

## UNIVERSAL INEQUALITIES FOR EIGENVALUES OF QUADRATIC POLYNOMIAL OPERATOR OF THE KOHN LAPLACIAN

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*Abstract.* In this paper, we investigate the Dirichlet weighted eigenvalue problem of quadratic polynomial operator of the Kohn Laplacian on a bounded domain in the Heisenberg group  $\mathbb{H}^n$ . We establish two inequalities for eigenvalues of this problem. One of them implies an explicit estimate for the upper bound of the  $(k + 1)$ -th eigenvalue in terms of the first  $k$  eigenvalues. Moreover, as a special case, we give some universal inequalities and estimates for eigenvalues of the bi-Kohn Laplacian.

### 1. Introduction

One of important research subjects in geometric analysis is to obtain some universal inequalities and bounds for eigenvalues of differential operators on various kinds of manifolds. There have been some results (e.g., [1, 2, 3, 11, 9, 20, 21, 22, 23], etc.) for the Laplacian, the biharmonic operator and elliptic operators with variable coefficients on some Riemannian manifolds such as the Euclidean space, a unite sphere, a complex projective space and so on.

Let  $\mathbb{H}^n$  be an  $(2n + 1)$ -dimensional Heisenberg group with coordinates  $(x, y, t) \in \mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R}$  and non-commutative group law given by

$$(x, y, t)(x', y', t') = (x + x', y + y', t + t' + \frac{1}{2}(\langle x', y \rangle_{\mathbb{R}^n} - \langle x, y' \rangle_{\mathbb{R}^n})),$$

where  $(x', y', t') \in \mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R}$  and  $\langle \cdot, \cdot \rangle$  denotes the inner product in  $\mathbb{R}^n$ . The Heisenberg group is one of classical sub-Riemannian manifolds. Its geometric structure has many differences with the Euclidean space. The Lie algebra  $\mathcal{H}^n$  of  $\mathbb{H}^n$  has a basis formed by  $2n + 1$  left-invariant vector fields  $\{X_j, Y_j, T\}$  for  $j = 1, \dots, n$ , where

$$X_j = \frac{\partial}{\partial x_j} + \frac{y_j}{2} \frac{\partial}{\partial t}, \quad Y_j = \frac{\partial}{\partial y_j} - \frac{x_j}{2} \frac{\partial}{\partial t}, \quad T = \frac{\partial}{\partial t}.$$

The Kohn Laplacian  $\Delta_{\mathbb{H}^n}$  on the Heisenberg group  $\mathbb{H}^n$  is named after Kohn [13] (cf. [12]). It is also called the sub-Laplacian and is defined by

$$\Delta_{\mathbb{H}^n} = \sum_{j=1}^n (X_j^2 + Y_j^2) = \Delta_{\mathbb{R}^{2n}} + \frac{1}{4}(|x|^2 + |y|^2) \frac{\partial^2}{\partial t^2} + \frac{\partial}{\partial t} \sum_{j=1}^n (y_j \frac{\partial}{\partial x_j} - x_j \frac{\partial}{\partial y_j}). \quad (1.1)$$

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As an invariant differential operator on  $\mathbb{H}^n$ , the spectrum of  $\Delta_{\mathbb{H}^n}$  has strong background in physics. Moreover, it has many connections with several complex variables and partial differential equation (see [4, 19]). Unlike the Laplacian and the biharmonic operator,  $\Delta_{\mathbb{H}^n}$  is non-elliptic. Therefore, it is significant to give some estimates for eigenvalues of some problems relating to  $\Delta_{\mathbb{H}^n}$ .

