T_{22}) + \text{ber} \left(|T_{22}|_{\text{Ber}}^2 \right) \right\} \\ &\leq \max \left\{ \text{ber}(T_{11}) + \|T_{11}\|_{\text{Ber}}^2, \text{ber}(T_{22}) + \|T_{22}\|_{\text{Ber}}^2 \right\}, \end{aligned}$$

as required. \square

COROLLARY 6. Let $\mathbf{T} = \begin{bmatrix} T & S \\ S & T \end{bmatrix} \in \mathcal{B}(\mathcal{H}(\Omega) \oplus \mathcal{H}(\Omega))$. Then

$$\text{ber}_{dw}(\mathbf{T}) \leq \text{ber}(T) + \|T\|_{\text{Ber}}^2 + \|S\|_{\text{Ber}} + \|S\|_{\text{Ber}}^2.$$

Proof. From Corollary 4, we have $T_{11} = T_{22} = T$ and $T_{12} = T_{21} = S$, therefore

$$a = \text{ber}(T) + \|T\|_{\text{Ber}}^2 = d, \quad b = \|S\|_{\text{Ber}} + \|S\|_{\text{Ber}}^2 = c.$$

Thus,

$$\text{ber}_{dw} \left(\begin{bmatrix} T & S \\ S & T \end{bmatrix} \right) \leq a + b = \text{ber}(T) + \|T\|_{\text{Ber}}^2 + \|S\|_{\text{Ber}} + \|S\|_{\text{Ber}}^2,$$

as required. \square

A refinement of Theorem 12 is formulated as follows:

THEOREM 13. Let $\mathbf{T} = [T_{ij}] \in \mathcal{B}(\bigoplus_{i=1}^n \mathcal{H}_i(\Omega_i))$ such that $T_{ij} \in \mathcal{B}(\mathcal{H}_j(\Omega_j), \mathcal{H}_i(\Omega_i))$. Then

$$\frac{1}{\sqrt{2}} \|\mathbf{T} + \mathbf{T}^* \mathbf{T}\| \leq \text{ber}_{dw}(\mathbf{T}) \leq w^{1/2}([t_{ij}]), \tag{36}$$

where

$$t_{ij} = n \cdot \begin{cases} \text{ber}^2(T_{ii}) + \|T_{ii}\|_{\text{Ber}}^4, & j = i \\ \|T_{ij}\|_{\text{Ber}}^2 + \|T_{ij}\|_{\text{Ber}}^4, & j \neq i \end{cases}.$$

Proof. Let $\widehat{\mathbf{k}}_\lambda = [k_{\lambda_1} \cdots k_{\lambda_n}]^T \in \bigoplus_{i=1}^n \mathcal{H}_i(\Omega_i)$ with $\|\widehat{\mathbf{k}}_\lambda\| = \sum_{i=1}^n \|k_{\lambda_i}\|^2 = 1$. Then we have

$$\begin{aligned} \text{ber}_{dw}(\mathbf{T}) &= \sup_{(\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n} \left\{ \sqrt{|\langle \mathbf{T} \widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle|^2 + \|\mathbf{T} \widehat{\mathbf{k}}_\lambda\|^4} \right\} \\ &= \sup_{(\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n} \sqrt{|\langle \mathbf{T} \widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle|^2 + |\langle \mathbf{T}^* \mathbf{T} \widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \rangle|^2}. \end{aligned}$$

But since

$$\begin{aligned}
 \left| \left\langle \widehat{\mathbf{T}}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \right\rangle \right|^2 &= \left| \sum_{i,j=1}^n \left\langle T_{ij}k_{\lambda_j}, k_{\lambda_i} \right\rangle \right|^2 \\
 &\leq n \cdot \sum_{i,j=1}^n \left| \left\langle T_{ij}k_{\lambda_j}, k_{\lambda_i} \right\rangle \right|^2 \quad (\text{by Jensen's inequality}) \\
 &\leq n \cdot \sum_{i=1}^n | \langle T_{ii}k_i, k_i \rangle |^2 + n \cdot \sum_{j \neq i}^n \left| \left\langle T_{ij}k_{\lambda_j}, k_{\lambda_i} \right\rangle \right|^2 \\
 &\leq n \cdot \sum_{i=1}^n \text{ber}^2(T_{ii}) \|k_{\lambda_i}\|^4 + n \cdot \sum_{j \neq i}^n \|T_{ij}\|_{\text{Ber}}^2 \|k_{\lambda_i}\|^2 \|k_{\lambda_j}\|^2 \\
 &\leq n \cdot \sum_{i=1}^n \text{ber}^2(T_{ii}) \|k_{\lambda_i}\|^2 + n \cdot \sum_{j \neq i}^n \|T_{ij}\|_{\text{Ber}}^2 \|k_{\lambda_i}\| \|k_{\lambda_j}\|, \tag{37}
 \end{aligned}$$

