

## ON DECOMPOSITION OF OPERATORS HAVING $\Gamma_3$ AS A SPECTRAL SET

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*Abstract.* The symmetrized polydisc of dimension three is the set

$$\Gamma_3 = \{(z_1 + z_2 + z_3, z_1z_2 + z_2z_3 + z_3z_1, z_1z_2z_3) : |z_i| \leq 1, i = 1, 2, 3\} \subseteq \mathbb{C}^3.$$

A triple of commuting operators for which  $\Gamma_3$  is a spectral set is called a  $\Gamma_3$ -contraction. We show that every  $\Gamma_3$ -contraction admits a decomposition into a  $\Gamma_3$ -unitary and a completely non-unitary  $\Gamma_3$ -contraction. This decomposition parallels the canonical decomposition of a contraction into a unitary and a completely non-unitary contraction. We also find new characterizations for the set  $\Gamma_3$  and  $\Gamma_3$ -contractions.

### 1. Introduction

One of the most wonderful discoveries in one variable operator theory is the canonical decomposition of a contraction which ascertains that every contraction operator (i.e, an operator with norm not greater than 1) admits a unique decomposition into two orthogonal parts of which one is a unitary and the other is a completely non-unitary contraction. More precisely, for an operator  $T$  with norm not greater than one acting on a Hilbert space  $\mathcal{H}$ , there exist unique reducing subspaces  $\mathcal{H}_1, \mathcal{H}_2$  of  $T$  such that  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$ ,  $T|_{\mathcal{H}_1}$  is a unitary and  $T|_{\mathcal{H}_2}$  is a completely non-unitary contraction (see Theorem 3.2 in Ch-I, [8] for details). A contraction on a Hilbert space is said to be *completely non-unitary* if there is no reducing subspace on which the operator acts like a unitary. Following von Neumann's famous notion of spectral set for an operator (which we define below), a contraction is better understood as an operator having the closed unit disk  $\overline{\mathbb{D}}$  of the complex plane as a spectral set. Indeed, in 1951 von Neumann proved the following theorem whose impact has been extraordinary.

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**THEOREM 1.1.** (von Neumann, [14]) *An operator  $T$  acting on a Hilbert space is a contraction if and only if the closed unit disk  $\overline{\mathbb{D}}$  is a spectral set for  $T$ .*

Since an operator having  $\overline{\mathbb{D}}$  as a spectral set admits a canonical decomposition, it is naturally asked whether we can decompose operators having a particular domain in  $\mathbb{C}^n$  as a spectral set. In [2], Agler and Young answered this question by showing an explicit decomposition of a pair of commuting operators having the closed symmetrized bidisc

$$\Gamma_2 = \{(z_1 + z_2, z_1 z_2) : |z_i| \leq 1, i = 1, 2\}$$

as a spectral set (Theorem 2.8, [2]). In this article, we provide an analogous decomposition for operators having the closed symmetrized tridisc

$$\Gamma_3 = \{(z_1 + z_2 + z_3, z_1 z_2 + z_2 z_3 + z_3 z_1, z_1 z_2 z_3) : |z_i| \leq 1, i = 1, 2, 3\}$$

as a spectral set. The reason behind considering the symmetrized polydisc of dimension 3 in particular is that there are substantial variations in operator theory if we move from two to three dimensional symmetrized polydisc, e.g., rational dilation succeeds on the symmetrized bidisc [1, 5, 11] but fails on the symmetrized tridisc, [12]. This article can be considered as a sequel of [12].

A compact subset  $X$  of  $\mathbb{C}^n$  is said to be a *spectral set* for a commuting  $n$ -tuple of bounded operators  $\underline{T} = (T_1, \dots, T_n)$  defined on a Hilbert space  $\mathcal{H}$  if the Taylor joint spectrum  $\sigma_T(\underline{T})$  of  $\underline{T}$  is a subset of  $X$  and

$$\|f(\underline{T})\| \leq \|f\|_{\infty, X} = \sup\{|f(z_1, \dots, z_n)| : (z_1, \dots, z_n) \in X\},$$

for all rational functions  $f$  in  $\mathcal{R}(X)$ . Here  $\mathcal{R}(X)$  denotes the algebra of all rational functions on  $X$ , that is, all quotients  $p/q$  of holomorphic polynomials  $p, q$  in  $n$ -variables for which  $q$  has no zeros in  $X$ .