The physical nature and intrinsic properties of  $\mathbb{H}^n$  are decided by the Heisenberg relations, that is

$$[Y_j, X_i] = \delta_{ij}T, \quad \text{for } i, j = 1, \dots, n, \tag{1.2}$$

and all other commutators vanish. The non-commutativity of  $X_j$  and  $Y_j$  makes it complicated to estimate eigenvalues of  $\Delta_{\mathbb{H}^n}$  and the bi-Kohn Laplacian  $\Delta_{\mathbb{H}^n}^2$ . Compared with the Laplacian and the biharmonic operator, there were fewer references on estimates for eigenvalues of  $\Delta_{\mathbb{H}^n}$  and  $\Delta_{\mathbb{H}^n}^2$ . Let  $\Omega$  be a bounded domain in  $\mathbb{H}^n$ . Denote by  $\lambda_r$  the  $r$ -th eigenvalue of the Dirichlet eigenvalue problem of  $\Delta_{\mathbb{H}^n}$ :

$$\begin{cases} -\Delta_{\mathbb{H}^n}u = \lambda u, & \text{in } \Omega, \\ u|_{\partial\Omega} = 0. \end{cases} \tag{1.3}$$

In 2003, Niu and Zhang [16] proved that eigenvalues of problem (1.3) satisfy

$$\lambda_{k+1} - \lambda_k \leq \frac{2}{nk} \sum_{r=1}^k \lambda_r. \tag{1.4}$$

In 2009, Soufi, Harrel II and Ilias [18] obtained a sharper inequality

$$\sum_{r=1}^k (\lambda_{k+1} - \lambda_r)^2 \leq \frac{2}{n} \sum_{r=1}^k (\lambda_{k+1} - \lambda_r) \lambda_r. \tag{1.5}$$

Compared to  $\Delta_{\mathbb{H}^n}$ , the difficulties caused by the non-commutativity of  $X_i$  and  $Y_j$  become more obvious to the Dirichlet eigenvalue problem of  $\Delta_{\mathbb{H}^n}^2$ , which is described by

$$\begin{cases} \Delta_{\mathbb{H}^n}^2 u = \Gamma u, & \text{in } \Omega, \\ u|_{\partial\Omega} = \frac{\partial u}{\partial \nu}|_{\partial\Omega} = 0, \end{cases} \tag{1.6}$$

where  $\nu$  denotes the outward unit normal vector to  $\partial\Omega$ . To the author's knowledge, the previous main result for problem (1.6) was also derived by Niu and Zhang [16]. They obtained an estimate for its gap of the consecutive eigenvalues:

$$\Gamma_{k+1} - \Gamma_k \leq \frac{4(n+1)}{n^2 k^2} \left( \sum_{r=1}^k \Gamma_r^{\frac{1}{2}} \right)^{\frac{1}{2}}. \tag{1.7}$$

In this paper, we investigate the weighted eigenvalue problem of quadratic polynomial operator of  $\Delta_{\mathbb{H}^n}$ , which is described by

$$\begin{cases} \Delta_{\mathbb{H}^n}^2 u - a\Delta_{\mathbb{H}^n}u + bu = \Lambda \rho u, & \text{in } \Omega, \\ u|_{\partial\Omega} = \frac{\partial u}{\partial \nu}|_{\partial\Omega} = 0, \end{cases} \tag{1.8}$$

where  $\rho$  is a positive continuous function on  $\bar{\Omega}$  and the constants  $a, b \geq 0$ . As we know (see [5, 7]), this problem has a real and discrete spectrum:

$$0 < \Lambda_1 \leq \Lambda_2 \leq \dots \leq \Lambda_r \leq \dots \nearrow \infty,$$

where each eigenvalue is repeated with its multiplicity. It characterizes the vibration problem of a clamped plate in  $\mathbb{H}^n$ . In 1997, Guo [8] derived some priori estimates for eigenvalues of the polynomial operator of the Kohn Laplacian, which relate to the Pólya’s conjecture for the Laplacian. For more references in this direction, we refer the reader to [17, 14, 15].