the last inequality holds, since $\|k_{\lambda_i}\|^4 \leq \|k_{\lambda_i}\|^2 \leq 1$ and $\|k_{\lambda_i}\|^2 \leq \|k_{\lambda_i}\| \leq 1$ for all $\lambda_i \in \Omega_i, i = 1, \dots, n$. Similarly, we have

$$\begin{aligned}
 \left| \left\langle \mathbf{T}^*\widehat{\mathbf{T}}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \right\rangle \right|^2 &= \left| \sum_{i,j=1}^n \left\langle T_{ij}^*T_{ij}k_{\lambda_j}, k_{\lambda_i} \right\rangle \right|^2 \\
 &\leq n \cdot \sum_{i=1}^n \text{ber}^2(T_{ii}^*T_{ii}) \|k_{\lambda_i}\|^2 + n \cdot \sum_{j \neq i}^n \|T_{ij}^*T_{ij}\|_{\text{Ber}}^2 \|k_{\lambda_i}\| \|k_{\lambda_j}\|. \tag{38}
 \end{aligned}$$

Now adding (37) and (38), we get

$$\begin{aligned}
 &\left| \left\langle \widehat{\mathbf{T}}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \right\rangle \right|^2 + \left| \left\langle \mathbf{T}^*\widehat{\mathbf{T}}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \right\rangle \right|^2 \\
 &= n \cdot \sum_{i=1}^n (\text{ber}^2(T_{ii}) + \text{ber}^2(T_{ii}^*T_{ii})) \|k_{\lambda_i}\|^2 + n \cdot \sum_{j \neq i}^n \left(\|T_{ij}\|_{\text{Ber}}^2 + \|T_{ij}^*T_{ij}\|_{\text{Ber}}^2 \right) \|k_{\lambda_i}\| \|k_{\lambda_j}\| \\
 &= n \cdot \sum_{i=1}^n \left(\text{ber}^2(T_{ii}) + \|T_{ii}\|_{\text{Ber}}^4 \right) \|k_{\lambda_i}\|^2 + \sum_{j \neq i}^n \left(\|T_{ij}\|_{\text{Ber}}^2 + \|T_{ij}\|_{\text{Ber}}^4 \right) \|k_{\lambda_i}\| \|k_{\lambda_j}\| \\
 &\leq n \cdot \sum_{i,j=1}^n t_{ij} \|k_{\lambda_i}\| \|k_{\lambda_j}\| \\
 &= n \cdot \langle [t_{ij}]y, y \rangle,
 \end{aligned}$$

where $y = (\|k_{\lambda_1}\| \|k_{\lambda_2}\| \dots \|k_{\lambda_n}\|)^T$. Taking the supremum over unit vectors $\widehat{\mathbf{k}}_\lambda \in \bigoplus_{i=1}^n \mathcal{H}_i(\Omega_i)$, we obtain the right-hand side inequality. To prove the left hand side inequality we note that

$$\begin{aligned}
 \text{ber}_{dw}^2(\mathbf{T}) &= \sup_{(\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n} \left\{ \left| \left\langle \widehat{\mathbf{T}}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \right\rangle \right|^2 + \left| \left\langle \mathbf{T}^*\widehat{\mathbf{T}}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \right\rangle \right|^2 \right\} \\
 &\geq \frac{1}{2} \sup_{(\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n} \left\{ \left| \left\langle \widehat{\mathbf{T}}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \right\rangle \right| + \left| \left\langle \mathbf{T}^*\widehat{\mathbf{T}}\widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \right\rangle \right| \right\}^2
 \end{aligned}$$

