

INTEGRAL ESTIMATES FOR THE FAMILY OF B-OPERATORS

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Abstract. Let \mathcal{P}_n be the class of polynomials of degree at most n . In 1969, Rahman introduced a class \mathcal{B}_n of operators B that map \mathcal{P}_n into itself and proved that

$$\|B[P(R \cdot)]\|_\infty \leq \|B[E_n(R \cdot)]\| \|P\|_\infty, \quad R \geq 1,$$

for every $B \in \mathcal{B}_n$, where $E_n(z) := z^n$.

In this paper, we show that this inequality holds analogously for the norm $\|\cdot\|_q$ with $q \geq 1$ and for some of its refinements as well.

1. Introduction

Let \mathcal{P}_n be the class of polynomials $P(z) := \sum_{j=0}^n a_j z^j$ of degree at most n with complex coefficients. For $P \in \mathcal{P}_n$, define

$$\|P\|_q := \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q} \quad \text{and} \quad \|P\|_\infty := \max_{|z|=1} |P(z)|.$$

It is known that if $P \in \mathcal{P}_n$, then

$$\|P'\|_\infty \leq n \|P\|_\infty \tag{1}$$

$$\|P(R \cdot)\|_\infty \leq R^n \|P\|_\infty, \quad R > 1. \tag{2}$$

Inequality (1) is an immediate consequence of a famous result due to Bernstein on the derivative of a trigonometric polynomial (for reference see [4]), whereas inequality (2) is a simple deduction from the maximum modulus principle (see [15, p.346], [11, p.158 problem 269]).

Inequalities (1) and (2) can be obtained by letting $q \rightarrow \infty$ in

$$\|P'\|_q \leq n \|P\|_q, \quad q > 0 \tag{3}$$

and

$$\|P(R \cdot)\|_q \leq R^n \|P\|_q, \quad R > 1 \quad \text{and} \quad q > 0. \tag{4}$$

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Inequality (3) for $q \geq 1$ is due to Zygmund [17], where as inequality (4) is a simple consequence of a result due to Hardy [8]. Arestov [2] proved that (3) remains true for $0 < q < 1$ as well.

For the class of polynomials $P \in \mathcal{P}_n$ such that $P(z) \neq 0$ in $|z| < 1$, inequalities (1) and (2) can be replaced by

$$\|P'\|_\infty \leq \frac{n}{2} \|P\|_\infty \quad (5)$$

and

$$\|P(R \cdot)\|_\infty \leq \frac{R^n + 1}{2} \|P\|_\infty, \quad R > 1. \quad (6)$$

Inequality (5) was conjectured by Erdős and later verified by Ix [9], whereas Ankeny and Rivlin [1] used (5) to prove (6).

Inequalities (5) and (6) can be obtained by letting $q \rightarrow \infty$ in

$$\|P'\|_q \leq \frac{n}{\|1 + E_n\|_q} \|P\|_q, \quad \text{for } q > 0, \quad (7)$$

and

$$\|P(R \cdot)\|_q \leq \frac{\|E_n(R \cdot) + 1\|_q}{\|1 + E_n\|_q} \|P\|_q \quad \text{for } R > 1 \text{ and } q > 0. \quad (8)$$

Inequality (7) was found out by de Bruijn [6] for $q \geq 1$, whereas inequality (8) for $q \geq 1$ was proved by Boas and Rahman [5]. Rahman and Schmeisser [13] have shown that inequalities (7) and (8) remain true for $0 < q < 1$ as well.