The purpose of this paper is to obtain some inequalities and estimates for eigenvalues of problem (1.6) and problem (1.8). This paper is organized as follows: In Section 2, we establish some general inequalities for eigenvalues of problem (1.8). In Section 3, making use of these general inequalities, we obtain two inequalities for eigenvalues of problem (1.8) in Theorems 3 and 4. Noticing that (3.1) is a quadratic inequality with respect to  $\Lambda_{k+1}$ , we give an upper bound of  $\Lambda_{k+1}$  in terms of the first  $k$  eigenvalues in Corollary 1. A significance of the preceding estimates lies in the convenience to obtain some estimates for eigenvalues of problem (1.6). Since it is only a special case of problem (1.8), we easily derive some results for eigenvalues of problem (1.6) (see Corollaries 2-6). Even for this special case, our results is sharper than (1.7).

### 2. Some general inequalities

In this section, some general inequalities for eigenvalues of problem (1.8) are obtained. They will play an important role in the proofs of Theorems 3 and 4 in Section 3.

LEMMA 1. *Let  $\Omega$  be a bounded domain in  $\mathbb{H}^n$ . Denote by  $u_r$  the weighted orthonormal eigenfunction corresponding to the  $r$ -th eigenvalue  $\Lambda_r$  of problem (1.8),  $r = 1, 2, \dots, k$ . Namely,  $u_r$  satisfies*

$$\begin{cases} \Delta_{\mathbb{H}^n}^2 u_r - a \Delta_{\mathbb{H}^n} u_r + b u_r = \Lambda_r \rho u_r, & \text{in } \Omega, \\ u_r|_{\partial\Omega} = \frac{\partial u_r}{\partial \nu}|_{\partial\Omega} = 0, \\ \int_{\Omega} \rho u_r u_s = \delta_{rs}. \end{cases} \tag{2.1}$$

Then we have

$$\begin{aligned} \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} u_r^2 &\leq \sum_{r=1}^k \frac{1}{\gamma_r} (\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \frac{1}{\rho} (X_i u_r)^2 \\ &+ \sum_{r=1}^k \gamma_r (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} \left[ 4(X_i u_r)^2 + 2|\nabla_{\mathbb{H}^n} u_r|^2 + a u_r^2 \right], \end{aligned} \tag{2.2}$$

where  $\nabla_{\mathbb{H}^n}$  is the gradient operator on  $\mathbb{H}^n$  and the constants  $\gamma_r$  form a non-increasing sequence of positive numbers.

*Proof.* We define the trial functions

$$\varphi_{rx_i} = x_i u_r - \sum_{s=1}^k a_{rsx_i} u_s, \text{ for } i = 1, \dots, n, \text{ and } r = 1, \dots, k. \tag{2.3}$$

where

$$a_{rsx_i} = \int_{\Omega} \rho x_i u_r u_s.$$

Then it is not hard to check that for  $i = 1, \dots, n$ , and  $r, s = 1, \dots, k$ ,

$$\int_{\Omega} \rho \varphi_{rx_i} u_s = 0. \tag{2.4}$$

Moreover, it yields

$$\int_{\Omega} \rho \varphi_{rx_i} x_i u_r = \int_{\Omega} \rho \varphi_{rx_i}^2. \tag{2.5}$$

Since

$$\Delta_{\mathbb{H}^n}^2(x_i u_r) = 2\Delta_{\mathbb{H}^n} X_i u_r + 2X_i \Delta_{\mathbb{H}^n} u_r + x_i \Delta_{\mathbb{H}^n}^2 u_r, \tag{2.6}$$

we have

$$\begin{aligned} & \Delta_{\mathbb{H}^n}^2 \varphi_{rx_i} - a \Delta_{\mathbb{H}^n} \varphi_{rx_i} + b \varphi_{rx_i} \\ &= 2(\Delta_{\mathbb{H}^n} X_i u_r + X_i \Delta_{\mathbb{H}^n} u_r - a X_i u_r) + \Lambda_r \rho x_i u_r - \sum_{s=1}^k a_{rsx_i} \Lambda_s \rho u_s. \end{aligned} \tag{2.7}$$

