

ON THE RATIO BETWEEN SUCCESSIVE RADII OF A SYMMETRIC CONVEX BODY

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Abstract. In this note we study the upper bound for the ratio between the so called successive inner and outer radii of a 0-symmetric convex body K . This problem was studied by Perel'man and Pukhov and it is a natural generalization of the classical results of Jung and Steinhagen.

1. Introduction

Let \mathcal{K}^n be the set of all convex bodies, i.e., compact convex sets, in the n -dimensional Euclidean space \mathbb{R}^n . The subset of \mathcal{K}^n consisting of all 0-symmetric convex bodies, i.e., such that if $x \in K$ then $-x \in K$, is denoted by \mathcal{K}_0^n . Let $\langle \cdot, \cdot \rangle$ and $|\cdot|_2$ be the standard inner product and Euclidean norm in \mathbb{R}^n , respectively, and B_n the n -dimensional Euclidean unit ball.

The set of all i -dimensional linear subspaces of \mathbb{R}^n is denoted by \mathcal{L}_i^n . For $L \in \mathcal{L}_i^n$, L^\perp denotes its orthogonal complement and for $K \in \mathcal{K}^n$ and $L \in \mathcal{L}_i^n$ the orthogonal projection of K onto L is denoted by $K|L$. We use e_i for i -th canonical unit vector in \mathbb{R}^n , and with $\text{lin}\{u_1, \dots, u_m\}$ we represent the linear hull of the vectors $\{u_1, \dots, u_m\}$. Finally, for $S \subset \mathbb{R}^n$, we denote by $\text{conv} S$ its convex hull, and we write $\text{relbd} S$ to denote the relative boundary of S , i.e., the boundary of S relative to its affine hull $\text{aff} S$.

The width in the (unit) direction u , the diameter, the minimal width, the circumradius and the inradius of a convex body K are denoted by $\omega(K, u)$, $D(K)$, $\omega(K)$, $R(K)$ and $r(K)$, respectively. For more information on these functionals and their properties we refer to [3, pp. 56–59]. If f is a functional on \mathcal{K}^n depending on the dimension in which a convex body K is embedded, and if K is contained in an affine subspace A , then we write $f(K; A)$ to denote that f has to be evaluated with respect to the subspace A . With this notation we define the following successive outer and inner radii.

DEFINITION 1.1. For $K \in \mathcal{K}^n$ and all $i = 1, \dots, n$ let

$$R_i(K) = \min_{L \in \mathcal{L}_i^n} R(K|L) \quad \text{and} \quad \tilde{r}_i(K) = \max_{L \in \mathcal{L}_i^n} r(K|L; L).$$

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If we replace in the definition of \tilde{r}_i projections by sections, we obtain another serie of successive inner radii:

DEFINITION 1.2. For $K \in \mathcal{K}^n$ and all $i = 1, \dots, n$ let

$$r_i(K) = \max_{L \in \mathcal{L}_i^n} \max_{x \in L^\perp} r(K \cap (x + L); x + L).$$

Observe that $R_i(K)$ is the smallest radius of solid cylinder containing K with i -dimensional spherical cross section, whereas $r_i(K)$ is the radius of the greatest i -dimensional ball contained in K . We obviously have

$$R_n(K) = R(K), \quad R_1(K) = \frac{\omega(K)}{2},$$

$$r_n(K) = \tilde{r}_n(K) = r(K) \quad \text{and} \quad r_1(K) = \tilde{r}_1(K) = \frac{D(K)}{2}.$$

It is clear that the outer radii are increasing in i , whereas the inner radii are decreasing in i . Moreover, $r_i(K) \leq \tilde{r}_i(K)$ for all $i = 1, \dots, n$ and any convex body $K \in \mathcal{K}^n$. The first systematic study of the successive radii was developed in [1]. For more information on these radii we refer to [1, 2, 4, 5, 6, 7, 8, 9] and the references inside. Here our interest is focussed in an open problem concerning the ratio $R_{n-i+1}(K)/r_i(K)$.

