

INEQUALITIES FOR A POLYNOMIAL AND ITS DERIVATIVE

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Abstract. In this paper we consider a class of polynomials $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$, $1 \leq \mu \leq n$, not vanishing in the disk $|z| < K$. For $K \geq 1$, we investigate the dependence of $\max_{|z|=1} |P(Rz) - P(z)|$ on $\max_{|z|=1} |P(z)|$ and for $K > 0$ we estimate $\max_{|z|=R} |P'(z)|$ in terms of $\max_{|z|=r} |P(z)|$, $0 \leq r \leq R \leq K$. Our results not only generalize some known polynomial inequalities, but also a variety of interesting results can be deduced from these by a fairly uniform procedure. We also obtain a generalization of a Theorem of Paul Turan.

1. Introduction and statement of results

If $P(z)$ is a polynomial of degree n and $P'(z)$ its derivative, then

$$\max_{|z|=1} |P'(z)| \leq n \max_{|z|=1} |P(z)| \tag{1}$$

$$\max_{|z|=R>1} |P(z)| \leq R^n \max_{|z|=1} |P(z)|. \tag{2}$$

Inequality (1) is a well-known result of S. Bernstein (for reference see [6] or [15], whereas inequality (2) is a simple deduction from maximum modulus principle (see [18]). In both (1) and (2) equality holds only when $P(z)$ is a constant multiple of z^n .

If we restrict ourselves to a class of polynomials of degree n having no zeros in $|z| < 1$, then

$$\max_{|z|=1} |P'(z)| \leq \frac{n}{2} \max_{|z|=1} |P(z)| \tag{3}$$

$$\max_{|z|=R>1} |P(z)| \leq \frac{R^n + 1}{2} \max_{|z|=1} |P(z)|. \tag{4}$$

Inequality (3) was conjectured by Erdős and latter verified by Lax [13], whereas Anykeny and Rivlin [1] used (3) to prove (4).

As an extension of (3) Malik [14] verified that if $P(z)$ does not vanish in $|z| < K$, $K \geq 1$, then

$$\max_{|z|=1} |P'(z)| \leq \frac{n}{1 + K} \max_{|z|=1} |P(z)|. \tag{5}$$

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Chan and Malik [7] generalised (5) in a different direction and proved that, if $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$, $1 \leq \mu \leq n$ is polynomial of degree n which does not vanish in $|z| < K$, where $K \geq 1$, then

$$\max_{|z|=1} |P'(z)| \leq \frac{n}{1 + K^\mu} \max_{|z|=1} |P(z)|. \tag{6}$$

Inequality (6) was independently proved by Qazi [17, Lemma 1], who also under the same hypothesis proved that

$$\max_{|z|=1} |P'(z)| \leq \frac{1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1}}{1 + K^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (K^{\mu+1} + K^{2\mu})} \max_{|z|=1} |P(z)|. \tag{7}$$

Recently Frappier, Rahman and Ruscheweyh [11] investigated the dependence of $\max_{|z|=1} |P(Rz) - P(z)|$ on $\max_{|z|=1} |P(z)|$, where $R > 1$ and proved that, if $P(z)$ is a polynomial of degree n , then for all $R > 1$,

$$\max_{|z|=1} |P(Rz) - P(z)| + \Psi(R)|P(o)| \leq (R^n - 1) \max_{|z|=1} |P(z)|,$$

where

$$\Psi_n(R) = \frac{(R - 1)(R^{n-1} + R^{n-2})\{R^{n-1} + R^n - (n + 1)R + (n - 1)\}}{R^{n-1} + R^n - (n - 1)R + (n - 3)}, \quad n \geq 2$$

and

$$\Psi_1(R) = R - 1.$$

In this paper, we consider the class of polynomials $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$, $1 \leq \mu \leq n$, not vanishing in the disk $|z| < K$, where $K \geq 1$, and investigate the dependence of $\max_{|z|=1} |P(Rz) - P(z)|$ on $\max_{|z|=1} |P(z)|$. We first prove the following more general result which includes not only inequality (7) as a special case, but also leads to a standard development of interesting generalizations of some well known results.