Substituting (2.7) into the Rayleigh-Ritz inequality

$$\Lambda_{k+1} \leq \frac{\int_{\Omega} \varphi_{rx_i} (\Delta_{\mathbb{H}^n}^2 \varphi_{rx_i} - a \Delta_{\mathbb{H}^n} \varphi_{rx_i} + b \varphi_{rx_i})}{\int_{\Omega} \rho \varphi_{rx_i}^2},$$

and using (2.4) and (2.5), we obtain

$$(\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \rho \varphi_{rx_i}^2 \leq 2 \int_{\Omega} x_i u_r (\Delta_{\mathbb{H}^n} X_i u_r + X_i \Delta_{\mathbb{H}^n} u_r - a X_i u_r) + 2 \sum_{s=1}^k a_{rsx_i} b_{rsx_i}, \tag{2.8}$$

where

$$b_{rsx_i} = - \int_{\Omega} u_s (\Delta_{\mathbb{H}^n} X_i u_r + X_i \Delta_{\mathbb{H}^n} u_r - a X_i u_r) = -b_{srx_i}.$$

Using integration by parts and utilizing (2.6), we find

$$\Lambda_r a_{rsx_i} = \int_{\Omega} x_i u_s (\Delta_{\mathbb{H}^n}^2 u_r - a \Delta_{\mathbb{H}^n} u_r + b u_r) = -2b_{srx_i} + \Lambda_s a_{rsx_i}.$$

That is

$$2b_{rsx_i} = (\Lambda_r - \Lambda_s) a_{rsx_i}. \tag{2.9}$$

At the same time, since

$$\int_{\Omega} x_i u_r \Delta_{\mathbb{H}^n} X_i u_r = -2 \int_{\Omega} u_r X_i^2 u_r - \int_{\Omega} u_r \Delta_{\mathbb{H}^n} u_r - \int_{\Omega} u_r x_i X_i \Delta_{\mathbb{H}^n} u_r,$$

we have

$$\int_{\Omega} x_i u_r (\Delta_{\mathbb{H}^n} X_i u_r + X_i \Delta_{\mathbb{H}^n} u_r - a X_i u_r) = \int_{\Omega} \left[ 2(X_i u_r)^2 + |\nabla_{\mathbb{H}^n} u_r|^2 + \frac{a}{2} u_r^2 \right]. \tag{2.10}$$

Substituting (2.9) and (2.10) into (2.8), we deduce

$$(\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \rho \varphi_{r x_i}^2 \leq \int_{\Omega} \left[ 4(X_i u_r)^2 + 2|\nabla_{\mathbb{H}^n} u_r|^2 + a u_r^2 \right] + \sum_{s=1}^k (\Lambda_r - \Lambda_s) a_{r s x_i}^2. \tag{2.11}$$

Using integration by parts again, we have

$$-2 \int_{\Omega} \varphi_{r x_i} X_i u_r = \int_{\Omega} u_r^2 + 2 \sum_{s=1}^k a_{r s x_i} d_{r s x_i}, \tag{2.12}$$

where

$$d_{r s x_i} = \int_{\Omega} u_s X_i u_r = -d_{s r x_i}.$$

Multiplying (2.12) by  $(\Lambda_{k+1} - \Lambda_r)^2$ , using the Schwarz inequality and (2.4), we deduce

$$\begin{aligned} & (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} u_r^2 + 2 \sum_{s=1}^k (\Lambda_{k+1} - \Lambda_r)^2 a_{r s x_i} d_{r s x_i} \\ &= -2(\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} \sqrt{\rho} \varphi_{r x_i} \left( \frac{1}{\sqrt{\rho}} X_i u_r - \sqrt{\rho} \sum_{s=1}^k d_{r s x_i} u_s \right) \\ &\leq \gamma_r (\Lambda_{k+1} - \Lambda_r)^3 \int_{\Omega} \rho \varphi_{r x_i}^2 + \frac{\Lambda_{k+1} - \Lambda_r}{\gamma_r} \int_{\Omega} \left( \frac{1}{\sqrt{\rho}} X_i u_r - \sqrt{\rho} \sum_{s=1}^k d_{r s x_i} u_s \right)^2. \end{aligned} \tag{2.13}$$

