

## ON $(p, k)$ -QUASIHYPONORMAL OPERATORS

IN HYOUN KIM

(communicated by T. Furuta)

*Abstract.* An operator  $T$  is called  $(p, k)$ -quasihyponormal if  $T^{*k}(|T|^{2p} - |T^*|^{2p})T^k \geq 0$ , ( $0 < p \leq 1$ ;  $k \in \mathbb{Z}^+$ ), which is a common generalization of  $p$ -quasihyponormality and  $k$ -quasihyponormality. In this paper we consider the Putnam's inequality, the Berger-Shaw's inequality, the Weyl's theorem and the tensor product for  $(p, k)$ -quasihyponormal operators.

### 1. Introduction

Throughout this paper let  $H$  be a separable complex Hilbert space with inner product  $\langle \cdot, \cdot \rangle$ . Let  $B(H)$  denote the  $C^*$ -algebra of all bounded linear operators on  $H$  and let  $K(H)$  be the ideal of all compact operators on  $H$ . For an operator  $T \in B(H)$ , let  $\sigma(T)$ ,  $\sigma_p(T)$ ,  $\sigma_e(T)$  and  $\pi_{00}(T)$  denote the spectrum, the point spectrum, the essential spectrum and the set of all isolated eigenvalues of finite multiplicity of  $T$ , respectively. An operator  $T \in B(H)$  is called *Fredholm*, denoted by  $T \in F$ , if  $\text{ran}(T)$  is closed and both  $\ker(T)$  and  $H/\text{ran}(T)$  are finite dimensional. The *index* of a Fredholm operator  $T \in B(H)$ , denoted by  $\text{ind}(T)$ , is given by the integer

$$\text{ind}(T) = \dim \ker(T) - \dim (H/\text{ran}(T)).$$

An operator  $T \in B(H)$  is called *Weyl*, denoted by  $T \in F_0$ , if it is Fredholm of index zero. The Weyl spectrum  $w(T)$  of  $T \in B(H)$  is defined by

$$w(T) = \{\lambda \in \mathbb{C} : T - \lambda \notin \mathcal{F}_0\}.$$

It is well known that  $w(T)$  is non-empty and  $w(T) = \bigcap_{K \in K(H)} \sigma(T + K)$ . According to Corburn [3], we say that Weyl's theorem holds for  $T \in B(H)$  if

$$\sigma(T) \setminus w(T) = \pi_{00}(T).$$

For  $p$  such as  $0 < p \leq 1$ , an operator  $T \in B(H)$  is called  $p$ -hyponormal if  $(T^*T)^p - (TT^*)^p \geq 0$ , and is called  $(p, k)$ -quasihyponormal if  $T^{*k}(|T|^{2p} - |T^*|^{2p})T^k \geq 0$ , where  $0 < p \leq 1$  and  $k$  is a positive integer. Especially, when  $p = 1, k = 1$  and  $p = k = 1$ ,  $T$

*Mathematics subject classification* (2000): 47A80; 47B20.

*Key words and phrases:*  $(p, k)$ -quasihyponormal, Weyl's theorem, tensor product.

This work was supported by the Korea Research Foundation Grant (KRF-2002-070-C00006).

is called  $k$ -quasihyponormal,  $p$ -quasihyponormal and quasihyponormal, respectively. It is clear that

$$\begin{aligned} \text{the class of hyponormal operators} &\subseteq \text{the class of } p\text{-hyponormal operators} \\ &\subseteq \text{the class of } p\text{-quasihyponormal operators} \\ &\subseteq \text{the class of } (p, k)\text{-quasihyponormal operators.} \end{aligned}$$

and

$$\begin{aligned} \text{the class of hyponormal operators} &\subseteq \text{the class of } k\text{-quasihyponormal operators} \\ &\subseteq \text{the class of } (p, k)\text{-quasihyponormal operators.} \end{aligned}$$

Corburn [5], Cho-Itoh-Oshio [4], Campbell-Gupta [2] and Uchiyama-Djordjevic [20] showed that Weyl's theorem holds for hyponormal operators,  $p$ -hyponormal operators,  $k$ -quasihyponormal operators and  $p$ -quasihyponormal operators, respectively.

