

ON JAMES TYPE CONSTANTS AND THE NORMAL STRUCTURE IN BANACH SPACES

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Abstract. In this paper, we establish the lower bounds for the weakly convergent sequence coefficient $WCS(X)$ of a Banach space X , in terms of the James type constant $J_{X,t}(\tau)$, the coefficient of weak orthogonality $\mu(X)$ and Domínguez-Benavides coefficient $R(1,X)$. By mean of these bounds, we identify some geometrical properties implying normal structure. Meanwhile, the James type constant $J_{X,t}(\tau)$, the coefficient of weak orthogonality $\mu(X)$ and Domínguez-Benavides coefficient $R(1,X)$ for the Bynum space $l_{2,\infty}$ are computed to show that our estimates are sharp.

1. Introduction

Let X and X^* be a Banach space and its dual space without the Schur property, that is, there is a weakly convergent sequence which is not norm convergent, $S_X = \{x \in X : \|x\| = 1\}$ and $B_X = \{x \in X : \|x\| \leq 1\}$ denote the unit sphere and the unit ball of a Banach space X . A mapping $T : C \subseteq X \rightarrow C$ is said to be nonexpansive if

$$\|Tx - Ty\| \leq \|x - y\| \text{ for all } x, y \in C.$$

A Banach space X is said to have fixed point property if every nonexpansive mapping $T : C \rightarrow C$ has a fixed point, where C is a nonempty bounded closed convex subset of X .

Recall that a Banach space X is called to be uniformly nonsquare, if there exists $\delta > 0$ such that

$$\frac{\|x+y\|}{2} \leq 1 - \delta \text{ or } \frac{\|x-y\|}{2} \leq 1 - \delta,$$

whenever $x, y \in S_X$. A Banach space X is said to have (weak) normal structure, if for every (weakly compact) closed bounded convex subset H of X that contains more than one point, there exists a point $x_0 \in H$ such that

$$\sup\{\|x_0 - y\| : y \in H\} < \sup\{\|x - y\| : x, y \in H\}.$$

In reflexive spaces, weak normal structure and normal structure coincide. Normal structure play an important role in metric fixed point theory for nonexpansive mappings.

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It was proved by W. A. Kirk that every reflexive Banach space with normal structure has the fixed point property ([11]). Many geometrical properties in terms of some geometrical constants implying weak normal structure or normal structure have been studied([3-5,7-13,15-16, 19-22]).

2. Preliminaries

Before going to the main results, let us recall some concepts of geometrical constants which will be used in the following sections. The James type constant $J_{X,t}(\tau)$ and Schäffer type constant $S_{X,t}(\tau)$ were introduced by Takahashi in [14] as follows:

$$J_{X,t}(\tau) = \sup\{\mathcal{M}_t(\|x + \tau y\|, \|x - \tau y\|) : x, y \in S_X\},$$

$$S_{X,t}(\tau) = \inf\{\mathcal{M}_t(\|x + \tau y\|, \|x - \tau y\|) : x, y \in S_X\},$$

where $\tau \geq 0$, $-\infty \leq t \leq +\infty$ and $\mathcal{M}_t(a, b)$ is the generalized mean defined by

$$\mathcal{M}_t(a, b) := \left(\frac{a^t + b^t}{2}\right)^{\frac{1}{t}} \quad (-\infty < t < \infty \text{ and } t \neq 0),$$

$$\mathcal{M}_{-\infty}(a, b) := \lim_{t \rightarrow -\infty} \mathcal{M}_t(a, b) = \min(a, b),$$

$$\mathcal{M}_{+\infty}(a, b) := \lim_{t \rightarrow +\infty} \mathcal{M}_t(a, b) = \max(a, b),$$

$$\mathcal{M}_0(a, b) := \lim_{t \rightarrow 0} \mathcal{M}_t(a, b) = \sqrt{ab},$$