For  $n \geq 2$ , the symmetrization map in  $n$ -complex variables  $z = (z_1, \dots, z_n)$  is the following proper holomorphic map

$$\pi_n(z) = (s_1(z), \dots, s_{n-1}(z), p(z))$$

where

$$s_i(z) = \sum_{1 \leq k_1 < k_2 < \dots < k_i \leq n-1} z_{k_1} \dots z_{k_i} \quad \text{and} \quad p(z) = \prod_{i=1}^n z_i.$$

The closed *symmetrized  $n$ -disc* (or simply closed *symmetrized polydisc*) is the image of the closed unit  $n$ -disc  $\overline{\mathbb{D}^n}$  under the symmetrization map  $\pi_n$ , that is,  $\Gamma_n := \pi_n(\overline{\mathbb{D}^n})$ . Similarly the open symmetrized polydisc  $\mathbb{G}_n$  is defined as the image of the open unit polydisc  $\mathbb{D}^n$  under  $\pi_n$ . The set  $\Gamma_n$  is polynomially convex but not convex (see [10, 7]). So in particular the closed and open symmetrized tridisc are the sets

$$\begin{aligned} \Gamma_3 &= \{(z_1 + z_2 + z_3, z_1 z_2 + z_2 z_3 + z_3 z_1, z_1 z_2 z_3) : |z_i| \leq 1, i = 1, 2, 3\} \subseteq \mathbb{C}^3 \\ \mathbb{G}_3 &= \{(z_1 + z_2 + z_3, z_1 z_2 + z_2 z_3 + z_3 z_1, z_1 z_2 z_3) : |z_i| < 1, i = 1, 2, 3\} \subseteq \Gamma_3. \end{aligned}$$

We obtain from the literature (see [10, 7]) the fact that the distinguished boundary of the symmetrized polydisc is the symmetrization of the distinguished boundary of the  $n$ -dimensional polydisc, which is  $n$ -torus  $\mathbb{T}^n$ . Hence the distinguished boundary for  $\Gamma_3$  is the set

$$b\Gamma_3 = \{(z_1 + z_2 + z_3, z_1z_2 + z_2z_3 + z_3z_1, z_1z_2z_3) : |z_i| = 1, i = 1, 2, 3\}.$$

Operator theory on the symmetrized polydiscs of dimension 2 and  $n$  have been extensively studied in past two decades [1, 2, 3, 5, 6, 7, 11, 13].

DEFINITION 1.2. A triple of commuting operators  $(S_1, S_2, P)$  on a Hilbert space  $\mathcal{H}$  for which  $\Gamma_3$  is a spectral set is called a  $\Gamma_3$ -contraction. A  $\Gamma_3$ -contraction  $(S_1, S_2, P)$  is said to a *completely non-unitary* if  $P$  is a completely non-unitary contraction.

It is evident from the definition that if  $(S_1, S_2, P)$  is a  $\Gamma_3$ -contraction then  $S_1, S_2$  have norms not greater than 3 and  $P$  is a contraction. Unitaries, isometries and co-isometries are important special classes of contractions. There are natural analogues of these classes for  $\Gamma_3$ -contractions.

DEFINITION 1.3. Let  $S_1, S_2, P$  be commuting operators on a Hilbert space  $\mathcal{H}$ . We say that  $(S_1, S_2, P)$  is

- (i) a  $\Gamma_3$ -unitary if  $S_1, S_2, P$  are normal operators and the Taylor joint spectrum  $\sigma_T(S_1, S_2, P)$  is contained in  $b\Gamma_3$  ;
- (ii) a  $\Gamma_3$ -isometry if there exists a Hilbert space  $\mathcal{K}$  containing  $\mathcal{H}$  and a  $\Gamma_3$ -unitary  $(\tilde{S}_1, \tilde{S}_2, \tilde{P})$  on  $\mathcal{K}$  such that  $\mathcal{H}$  is a common invariant subspace for  $\tilde{S}_1, \tilde{S}_2, \tilde{P}$  and that  $S_i = \tilde{S}_i|_{\mathcal{H}}$  for  $i = 1, 2$  and  $\tilde{P}|_{\mathcal{H}} = P$ ;
- (iii) a  $\Gamma_3$ -co-isometry if  $(S_1^*, S_2^*, P^*)$  is a  $\Gamma_3$ -isometry.

Moreover, a  $\Gamma_3$ -isometry  $(S_1, S_2, P)$  is said to be *pure* if  $P$  is a pure contraction, that is,  $P^n \rightarrow 0$  strongly as  $n \rightarrow \infty$ .

The main result of this article is the following explicit orthogonal decomposition of a  $\Gamma_3$ -contraction which parallels the one-variable canonical decomposition.