Rahman [12] (see also Rahman and Schmeisser [14, p.538]) introduced a class \mathcal{B}_n of operators B that map $P \in \mathcal{P}_n$ into itself. That is, the operator B carries $P \in \mathcal{P}_n$ into

$$B[P](z) := \lambda_0 P(z) + \lambda_1 \left(\frac{nz}{2}\right) \frac{P'(z)}{1!} + \lambda_2 \left(\frac{nz}{2}\right)^2 \frac{P''(z)}{2!}, \quad (9)$$

where λ_0 , λ_1 and λ_2 are real or complex numbers such that all the zeros of

$$\mathcal{U}(z) := \lambda_0 + C(n, 1)\lambda_1 z + C(n, 2)\lambda_2 z^2, \quad C(n, r) = \frac{n!}{r!(n-r)!}, \quad (10)$$

lie in the half plane

$$|z| \leq \left|z - \frac{n}{2}\right| \quad (11)$$

and observed:

THEOREM A. *If $P(z)$ is a polynomial of degree n , then*

$$|P(z)| \leq M, \quad |z| = 1$$

implies

$$|B[P](z)| \leq M |B[z^n]|, \quad |z| \geq 1. \quad (12)$$

As an improvement of (12), recently authors [16] proved the following:

THEOREM B. *If $P \in \mathcal{P}_n$ and $P(z) \neq 0$ in $|z| < 1$, then*

$$|B[P](z)| \leq \frac{1}{2} \{ |B[z^n]| + |\lambda_0| \} \max_{|z|=1} |P(z)|, \quad |z| \geq 1. \tag{13}$$

The result is sharp and equality holds for a polynomial whose all zeros lie on the unit disk.

For suitable choices of λ_0, λ_1 and λ_2 (see [16]) Theorem A yields inequalities (1) and (2), whereas Theorem B yields inequalities (5) and (6).

A natural question arises. Does there exist similar integral estimates which yield the compact generalizations of inequalities (3), (4) and (7), (8) respectively such that for $q \rightarrow \infty$, these inequalities reduce to Theorem A and Theorem B as well? As an answer to this question, we have been able to prove the following:

THEOREM 1. *If $P \in \mathcal{P}_n$, then for every $R \geq 1$, $q \geq 1$ and $|z| = 1$,*

$$\|B[P(R \cdot)]\|_q \leq |B[E_n(R \cdot)]| \|P\|_q, \tag{14}$$

where $B \in \mathcal{B}_n$ and $E_n(z) := z^n$. Or, equivalently for $0 \leq \theta < 2\pi$,

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} \left| \lambda_0 P(Re^{i\theta}) + \lambda_1 \left(\frac{nRe^{i\theta}}{2} \right) P'(Re^{i\theta}) + \lambda_2 \left(\frac{nRe^{i\theta}}{2} \right)^2 \frac{P''(Re^{i\theta})}{2!} \right|^q d\theta \right\}^{1/q} \\ \leq R^n \left| \lambda_0 + \lambda_1 \frac{n^2}{2} + \lambda_2 \frac{n^3(n-1)}{8} \right| \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q}, \tag{15}$$

where $\lambda_0, \lambda_1, \lambda_2$ are defined above.

The result is best possible and equality holds for $P(z) = \alpha z^n$, $\alpha \neq 0$.

Theorem A immediately follows from Theorem 1, if we let $q \rightarrow \infty$ in inequality (14).

REMARK 1. If we choose $\lambda_0 = 0 = \lambda_2$ in (15), which is possible, as it can be easily verified that in this case all the zeros of $\mathcal{U}(z)$ defined by (10) lie in (11), we get inequality (3) for every $q \geq 1$.

THEOREM 2. *Let $P \in \mathcal{P}_n$ be such that $P(z) \neq 0$ in $|z| < 1$, then for every $R \geq 1$, $q \geq 1$ and $|z| = 1$,*

$$\|B[P(R \cdot)]\|_q \leq \frac{|B[E_n(R \cdot)]| + |\lambda_0|}{\|1 + E_n\|_q} \|P\|_q, \tag{16}$$

where $B \in \mathcal{B}_n$ and $E_n(z) := z^n$.