where a and b are two positive real numbers. Obviously, $J_{X,t}(\tau)$ includes some well known constants or modulus ([1, 7, 8, 12, 15]), such as James constant $J(X) = J_{X,-\infty}(1)$, Alonso’s constant $T(X) = J_{X,0}(1)$, Baronti’s constant $A_2(X) = J_{X,1}(1)$, Llorens-Fuster’s constant $C_G(\tau, X) = J_{X,0}(\tau)$, Yang’s modulus $\gamma(\tau) = J_{X,2}(\tau)^2$ and smooth modulus $\rho_X(\tau) = J_{X,1}(\tau) - 1$. Meanwhile, $S_{X,t}(\tau)$ is an extension of Schäffer constant $S(X) = S_{X,+\infty}(\tau)$, which also including Gao’s constant $f(X) = 2S_{X,2}^2(1)$ as a special case [8]. Some geometric properties of Banach spaces X in terms of the constant $J_{X,t}(\tau)$ and $S_{X,t}(\tau)$ were investigated in [16-19, 21-22].

(i) X is uniformly nonsquare $\Leftrightarrow J_{X,t}(\tau) < 1 + \tau$ for some $0 < \tau < +\infty$.

(ii) X is uniformly nonsquare $\Leftrightarrow S_{X,t}(1) > 1$ for some $t > 1$.

(iii) If X is a Banach space with $S_{X,t}(\tau) > \frac{1}{g(\tau)} \left(\frac{(1+2\tau-\tau^2)^t + (1+\tau^2)^t}{2}\right)^{\frac{1}{t}}$, where $g(\tau) = \frac{\tau + \sqrt{4+\tau^2}}{2}$, then X has uniform normal structure.

Meanwhile, the Jordan-von Neumann type constant $C_t(X)$ for a Banach space X is also defined in [14] as

$$C_t(X) = \sup \left\{ \frac{J_{X,t}^2(\tau)}{1 + \tau^2} : 0 \leq \tau \leq 1 \right\}.$$

It is clear that Jordan von-Neumann type constant $C_t(X)$ contain Jordan von-Neumann constant $C_{NJ}(X) = C_2(X)$ and Zbăganu constant $C_Z(X) = C_0(X)$. In particular, take $\tau = 1$ or $t = -\infty$ in the definition of $C_t(X)$, we can get the following constants:

$$C'_t(X) = \frac{J_{X,t}(1)^2}{2},$$

$$C_{-\infty}(X) = \sup \left\{ \frac{J_{X,-\infty}(\tau)^2}{1 + \tau^2} : 0 \leq \tau \leq 1 \right\}.$$

Some basic properties of these constants have been studied in some papers ([14, 16, 17, 20]):

- (i) Let $-\infty \leq t < \infty$, X is uniformly nonsquare $\Leftrightarrow C_t(X) < 2$.
- (ii) $\frac{J^2(X)}{2} \leq C_{-\infty}(X) \leq C_Z(X) \leq C_{NJ}(X) \leq J(X)$ and $\frac{J^2(X)}{2} \leq C'_t(X) \leq C_{NJ}(X) \leq J(X)$. Moreover, these inequalities are strict in some Banach spaces.

Another coefficient which was used to give sufficient conditions for normal structure is the coefficient of weak orthogonality $\mu(X)$, which is defined as

$$\mu(X) = \inf \left\{ \lambda : \limsup_{n \rightarrow \infty} \|x_n + x\| \leq \lambda \limsup_{n \rightarrow \infty} \|x_n - x\| \right\},$$

where the infimum is taken over all $x \in X$ and all weakly null sequence $\{x_n\}$. It is proved that $1 \leq \mu(X) \leq 3$ for all Banach space and $\mu(X) = \mu(X^*)$ in reflexive Banach space([9]).

Let us mention another geometrical constant $R(1, X)$ was considered in the paper, which was defined by Domínguez Benavides [6] as

$$R(1, X) = \sup \left\{ \liminf_{n \rightarrow \infty} \{ \|x_n + x\| \} \right\},$$

where the supremum is taken over all $x \in X$ with $\|x\| \leq 1$ and all weakly null sequences $\{x_n\}$ in B_X such that

$$D[\{x_n\}] := \limsup_{n \rightarrow \infty} \limsup_{m \rightarrow \infty} \|x_n - x_m\| \leq 1.$$