On the other hand, J. Hou [12] and J. Stochel [17] showed that  $T \otimes S$  is hyponormal on  $H \otimes H$  if and only if each of  $T$  and  $S$  is hyponormal. More recently, B.P. Duggal [6] demonstrated that the Hou–Stochel theorem remains true when one substitutes the term “ $p$ -hyponormal” for “hyponormal”. Very recently, in [8], it was shown that Hou–Stochel theorem remains true when one substitutes the term “ $p$ -quasihyponormal or  $w$ -hyponormal” for “hyponormal”.

In this paper we consider the Putnam's inequality, the Berger-Shaw's inequality, the Weyl's theorem and the tensor product for  $(p, k)$ -quasihyponormal operators. To do this we adopt Uchiyama's ideas (see [18, 19, 20]) and W.Y. Lee's ideas (see [14]).

## 2. Main Results

We begin with:

LEMMA 1. *If  $T$  is  $(p, k)$ -quasihyponormal operator, then  $T$  has the following matrix representation:*

$$T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix},$$

where  $T_1$  is  $p$ -hyponormal on  $\overline{\text{ran}(T^k)}$  and  $T_3^k = 0$ . Furthermore,  $\sigma(T) = \sigma(T_1) \cup \{0\}$ .

*Proof.* Consider the matrix representation of  $T$  with respect to the decomposition  $H = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k})$ :  $T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}$ . Let  $P$  be the projection onto  $\overline{\text{ran}(T^k)}$ . Since  $T_1 = TP$ , we have  $T_1^*T_1 = PT^*TP$ . By Hansen's inequality [11] we have

$$(T_1^*T_1)^p = (PT^*TP)^p \geq P(T^*T)^pP,$$

while  $T_1T_1^* = TPT^* = PTPT^*P$ . So we have

$$(T_1T_1^*)^p = (TPT^*)^p = P(TPT^*)^pP \leq P(TT^*)^pP.$$

Therefore if  $T$  is  $(p, k)$ -quasihyponormal operator, then

$$(T_1^* T_1)^p \geq P(T^* T)^p P \geq P(TT^*)^p P \geq (T_1 T_1^*)^p.$$

That is,  $T_1$  is  $p$ -hyponormal on the  $\overline{\text{ran}(T^k)}$ .

On the other hand, for any  $x = (x_1, x_2) \in H$ ,

$$\langle T_3^k x_2, x_2 \rangle = \langle T^k(I - P)x, (I - P)x \rangle = \langle (I - P)x, T^{*k}(I - P)x \rangle = 0,$$

which implies  $T_3^k = 0$ .

Since  $\sigma(T_1) \cup \sigma(T_3) = \sigma(T) \cup \mathfrak{G}$ , where  $\mathfrak{G}$  is the union of the holes in  $\sigma(T)$  which happen to be subset of  $\sigma(T_1) \cap \sigma(T_3)$  by [10, Corollary 7], and  $\sigma(T_1) \cap \sigma(T_3)$  has no interior points and  $T_3$  is nilpotent, we have  $\sigma(T) = \sigma(T_1) \cup \{0\}$ .  $\square$

**COROLLARY 2.** *If  $T$  is a  $(p, k)$ -quasihyponormal and the restriction  $T_1$  of  $T$  on  $\overline{\text{ran}(T^k)}$  is invertible, then  $T$  is similar to a direct sum of a  $p$ -hyponormal operator and a nilpotent operator.*