$$\begin{aligned} &\geq \frac{1}{2} \sup_{(\lambda_1, \dots, \lambda_n) \in \Omega_1 \times \dots \times \Omega_n} \left\{ \left| \left\langle (\mathbf{T} + \mathbf{T}^* \mathbf{T}) \widehat{\mathbf{k}}_\lambda, \widehat{\mathbf{k}}_\lambda \right\rangle \right|^2 \right\} \\ &= \frac{1}{2} \|\mathbf{T} + \mathbf{T}^* \mathbf{T}\|_{\text{Ber}}^2, \end{aligned}$$

as required. \square

COROLLARY 7. Let $\mathbf{T} = \begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix} \in \mathcal{B}(\mathcal{H}_1(\Omega_1) \oplus \mathcal{H}_2(\Omega_2))$. Then

$$\text{ber}_{dw}(\mathbf{T}) \leq \sqrt{a + d + \sqrt{(a - d)^2 + (b + c)^2}}, \tag{39}$$

where,

$$a = \text{ber}^2(T_{11}) + \|T_{11}\|_{\text{Ber}}^4, \quad b = \|T_{12}\|_{\text{Ber}}^2 + \|T_{12}\|_{\text{Ber}}^4, \quad c = \|T_{21}\|_{\text{Ber}}^2 + \|T_{21}\|_{\text{Ber}}^4,$$

and $d = \text{ber}^2(T_{22}) + \|T_{22}\|_{\text{Ber}}^4$.

Proof. Take $n = 2$ in Theorem 13. Let a, b, c, d be as defined above. Then

$$\begin{aligned} \text{ber}_{dw}^2 \left(\begin{bmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{bmatrix} \right) &\leq 2w \left(\begin{bmatrix} a & b \\ c & d \end{bmatrix} \right) \\ &= 2r \left(\begin{bmatrix} a & \frac{b+c}{2} \\ \frac{b+c}{2} & d \end{bmatrix} \right) \\ &= a + d + \sqrt{(a - d)^2 + (b + c)^2}, \end{aligned}$$

which proves the required inequality. \square

COROLLARY 8. Let $\begin{bmatrix} T_{11} & 0 \\ 0 & T_{22} \end{bmatrix} \in \mathcal{B}(\mathcal{H}_1(\Omega) \oplus \mathcal{H}_2(\Omega))$. Then

$$\text{ber}_{dw} \left(\begin{bmatrix} T_{11} & 0 \\ 0 & T_{22} \end{bmatrix} \right) \leq \sqrt{2} \max \left\{ \sqrt{\text{ber}^2(T_{11}) + \|T_{11}\|_{\text{Ber}}^4}, \sqrt{\text{ber}^2(T_{22}) + \|T_{22}\|_{\text{Ber}}^4} \right\}.$$

In special case, if $\mathcal{H}_1(\Omega) = \mathcal{H}_2(\Omega)$ and $T_{11} = T_{22} = T$, then

$$\text{ber}_{dw} \left(\begin{bmatrix} T & 0 \\ 0 & T \end{bmatrix} \right) \leq \sqrt{2} \left(\text{ber}^2(T) + \|T\|_{\text{Ber}}^4 \right)^{1/2}.$$

Proof. Form Corollary 7, we have

$$\begin{aligned} \text{ber}_{dw}^2 \left(\begin{bmatrix} T_{11} & 0 \\ 0 & T_{22} \end{bmatrix} \right) &\leq 2 \max \{ \text{ber}^2(T_{11}) + \text{ber}^2(T_{11}^* T_{11}), \text{ber}^2(T_{22}) + \text{ber}^2(T_{22}^* T_{22}) \} \\ &= 2 \max \left\{ \text{ber}^2(T_{11}) + \text{ber}^2(|T_{11}|^2), \text{ber}^2(T_{22}) + \text{ber}^2(|T_{22}|^2) \right\} \\ &\leq 2 \max \left\{ \text{ber}^2(T_{11}) + \|T_{11}\|_{\text{Ber}}^4, \text{ber}^2(T_{22}) + \|T_{22}\|_{\text{Ber}}^4 \right\}, \end{aligned}$$

which gives the desired result. \square

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