The weakly convergent sequence coefficient $WCS(X)$ was defined in [3] as the supremum of the set of all numbers M with the property that for each weakly convergent sequence $\{x_n\}$, there is some y in the closed convex hull of the sequence such that

$$M \limsup_{n \rightarrow \infty} \|x_n - y\| \leq \limsup_{n \rightarrow \infty} \{ \|x_i - x_j\| : i, j \geq n \}.$$

It is well known that $1 \leq WCS(X) \leq 2$, and $WCS(X) > 1$ implies X has the weakly uniformly normal structure. However, the above definition of $WCS(X)$ does not make sense if the space X has the Schur property, therefore we utilize the following equivalent formulation ([2]) in this paper:

$$WCS(X) = \inf \left\{ \lim_{n \neq m} \|x_n - x_m\| \right\},$$

where the infimum is taken over all weakly null sequences $\{x_n\}$ in X such that $\lim_{n \rightarrow \infty} \|x_n\| = 1$ and $\lim_{n \neq m} \|x_n - x_m\|$ exists.

3. Main results

THEOREM 1. *Let X be a Banach space, the following inequality holds.*

$$WCS(X) \geq \frac{1 + \frac{\tau}{\mu(X)}}{J_{X,t}(\tau)}.$$

Proof. Case 1: If $J_{X,t}(\tau) = 1 + \tau$, it suffices to note that $WCS(X) \geq 1, \mu(X) \geq 1$,

$$\frac{1 + \frac{\tau}{\mu(X)}}{J_{X,t}(\tau)} = \frac{1 + \frac{\tau}{\mu(X)}}{1 + \tau} \leq \frac{1 + \tau}{1 + \tau} = 1.$$

In this case, our estimate is a trivial one.

Case 2: If $J_{X,t}(\tau) < 1 + \tau$, then X is uniformly nonsquare and therefore reflexive. Let $\{x_n\}$ be a weakly null sequence in S_X . Assume that $d = \lim_{n \neq m} \|x_n - x_m\|$ exists and consider a normalized functional sequence $\{x_n^*\}$ such that $x_n^*(x_n) = 1$. Note that the reflexivity of X guarantees that there exists a $x^* \in X^*$ such that $x_n^* \xrightarrow{w^*} x^*$. Let $0 < \varepsilon < 1$ and choose N large enough so that $|x^*(x_N)| < \varepsilon$ and

$$d - \varepsilon < \|x_m - x_N\| < d + \varepsilon$$

for all $m > N$. By the definition of $\mu(X)$,

$$\limsup_{n \rightarrow \infty} \left\| \frac{x_m + x_N}{d + \varepsilon} \right\| \leq \mu(X) \limsup_{n \rightarrow \infty} \left\| \frac{x_m - x_N}{d + \varepsilon} \right\| \leq \mu(X).$$

Thus, we can choose $M > N$ large enough such that

- (i) $|x_N^*(x_M)| < \varepsilon$;
- (ii) $|(x_M^* - x^*)(x_N)| < \frac{\varepsilon}{2}$;
- (iii) $\left\| \frac{x_N - x_M}{d + \varepsilon} \right\| \leq 1$;
- (iv) $\left\| \frac{x_N + x_M}{d + \varepsilon} \right\| \leq \mu(X) + \varepsilon$;

then

$$|x_M^*(x_N)| \leq |(x_M^* - x^*)(x_N)| + |x^*(x_N)| < \varepsilon.$$

Now, denote $\mu := \mu(X)$, let us put $x = \frac{x_N - x_M}{d + \varepsilon}, y = \frac{x_N + x_M}{(d + \varepsilon)(\mu + \varepsilon)}$. It follows that $x, y \in B_X$ and

$$\begin{aligned} (d + \varepsilon)\|x + \tau y\| &= \left\| \left(1 + \frac{\tau}{\mu + \varepsilon}\right)x_N - \left(1 - \frac{\tau}{\mu + \varepsilon}\right)x_M \right\| \\ &\geq \left(1 + \frac{\tau}{\mu + \varepsilon}\right)x_N^*(x_N) - \left(1 - \frac{\tau}{\mu + \varepsilon}\right)x_N^*(x_M) \\ &\geq 1 + \frac{\tau}{\mu + \varepsilon} - \varepsilon, \end{aligned}$$