*Proof.* Let  $T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}$  on  $H = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k})$ . By Lemma 1,  $T_1$  is  $p$ -hyponormal and  $T_3^k = 0$ . By assumption we have  $\sigma(T_1) \cap \sigma(T_3) = \phi$ . Hence by Rosenblum's Corollary there exists an operator  $S$  such that  $T_1 S - S T_3 = T_2$ . Therefore

$$\begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix} = \begin{pmatrix} I & S \\ 0 & I \end{pmatrix}^{-1} \begin{pmatrix} T_1 & 0 \\ 0 & T_3 \end{pmatrix} \begin{pmatrix} I & S \\ 0 & I \end{pmatrix},$$

which gives the result.  $\square$

**COROLLARY 3.** *If  $T$  is a  $(p, k)$ -quasihyponormal and  $\lambda_0$  is an isolated point of  $\sigma(T)$  then  $\lambda_0$  is an eigenvalue, i.e.,  $T$  is isoloid.*

*Proof.* Suppose  $T$  is a  $(p, k)$ -quasihyponormal operator and let  $T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}$  on  $H = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k})$ . Then from (1, 1),  $\sigma(T) = \sigma(T_1) \cup \{0\}$ . Assume that  $\lambda_0 \in \text{iso}\sigma(T)$ . Then  $\lambda_0 \in \text{iso}\sigma(T_1)$  or  $\lambda_0 = 0$ . If  $\lambda_0 \in \text{iso}\sigma(T_1)$ , then  $\lambda_0 \in \sigma_p(T_1)$  because  $T_1$  is  $p$ -hyponormal. Thus we may assume  $\lambda_0 = 0$  and  $\lambda_0 \notin \sigma(T_1)$ , so  $\dim \ker(T_3) > 0$ . Therefore if  $x \in \ker(T_3)$ , then  $-T_1^{-1} T_2 x \oplus x \in \ker(T)$ . Thus  $\lambda_0$  is an eigenvalue of  $T$ .  $\square$

For some operators, there is an intimate relationship between the planar Lebesgue measure of its spectrum and its self-commutator. For example, Putnam [16] obtained the norm estimation for the self-commutator of a hyponormal operator, called Putnam's inequality. This inequality is extended for a  $p$ -hyponormal operator by Xia [21], Cho-Itoh [3] and Duggal [7]. Also, this is extended for a  $p$ -quasihyponormal operator by Uchiyama [19]. On the other hand, Berger-Shaw [1] showed the trace norm estimation for the self-commutator of  $n$ -multicyclic hyponormal operator, called Berger-Shaw's inequality. This is extended for a  $p$ -hyponormal and  $p$ -quasihyponormal operator by Uchiyama [18, 19].

In the sequel we need:

LEMMA 4. [3, 7, 21] *If  $T$  is  $p$ -hyponormal operator, then*

$$\|(T^*T)^p - (TT^*)^p\| \leq \min \left\{ \frac{p}{\pi} \int_{\sigma(T)} r^{2p-1} dr d\theta, \left( \frac{1}{\pi} \int_{\sigma(T)} r dr d\theta \right)^p \right\}.$$

The following theorem extends a result of M. Cho and M. Itoh [3].

THEOREM 5. *If  $T$  is a  $(p, k)$ -quasihyponormal operator, then*

$$\|P\{(T^*T)^p - (TT^*)^p\}P\| \leq \min \left\{ \frac{p}{\pi} \int_{\sigma(T)} r^{2p-1} dr d\theta, \left( \frac{1}{\pi} \int_{\sigma(T)} r dr d\theta \right)^p \right\},$$

where  $P$  is the projection onto  $\overline{\text{ran}(T^k)}$ .