THEOREM 1. *Let $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ be a polynomial of degree n which does not vanish in $|z| < K$, where $K \geq 1$, then for every $R \geq 1$ and $|z| = 1$,*

$$|P(Rz) - P(z)| \leq (R^n - 1) \frac{1 + \left\{ \frac{R^\mu - 1}{R^n - 1} \right\} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1}}{1 + K^{\mu+1} + \left\{ \frac{R^\mu - 1}{R^n - 1} \right\} \left| \frac{a_\mu}{a_0} \right| (K^{\mu+1} + K^{2\mu})} \max_{|z|=1} |P(z)|. \tag{9}$$

REMARK 1. If we divide the two sides of inequality (9) by $R - 1$ and make $R \rightarrow 1$, we immediately get inequality (7).

If we use the fact that $|P(Rz)| \leq |P(Rz) - P(z)| + |P(z)|$, then the following corollary is an immediate consequence of Theorem 1.

COROLLARY 1. If $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ is a polynomial of degree n which does not vanish in $|z| < K$, where $K \geq 1$, then for every $R \geq 1$,

$$\max_{|z|=R>1} |P(z)| \leq \frac{R^n \left\{ 1 + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1} \right\} + K^{\mu+1} + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{2\mu}}{1 + K^{\mu+1} + \left\{ \frac{R^\mu - 1}{R^n - 1} \right\} \left| \frac{a_\mu}{a_0} \right| (K^{\mu+1} + K^{2\mu})} \max_{|z|=1} |P(z)|.$$

The inequality

$$\frac{R^\mu - 1}{R^n - 1} \leq \frac{\mu}{n} \tag{11}$$

holds for all $R \geq 1$ and $1 \leq \mu \leq n$. To prove this inequality, we observe that it is trivial for $R = 1$, and for every $R \geq 1$ it easily follows when $\mu = n$. Hence to establish (11), it suffices to consider the case $1 \leq \mu \leq n - 1$ and $R > 1$. Now, if $R > 1$ and $1 \leq \mu \leq n - 1$, then we have

$$\begin{aligned} \mu R^n - nR^\mu + (n - \mu) &= \mu R^\mu (R^{n-\mu} - 1) - (n - \mu)(R^\mu - 1) \\ &= (R - 1) \{ \mu R^\mu (R^{n-\mu-1} + R^{n-\mu-2} + \dots + 1) \\ &\quad - (n - \mu)(R^{\mu-1} + \dots + R + 1) \} \\ &\geq (R - 1) \{ \mu(n - \mu)R^\mu - (n - \mu)\mu R^{\mu-1} \} \\ &= \mu(n - \mu)(R - 1)^2 R^{\mu-1} \\ &> 0. \end{aligned}$$

This implies $\mu(R^n - 1) \geq n(R^\mu - 1)$, for all values of $R > 1$ and $1 \leq \mu \leq n - 1$, which is equivalent to (11).

With the help of inequality (11), a simple direct calculation yields,

$$\begin{aligned} &\frac{R^n \left\{ 1 + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1} \right\} + K^{\mu+1} + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{2\mu}}{1 + K^{\mu+1} + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| (K^{\mu+1} + K^{2\mu})} \\ &\leq \frac{R^n \left\{ 1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1} \right\} + K^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| K^{2\mu}}{1 + K^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (K^{\mu+1} + K^{2\mu})}. \end{aligned} \tag{12}$$

Hence from Theorem 1, we easily deduce the following:

COROLLARY 2. If $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ is a polynomial of degree n , which does not vanish in the disk $|z| < K$, where $K \geq 1$, then for every $R > 1$,

$$\max_{|z|=R>1} |P(z)| \leq \frac{R^n \left\{ 1 + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1} \right\} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| K^{2\mu}}{1 + K^{\mu+1} + \frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| (K^{\mu+1} + K^{2\mu})} \max_{|z|=1} |P(z)|. \tag{13}$$

Inequality (10) provides a refinement of a result due to Govil and Dewan [9, Theorem 1.9] which is also a special case of inequality (13) when $\mu = 1$.