Or, equivalently for $0 \leq \theta < 2\pi$,

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} \left| \lambda_0 P(Re^{i\theta}) + \lambda_1 \left(\frac{nRe^{i\theta}}{2} \right) P'(Re^{i\theta}) + \lambda_2 \left(\frac{nRe^{i\theta}}{2} \right)^2 \frac{P''(Re^{i\theta})}{2!} \right|^q d\theta \right\}^{1/q}$$

$$\leq \frac{\left| \lambda_0 + \lambda_1 \frac{n^2}{2} + \lambda_2 \frac{n^3(n-1)}{8} \right| R^n + |\lambda_0|}{\left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + e^{in\theta}|^q d\theta \right\}^{1/q}} \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q}. \quad (17)$$

The result is best possible and equality holds for the polynomial $P(z) = \alpha z^n + \beta$, where $|\alpha| = |\beta|$.

Theorem B easily follows from Theorem 2, if we make $q \rightarrow \infty$ in inequality (16). Further, if we choose $\lambda_0 = 0 = \lambda_2$, $R = 1$ in (17) which is possible, we get inequality (7) for every $q \geq 1$. On the otherhand, for $\lambda_1 = \lambda_2 = 0$, we have the following:

COROLLARY 1. *If $P \in \mathcal{P}_n$ be such that $P(z) \neq 0$ in $|z| < 1$, then for every $R \geq 1$, $q \geq 1$ and $|z| = 1$,*

$$\|P(R \cdot)\|_q \leq \frac{R^n + 1}{\|1 + E_n\|_q} \|P\|_q.$$

REMARK 2. Since inequalities (3), (4) and (7), (8) hold for every $q \geq 0$, we have a feeling that Theorem 1 and Theorem 2 hold true for $q \in (0, 1)$ as well.

A polynomial $P(z)$ is said to be self-inversive if $P(z) = uQ(z)$, $|u| = 1$, where $Q(z) = z^n \overline{P(1/\bar{z})}$. It is known [7] that if $P \in \mathcal{P}_n$ is a self inversive polynomial, then for every $q \geq 1$,

$$\|P'\|_q \leq \frac{n}{\|1 + E_n\|_q} \|P\|_q. \quad (18)$$

We next present the following more general result concerning self inversive polynomials, which includes inequality (18) as a special case. We prove.

THEOREM 3. *If $P \in \mathcal{P}_n$ is self inversive, then for every $q \geq 1$, $R \geq 1$ and $|z| = 1$,*

$$\|B[P(R \cdot)]\|_q \leq \frac{|B[E_n(R \cdot)]| + |\lambda_0|}{\|1 + E_n\|_q} \|P\|_q, \quad (19)$$

where $B \in \mathcal{B}_n$ and $E_n(z) := z^n$.

Or, equivalently for $0 \leq \theta < 2\pi$,

$$\left\{ \frac{1}{2\pi} \int_0^{2\pi} \left| \lambda_0 P(Re^{i\theta}) + \lambda_1 \left(\frac{nRe^{i\theta}}{2} \right) P'(Re^{i\theta}) + \lambda_2 \left(\frac{nRe^{i\theta}}{2} \right)^2 \frac{P''(Re^{i\theta})}{2!} \right|^q d\theta \right\}^{1/q}$$

$$\leq \frac{\left| \lambda_0 + \lambda_1 \frac{n^2}{2} + \lambda_2 \frac{n^3(n-1)}{8} \right| + |\lambda_0|}{\left\{ \frac{1}{2\pi} \int_0^{2\pi} |1 + e^{in\theta}|^q d\theta \right\}^{1/q}} \left\{ \frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \right\}^{1/q}. \quad (20)$$

The result is sharp and equality holds for $P(z) = z^n + 1$.

Theorem 4 of [16] is a special case of this theorem, if we let $q \rightarrow \infty$.

For $\lambda_0 = 0 = \lambda_2$, $R = 1$ inequality (20) yields inequality (18) and for $\lambda_1 = 0 = \lambda_2$, we also have the following:

COROLLARY 2. *If $P \in \mathcal{P}_n$ is self inversive, then for every $q \geq 1$, $R \geq 1$,*

$$\|P(R \cdot)\|_q \leq \frac{R^n + 1}{\|1 + E_n\|_q} \|P\|_q \text{ for } |z| = 1. \quad (21)$$

The result is sharp and equality holds for $P(z) = z^n + 1$.