THEOREM 1.4. Let  $(S_1, S_2, P)$  be a  $\Gamma_3$ -contraction on a Hilbert space  $\mathcal{H}$ . Let  $\mathcal{H}_1$  be the maximal subspace of  $\mathcal{H}$  which reduces  $P$  and on which  $P$  is unitary. Let  $\mathcal{H}_2 = \mathcal{H} \ominus \mathcal{H}_1$ . Then  $\mathcal{H}_1, \mathcal{H}_2$  reduce  $S_1, S_2$ ;  $(S_1|_{\mathcal{H}_1}, S_2|_{\mathcal{H}_1}, P|_{\mathcal{H}_1})$  is a  $\Gamma_3$ -unitary and  $(S_1|_{\mathcal{H}_2}, S_2|_{\mathcal{H}_2}, P|_{\mathcal{H}_2})$  is a completely non-unitary  $\Gamma_3$ -contraction. The subspaces  $\mathcal{H}_1$  or  $\mathcal{H}_2$  may equal to the trivial subspace  $\{0\}$ .

En route we find few characterizations for the set  $\Gamma_3$  and also for the  $\Gamma_3$ -contractions which we accumulate in section 2.

### 2. Background material

In this section we recall some results from literature about the geometry and operator theory on the set  $\Gamma_3$ . Also we obtain few new results in the same direction which we accumulate here. We begin with a few characterizations of the set  $\Gamma_3$ .

**THEOREM 2.1.** *Let  $(s_1, s_2, p) \in \mathbb{C}^3$ . Then the following are equivalent:*

1.  $(s_1, s_2, p) \in \Gamma_3$  ;
2.  $(\omega s_1, \omega^2 s_2, \omega^3 p) \in \Gamma_3$  for all  $\omega \in \mathbb{T}$  ;
3.  $|p| \leq 1$  and there exists  $(c_1, c_2) \in \Gamma_2$  such that

$$s_1 = c_1 + \bar{c}_2 p \text{ and } s_2 = c_2 + \bar{c}_1 p,$$

where  $\Gamma_2$  is the closed symmetrized bidisc defined as

$$\Gamma_2 = \{(z_1 + z_2, z_1 z_2) : z_1, z_2 \in \bar{\mathbb{D}}\}.$$

*Proof.* (1)  $\Leftrightarrow$  (3) has been established in [9] (see Theorem 3.7 in [9] for a proof). We prove here (1)  $\Leftrightarrow$  (2). Let  $(s_1, s_2, p) \in \Gamma_3$ . Then by (1)  $\Leftrightarrow$  (3),  $|p| \leq 1$  and there exist  $(c_1, c_2) \in \Gamma_2$  such that

$$s_1 = c_1 + \bar{c}_2 p, \quad s_2 = c_2 + \bar{c}_1 p.$$

Since  $(c_1, c_2) \in \Gamma_2$ , there are complex numbers  $u_1, u_2$  of modulus not greater than 1 such that  $c_1 = u_1 + u_2$  and  $c_2 = u_1 u_2$ . For  $\omega \in \mathbb{T}$  if we choose  $d_1 = \omega c_1$  and  $d_2 = \omega^2 c_2$  we see that

$$d_1 = \omega u_1 + \omega u_2 \text{ and } d_2 = (\omega u_1)(\omega u_2),$$

which means that  $(d_1, d_2) \in \Gamma_2$ . Now

$$\begin{aligned} \omega s_1 &= \omega(c_1 + \bar{c}_2 p) = \omega c_1 + \overline{\omega^2 c_2}(\omega^3 p) = d_1 + \bar{d}_2(\omega^3 p), \\ \omega^2 s_2 &= \omega^2(c_2 + \bar{c}_1 p) = \omega^2 c_2 + \overline{\omega c_1}(\omega^3 p) = d_2 + \bar{d}_1(\omega^3 p). \end{aligned}$$

Therefore, by part (1)  $\Leftrightarrow$  (3),  $(\omega s_1, \omega^2 s_2, \omega^3 p) \in \Gamma_3$ . The other side of the proof is trivial.  $\square$

In a similar fashion, we have the following characterizations for  $\Gamma_3$ -contractions.