*Proof.* Let  $T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}$  on  $H = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k})$ . From Lemma 1 we have

$$0 \leq P\{(T^*T)^p - (TT^*)^p\}P \leq (T_1^*T_1)^p - (T_1T_1^*)^p$$

and  $T_1$  is  $p$ -hyponormal. Hence by Lemmas 1 and 4,

$$\begin{aligned} \|P\{(T^*T)^p - (TT^*)^p\}P\| &\leq \|(T_1^*T_1)^p - (T_1T_1^*)^p\| \\ &\leq \min \left\{ \frac{p}{\pi} \int_{\sigma(T_1)} r^{2p-1} dr d\theta, \left( \frac{1}{\pi} \int_{\sigma(T_1)} r dr d\theta \right)^p \right\} \\ &= \min \left\{ \frac{p}{\pi} \int_{\sigma(T)} r^{2p-1} dr d\theta, \left( \frac{1}{\pi} \int_{\sigma(T)} r dr d\theta \right)^p \right\}. \end{aligned}$$

□

COROLLARY 6. *If  $T$  is a  $(p, k)$ -quasihyponormal operator and  $\sigma(T)$  is Lebesgue null-set, then  $T$  is the direct sum of normal operator and nilpotent operator.*

*Proof.* Let  $T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}$  on  $\overline{\text{ran}(T^k)} \oplus \ker(T^{*k})$  and let  $P$  be the orthogonal projection onto  $\overline{\text{ran}(T^k)}$ . Then  $T_1$  is  $p$ -hyponormal and  $T_3^k = 0$  by Lemma 1 and  $\|(T_1^*T_1)^p - (T_1T_1^*)^p\| = 0$  by Theorem 5. Hence  $T_1$  is normal. Since

$$\begin{pmatrix} (T_1^*T_1)^p & 0 \\ 0 & 0 \end{pmatrix} \geq P(T^*T)^pP \geq P(TT^*)^pP \geq \begin{pmatrix} (T_1T_1^*)^p & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} (T_1^*T_1)^p & 0 \\ 0 & 0 \end{pmatrix},$$

$(TT^*)^p$  is of the form  $\begin{pmatrix} (T_1^*T_1)^p & A \\ A^* & B \end{pmatrix}$ . Put  $(TT^*)^{\frac{p}{2}} = \begin{pmatrix} X & Y \\ Y^* & Z \end{pmatrix}$ . Then

$$\begin{pmatrix} X & 0 \\ 0 & 0 \end{pmatrix} = P(TT^*)^{\frac{p}{2}}P \geq P(TPT^*)^{\frac{p}{2}}P = \begin{pmatrix} (T_1^*T_1)^{\frac{p}{2}} & 0 \\ 0 & 0 \end{pmatrix}.$$

Hence,  $X \geq (T_1^*T_1)^{\frac{p}{2}}$ . Since  $X^2 + YY^* = (T_1^*T_1)^p$ , we have  $X = (T_1^*T_1)^{\frac{p}{2}}$  and  $Y = 0$ . Therefore,

$$\begin{pmatrix} T_1T_1^* + T_2T_2^* & T_2T_3^* \\ T_3T_2^* & T_3T_3^* \end{pmatrix} = TT^* = \begin{pmatrix} T_1T_1^* & 0 \\ 0 & Z^{\frac{2}{p}} \end{pmatrix},$$

and  $T_2 = 0$ . This completes the proof.  $\square$

For  $T \in B(H)$ ,  $\mathcal{R}(\sigma(T))$  denotes the set of all rational functions being analytic on  $\sigma(T)$ . The operator  $T$  is said to be  $n$ -multicyclic if there are  $n$  vectors  $x_1, \dots, x_n \in H$ , called generating vectors, such that  $\bigvee \{g(T)x_i \mid i = 1, \dots, n \text{ and } g \in \mathcal{R}(\sigma(T))\} = H$ .

LEMMA 7. [18, Theorem] *If  $T$  is an  $n$ -multicyclic  $p$ -hyponormal operator, then  $(T^*T)^p - (TT^*)^p$  belongs to the Schatten  $\frac{1}{p}$ -class and*

$$\text{tr} \left( \{(T^*T)^p - (TT^*)^p\}^{\frac{1}{p}} \right) \leq \frac{n}{\pi} \text{Area}(\sigma(T)).$$

The following theorem is an extension of Berger-Shaw's inequality to the case of  $(p, k)$ -quasihyponormal operators.