The well known relations between diameter and circumradius, and minimal width and inradius, were obtained respectively by Jung and Steinhagen (see e.g. [3, pp. 84, 86]); we express them in terms of the successive radii:

$$\frac{R_n(K)}{r_1(K)} \leq \sqrt{\frac{2n}{n+1}} \quad \text{and} \quad \frac{R_1(K)}{r_n(K)} \leq \begin{cases} \sqrt{n} & \text{for } n \text{ odd,} \\ \frac{n+1}{\sqrt{n+2}} & \text{for } n \text{ even.} \end{cases} \tag{1.1}$$

The regular n -simplex gives equality in both inequalities. These relations would appear as particular cases of a more general formula by determining the best upper bound for the ratio R_{n-i+1}/r_i . Pukhov [11] and Perel'man [10] showed the following result:

THEOREM 1.1. Let $K \in \mathcal{K}^n$ and $1 \leq i \leq n$. Then it holds

$$\frac{R_{n-i+1}(K)}{r_i(K)} < i + 1. \tag{1.2}$$

But the optimal bound is still not known. It is conjectured that the regular n -simplex provides the optimal upper bound (we write S_n to denote the regular simplex with inradius 1): if $i = 1, n$, then $R_{n-i+1}(S_n)/r_i(S_n)$ takes the values of (1.1); for $i = 2$ and n even,

$$\frac{R_{n-1}(S_n)}{r_2(S_n)} = \frac{(2n-1)\sqrt{3}}{\sqrt{2n(n+1)}};$$

in the remaining cases

$$\frac{R_{n-i+1}(S_n)}{r_i(S_n)} = \sqrt{1 - \frac{i}{n+1}} \sqrt{i(i+1)} \sim (i+1) \sqrt{1 - \frac{i}{n+1}}.$$

The values of the successive radii of the simplex S_n can be found in [4].

In [1] the best possible lower bound for the above ratio was obtained:

$R_{n-i+1}(K)/r_i(K) \geq 1$, with equality for the ball.

Moreover, in the particular case of $n = 3$ (and $i = 2$), Perel'man [10] improved the result reducing the bound in (1.2) from 3 to 2.151...:

$$\frac{R_2(K)}{r_2(K)} < 2.151\dots \tag{1.3}$$

On the other hand, in the case of a 0-symmetric convex body, $K \in \mathcal{K}_0^n$, Pukhov proved in [11] that

$$\frac{R_{n-i+1}(K)}{r_i(K)} < \sqrt{e} \min \left\{ \sqrt{i}, \sqrt{n-i+1} \right\}. \tag{1.4}$$

The optimal bound is also not known. It is conjectured that both, the regular cube and the regular cross-polytope provide the optimal upper bound: $R_{n-i+1}(K)/r_i(K) \leq \sqrt{n-i+1}\sqrt{i/n}$. The values of the successive radii of the regular cube and cross-polytope can be also found in [4].

Notice that if $K \in \mathcal{K}_0^3$, Pukhov's result gives $R_2(K)/r_2(K) < \sqrt{2e} \approx 2.33\dots$. Here we get a slight better bound when $n = 3$, for a 0-symmetric convex body; it improves both (1.3) and (1.4).

THEOREM 1.2. *Let $K \in \mathcal{K}_0^3$. Then it holds*

$$\frac{R_2(K)}{r_2(K)} < 2.$$

We can also state the same problem but considering the inner radii defined via projections. In this respect we prove the following result.

THEOREM 1.3. *Let $K \in \mathcal{K}_0^n$ and $1 \leq i \leq n$. Then*

$$\frac{R_{n-i+1}(K)}{\tilde{r}_i(K)} \leq \sqrt{n-i+1}. \tag{1.5}$$

Observe that if $i = n$, equality holds for all $K \in \mathcal{K}_0^n$.