2. Lemmas

For the proofs of these theorems, we need the following lemmas.

LEMMA 1. Let \mathcal{P}_n denote the linear space of polynomials

$$P(z) = a_0 + \dots + a_n z^n$$

of degree n with complex coefficients, normed by $\|P\| = \max |P(e^{i\theta})|$, $0 < \theta \leq 2\pi$. Define the linear functional \mathcal{L} on \mathcal{P}_n as

$$\mathcal{L} : P \rightarrow l_0 a_0 + l_1 a_1 + \dots + l_n a_n,$$

where l_j 's are complex numbers. If the norm of the functional is \mathcal{N} then

$$\int_0^{2\pi} \Theta \left(\frac{|\sum_{k=0}^n l_k a_k e^{ik\theta}|}{\mathcal{N}} \right) d\theta \leq \int_0^{2\pi} \Theta \left(\left| \sum_{k=0}^n a_k e^{ik\theta} \right| \right) d\theta, \tag{22}$$

where $\Theta(t)$ is a non-decreasing convex function of t . The above lemma is due to Rahman [12].

The next lemma which we need follows from [10, Corollary 18.3], (see also [12]).

LEMMA 2. If all the zeros of a polynomial $P(z)$ of degree n lie in a circle $|z| \leq 1$, then all the zeros of the polynomial $B[P](z)$ also lie in the circle $|z| \leq 1$.

LEMMA 3. If $P \in \mathcal{P}_n$ and $P(z) \neq 0$ in $|z| < 1$, then for $|z| \geq 1$,

$$|B[P](z)| \leq |B[Q](z)|, \tag{23}$$

where $Q(z) = z^n \overline{P(\frac{1}{z})}$.

The proof of Lemma 3 is implicit in [12, Section 5].

LEMMA 4. If $P \in \mathcal{P}_n$, then for every $R \geq 1$, $q \geq 1$, $0 \leq \theta < 2\pi$

$$\begin{aligned} \int_0^{2\pi} \int_0^{2\pi} \left| B[P(Re^{i\theta})] + e^{in\alpha} B[R^n P(e^{i\theta}/R)] \right|^q d\theta d\alpha \\ \leq 2\pi \left[|B[R^n e^{in\theta}]| + |\lambda_0| \right]^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta. \end{aligned} \tag{24}$$

Proof of Lemma 4. Let $M = \max_{|z|=1} |P(z)|$, so that $|P(z)| \leq M$ for $|z| \leq 1$. If λ is any real or complex number with $|\lambda| > 1$, then by Rouchés theorem $P(z) - \lambda M$ does not vanish in $|z| \leq 1$. Hence, if $Q(z) = z^n \overline{P(\frac{1}{z})}$, then by Lemma 3 and the fact that $B[1] = \lambda_0$, we have

$$|B[P](z) - \lambda \lambda_0 M| \leq |B[Q](z) - \lambda M B[z^n]| \text{ for } |z| \geq 1. \tag{25}$$

Since $|Q(z)| = |P(z)| \leq M$ for $|z| = 1$, therefore by inequality (12), it is possible to choose argument of λ such that

$$|B[Q](z) - \lambda MB[z^n]| = M|\lambda| |B[z^n]| - |B[Q](z)|.$$

Hence choosing the argument of λ in the right hand side of inequality (25) suitably, we get

$$|B[P](z)| - |\lambda| |\lambda_0| M \leq M|\lambda| |B[z^n]| - |B[Q](z)|.$$

This gives after making $|\lambda| \rightarrow 1$

$$|B[P](z)| + |B[Q](z)| \leq \{|B[z^n]| + |\lambda_0|\} M \text{ for } |z| \geq 1. \quad (26)$$

In particular for every θ , $0 \leq \theta < 2\pi$ and $R \geq 1$, we have

$$\left| B[P(Re^{i\theta})] \right| + \left| B[R^n P(e^{i\theta}/R)] \right| \leq \left\{ \left| B[R^n e^{in\theta}] \right| + |\lambda_0| \right\} M.$$