Next, if we take $\mu = 1$ in Theorem 1, we get the following:

COROLLARY 3. *Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n , which does not vanish in the disk $|z| < K$, $K \geq 1$, then for every $R > 1$,*

$$|P(Rz) - P(z)| \leq (R^n - 1) \frac{1 + \frac{R-1}{R^n-1} \left| \frac{a_1}{a_0} \right| K^2}{1 + K^2 + 2 \frac{R-1}{R^n-1} \left| \frac{a_1}{a_0} \right| K^2} \max_{|z|=1} |P(z)|. \tag{14}$$

REMARK 2. Dividing the two sides of inequality (14) by $R-1$ and making $R \rightarrow 1$, it follows that, if $P(z) = \sum_{j=0}^n a_j z^j$ is a polynomial of degree n such that $P(z) \neq 0$ in $|z| < K$, $K \geq 1$, then

$$|P'(z)| \leq n \frac{n|a_0| + K^2|a_1|}{n(1 + K^2)|a_0| + 2K^2|a_1|} \max_{|z|=1} |P(z)|. \tag{15}$$

Inequality (15) is a refinement of inequality (5) and was also independently proved by Govil, Rahman and Schmeisser [12].

Now, it is known (for reference see [17, Remark 1]), that

$$\frac{\mu}{n} \left| \frac{a_\mu}{a_0} \right| K^\mu \leq 1. \tag{16}$$

Using this fact and the inequality (11), it is easy to verify that

$$\frac{1 + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1}}{1 + K^{\mu+1} + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| (K^{\mu+1} + K^{2\mu})} \leq \frac{1}{1 + K^\mu}. \tag{17}$$

By using these observations, the following result is an immediate consequence of Theorem 1.

COROLLARY 4. *If $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ is a polynomial of degree n , which does not vanish in the disk $|z| < K$, where $K \geq 1$, then for every $R > 1$,*

$$|P(Rz) - P(z)| \leq \frac{R^n - 1}{1 + K^\mu} \max_{|z|=1} |P(z)| \tag{18}$$

and in fortiori

$$\max_{|z|=R} |P(z)| \leq \frac{R^n + K^\mu}{1 + K^\mu} \max_{|z|=1} |P(z)|. \tag{19}$$

Inequality (19) is a generalization of a result due to Govil and Dutt [8, Theorem 1.6] and inequality (10) is an improvement over this bound. Also for $K = \mu = 1$, inequality (19) reduces to inequality (4) due to Ankeny and Rivlin.

Next we prove the following theorem, which is an improvement as well a generalization of a result proved by Bidkham and Dewan [10].

THEOREM 2. *If $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ is a polynomial of degree n having no zeros in the disk $|z| < K$, $K \geq 0$, then for $0 \leq r \leq R \leq K$,*

$$\max_{|z|=R} |P'(z)| \leq \frac{nR^{\mu-1}(R^\mu + K^\mu)^{\frac{n}{\mu}-1}}{(r^\mu + K^\mu)^{n/\mu}} \left\{ \max_{|z|=r} |P(z)| - \min_{|z|=K} |P(z)| \right\}. \tag{20}$$

The result is best possible and equality holds for the polynomial $P(z) = (z^\mu + K^\mu)^{n/\mu}$, where n is a multiple of μ .

If we take $\mu = 1 = r$ in Theorem 2, we get the following:

COROLLARY 5. *If $P(z) = \sum_{j=0}^n a_j z^j$ is a polynomial of degree n having no zeros in the disk $|z| < K$, where $K \geq 1$, then for $1 \leq R \leq K$,*

$$\max_{|z|=R} |P'(z)| \leq \frac{n(R+K)^{n-1}}{(1+K)^n} \left\{ \max_{|z|=1} |P(z)| - \min_{|z|=K} |P(z)| \right\}. \tag{21}$$

The result is sharp and equality holds for $P(z) = (z+K)^n$.

If we take $R = K = 1$ in Theorem 2, we get the following generalization of result due to Aziz and Dawood [3].

COROLLARY 6. *If $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ is a polynomial of degree n , not vanishing in the disk $|z| < 1$, then for $0 < r \leq 1$,*

$$\max_{|z|=1} |P'(z)| \leq \frac{n}{2} \left(\frac{2}{1+r^\mu} \right)^{n-1} \left\{ \max_{|z|=r} |P(z)| - \min_{|z|=1} |P(z)| \right\}. \tag{22}$$

The result is best possible and equality holds for the polynomial $P(z) = (z^\mu + 1)^{n/\mu}$, where n is a multiple of μ .