Substituting (2.11) into (2.13) and summing over  $r$  from 1 to  $k$ , we obtain

$$\begin{aligned} & \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} u_r^2 + 2 \sum_{r,s=1}^k (\Lambda_{k+1} - \Lambda_r)^2 a_{r s x_i} d_{r s x_i} \\ &\leq \sum_{r=1}^k \gamma_r (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} \left[ 4(X_i u_r)^2 + 2|\nabla_{\mathbb{H}^n} u_r|^2 + a u_r^2 \right] - \sum_{r,s=1}^k \frac{1}{\gamma_r} (\Lambda_{k+1} - \Lambda_r) d_{r s x_i}^2 \\ &+ \sum_{r=1}^k \frac{1}{\gamma_r} (\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \frac{1}{\rho} (X_i u_r)^2 + \sum_{r,s=1}^k \gamma_r (\Lambda_{k+1} - \Lambda_r)^2 (\Lambda_r - \Lambda_s) a_{r s x_i}^2. \end{aligned} \tag{2.14}$$

Because the sequence  $\{\gamma_r\}$  is non-increasing, one can get

$$\sum_{r,s=1}^k \gamma_r (\Lambda_{k+1} - \Lambda_r)^2 (\Lambda_r - \Lambda_s) a_{r s x_i}^2 \leq - \sum_{r,s=1}^k \gamma_r (\Lambda_{k+1} - \Lambda_r) (\Lambda_r - \Lambda_s)^2 a_{r s x_i}^2. \tag{2.15}$$

Moreover, it follows from  $a_{r s x_i} = a_{s r x_i}$  and  $d_{r s x_i} = -d_{s r x_i}$  that

$$\sum_{r,s=1}^k (\Lambda_{k+1} - \Lambda_r)^2 a_{r s x_i} d_{r s x_i} = - \sum_{r,s=1}^k (\Lambda_{k+1} - \Lambda_r) (\Lambda_r - \Lambda_s) a_{r s x_i} d_{r s x_i}. \tag{2.16}$$

Hence, using (2.15) and (2.16), we can eliminate the unwanted terms in both sides of (2.14) and obtain (2.2).  $\square$

In order to make some estimates in Theorems 3 and 4 of Section 3, we need another general inequality which contains the factor  $\int_{\Omega}(Y_i u_r)^2$ . Now we define the trial functions

$$\varphi_{ryi} = y_i u_r - \sum_{s=1}^k a_{rsy_i} u_s, \quad i = 1, \dots, n \text{ and } r = 1, \dots, k, \tag{2.17}$$

where

$$a_{rsy_i} = \int_{\Omega} \rho y_i u_r u_s.$$

Similarly to the proof of Lemma 1, we can get the following lemma:

LEMMA 2. *Under the same assumptions as in Lemma 1, we have*

$$\begin{aligned} \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} u_r^2 &\leq \sum_{r=1}^k \frac{1}{\gamma_r} (\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \frac{1}{\rho} (Y_i u_r)^2 \\ &+ \sum_{r=1}^k \gamma_r (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} \left[ 4(Y_i u_r)^2 + 2|\nabla_{\mathbb{H}^n} u_r|^2 + a u_r^2 \right], \end{aligned} \tag{2.18}$$

where the constants  $\gamma_r$  form a non-increasing sequence of positive numbers.

Combining Lemma 1 and Lemma 2, we can obtain one of two wanted general inequalities.

THEOREM 1. *Under the same assumptions as in Lemma 1, we have*

$$\begin{aligned} \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} u_r^2 &\leq \sum_{r=1}^k \frac{1}{2\gamma_r} (\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \frac{1}{\rho} \left[ (X_i u_r)^2 + (Y_i u_r)^2 \right] \\ &+ \sum_{r=1}^k \gamma_r (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} \left\{ 2 \left[ (X_i u_r)^2 + (Y_i u_r)^2 \right] + 2|\nabla_{\mathbb{H}^n} u_r|^2 + a u_r^2 \right\}, \end{aligned} \tag{2.19}$$

where the constants  $\gamma_r$  form a non-increasing sequence of positive numbers.