$$\begin{aligned} (d + \varepsilon)\|x - \tau y\| &= \left\| \left(1 + \frac{\tau}{\mu + \varepsilon}\right)x_M - \left(1 - \frac{\tau}{\mu + \varepsilon}\right)x_N \right\| \\ &\geq \left(1 + \frac{\tau}{\mu + \varepsilon}\right)x_M^*(x_M) - \left(1 - \frac{\tau}{\mu + \varepsilon}\right)x_M^*(x_N) \\ &\geq 1 + \frac{\tau}{\mu + \varepsilon} - \varepsilon. \end{aligned}$$

This together with the definition of $J_{X,t}(\tau)$ give that

$$(d + \varepsilon)J_{X,t}(\tau) \geq 1 + \frac{\tau}{\mu + \varepsilon} - \varepsilon.$$

Since the sequence $\{x_n\}$ and ε are arbitrary, we obtain the following inequality from the definition of $WCS(X)$,

$$WCS(X) \geq \frac{1 + \frac{\tau}{\mu(X)}}{J_{X,t}(\tau)}. \quad \square$$

COROLLARY 1. *Let X be a Banach space with*

$$J_{X,t}(\tau) < 1 + \frac{\tau}{\mu(X)}$$

for some $\tau \geq 0$ and all $t \in [-\infty, +\infty)$, then X has normal structure.

Proof. Firstly, observe that $J_{X,t}(\tau) < 1 + \frac{\tau}{\mu(X)} \leq 1 + \tau$, then X is reflexive, so weak normal structure coincides with normal structure, it is sufficient to prove that $WCS(X) > 1$. By the assumption that $J_{X,t}(\tau) < 1 + \frac{\tau}{\mu(X)}$ and Theorem 1, then

$$WCS(X) \geq \frac{1 + \frac{\tau}{\mu(X)}}{J_{X,t}(\tau)} > 1.$$

REMARK 1. In fact, some sufficient conditions which imply normal structure in term of Schäffer type constant $S_{X,t}(\tau)$ have been presented in [20]. Let X be a Banach space with

$$S_{X,t}(\tau) > \frac{1}{g(\tau)} \left(\frac{(1 + 2\tau - \tau^2)^t + (1 + \tau^2)^t}{2} \right)^{\frac{1}{t}}$$

for some $\tau \in (0, 1]$, where $g(\tau) = \frac{\tau + \sqrt{4 + \tau^2}}{2}$, then X has normal structure. The result can be deduced from the inequality between the Schäffer type constant $S_{X,t}(\tau)$ and James type constant $J_{X,t}(\tau)$,

$$2[S_{X,t}(\tau)]^t [J_{X,t}(\tau)]^t \leq (1 + 2\tau - \tau^2)^t + (1 + \tau^2)^t$$

for all $0 \leq \tau \leq 1$ and $1 < t < \infty$. However, the lower bounds for the weakly convergent sequence coefficient $WCS(X)$ were given in terms of the James type constant $J_{X,t}(\tau)$ and some other classical geometrical constant in this paper. By mean of these bounds, we identify some geometrical properties implying normal structure in the following Corollaries.

COROLLARY 2. *Let X be a Banach space fails the Schur property, then X has normal structure if the constants satisfy any one of the following conditions:*

- (i) $J(X) < 1 + \frac{1}{\mu(X)}$,
- (ii) $\rho'_X(0) < \frac{1}{\mu(X)}$,
- (iii) $C_{-\infty}(X) < 1 + \frac{1}{\mu(X)^2}$,
- (iv) $C'_t(X) < \frac{(1 + \frac{1}{\mu(X)})^2}{2}$.

Proof. (i) The result can be obtained by letting $t = -\infty$ and $\tau = 1$ in Corollary 1.
 (ii) From $\rho_X(\tau) = J_{X,1}(\tau) - 1$ and Corollary 1, we can get the result (ii).
 (iii) From the definition of Jordan-von Neumann type constant $C_t(X)$, then

$$C_t(X) \geq \frac{J_{X,t}^2(\tau)}{1 + \tau^2}. \tag{1}$$

Take $\tau = \frac{1}{\mu(X)}$ and $t = -\infty$ in (1), we can get the result (iii) from Corollary 1.
 (iv) Let $\tau = 1$ in (1), the assertions are obtained from the Corollary 1.