Lastly in this paper we prove the following result which is a generalization of a theorem due to Paul Turan [19].

THEOREM 3. *If $P(z) = \sum_{j=s}^n a_j z^j$ is a polynomial of degree n having all its zeros in the disk $|z| \leq K \leq 1$ with s -fold zeros at origin, then for $|z| = 1$,*

$$\max_{|z|=1} |P'(z)| \geq \frac{n+Ks}{1+K} \max_{|z|=1} |P(z)|. \tag{23}$$

The result is sharp and extremal polynomial is

$$P(z) = z^s(z + K)^{n-s}, \quad 0 < s \leq n.$$

The result proved by Turan [19] is a special case of Theorem 3, when $s = 0$ and $K = 1$. Also for $s = 0$, it reduces to inequality (5) due to Malik [14].

2. Lemmas

For the proofs of these theorems we need the following lemmas.

LEMMA 1. If $P(z)$ is a polynomial of degree n having all its zeros in $|z| \leq 1$, then for $R > 1$

$$|P(Rz)| > |P(z)| \quad \text{for } |z| = 1. \quad (24)$$

The lemma is a special case of result due to Aziz and Rather [4, Lemma 2], when $K = 1$.

LEMMA 2. If $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ is a polynomial of degree n having no zeros in the disk $|z| \leq K$ where $K \geq 1$, then for $|z| = 1$ and $R > 1$,

$$|P(Rz) - P(z)| \leq \frac{1}{K^{\mu+1}} \left\{ \frac{1 + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1}}{\frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu-1} + 1} \right\} |Q(Rz) - Q(z)|. \quad (25)$$

Proof of Lemma 2. The result is trivial if $R = 1$, so we suppose that $R > 1$. Since $P(z)$ has all zeros in $|z| \geq K$ where $K \geq 1$, the polynomial $F(z) = P(Kz)$ has all its zeros in $|z| \geq 1$, so that the polynomial $G(z) = z^n \overline{F(1/\bar{z})}$ has all its zeros in $|z| \leq 1$ and $|F(z)| = |G(z)|$ for $|z| = 1$. Hence the function $G(z)/F(z)$ is analytic in $|z| \leq 1$ and

$$\left| \frac{G(z)}{F(z)} \right| = \left| \frac{G(z)}{F(z)} \right| = 1.$$

A direct application of the maximum modulus principle shows that

$$|G(z)| \leq |F(z)| \quad \text{for } |z| \leq 1. \quad (26)$$

We now show that all the zeros of $f(z) = F(z) - \beta G(z)$ lie in $|z| \leq 1$, for every β with $|\beta| > 1$. First suppose that $F(z)$ has all its zeros on $|z| = 1$. If z_1, z_2, \dots, z_n are zeros of $F(z)$, then $|z_j| = 1$ for all $j = 1, 2, \dots, n$ and we have $F(z) = c \prod_{j=1}^n (z - z_j)$,

so that

$$\begin{aligned}
 G(z) &= Z^n \overline{F(1/\bar{z})} = \bar{c} \prod_{j=1}^n (1 - z\bar{z}_j) \\
 &= \bar{c} \prod_{j=1}^n (1 - z/z_j) \\
 &= \bar{c} \prod_{j=1}^n (-1)^n \left\{ \frac{z - z_j}{z_j} \right\} \\
 &= \left\{ \bar{c} (-1)^n \prod_{j=1}^n \frac{1}{z_j} \right\} \prod_{j=1}^n (z - z_j) \\
 &= uF(z),
 \end{aligned}$$

where

$$|u| = \left| \frac{\bar{c}}{c} (-1)^n \prod_{j=1}^n \frac{1}{z_j} \right| = 1.$$