Thus for every α with $0 \leq \alpha < 2\pi$, we have

$$\left| B[P(Re^{i\theta})] + e^{in\alpha} B[R^n P(e^{i\theta}/R)] \right| \leq \left\{ \left| \lambda_0 + \frac{n^2}{2} \lambda_1 + \frac{n^3(n-1)}{8} \lambda_2 \right| R^n + |\lambda_0| \right\} M. \quad (27)$$

This shows that

$$\Lambda := B[P(Re^{i\theta})] + e^{in\alpha} B[R^n P(e^{i\theta}/R)]$$

is a bounded linear operator on \mathcal{P}_n and in view of (27), the norm of the bounded linear functional

$$\mathcal{L} : P \rightarrow \left\{ B[P(Re^{i\theta})] + e^{in\alpha} B[R^n P(e^{i\theta}/R)] \right\}_{\theta=0}$$

is

$$\left| \lambda_0 + \frac{n^2}{2} \lambda_1 + \frac{n^3(n-1)}{8} \lambda_2 \right| R^n + |\lambda_0|.$$

Therefore, by Lemma 1 for $\Theta(t) = t^q$, $q \geq 1$, it follows that

$$\begin{aligned} & \int_0^{2\pi} \left| B[P(Re^{i\theta})] + e^{in\alpha} B[R^n P(e^{i\theta}/R)] \right|^q d\theta \\ & \leq \left[\left| \lambda_0 + \frac{n^2}{2} \lambda_1 + \frac{n^3(n-1)}{8} \lambda_2 \right| R^n + |\lambda_0| \right]^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta. \end{aligned} \quad (28)$$

Integrating the two sides of (28) with respect to α , we get

$$\begin{aligned} & \int_0^{2\pi} \int_0^{2\pi} \left| B[P(Re^{i\theta})] + e^{in\alpha} B[R^n P(e^{i\theta}/R)] \right|^q d\theta d\alpha \\ & \leq \int_0^{2\pi} \left[\left| \lambda_0 + \frac{n^2}{2} \lambda_1 + \frac{n^3(n-1)}{8} \lambda_2 \right| R^n + |\lambda_0| \right]^q d\alpha \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \\ & = 2\pi \left[\left| \lambda_0 + \frac{n^2}{2} \lambda_1 + \frac{n^3(n-1)}{8} \lambda_2 \right| R^n + |\lambda_0| \right]^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta \\ & = 2\pi \left[|B[R^n e^{in\theta}]| + |\lambda_0| \right]^q \int_0^{2\pi} |P(e^{i\theta})|^q d\theta. \end{aligned}$$

This completes the proof of Lemma 4. \square

3. Proofs of the Theorems

Proof of Theorem 1. If $M = \max_{|z|=1} |P(z)|$, then by inequality (12)

$$|B[P](z)| \leq M|B[z^n]| \text{ for } |z| \geq 1.$$

This in particular gives for every $\theta, 0 \leq \theta < 2\pi$ and $R \geq 1$,

$$|B[P(Re^{i\theta})]| \leq M|B[R^n e^{in\theta}]|,$$

or

$$|B[P(Re^{i\theta})]| \leq M \left| \lambda_0 + \frac{n^2}{2}\lambda_1 + \frac{n^3(n-1)}{8}\lambda_2 \right| R^n. \tag{29}$$

Since B is linear operator (see [12, sec. 5]), therefore $\Lambda = B[P(Re^{i\theta})]$ is a bounded linear operator on \mathcal{P}_n . Thus in view of (29), the norm of the bounded linear functional

$$\mathcal{L} : P \rightarrow \left\{ B[P(Re^{i\theta})] \right\}_{\theta=0}$$

is

$$\left| \lambda_0 + \frac{n^2}{2}\lambda_1 + \frac{n^3(n-1)}{8}\lambda_2 \right| R^n.$$

Hence by Lemma 1 for every $q \geq 1$, we have

$$\int_0^{2\pi} |B[P(Re^{i\theta})]|^q d\theta \leq \left\{ \lambda_0 + \frac{n^2}{2}\lambda_1 + \frac{n^3(n-1)}{8}\lambda_2 \right\} R^n \int_0^{2\pi} |P(e^{i\theta})|^q d\theta.$$