THEOREM 2. *Let $\tau \geq 0$ and $t \in [-\infty, +\infty)$, then for any Banach space X ,*

$$J_{X,t}(\tau) \geq \frac{1}{WCS(X)} + \frac{\tau}{R(1,X) + (1 - \frac{1}{WCS(X)})}.$$

Proof. Case 1: If $J_{X,t}(\tau) = 1 + \tau$ and it suffices to note that $WCS(X) \geq 1$ and the following inequality

$$R(1,X) \geq WCS(X) - 1 + \frac{1}{WCS(X)} \geq \frac{1}{WCS(X)},$$

then

$$J_{X,t}(\tau) = 1 + \tau \geq \frac{1}{WCS(X)} + \frac{\tau}{R(1,X) + (1 - \frac{1}{WCS(X)})}.$$

In this case, the estimate is proved.

Case 2: If $J_{X,t}(\tau) < 1 + \tau$, then X is reflexive. Let $\{x_n\}$ be a weakly null sequence in S_X such that $d = \lim_{n \neq m} \|x_n - x_m\|$ exists and x_N, x_M^*, x_N^* be chosen as in Theorem 1.

Note that

$$\lim_{n \neq m} \left\| \frac{x_m - x_n}{d + \varepsilon} \right\| \leq 1, \quad \left\| \frac{x_N}{d + \varepsilon} \right\| \leq 1.$$

By the definition of $R(1,X)$, we can choose $M > N$ large enough such that

- (i) $x_N^*(x_M) < \varepsilon$;

- (ii) $\|(x_M^* - x^*)(x_N)\| < \frac{\varepsilon}{2}$;
- (iii) $\|\frac{x_N}{d+\varepsilon} + x_M\| \leq R(1, X) + \varepsilon$;

then

$$\|x_N + x_M\| \leq \|\frac{x_N}{d+\varepsilon} + x_M\| + (1 - \frac{1}{d+\varepsilon})\|x_N\| \leq R(1, X) + \varepsilon + (1 - \frac{1}{d+\varepsilon}),$$

$$|x_M^*(x_N)| \leq |(x_M^* - x^*)(x_N)| + |x^*(x_N)| < \varepsilon.$$

Now, denote $R := R(1, X)$, let us put $x = \frac{x_N - x_M}{d+\varepsilon}$, $y = \frac{x_N + x_M}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})}$, it is easy to check that $x, y \in B_X$, for all $\tau \geq 0$

$$\begin{aligned} \|x + \tau y\| &= \left\| \left(\frac{1}{d+\varepsilon} + \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})} \right) x_N - \left(\frac{1}{d+\varepsilon} - \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})} \right) x_M \right\| \\ &\geq \left(\frac{1}{d+\varepsilon} + \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})} \right) x_N^*(x_N) - \left(\frac{1}{d+\varepsilon} - \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})} \right) x_N^*(x_M) \\ &\geq \frac{1}{d+\varepsilon} + \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})}, \end{aligned}$$

$$\begin{aligned} \|x - \tau y\| &= \left\| \left(\frac{1}{d+\varepsilon} + \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})} \right) x_M - \left(\frac{1}{d+\varepsilon} - \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})} \right) x_N \right\| \\ &\geq \left(\frac{1}{d+\varepsilon} + \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})} \right) x_M^*(x_M) - \left(\frac{1}{d+\varepsilon} - \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})} \right) x_M^*(x_N) \\ &\geq \frac{1}{d+\varepsilon} + \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})}. \end{aligned}$$

This together with the definition of $J_{X,t}(\tau)$ give that

$$J_{X,t}(\tau) \geq \frac{1}{d+\varepsilon} + \frac{\tau}{R+\varepsilon+(1-\frac{1}{d+\varepsilon})}.$$

Since the sequence $\{x_n\}$ and ε are arbitrary, we can get the following estimates from the definition of $WCS(X)$,

$$J_{X,t}(\tau) \geq \frac{1}{WCS(X)} + \frac{\tau}{R+(1-\frac{1}{WCS(X)})}.$$

Recently, Zuo and Tang [22] have proved the following Theorem and Corollary.