We can derive another general inequality by making some modifications in the proofs of Lemmas 1 and 2.

THEOREM 2. *Under the same assumptions as in Lemma 1, we have*

$$\begin{aligned} \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} u_r^2 &\leq \frac{1}{n} \left[ \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \frac{1}{\rho} |\nabla_{\mathbb{H}^n} u_r|^2 \right]^{\frac{1}{2}} \\ &\times \left\{ \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \left[ 4(n+1) \int_{\Omega} |\nabla_{\mathbb{H}^n} u_r|^2 + 2na \int_{\Omega} u_r^2 \right] \right\}^{\frac{1}{2}}. \end{aligned} \tag{2.20}$$

*Proof.* Replacing  $\gamma_r$  in (2.14) by a positive constant  $\gamma$ , using (2.16) and the equality

$$\sum_{r,s=1}^k (\Lambda_{k+1} - \Lambda_r)^2 (\Lambda_r - \Lambda_s) a_{r_s x_i}^2 = - \sum_{r,s=1}^k (\Lambda_{k+1} - \Lambda_r) (\Lambda_r - \Lambda_s)^2 a_{r_s x_i}^2, \tag{2.21}$$

we can also eliminate the unwanted terms. As a result of modifications in the proofs of Lemmas 1 and 2, we can deduce

$$\begin{aligned} \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} u_r^2 &\leq \frac{1}{2\gamma} \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \frac{1}{\rho} \left[ (X_i u_r)^2 + (Y_i u_r)^2 \right] \\ &+ \gamma \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \left\{ 2 \int_{\Omega} \left[ (X_i u_r)^2 + (Y_i u_r)^2 \right] + 2 \int_{\Omega} |\nabla_{\mathbb{H}^n} u_r|^2 + a \int_{\Omega} u_r^2 \right\}. \end{aligned} \tag{2.22}$$

Summing over  $i$  from 1 to  $n$  in (2.22), we can get

$$\begin{aligned} \gamma^2 \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 &\left[ 4(n+1) \int_{\Omega} |\nabla_{\mathbb{H}^n} u_r|^2 + 2na \int_{\Omega} u_r^2 \right] \\ &- 2n\gamma \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} u_r^2 + \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \frac{1}{\rho} |\nabla_{\mathbb{H}^n} u_r|^2 \geq 0. \end{aligned} \tag{2.23}$$

The left side of (2.23) is just a quadratic polynomial of  $\gamma$ . Therefore, its discriminant must be nonpositive. This yields (2.20).  $\square$

### 3. Some inequalities for eigenvalues of problem (1.8)

In this section, we establish some inequalities for eigenvalues of problem (1.8) by utilizing the general inequalities in Theorems 1 and 2.

**THEOREM 3.** *Let  $\Lambda_r$  be the  $r$ -th eigenvalue of problem (1.8). Set  $\sigma = \left(\min_{x \in \Omega} \rho(x)\right)^{-1}$  and  $\tau = \left(\max_{x \in \Omega} \rho(x)\right)^{-1}$ . Then we have*

$$\sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \leq \frac{4\sigma}{n^2 \tau^2} \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r) \left[ (n+1)E_r + \frac{na\sigma}{2} \right] E_r, \tag{3.1}$$

where

$$E_r = \frac{1}{2} \left[ -a\sigma + \sqrt{a^2 \sigma^2 + 4\sigma(\Lambda_r - b\tau)} \right].$$

*Proof.* According to the assumptions, it is easy to find

$$0 < \tau \leq \int_{\Omega} u_r^2 \leq \sigma. \tag{3.2}$$

Noticing that the constants  $a, b \geq 0$  and the weight function  $\rho > 0$ , and utilizing

$$\int_{\Omega} |\nabla_{\mathbb{H}^n} u_r|^2 \leq \left[ \int_{\Omega} u_r^2 \int_{\Omega} (\Delta_{\mathbb{H}^n} u_r)^2 \right]^{\frac{1}{2}} \leq \left[ \sigma \int_{\Omega} (\Delta_{\mathbb{H}^n} u_r)^2 \right]^{\frac{1}{2}}, \tag{3.3}$$