**THEOREM 2.2.** *Let  $(S_1, S_2, P)$  be a triple of commuting operators acting on a Hilbert space  $\mathcal{H}$ . Then the following are equivalent:*

1.  $(S_1, S_2, P)$  is a  $\Gamma_3$ -contraction ;
2. for all holomorphic polynomials  $f$  in three variables

$$\|f(S_1, S_2, P)\| \leq \|f\|_{\infty, \Gamma_3} = \sup\{|f(s_1, s_2, p)| : (s_1, s_2, p) \in \Gamma_3\};$$

3.  $(\omega S_1, \omega^2 S_2, \omega^3 P)$  is a  $\Gamma_3$ -contraction for any  $\omega \in \mathbb{T}$ .

*Proof.* (1)  $\Rightarrow$  (2) follows from definition of spectral set and (2)  $\Rightarrow$  (1) just requires polynomial convexity of the set  $\Gamma_3$ . We prove here (1)  $\Rightarrow$  (3) because (3)  $\Rightarrow$  (1) is obvious. Let  $f(s_1, s_2, p)$  be a holomorphic polynomial in the co-ordinates of  $\Gamma_3$  and for  $\omega \in \mathbb{T}$  let  $f_1(s_1, s_2, p) = f(\omega s_1, \omega^2 s_2, \omega^3 p)$ . It is evident from part (1)  $\Rightarrow$  (2) that

$$\sup\{|f(s_1, s_2, p)| : (s_1, s_2, p) \in \Gamma_3\} = \sup\{|f_1(s_1, s_2, p)| : (s_1, s_2, p) \in \Gamma_3\}.$$

Therefore,

$$\begin{aligned} \|f(\omega S_1, \omega^2 S_2, \omega^3 P)\| &= \|f_1(S_1, S_2, P)\| \\ &\leq \|f_1\|_{\infty, \Gamma_3} \\ &= \|f\|_{\infty, \Gamma_3}. \end{aligned}$$

Therefore, by (1)  $\Rightarrow$  (2),  $(\omega S_1, \omega^2 S_2, \omega^3 P)$  is a  $\Gamma_3$ -contraction.  $\square$

In [12], two operator pencils  $\Phi_1, \Phi_2$  were introduced which played pivotal role in determining the classes of  $\Gamma_3$ -contractions for which rational dilation failed or succeeded. Here we recall the definition of  $\Phi_1, \Phi_2$  for any three commuting operators  $S_1, S_2, P$  with  $\|S_i\| \leq 3$  and  $P$  being a contraction.

$$\begin{aligned} \Phi_1(S_1, S_2, P) &= 9(I - P^*P) + (S_1^*S_1 - S_2^*S_2) - 6 \operatorname{Re} (S_1 - S_2^*P), \\ \Phi_2(S_1, S_2, P) &= 9(I - P^*P) + (S_2^*S_2 - S_1^*S_1) - 6 \operatorname{Re} (S_2 - S_1^*P). \end{aligned}$$

The following result whose proof could be found in [12] (Proposition 4.4, [12]) is useful for this paper.

**PROPOSITION 2.3.** *Let  $(S_1, S_2, P)$  be a  $\Gamma_3$ -contraction. Then for  $i = 1, 2$ ,  $\Phi_i(\alpha S_1, \alpha^2 S_2, \alpha^3 P) \geq 0$  for all  $\alpha \in \overline{\mathbb{D}}$ .*

Here is a set of characterizations for the  $\Gamma_3$ -unitaries and for a proof of this result see Theorem 5.2 in [12] or, Theorem 4.2 in [7].

**THEOREM 2.4.** *Let  $(S_1, S_2, P)$  be a commuting triple of bounded operators. Then the following are equivalent.*

1.  $(S_1, S_2, P)$  is a  $\Gamma_3$ -unitary,
2.  $P$  is a unitary and  $(S_1, S_2, P)$  is a  $\Gamma_3$ -contraction,
3.  $(\frac{2}{3}S_1, \frac{1}{3}S_2)$  is a  $\Gamma_2$ -contraction,  $P$  is a unitary and  $S_1 = S_2^*P$ .

### 3. Proof of Theorem 1.4

First we consider the case when  $P$  is a completely non-unitary contraction. Then obviously  $\mathcal{H}_1 = \{0\}$  and if  $P$  is a unitary then  $\mathcal{H} = \mathcal{H}_1$  and so  $\mathcal{H}_2 = \{0\}$ . In such cases the theorem is trivial. So let us suppose that  $P$  is neither a unitary nor a completely non unitary contraction. With respect to the decomposition  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$ , let

$$S_1 = \begin{bmatrix} S_{111} & S_{112} \\ S_{121} & S_{122} \end{bmatrix}, S_2 = \begin{bmatrix} S_{211} & S_{212} \\ S_{221} & S_{222} \end{bmatrix} \text{ and } P = \begin{bmatrix} P_1 & 0 \\ 0 & P_2 \end{bmatrix}$$

so that  $P_1$  is a unitary and  $P_2$  is completely non-unitary. Since  $P_2$  is completely non-unitary it follows that if  $h \in \mathcal{H}$  and

$$\|P_2^n h\| = \|h\| = \|P_2^{*n} h\|, \quad n = 1, 2, \dots$$

then  $h = 0$ .