From this inequality (14) follows immediately and this completes the proof of Theorem 1. \square

Proof of Theorem 2. Since $P(z) \neq 0$ in $|z| < 1$, by Lemma 3, we have for each $\theta, 0 \leq \theta < 2\pi$ and $R \geq 1$,

$$|B[P(Re^{i\theta})]| \leq |B[R^n P(e^{i\theta}/R)]|.$$

Also for every real θ and $t \geq 1$, it can be easily verified that $|1 + te^{i\theta}| \geq |1 + e^{i\theta}|$ and therefore for every $q \geq 1$,

$$\int_0^{2\pi} |1 + te^{i\theta}|^q d\theta \geq \int_0^{2\pi} |1 + e^{i\theta}|^q d\theta. \tag{30}$$

Now, taking $t = \frac{|B[R^n P(e^{i\theta}/R)]|}{|B[P(Re^{i\theta})]|} \geq 1$ and using inequality (30), we have

$$\begin{aligned}
& \int_0^{2\pi} \int_0^{2\pi} \left| B[P(Re^{i\theta})] + e^{i\alpha} B[R^n P(e^{i\theta}/R)] \right|^q d\theta d\alpha \\
&= \int_0^{2\pi} \int_0^{2\pi} |B[P(Re^{i\theta})]|^q \left| 1 + e^{i\alpha} \frac{B[R^n P(e^{i\theta}/R)]}{B[P(Re^{i\theta})]} \right|^q d\alpha d\theta \\
&= \int_0^{2\pi} \left\{ |B[P(Re^{i\theta})]|^q \int_0^{2\pi} \left| 1 + e^{i\alpha} \frac{B[R^n P(e^{i\theta}/R)]}{B[P(Re^{i\theta})]} \right|^q d\alpha \right\} d\theta \\
&\geq \int_0^{2\pi} \left\{ |B[P(Re^{i\theta})]|^q \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha \right\} d\theta \\
&= \int_0^{2\pi} |B[P(Re^{i\theta})]|^q d\theta \int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha. \tag{31}
\end{aligned}$$

Inequality (31) in conjunction with Lemma 4, gives

$$\int_0^{2\pi} |B[P(Re^{i\theta})]|^q d\theta \leq \frac{2\pi \left[|B[R^n e^{in\theta}]| + |\lambda_0| \right]^q}{\int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta.$$

Equivalently

$$\|B[P(R \cdot)]\|_q \leq \frac{|B[E_n(R \cdot)]| + |\lambda_0|}{\|1 + E_n\|_q} \|P\|_q.$$

This completes proof of Theorem 2. \square

Proof of Theorem 3. Since $P(z)$ is a self inversive polynomial, we have

$$P(z) = uQ(z), \quad \text{where } Q(z) = z^n \overline{P(1/\bar{z})} \text{ and } |u| = 1.$$

This in particular gives

$$|B[P](z)| = |B[Q](z)| \quad \text{for } |z| \geq 1.$$

That is

$$|B[P(Re^{i\theta})]| = |B[R^n P(e^{i\theta}/R)]|, \quad \text{for } 0 \leq \theta < 2\pi. \tag{32}$$

Inequality (32) in conjunction with Lemma 4, gives

$$\int_0^{2\pi} |B[P(Re^{i\theta})]|^q d\theta \leq \frac{2\pi \left[|B[R^n e^{in\theta}]| + |\lambda_0| \right]^q}{\int_0^{2\pi} |1 + e^{i\alpha}|^q d\alpha} \int_0^{2\pi} |P(e^{i\theta})|^q d\theta.$$

Equivalently for $R \geq 1$ and $q \geq 1$,

$$\|B[P(R \cdot)]\|_q \leq \frac{|B[E_n(R \cdot)]| + |\lambda_0|}{\|1 + E_n\|_q} \|P\|_q.$$

This completes proof of Theorem 3. \square

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