The paper is organized as follows. In Section 2 we present the proofs of the above theorems. Section 3 is devoted to some additional remarks and properties.

2. Proofs of the main results

First we obtain the announced upper bound for the ratio $R_2(K)/r_2(K)$ when $K \in \mathcal{K}_0^3$, by proving Theorem 1.2.

Proof of Theorem 1.2. We assume, without loss of generality, that e_1 is the direction giving the diameter of K , i.e., $D(K) = \omega(K, e_1)$. For the sake of brevity we write $R := R(K) = D(K)/2$.

First we show that the diameter of the convex body $K|e_1^\perp$ is not greater than $4r_2(K)$. In order to do it, we suppose that $R' := D(K|e_1^\perp)/2 > 2r_2(K)$. Since K is 0-symmetric, then the segment $[-Re_1, Re_1] \subset K$, and from $R' = D(K|e_1^\perp)/2$, we can assure the existence of $u \in K|e_1^\perp$ (and its 0-symmetral) such that $|u|_2 = R'$. Doing a rotation with axis $\text{lin}\{e_1\}$ we can assume that $u \in \text{lin}\{e_1, e_2\}$, i.e., that $u = (0, R', 0)$. Since $u = (0, R', 0) \in K|e_1^\perp$, there exists a point $(a, R', 0) \in K$ (and its 0-symmetral), and therefore it holds that $(a, R', 0)|e_1^\perp = u$.

The length of $[-Re_1, Re_1]$ is $2R = D(K)$ and since $(a, R', 0) \in K$ we have that $d((a, R', 0), 0)^2 = a^2 + (R')^2 \leq R^2$. We can suppose without loss of generality that $a > 0$; notice also that $a \leq R$. We have constructed in this way a (planar) parallelogram $P = \text{conv}\{\pm(a, R', 0), \pm(R, 0, 0)\}$ which, because of the convexity, is contained in K . Its inradius, considered as a planar set, is the smallest distance from the origin 0 to the facets, more precisely, the distance from 0 to the longest facet. It is an easy computation to check that this distance, and thus the inradius of P , is given by

$$r(P; \text{lin}\{e_1, e_2\}) = \frac{R'R}{\sqrt{(R+a)^2 + (R')^2}}.$$

From $a^2 + (R')^2 \leq R^2$ we get that

$$\sqrt{(R+a)^2 + (R')^2} \leq \sqrt{2R^2 + 2aR} \leq \sqrt{2R^2 + 2R^2} = \sqrt{4R^2} = 2R,$$

and thus

$$r(P; \text{lin}\{e_1, e_2\}) = \frac{R'R}{\sqrt{(R+a)^2 + (R')^2}} \geq \frac{R'}{2}.$$

Since we assumed that $R' > 2r_2(K)$, then $r(P; \text{lin}\{e_1, e_2\}) > r_2(K)$, which is a contradiction because $r_2(K)$ is the radius of the greatest circumference contained in K . Therefore, $D(K|e_1^\perp) \leq 4r_2(K)$.

Finally, since $K|e_1^\perp$ is a 0-symmetric set and it verifies moreover that $D(K|e_1^\perp) \leq 4r_2(K)$, we get $R(K|e_1^\perp) = D(K|e_1^\perp)/2 \leq 2r_2(K)$. Now, taking into account that e_1^\perp is a 2-dimensional linear subspace, we can conclude that $R_2(K) \leq R(K|e_1^\perp) \leq 2r_2(K)$. It finishes the proof. \square

As mentioned before, the optimal bound for $n = 3$ is still far away, since we think it should be $2/\sqrt{3}$.