we know that

$$a^2 \sigma^2 + 4\sigma(\Lambda_r - b\tau) \geq 0.$$

Substituting (3.3) into

$$\Lambda_r = \int_{\Omega} u_r (\Delta_{\mathbb{H}^n}^2 u_r - a \Delta_{\mathbb{H}^n} u_r + b u_r) = \int_{\Omega} (\Delta_{\mathbb{H}^n} u_r)^2 + a \int_{\Omega} |\nabla_{\mathbb{H}^n} u_r|^2 + b \int_{\Omega} u_r^2,$$

we have

$$\left( \int_{\Omega} |\nabla_{\mathbb{H}^n} u_r|^2 \right)^2 + a\sigma \int_{\Omega} |\nabla_{\mathbb{H}^n} u_r|^2 - \sigma(\Lambda_r - b\tau) \leq 0. \tag{3.4}$$

This is a quadratic inequality of  $\int_{\Omega} |\nabla_{\mathbb{H}^n} u_i|^2$ . Solving it, we conclude that

$$\int_{\Omega} |\nabla_{\mathbb{H}^n} u_r|^2 \leq E_r. \tag{3.5}$$

Summing over  $i$  from 1 to  $n$  in (2.19), we have

$$\begin{aligned} n \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \int_{\Omega} u_r^2 &\leq \sum_{r=1}^k \frac{1}{2\gamma_r} (\Lambda_{k+1} - \Lambda_r) \int_{\Omega} \frac{1}{\rho} |\nabla_{\mathbb{H}^n} u_r|^2 \\ &+ \sum_{r=1}^k \gamma_r (\Lambda_{k+1} - \Lambda_r)^2 \left[ 2(n+1) \int_{\Omega} |\nabla_{\mathbb{H}^n} u_r|^2 + na \int_{\Omega} u_r^2 \right]. \end{aligned} \tag{3.6}$$

Substituting (3.2) and (3.5) into (3.6), we arrive at

$$\begin{aligned} &n\tau \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \\ &\leq \sum_{r=1}^k \gamma_r (\Lambda_{k+1} - \Lambda_r)^2 \left[ 2(n+1)E_r + na\sigma \right] + \frac{1}{2}\sigma \sum_{r=1}^k \frac{1}{\gamma_r} (\Lambda_{k+1} - \Lambda_r) E_r. \end{aligned} \tag{3.7}$$

Now we minimize the right side of (3.7). For this goal, putting

$$\gamma_r = \frac{\gamma}{2(n+1)E_r + na\sigma}$$

in (3.7), we have

$$\begin{aligned} &n\tau \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \\ &\leq \gamma \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 + \frac{1}{\gamma} \sigma \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r) \left[ (n+1)E_r + \frac{na\sigma}{2} \right] E_r. \end{aligned} \tag{3.8}$$



Then putting

$$\gamma = \left[ \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \right]^{-\frac{1}{2}} \left\{ \sigma \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r) \left[ (n+1)E_r + \frac{na\sigma}{2} \right] E_r \right\}^{\frac{1}{2}}$$

in (3.8), it yields inequality (3.1). This completes the proof of Theorem 3.  $\square$

Substituting (3.2) and (3.5) into (2.20), we can derive the following result:

**THEOREM 4.** *Under the same assumptions as in Theorem 3, we have*

$$\begin{aligned} & \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \\ & \leq \frac{\sigma^{\frac{1}{2}}}{n\tau} \left[ \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r) E_r \right]^{\frac{1}{2}} \left\{ \sum_{r=1}^k (\Lambda_{k+1} - \Lambda_r)^2 \left[ 4(n+1)E_r + 2na\sigma \right] \right\}^{\frac{1}{2}}. \end{aligned} \tag{3.9}$$

We can get an explicit upper bound of  $\Lambda_{k+1}$  in terms of the first  $k$  eigenvalues from (3.1). In fact, (3.1) is a quadratic inequality of  $\Lambda_{k+1}$ . Solving it, we have the following corollary:

**COROLLARY 1.** *Under the same assumptions as in Theorem 3, we have*

$$\Lambda_{k+1} \leq A_k + \sqrt{A_k^2 - B_k}, \tag{3.10}$$

where

$$\begin{aligned} A_k &= \frac{1}{k} \left\{ \sum_{r=1}^k \Lambda_r + \frac{\sigma}{n^2 \tau^2} \sum_{r=1}^k \left[ 2(n+1)E_r + na\sigma \right] E_r \right\}, \\ B_k &= \frac{1}{k} \left\{ \sum_{r=1}^k \Lambda_r^2 + \frac{2\sigma}{n^2 \tau^2} \sum_{r=1}^k \Lambda_r \left[ 2(n+1)E_r + na\sigma \right] E_r \right\}. \end{aligned}$$

**4. Some inequalities for eigenvalues of problem (1.6)**

A significance of the estimates in Section 3 lies in the convenience to get some results for some special cases of problem (1.8). Here we only give some universal inequalities and estimates for problem (1.6).

**COROLLARY 2.** *Let  $\Gamma_r$  be the  $r$ -th eigenvalue of problem (1.6). Then we have*

$$\sum_{r=1}^k (\Gamma_{k+1} - \Gamma_r)^2 \leq \frac{4(n+1)}{n^2} \sum_{r=1}^k (\Gamma_{k+1} - \Gamma_r) \Gamma_r. \tag{4.1}$$

**COROLLARY 3.** *Under the same assumptions as in Corollary 2, we have*

$$\sum_{r=1}^k (\Gamma_{k+1} - \Gamma_r)^2 \leq \frac{2(n+1)^{\frac{1}{2}}}{n} \left[ \sum_{r=1}^k (\Gamma_{k+1} - \Gamma_r) \Gamma_r^{\frac{1}{2}} \sum_{r=1}^k (\Gamma_{k+1} - \Gamma_r)^2 \Gamma_r^{\frac{1}{2}} \right]^{\frac{1}{2}}. \tag{4.2}$$

From Corollary 1 or Corollary 2, we can obtain an estimate for the upper bound of  $\Gamma_{k+1}$  in terms of the first  $k$  eigenvalues.

COROLLARY 4. *Under the same assumptions as in Corollary 2, we have*

$$\Gamma_{k+1} \leq \left[ 1 + \frac{2(n+1)}{n^2} \right] \frac{1}{k} \sum_{r=1}^k \Gamma_r + \left\{ \left[ \frac{2(n+1)}{n^2} \frac{1}{k} \sum_{r=1}^k \Gamma_r \right]^2 - \left[ 1 + \frac{4(n+1)}{n^2} \right] \frac{1}{k} \sum_{s=1}^k (\Gamma_s - \frac{1}{k} \sum_{r=1}^k \Gamma_r)^2 \right\}^{\frac{1}{2}}. \quad (4.3)$$

Furthermore, a simpler bound can be derived by using the Cauchy-Schwarz inequality.

COROLLARY 5. *Under the same assumptions as in Corollary 2, we have*

$$\Gamma_{k+1} \leq \left( \frac{n+1}{n} \right)^2 \frac{1}{k} \sum_{r=1}^k \Gamma_r. \quad (4.4)$$

At the same time, an explicit estimate on the gap of any two consecutive eigenvalues of problem (1.6) can also be obtained.

COROLLARY 6. *Under the same assumptions as in Corollary 2, we have*

$$\Gamma_{k+1} - \Gamma_k \leq 2 \left\{ \left[ \frac{2(n+1)}{n^2} \frac{1}{k} \sum_{r=1}^k \Gamma_r \right]^2 - \left( \frac{n+2}{n} \right)^2 \frac{1}{k} \sum_{s=1}^k (\Gamma_s - \frac{1}{k} \sum_{r=1}^k \Gamma_r)^2 \right\}^{\frac{1}{2}}. \quad (4.5)$$

These results are sharper than (1.7).

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