Hence all the zeros of $f(z) = F(z) - \beta G(z) = (1 - \beta u)F(z)$ also lie on $|z| = 1$ and therefore, in $|z| \leq 1$. Now suppose that $F(z)$ has at least one zero in $|z| < 1$, then obviously $F(z)/G(z)$ is not a constant and hence from (26), it follows that

$$|G(z)| < |F(z)| \quad \text{for } |z| < 1. \tag{27}$$

Replacing z by $\frac{1}{\bar{z}}$ in (27), we obtain

$$|F(z)| < |G(z)| \quad \text{for } |z| > 1.$$

Using Rouché’s theorem, we conclude that polynomial $f(z) = F(z) - \beta G(z)$ has all its zeros in $|z| \leq 1$. Thus in any case the polynomial $f(z)$ has all its zeros in $|z| \leq 1$, for every β , with $|\beta| > 1$. Applying Lemma 1 to the polynomial $f(z)$, we get

$$|f(z)| < |f(Rz)| \quad \text{for } |z| = 1 \quad \text{and } R > 1.$$

Since all zeros of $f(Rz)$ lie in $|z| \leq 1/R < 1$, again Rouché’s theorem shows that the polynomial

$$g(z) = f(Rz) - f(z) = (F(Rz) - F(z)) - \beta(G(Rz) - G(z)) \tag{28}$$

has all its in $|z| < 1$, for every complex number β with $|\beta| > 1$ and $R > 1$. This implies

$$|F(Rz) - F(z)| \leq |G(Rz) - G(z)| \tag{29}$$

for $|z| \geq 1$ and $R > 1$. If inequality (29) is not true, then there is a point $z = z_0$ with $|z_0| \geq 1$ such that

$$|F(Rz_0) - F(z_0)| > |G(Rz_0) - G(z_0)|.$$

Since $G(z)$ has all its zeros in $|z| \leq 1$, it follows that all the zeros of $G(Rz) - G(z)$ lie in $|z| < 1$ for every $R > 1$. Hence

$$G(Rz_0) - G(z_0) \neq 0 \quad \text{with } |z_0| \geq 1.$$

We take

$$\beta = \frac{F(Rz_0) - F(z_0)}{G(Rz_0) - G(z_0)},$$

so that $|\beta| > 1$ and with this choice of β , from (28), we get $g(z_0) = 0$, where $|z_0| \geq 1$. This contradicts the fact that all the zeros of $g(z)$ lie in $|z| < 1$. Thus

$$|F(Rz) - F(z)| \leq |G(Rz) - G(z)| \quad \text{for } |z| \geq 1 \quad \text{and } R > 1.$$

Replacing $F(z)$ by $P(Kz)$ and $G(z)$ by $z^n \overline{P(K/\overline{z})}$, we get

$$\begin{aligned} |P(RKz) - P(Kz)| &\leq \left| R^n z^n \overline{P(K/R\overline{z})} - z^n \overline{P(K/\overline{z})} \right| \\ &= |R^n P(Kz/R) - P(Kz)| \quad \text{for } |z| = 1, \quad R > 1. \end{aligned}$$

Since the polynomial $R^n P(Kz/R) - P(Kz)$ does not vanish in $|z| \leq 1$, therefore

$$H(z) = \frac{P(RKz) - P(Kz)}{R^n P(Kz/R) - P(Kz)}$$

is analytic in $|z| \leq 1$ and by the maximum modulus principles, we have

$$|H(z)| \leq 1 \quad \text{for } |z| \leq 1.$$

Also, it can be easily seen that

$$H(o) = H'(o) = \dots = H^{(\mu-1)}(o) = 0$$

and

$$H^{(\mu)}(o) = \frac{R^\mu - 1}{R^n - 1} (a_\mu/a_0) K^\mu.$$

Hence by a generalized form of Schwarz's Lemma

$$|H(z)| \leq |z|^\mu \frac{|z| + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^\mu}{\frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^\mu |z| + 1} \quad \text{for } |z| \leq 1.$$

Equivalently

$$\left| \frac{P(RKz) - P(Kz)}{R^n P(Kz/R) - P(Kz)} \right| \leq |z|^\mu \frac{|z| + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^\mu}{\frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^\mu |z| + 1} \quad \text{for } |z| \leq 1.$$

We take $z = e^{i\theta}/K$, $0 \leq \theta < 2\pi$, so that $|z| = 1/K$ and we get

$$\frac{|P(Re^{i\theta}) - P(e^{i\theta})|}{|R^n P(e^{i\theta}/R) - P(e^{i\theta})|} \leq \frac{1}{K^{\mu+1}} \frac{1 + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1}}{\frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu-1} + 1}.$$

This implies

$$\frac{|P(Rz) - P(z)|}{|R^n P(z/R) - P(z)|} \leq \frac{1}{K^{\mu+1}} \frac{1 + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1}}{\frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu-1} + 1} \quad \text{for } |z| \leq 1. \tag{30}$$

From this (25) follows and this completes the proof of Lemma 2.