Proof of Theorem 1.3. Let $L_1 \in \mathcal{L}_i^n$ be an arbitrary linear subspace and we consider $K|L_1$, which is also a 0-symmetric convex body. For the sake of brevity we write $\tilde{r}_i = \tilde{r}_i(K)$. Then it holds $\rho_1 = r(K|L_1; L_1) \leq \tilde{r}_i$. Let $u_1 \in L_1$ be the unit vector such that $\omega(K|L_1; L_1) = \omega(K|L_1, u_1; L_1)$. Then,

$$K|L_1 \subset \left\{ y \in L_1 : |\langle y, u_1 \rangle| \leq \frac{\omega(K|L_1; L_1)}{2} \right\} = \{y \in L_1 : |\langle y, u_1 \rangle| \leq \rho_1\}$$

because $K|L_1$ is 0-symmetric, which implies that $\omega(K|L_1; L_1) = 2\rho_1$. Moreover, since we are working with the orthogonal projection onto L_1 , it holds

$$K \subset \{x \in \mathbb{R}^n : |\langle x, u_1 \rangle| \leq \rho_1\}.$$

Notice that we can assume $i \leq n - 1$, because for $i = n$ the result is just Steinhagen theorem (see e.g. [3, p. 86]). Now we consider $u_1^\perp \in \mathcal{L}_{n-1}^n$ and let L_2 be an i -dimensional linear subspace of u_1^\perp . With an analogous argument to the above one we know that there exists a suitable $u_2 \in L_2$ such that

$$K|L_2 \subset \{y \in L_2 : |\langle y, u_2 \rangle| \leq \rho_2\},$$

with $\rho_2 = r(K|L_2; L_2) \leq \tilde{r}_i$. Again we can conclude that

$$K \subset \{x \in \mathbb{R}^n : |\langle x, u_2 \rangle| \leq \rho_2\}.$$

Next, if $i \leq n - 2$, we consider $\text{lin}\{u_1, u_2\}^\perp \in \mathcal{L}_{n-2}^n$, and we take L_3 an i -dimensional subspace of $\text{lin}\{u_1, u_2\}^\perp$.

Using an iterative argument, in the $(n - i + 1)$ -step we obtain $n - i + 1$ pairwise orthogonal unit vectors u_1, \dots, u_{n-i+1} (by the construction) and positive real numbers $\rho_j \leq \tilde{r}_i$, for $j = 1, \dots, n - i + 1$, such that

$$\begin{aligned} K &\subset \bigcap_{j=1}^{n-i+1} \{x \in \mathbb{R}^n : |\langle x, u_j \rangle| \leq \rho_j\} \\ &= \left\{x \in \mathbb{R}^n : |\langle x, u_j \rangle| \leq \rho_j \text{ for } j = 1, \dots, n - i + 1\right\}. \end{aligned} \tag{2.1}$$

Thus writing $H_{n-i+1} = \text{lin}\{u_1, \dots, u_{n-i+1}\}$ and denoting by $C_{l_1, \dots, l_{n-i+1}}$ the 0-symmetric orthogonal box contained in H_{n-i+1} with edge lengths l_1, \dots, l_{n-i+1} , we get as a consequence of (2.1) that

$$K|H_{n-i+1} \subseteq C_{2\rho_1, \dots, 2\rho_{n-i+1}} \subseteq C_{2\tilde{r}_i, \dots, 2\tilde{r}_i}, \tag{2.2}$$

i.e., it is contained in the $(n - i + 1)$ -cube of H_{n-i+1} with edge length $2\tilde{r}_i$. Hence

$$R(K|H_{n-i+1}) \leq R(C_{2\tilde{r}_i, \dots, 2\tilde{r}_i}) = \sqrt{n - i + 1} \tilde{r}_i,$$

and therefore

$$R_{n-i+1}(K) \leq R(K|H_{n-i+1}) \leq \sqrt{n - i + 1} \tilde{r}_i = \sqrt{n - i + 1} \tilde{r}_i(K). \quad \square$$