THEOREM 3. *Let $\tau \geq 0$ and $t \in [-\infty, +\infty)$, then for any Banach space X ,*

$$J_{X,t}(\tau) \geq \frac{1}{WCS(X)} \left(1 + \frac{\tau}{R(1, X)} \right).$$

COROLLARY 3. *Let X be a Banach space with*

$$J_{X,t}(\tau) < 1 + \frac{\tau}{R(1,X)}$$

for some $\tau \geq 0$ and all $t \in [-\infty, +\infty)$, then X has normal structure.

REMARK 2. (1)Take $t = -\infty$ and $\tau = 1$ in Theorem 2, then we can get the following inequality

$$J(X) \geq \frac{1}{WCS(X)} + \frac{1}{R(1,X) + (1 - \frac{1}{WCS(X)})}$$

It was proved in [13] that $J(X) \geq R(1,X)$, therefore

$$\begin{aligned} J(X) &\geq \frac{1}{WCS(X)} + \frac{1}{R(1,X) + (1 - \frac{1}{WCS(X)})} \\ &\geq \frac{1}{WCS(X)} + \frac{1}{J(X) + (1 - \frac{1}{WCS(X)})} \end{aligned}$$

which is equivalently the following inequality

$$WCS(X) \geq \frac{2}{2J(X) + 1 - \sqrt{5}}$$

The result improves the Theorem 3.2 in [5], it is clear that

$$WCS(X) \geq \frac{2}{2J(X) + 1 - \sqrt{5}} > \frac{J(X) + 1}{[J(X)]^2},$$

provided that $J(X) < \frac{1+\sqrt{5}}{2}$.

(2)It is easy to check that

$$\frac{1}{WCS(X)} + \frac{\tau}{R(1,X) + (1 - \frac{1}{WCS(X)})} \geq \frac{1}{WCS(X)} \left(1 + \frac{\tau}{R(1,X)} \right).$$

The inequality is strict for the case $WCS(X) > 1$, therefore Theorem 2 improve the Theorem 3 and Corollary 3. Meanwhile, we can also get the following Corollary in [22].

COROLLARY 4. *Let X be a Banach space with*

$$C_t(X) < 1 + \frac{1}{R(1,X)^2},$$

for some $t \in [-\infty, +\infty)$, then X has normal structure.

Proof. From the definition of Jordan-von Neumann type constant $C_I(X)$, then

$$C_I(X) \geq \frac{J_{X,I}^2(\tau)}{1 + \tau^2}. \quad (2)$$

Let $\tau = \frac{1}{R(1,X)}$ in (2), then

$$J_{X,I}(\tau) < 1 + \frac{\tau}{R(1,X)}.$$

The assertions are obtained from the Corollary 3.

REMARK 3. The Bynum space $l_{2,\infty}$, which is the space l_2 renormed according to $\|x\|_{2,\infty} = \max\{\|x^+\|, \|x^-\|\}$, where x^+ and x^- are the positive and the negative part of x , respectively, defined as $x^+(i) = \max\{x(i), 0\}$ and $x^- = x^+ - x$. In the sequence, we use the computation to conclude that the Bynum space $l_{2,\infty}$ is a limiting space for both Theorem 1, Corollary 1, Theorem 2, Theorem 3 and Corollary 3. Using the same method in [9], it is not hard to see that $J_{X,I}(\tau) = 1 + \frac{\tau}{\sqrt{2}}$, $\mu(l_{2,\infty}) = \sqrt{2}$ ([9]), $R(1,X) = \sqrt{2}$ ([6]) and $WCS(X) = 1$, then the estimates in Theorem 1, Corollary 1, Theorem 2, Theorem 3 and Corollary 3 become equality. However, the Bynum space $l_{2,\infty}$ lacks normal structure, therefore the results obtained in the paper are sharp.

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