LEMMA 3. If $P(z)$ is a polynomial of degree n , then for every $R > 1$

$$|P(Rz) - P(z)| + |Q(Rz) - Q(z)| \leq (R^n - 1) \max_{|z|=1} |P(z)|. \tag{31}$$

The above lemma was proved by Aziz [2] (see also [11]).

LEMMA 4. If $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ is a polynomial of degree n which does not vanish in $|z| < K$, $K \geq 1$, then

$$\max_{|z|=1} |P'(z)| \leq \frac{1}{1 + K^\mu} \left\{ \max_{|z|=1} |P(z)| - \min_{|z|=K} |P(z)| \right\}. \tag{32}$$

This lemma was proved by Dewan and Pukhta [16, Theorem 1.4], (see also [5]). Next we use Lemma 4 to prove the following:

LEMMA 5. Let $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ be a polynomial of degree n such that

$$M(P, r) = \max_{|z|=r} |P(z)| \quad \text{and} \quad m(P, r) = \min_{|z|=r} |P(z)|.$$

If $P(z)$ has no zeros in $|z| < K$, $K > 0$, then for $0 \leq r \leq R \leq K$,

$$M(P, r) \geq \left\{ \frac{r^\mu + K^\mu}{R^\mu + K^\mu} \right\}^{n/\mu} M(P, R) + \left[1 - \left\{ \frac{r^\mu + K^\mu}{R^\mu + K^\mu} \right\}^{n/\mu} \right] m(P, K). \tag{33}$$

The result is sharp and equality holds for the polynomial $P(z) = (z^\mu + K^\mu)^{n/\mu}$, where n is a multiple of μ .

Proof of Lemma 5. By hypothesis $P(z)$ has no zeros in $|z| < K$, therefore, the polynomial $F(z) = P(sz)$ has no zeros in $|z| < \frac{K}{s}$, $\frac{K}{s} \geq 1$ where $0 \leq s \leq K$. Since $\frac{K}{s} \geq 1$, by Lemma 4, it follows that

$$\max_{|z|=1} |F'(z)| \leq \frac{n}{1 + \frac{K^\mu}{s^\mu}} \left\{ \max_{|z|=1} |F(z)| - \min_{|z|=K/s} |F(z)| \right\}.$$

This gives

$$\max_{|z|=s} |P'(z)| \leq \frac{ns^{\mu-1}}{s^\mu + K^\mu} \left\{ \max_{|z|=s} |P(z)| - \min_{|z|=K} |P(z)| \right\}. \tag{34}$$

Now, for $0 \leq r \leq R \leq K$, and $0 \leq \theta \leq 2\pi$, we have

$$\begin{aligned} |P(Re^{i\theta}) - P(re^{i\theta})| &= \left| \int_r^R e^{i\theta} P'(se^{i\theta}) ds \right| \\ &\leq \int_r^R |P'(se^{i\theta})| ds. \end{aligned}$$

This gives

$$|P(Re^{i\theta})| \leq |P(re^{2\theta})| + \int_r^R |P'(se^{2\theta})| ds,$$

from which it follows that

$$M(P, R) \leq M(P, r) + \int_r^R M(P', s) ds. \quad (35)$$

Using (34) in (35), we obtain

$$M(P, R) \leq M(P, r) + n \left[\int_r^R \frac{s^{\mu-1}}{s^\mu + K^\mu} M(P, s) ds - \int_r^R \frac{s^{\mu-1}}{s^\mu + K^\mu} m(P, K) ds \right]. \quad (36)$$