THEOREM 8. *If  $T$  is an  $n$ -multicyclic  $(p, k)$ -quasihyponormal operator, then we have:*

- (i) *The restriction  $T_1$  of  $T$  on  $\overline{\text{ran}(T^k)}$  is also an  $n$ -multicyclic operator;*
- (ii)  *$\{P(T^*T)^pP - P(TT^*)^pP\}^{\frac{1}{p}}$  belongs to the Schatten  $\frac{1}{p}$ -class and*

$$\text{tr} \left( \{P(T^*T)^pP - P(TT^*)^pP\}^{\frac{1}{p}} \right) \leq \frac{n}{\pi} \text{Area}(\sigma(T)),$$

where  $P$  is the projection onto  $\overline{\text{ran}(T^k)}$ .

*Proof.* Let  $T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}$  on  $H = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k})$ . Since  $\sigma(T_1) \subset \sigma(T)$  by Lemma 1,  $\mathcal{R}(\sigma(T)) \subset \mathcal{R}(\sigma(T_1))$ . By hypothesis there exist  $n$  vectors,  $x_1, \dots, x_n \in H$ , such that

$$H = \bigvee \{g(T)x_i \mid i = 1, \dots, n \text{ and } g \in \mathcal{R}(\sigma(T))\}.$$

Now let  $y_i = T^k x_i$ ,  $i = 1 \dots, n$ . Then we have the following

$$\begin{aligned} \bigvee \{g(T_1)y_i \mid i = 1, \dots, n, g \in \mathcal{R}(\sigma(T_1))\} &\supset \bigvee \{g(T_1)y_i \mid i = 1, \dots, n, g \in \mathcal{R}(\sigma(T))\} \\ &= \bigvee \{g(T_1)T^k x_i \mid i = 1, \dots, n, g \in \mathcal{R}(\sigma(T))\} \\ &= \bigvee \{g(T)T^k x_i \mid i = 1, \dots, n, g \in \mathcal{R}(\sigma(T))\} \\ &= \bigvee \{T^k g(T)x_i \mid i = 1, \dots, n, g \in \mathcal{R}(\sigma(T))\} \\ &= \overline{\text{ran}(T^k)} \end{aligned}$$

and  $\{y_1, \dots, y_n\}$  are  $n$ -multicyclic vectors of  $T_1$ . This proves the (i).

On the other hand, since  $T_1$  is an  $n$ -multicyclic  $p$ -hyponormal operator by (i), it follows from Lemma 7

$$\text{tr} \left( \{(T_1^*T_1)^p - (T_1T_1^*)^p\}^{\frac{1}{p}} \right) \leq \frac{n}{\pi} \text{Area}(\sigma(T_1)) = \frac{n}{\pi} \text{Area}(\sigma(T)).$$

On the other hand, since

$$0 \leq P(T^*T)^pP - P(TT^*)^pP \leq (T_1^*T_1)^p - (T_1T_1^*)^p,$$

we have that  $P(T^*T)^pP - P(TT^*)^pP$  and  $(T_1^*T_1)^p - (T_1T_1^*)^p$  are both positive compact operators and

$$s_j(P(T^*T)^pP - P(TT^*)^pP) \leq s_j((T_1^*T_1)^p - (T_1T_1^*)^p) \quad \text{for } j = 1, 2, \dots,$$

where  $s_j(T)$  is the  $n$ -th singular number of  $T$ . Therefore

$$s_j\left(\{P(T^*T)^pP - P(TT^*)^pP\}^{\frac{1}{p}}\right) \leq s_j\left(\{(T_1^*T_1)^p - (T_1T_1^*)^p\}^{\frac{1}{p}}\right) \quad \text{for } j = 1, 2, \dots.$$

Hence we have

$$\begin{aligned} 0 &\leq \text{tr}\left(\{P(T^*T)^pP - P(TT^*)^pP\}^{\frac{1}{p}}\right) \\ &\leq \text{tr}\left(\{(T_1^*T_1)^p - (T_1T_1^*)^p\}^{\frac{1}{p}}\right) \leq \frac{n}{\pi} \text{Area}(\sigma(T)). \quad \square \end{aligned}$$

The following lemma shows that the passage from  $w(A) \cup w(B)$  to  $w\begin{pmatrix} A & C \\ 0 & B \end{pmatrix}$ .