If $K \in \mathcal{K}^n$ is an arbitrary convex body (not necessarily 0-symmetric) then, a similar argument to the above one allows to show that a suitable projection of K onto an $(n - i + 1)$ -dimensional linear subspace H is contained in an orthogonal box $C_{\omega_1, \dots, \omega_{n-i+1}}$ (see (2.2)) with edge-lengths $\omega_j := \omega(K|L_j; L_j)$, where $L_j \in \mathcal{L}_i^n$, $j = 1, \dots, n - i + 1$, are suitably chosen. Using Steinhagen's theorem (see (1.1)) in the subspace L_j , namely,

$$\omega(K|L_j; L_j) \leq \begin{cases} 2\sqrt{i} r(K|L_j; L_j) & \text{for } i \text{ odd,} \\ 2\frac{i+1}{\sqrt{i+2}} r(K|L_j; L_j) & \text{for } i \text{ even,} \end{cases}$$

and since $r(K|L_j; L_j) \leq \tilde{r}_i(K)$ for all $1 \leq j \leq n - i + 1$, we finally obtain the following result.

PROPOSITION 2.1. *Let $K \in \mathcal{K}^n$ and $1 \leq i \leq n$. Then*

$$\frac{R_{n-i+1}(K)}{\tilde{r}_i(K)} \leq \begin{cases} \sqrt{i}\sqrt{n-i+1} & \text{for } i \text{ odd,} \\ \frac{i+1}{\sqrt{i+2}}\sqrt{n-i+1} & \text{for } i \text{ even.} \end{cases}$$

Observe that in order to avoid the parity distinction for i , both bounds above should be replaced by $\sqrt{i+1/3}\sqrt{n-i+1}$. We also notice that these bounds for the ratio $R_{n-i+1}(K)/\tilde{r}_i(K)$, depending on the values of n and i , can improve Pukhov’s bound $i+1$.

3. Some final remarks

We notice that the problem on bounding the ratio R_j/r_i , $1 \leq i, j \leq n$, has only interest when $j = n - i + 1$:

PROPOSITION 3.1. *If $j > n - i + 1$ there is no upper bound for $R_j(K)/r_i(K)$.*

Proof. Notice that since we assume $j > n - i + 1$ then $i > 1$. We are going to find a convex body such that for $j > n - i + 1$, the above ratio is arbitrarily large. It suffices to consider the $(i - 1)$ -dimensional ball $B_{i-1} = B_n \cap L$, with $L \in \mathcal{L}_{i-1}^n$. On one hand, since $\dim B_{i-1} = i - 1$, then $r_i(B_{i-1}) = 0$; on the other hand, we can assume that $B_{i-1} \subset (RB_j) \times (L')^\perp$, for suitable $R > 0$, where B_j is the unit ball of $L' \in \mathcal{L}_j^n$. Since

$$\dim L + \dim L' = i - 1 + j > i - 1 + n - i + 1 = n,$$

then L and L' have, at least, a common straight line ℓ . Hence

$$B_{i-1} \cap \ell = [-u, u] \subset RB_j,$$

with $|u|_2 = 1$, and thus $R \geq 1$. Therefore $R_j(B_{i-1}) \geq R \geq 1$, and then the quotient R_j/r_i is not bounded by above. It suffices to consider the convex hull of B_{i-1} and suitable sufficiently close points in order to obtain a convex body in \mathbb{R}^n with non-empty interior and verifying the same property. \square

As it was already noticed in [10], observe that if $j < n - i + 1$, since the successive outer radii form an increasing sequence, knowing the optimal bound for the ratio R_{n-i+1}/r_i would give immediately the required upper bound for R_j/r_i . Therefore, R_{n-i+1}/r_i is the only ratio needed to be considered.