If

$$\phi(R) = M(P, r) + n \left[\int_r^R \frac{s^{\mu-1}}{s^\mu + K^\mu} M(P, s) ds - \int_r^R \frac{s^{\mu-1}}{s^\mu + K^\mu} M(P, K) ds \right],$$

then

$$\phi'(R) = \frac{nR^{\mu-1}}{R^\mu + K^\mu} M(P, R) - \frac{nR^{\mu-1}}{R^\mu + K^\mu} m(P, K). \quad (37)$$

From (37) with the help of (36), we conclude that

$$\phi'(R) - \frac{nR^{\mu-1}}{R^\mu + K^\mu} \{ \phi(R) - m(P, K) \} \leq 0. \quad (38)$$

Multiplying the two sides of (38) by $(R^\mu + K^\mu)^{-n/\mu}$, we get

$$\phi'(R)(R^\mu + K^\mu)^{-n/\mu} - n(\phi(R) - m(P, K))(R^\mu + K^\mu)^{-n/\mu-1} R^{\mu-1} \leq 0,$$

which implies

$$\frac{d}{dR} \left\{ (\phi(R) - m(P, K))(R^\mu + K^\mu)^{-n/\mu} \right\} \leq 0. \quad (39)$$

From (39) we conclude that the function

$$\{ \phi(R) - m(P, K) \} (R^\mu + K^\mu)^{-n/\mu}$$

is a non increasing function of R in $(0, K)$. Hence for $0 \leq r \leq R \leq K$,

$$\phi(r) \geq \left[\frac{K^\mu + r^\mu}{K^\mu + R^\mu} \right]^{n/\mu} \phi(R) + \left\{ 1 - \left[\frac{K^\mu + r^\mu}{K^\mu + R^\mu} \right]^{n/\mu} \right\} m(P, K). \quad (40)$$

Since $\phi(R) \geq M(P, R)$ and $\phi(r) = M(P, r)$ it follows from (40) that

$$M(P, r) \geq \left[\frac{K^\mu + r^\mu}{K^\mu + R^\mu} \right]^{n/\mu} M(P, R) + \left\{ 1 - \left[\frac{K^\mu + r^\mu}{K^\mu + R^\mu} \right]^{n/\mu} \right\} m(P, K).$$

This proves the lemma completely.

3. Proofs of Theorems

Proof of Theorem 1. Since $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ does not vanish in $|z| < K$, $K \geq 1$, by Lemma 2, we have

$$\frac{K^{\mu+1} \left\{ \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu-1} + 1 \right\}}{1 + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1}} |P(Rz) - P(z)| \leq |Q(Rz) - Q(z)|. \tag{41}$$

Inequality (41) implies with the help of Lemma 3 that

$$\left\{ 1 + \frac{K^{\mu+1} \left(\frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu-1} + 1 \right)}{1 + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1}} \right\} |P(Rz) - P(z)| \leq |P(Rz) - P(z)| + |Q(Rz) - Q(z)|$$

$$\leq (R^n - 1) \max_{|z|=1} |P(z)|.$$

This gives

$$|P(Rz) - P(z)| \leq (R^n - 1) \left\{ \frac{1 + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| K^{\mu+1}}{1 + K^{\mu+1} + \frac{R^\mu - 1}{R^n - 1} \left| \frac{a_\mu}{a_0} \right| (K^{2\mu} + K^{\mu+1})} \right\} \max_{|z|=1} |P(z)|,$$

which is (9) and this proves Theorem 1 completely.

Proof of Theorem 2. By hypothesis $P(z) = a_0 + \sum_{j=\mu}^n a_j z^j$ does not vanish in $|z| < K$, therefore the polynomial $F(z) = P(Rz)$ has no zero in $|z| < K/R$, $K/R \geq 1$. Applying Lemma 4 to the polynomial $F(z)$, we get

$$\max_{|z|=1} |F'(z)| \leq \frac{n}{1 + \frac{R^\mu}{K^\mu}} \left\{ \max_{|z|=1} |F(z)| - \min_{|z|=K/R} |F(z)| \right\},$$

which gives

$$\max_{|z|=R} |P'(z)| \leq \frac{nR^{\mu-1}}{R^\mu + K^\mu} \left\{ \max_{|z|=R} |P(z)| - \min_{|z|=K} |P(z)| \right\}. \tag{42}$$