LEMMA 9. [13, Theorem 6] *For a given operators  $A, B, C \in B(H)$  there is equality*

$$w(A) \cup w(B) = w(M_C) \cup \mathfrak{G},$$

where  $M_C = \begin{pmatrix} A & C \\ 0 & B \end{pmatrix}$  and  $\mathfrak{G}$  is the union of certain of the holes in  $w(M_C)$  which happen to be subsets of  $w(A) \cap w(B)$ .

The following theorem shows that the spectral mapping theorem for Weyl spectrum holds for  $(p, k)$ -quasihyponormal operators.

THEOREM 10. *If  $T$  is  $(p, k)$ -quasihyponormal, then  $f(w(T)) = w(f(T))$  for any analytic function  $f$  on a neighborhood of  $\sigma(T)$ .*

*Proof.* We need only to prove that  $w(p(T)) = p(w(T))$  for any polynomial  $p$ . Since  $T$  has the matrix representation  $T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}$ , where  $T_1$  is  $p$ -hyponormal and  $T_3^k = 0$ , and the spectral mapping theorem for Weyl spectrum holds for  $p$ -hyponormal operator, it follows that

$$\begin{aligned} w(p(T)) &= w(p(T_1)) \cup w(p(T_3)) \\ &= p(w(T_1)) \cup p(w(T_3)) \\ &= p(w(T_1) \cup w(T_3)) \\ &= p(w(T)). \quad \square \end{aligned}$$

The following corollary is immediate result from above theorem.

COROLLARY 11. *The spectral mapping theorem for Weyl spectrum holds for quasi-hyponormal operators,  $p$ -quasihyponormal operators and  $k$ -quasihyponormal operators.*

It was known [13] if  $A$  and  $B$  are isoloid and if Weyl's theorem holds for  $A$  and  $B$  then

$$\text{Weyl's theorem holds for } \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} \Leftrightarrow w \begin{pmatrix} A & C \\ 0 & B \end{pmatrix} = w(A) \cup w(B).$$

The "spectral picture" [15] of the operator  $T \in B(H)$ , denoted by  $SP(T)$ , which consists of the set  $\sigma_e(T)$ , the collection of holes and pseudoholes in  $\sigma_e(T)$ , and the indices associated with these holes and pseudoholes.

In general, Weyl's theorem does not hold for operator matrix  $\begin{pmatrix} A & C \\ 0 & B \end{pmatrix}$  even though Weyl's theorem holds for  $\begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$  (see [14]). But W.Y. Lee [14] showed that following Lemma:

LEMMA 12. *If either  $SP(A)$  or  $SP(B)$  has no pseudoholes and if  $A$  is an isoloid operator for which Weyl's theorem holds then for every  $C \in B(H)$ ,*

$$\text{Weyl's theorem holds for } \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} \Rightarrow \text{Weyl's theorem holds for } \begin{pmatrix} A & C \\ 0 & B \end{pmatrix}.$$

We have the following result from above Lemma.