In order to conclude this note, we briefly comment a relation between the inner radii defined via sections and projections for 0-symmetric convex bodies. From the definition of inner radii we trivially have $r_i(K) \leq \tilde{r}_i(K)$ for all $i = 1, \dots, n$ and any $K \in \mathcal{K}^n$. We would like to point out the existence of a reverse relation: the following lemma provides a (not sharp) lower bound for $r_i(K)$ in terms of $\tilde{r}_i(K)$ when K is 0-symmetric.

LEMMA 3.1. *Let $K \in \mathcal{K}_0^n$ and $1 \leq i \leq n$. Then $\tilde{r}_i(K) \leq \sqrt{i}r_i(K)$.*

Proof. Without loss of generality we assume that $L = \text{lin}\{e_1, \dots, e_i\} \in \mathcal{L}_i^n$ is the i -dimensional linear subspace such that $\tilde{r}_i(K) = r(K|L; L)$. The central symmetry of K ensures that $\tilde{r}_i(K)B_i \subseteq K|L$, where $B_i = B_n \cap L$ denotes the i -dimensional unit ball of L . Now let

$$u_j = \tilde{r}_i(K)e_j \in (\tilde{r}_i(K)\text{relbd}B_i) \subseteq K|L, \quad j = 1, \dots, i.$$

These points u_j are projections of points of the original body K , i.e., there exist numbers $a_k^l \in \mathbb{R}$ for $k = i + 1, \dots, n, l = 1, \dots, i$, such that

$$v_j := u_j + (0, \dots, 0, a_{i+1}^j, \dots, a_n^j) \in K, \quad j = 1, \dots, i,$$

and since K is a 0-symmetric convex body, $C = \text{conv}\{\pm v_1, \dots, \pm v_i\} \subseteq K$. Next we show that $r(C; \text{lin}C) \geq r(\text{conv}\{\pm u_1, \dots, \pm u_i\}; L)$.

Since C is 0-symmetric, then $r(C; \text{lin}C) = \min_{x \in \text{relbd}C} |x|_2$ and so we may choose $x \in \text{relbd}C$ such that $r(C; \text{lin}C) = |x|_2$. Let $x = \sum_{j=1}^i (\lambda_j - \mu_j)v_j$, with $\lambda_j, \mu_j \geq 0$ for $j = 1, \dots, i$ and $\sum_{j=1}^i (\lambda_j + \mu_j) = 1$. Then

$$\begin{aligned} |x|_2^2 &= \left| \sum_{j=1}^i (\lambda_j - \mu_j)u_j \right|_2^2 + \left| \sum_{j=1}^i (\lambda_j - \mu_j)(0, \dots, 0, a_{i+1}^j, \dots, a_n^j) \right|_2^2, \\ &\geq \left| \sum_{j=1}^i (\lambda_j - \mu_j)u_j \right|_2^2 = |x|L|_2^2. \end{aligned} \tag{3.1}$$

Since $x|L \in \text{relbdconv}\{\pm u_1, \dots, \pm u_i\}$, we get that

$$r(C; \text{lin}C) = |x|_2 \geq |x|L|_2 \geq r(\text{conv}\{\pm u_1, \dots, \pm u_i\}; L).$$

Thus we can conclude that

$$\begin{aligned} r_i(K) &\geq r(C; \text{lin}C) \geq r(\text{conv}\{\pm u_1, \dots, \pm u_i\}; L) \\ &= \tilde{r}_i(K)r(\text{conv}\{\pm e_1, \dots, \pm e_i\}; L) = \tilde{r}_i(K) \frac{1}{\sqrt{i}}, \end{aligned} \tag{3.2}$$

and we get the required inequality, $\tilde{r}_i(K) \leq \sqrt{i}r_i(K)$. \square

For $n = 3$ and $i = 2$, Theorem 1.3 and Lemma 3.1 together also show Theorem 1.2:

$$\frac{R_2(K)}{r_2(K)} \leq \sqrt{2} \frac{R_2(K)}{\tilde{r}_2(K)} \leq \sqrt{2}\sqrt{2} = 2.$$

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