Now if $0 \leq r \leq R \leq K$, then by Lemma 5, we have

$$\max_{|z|=R} |P(z)| \leq \left(\frac{R^\mu + K^\mu}{r^\mu + k^\mu} \right)^{n/\mu} \max_{|z|=r} |P(z)| + \left\{ 1 - \left(\frac{R^\mu + K^\mu}{r^\mu + k^\mu} \right)^{n/\mu} \right\} \min_{|z|=K} |P(z)|. \tag{43}$$

From (42) and (43), it follows that

$$\begin{aligned} \max_{|z|=R} |P'(z)| &\leq \frac{nR^{\mu-1}}{R^\mu + K^\mu} \left\{ \left(\frac{R^\mu + K^\mu}{r^\mu + K^\mu} \right)^{n/\mu} \max_{|z|=r} |P(z)| - \left(\frac{R^\mu + K^\mu}{r^\mu + K^\mu} \right)^{n/\mu} \min_{|z|=K} |P(z)| \right\} \\ &= \frac{nR^{\mu-1}}{R^\mu + K^\mu} \left(\frac{R^\mu + K^\mu}{r^\mu + K^\mu} \right)^{n/\mu} \left\{ \max_{|z|=r} |P(z)| - \min_{|z|=K} |P(z)| \right\}, \end{aligned}$$

which is equivalent to (20) and this completes the proof of Theorem 2.

Proof of Theorem 3. Since $P(z)$ has all its zeros in $|z| \leq K$, $K \leq 1$, with s -fold zeros at origin, we write

$$P(z) = z^s h(z), \tag{44}$$

where $h(z)$ is a polynomial of degree $n - s$ having all its zeros in $|z| \leq K$, $K \leq 1$. From (44) we get

$$\frac{zP'(z)}{P(z)} = s + \frac{zh'(z)}{h(z)}. \tag{45}$$

If z_1, z_2, \dots, z_{n-s} are the zeros of $h(z)$, then $|z_j| \leq K \leq 1$, and from (45), we have

$$\begin{aligned} \operatorname{Re} \left\{ \frac{e^{i\theta} P'(e^{i\theta})}{P(e^{i\theta})} \right\} &= s + \operatorname{Re} \left\{ \frac{e^{i\theta} h'(e^{i\theta})}{h(e^{i\theta})} \right\} \\ &= s + \operatorname{Re} \sum_{j=1}^{n-s} \left(\frac{e^{i\theta}}{e^{i\theta} - z_j} \right) \\ &= s + \sum_{j=1}^{n-s} \operatorname{Re} \left(\frac{1}{1 - z_j e^{-i\theta}} \right) \end{aligned} \tag{46}$$

for points $e^{i\theta}$, $0 \leq \theta < 2\pi$, which are not the zeros of $h(z)$. Now, if $|w| \leq K \leq 1$, then it can be easily verified that

$$\operatorname{Re} \left(\frac{1}{1 - w} \right) \geq \frac{1}{1 + K}.$$

Using this fact in (46), we get

$$\begin{aligned} \left| \frac{P'(e^{i\theta})}{P(e^{i\theta})} \right| &\geq \operatorname{Re} \left(\frac{e^{i\theta} P'(e^{i\theta})}{P(e^{i\theta})} \right) = s + \sum_{j=1}^{n-s} \operatorname{Re} \left(\frac{1}{1 - z_j e^{-i\theta}} \right) \\ &\geq s + \frac{n - s}{1 + K} \end{aligned}$$

which gives

$$|P'(e^{i\theta})| \geq \frac{n + sK}{1 + K} |P(e^{i\theta})| \tag{47}$$

for points $e^{i\theta}$, which are not the zeros of $P(z)$. Since inequality (47) is trivially true for points $e^{i\theta}$, which are the zeros of $P(z)$, it follows that

$$|P'(z)| \geq \frac{n + sK}{1 + K} |P(z)| \quad \text{for } |z| = 1.$$

This immediately leads to

$$\max_{|z|=1} |P'(z)| \geq \frac{n + sK}{1 + K} \max_{|z|=1} |P(z)|$$

which completes the proof of Theorem 3.

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