COROLLARY 13. *Weyl's theorem holds for every  $(p, k)$ -quasihyponormal operator.*

*Proof.* Let  $T \in B(H)$  is a  $(p, k)$ -quasihyponormal operator. Then by Lemma 1  $T$  has the following matrix representation:

$$T = \begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix} \text{ on } H = \overline{\text{ran}(T^k)} \oplus \ker(T^{*k}),$$

where  $T_1$  is  $p$ -hyponormal operator and  $T_3$  is nilpotent operator. Therefore Weyl's theorem holds for  $\begin{pmatrix} T_1 & 0 \\ 0 & T_3 \end{pmatrix}$  because Weyl's theorem holds for  $p$ -hyponormal operator and nilpotent operator and both  $p$ -hyponormal operator and nilpotent operator are isoloid. Hence by Lemma 11 Weyl's theorem holds for  $\begin{pmatrix} T_1 & T_2 \\ 0 & T_3 \end{pmatrix}$  because  $SP(T_3)$  has no pseudoholes.  $\square$

The next theorem extends J. Hou [12, Theorem 1.4] and Farenick and Kim [7, Theorem 9].

THEOREM 14. *Let  $A \in B(H)$  and  $B \in B(K)$  are nonzero operators. Then  $A \otimes B$  is  $(p, k)$ -quasihyponormal if and only if one of the following holds:*

1.  $A^k = 0$  or  $B^k = 0$ ,
2.  $A$  and  $B$  are  $(p, k)$ -quasihyponormal.

*Proof.* It is clear that  $A \otimes B$  is  $(p, k)$ -quasihyponormal if and only if

$$A^{*k} (|A|^{2p} - |A^*|^{2p}) A^k \otimes B^{*k} |B|^{2p} B^k + A^{*k} |A^*|^{2p} A^k \otimes B^{*k} (|B|^{2p} - |B^*|^{2p}) B^k \geq 0. \tag{14.1}$$

Therefore the sufficiency is clear.

To prove the necessity, let  $\xi \in H$  and  $\eta \in K$  be arbitrary. Then we have

$$\begin{aligned} & \left\langle A^{*k} (|A|^{2p} - |A^*|^{2p}) A^k \xi, \xi \right\rangle \left\langle B^{*k} |B|^{2p} B^k \eta, \eta \right\rangle \\ & + \left\langle A^{*k} |A^*|^{2p} A^k \xi, \xi \right\rangle \left\langle B^{*k} (|B|^{2p} - |B^*|^{2p}) B^k \eta, \eta \right\rangle \geq 0 \end{aligned} \tag{14.2}$$

and

$$\begin{aligned} & \left\langle A^{*k} (|A|^{2p} - |A^*|^{2p}) A^k \xi, \xi \right\rangle \left\langle B^{*k} |B^*|^{2p} B^k \eta, \eta \right\rangle \\ & + \left\langle A^{*k} |A|^{2p} A^k \xi, \xi \right\rangle \left\langle B^{*k} (|B|^{2p} - |B^*|^{2p}) B^k \eta, \eta \right\rangle \geq 0. \end{aligned} \tag{14.3}$$

Suppose that  $A^k \neq 0$  and  $B^k \neq 0$ . To the contrary, assume that  $A$  is not  $(p, k)$ -quasihyponormal, then there exists a vector  $\xi_0 \in H$  such that

$$\left\langle A^{*k} (|A|^{2p} - |A^*|^{2p}) A^k \xi_0, \xi_0 \right\rangle = \alpha < 0 \quad \text{and} \quad \left\langle A^{*k} |A^*|^{2p} A^k \xi_0, \xi_0 \right\rangle = \beta > 0.$$

From (14.2) we have

$$(\alpha + \beta) \left\langle B^{*k} |B|^{2p} B^k \eta, \eta \right\rangle \geq \beta \left\langle B^{*k} |B^*|^{2p} B^k \eta, \eta \right\rangle \text{ for all } \eta. \tag{14.4}$$

By using Hölder-McCarthy inequality: For  $A \geq 0$  and  $x \in H$ ,

- (1)  $\langle Ax, x \rangle \leq \|x\|^{2(1-\frac{1}{p})} \langle A^p x, x \rangle^{\frac{1}{p}}$  if  $p \geq 1$
- (2)  $\langle Ax, x \rangle \geq \|x\|^{2(1-\frac{1}{p})} \langle A^p x, x \rangle^{\frac{1}{p}}$  if  $0 < p \leq 1$ ,

we have

$$\begin{aligned} \left\langle B^{*k} |B|^{2p} B^k \eta, \eta \right\rangle &= \left\langle (B^* B)^p B^k \eta, B^k \eta \right\rangle \\ &\leq \left\langle (B^* B) B^k \eta, B^k \eta \right\rangle^p \|B^k \eta\|^{2(1-p)} \text{ (by(2))} \\ &= \|B^{k+1} \eta\|^{2p} \|B^k \eta\|^{2(1-p)} \text{ for all } \eta, \end{aligned}$$

$$\begin{aligned} \left\langle B^{*k} |B^*|^{2p} B^k \eta, \eta \right\rangle &= \left\langle B^{*k-1} (B^* B)^{p+1} B^{k-1} \eta, \eta \right\rangle \\ &= \left\langle (B^* B)^{p+1} B^{k-1} \eta, B^{k-1} \eta \right\rangle \\ &\geq \left\langle (B^* B) B^{k-1} \eta, B^{k-1} \eta \right\rangle^{p+1} \|B^{k-1} \eta\|^{-2p} \text{ (by (1))} \\ &= \|B^k \eta\|^{2(p+1)} \|B^{k-1} \eta\|^{-2p} \text{ for all } \eta, \end{aligned}$$

and

$$(\alpha + \beta) \|B^{k+1} \eta\|^{2p} \|B^k \eta\|^{2(1-p)} \geq \beta \|B^k \eta\|^{2(p+1)} \|B^{k-1} \eta\|^{-2p} \text{ for all } \eta \text{ by (14.4).}$$



Hence, we have

$$\beta \|B^k \eta\|^2 \leq (\alpha + \beta) \|B^{k+1} \eta\| \|B^{k-1} \eta\| \text{ for all } \eta, \quad (14.5)$$

and (replacing  $\eta$  by  $B\eta$ ) we have

$$\beta \|B^{k+1} \eta\|^2 \leq (\alpha + \beta) \|B^{k+2} \eta\| \|B^k \eta\| \text{ for all } \eta. \quad (14.6)$$

Now let  $B = \begin{pmatrix} B_1 & B_2 \\ 0 & B_3 \end{pmatrix}$  on  $\overline{\text{ran}(B^k)} \oplus \ker(B^{*k})$ . Then  $B$  is  $(p, k)$ -quasihyponormal by (14.4) and  $B_1$  is  $p$ -hyponormal (hence it is normaloid) by Lemma 1. By (14.6) we have

$$\beta \|B_1 \zeta\|^2 \leq (\alpha + \beta) \|B_1^2 \zeta\| \|\zeta\| \text{ for all } \zeta \in \overline{\text{ran}(B^k)},$$

so we have

$$\beta \|B_1\|^2 \leq (\alpha + \beta) \|B_1^2\| = (\alpha + \beta) \|B_1\|^2 \text{ (since } B_1 \text{ is normaloid).}$$

This implies that  $B_1 = 0$ . Since  $B^{k+1} \eta = B_1 B^k \eta = 0$  for all  $\eta$ ,  $B^{k+1} = 0$  and  $B^k = 0$  by (14.5). This contradicts the assumption  $B^k \neq 0$ . Hence  $A$  must be  $(p, k)$ -quasihyponormal. A similar argument shows that  $B$  is also  $(p, k)$ -quasihyponormal.  $\square$

### Acknowledgements

The author wish to thank the referee for his helpful and kind suggestions.

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(Received June 23, 2002)

*In Hyoun Kim*  
*Department of Mathematics*  
*Kyungpook National University*  
*Taegu 702-701, Korea*  
*e-mail: ihkim@math.skku.ac.kr*