By the commutativity of  $S_1$  and  $P$  we obtain

$$S_{111}P_1 = P_1S_{111} \qquad S_{112}P_2 = P_1S_{112}, \tag{3.1}$$

$$S_{121}P_1 = P_2S_{121} \qquad S_{122}P_2 = P_2S_{122}. \tag{3.2}$$

Also the commutativity of  $S_2$  and  $P$  gives

$$S_{211}P_1 = P_1S_{211} \qquad S_{212}P_2 = P_1S_{212}, \tag{3.3}$$

$$S_{221}P_1 = P_2S_{221} \qquad S_{222}P_2 = P_2S_{222}. \tag{3.4}$$

By Proposition 2.3, we have for all  $\omega, \beta \in \mathbb{T}$ ,

$$\Phi_1(\omega S_1, \omega^2 S_2, \omega^3 P) = 9(I - P^*P) + (S_1^*S_1 - S_2^*S_2) - 6 \operatorname{Re} \omega(S_1 - S_2^*P) \geq 0,$$

$$\Phi_2(\beta S_1, \beta^2 S_2, \beta^3 P) = 9(I - P^*P) + (S_2^*S_2 - S_1^*S_1) - 6 \operatorname{Re} \beta^2(S_2 - S_1^*P) \geq 0.$$

Adding  $\Phi_1$  and  $\Phi_2$  we get

$$3(I - P^*P) - \operatorname{Re} \omega(S_1 - S_2^*P) - \operatorname{Re} \beta^2(S_2 - S_1^*P) \geq 0$$

that is

$$\begin{bmatrix} 0 & 0 \\ 0 & 3(I - P_2^*P_2) \end{bmatrix} - \operatorname{Re} \omega \begin{bmatrix} S_{111} - S_{211}^*P_1 & S_{112} - S_{221}^*P_2 \\ S_{121} - S_{212}^*P_1 & S_{122} - S_{222}^*P_2 \end{bmatrix} \tag{3.5}$$

$$- \operatorname{Re} \beta^2 \begin{bmatrix} S_{211} - S_{111}^*P_1 & S_{212} - S_{121}^*P_2 \\ S_{221} - S_{112}^*P_1 & S_{222} - S_{122}^*P_2 \end{bmatrix} \geq 0$$

for all  $\omega, \beta \in \mathbb{T}$ . Since the matrix in the left hand side of (3.5) is self-adjoint, if we write (3.5) as

$$\begin{bmatrix} R & X \\ X^* & Q \end{bmatrix} \geq 0, \tag{3.6}$$

then

$$\left\{ \begin{array}{l} \text{(i) } R, Q \geq 0 \text{ and } R = -\operatorname{Re} \omega(S_{111} - S_{211}^*P_1) - \operatorname{Re} \beta^2(S_{211} - S_{111}^*P_1) \\ \text{(ii) } X = -\frac{1}{2}\{\omega(S_{112} - S_{221}^*P_2) + \bar{\omega}(S_{121}^* - P_1^*S_{212}) \\ \quad + \beta^2(S_{212} - S_{121}^*P_2) + \bar{\beta}^2(S_{221}^* - P_1^*S_{112})\} \\ \text{(iii) } Q = 3(I - P_2^*P_2) - \operatorname{Re} \omega(S_{122} - S_{222}^*P_2) - \operatorname{Re} \beta^2(S_{222} - S_{122}^*P_2). \end{array} \right.$$

Since the left hand side of (3.6) is a positive semi-definite matrix for every  $\omega$  and  $\beta$ , if we choose  $\beta^2 = 1$  and  $\beta^2 = -1$  respectively then consideration of the  $(1, 1)$  block reveals that

$$\omega(S_{111} - S_{211}^*P_1) + \bar{\omega}(S_{111}^* - P_1^*S_{211}) \leq 0$$

for all  $\omega \in \mathbb{T}$ . Choosing  $\omega = \pm 1$  we get

$$(S_{111} - S_{211}^*P_1) + (S_{111}^* - P_1^*S_{211}) = 0 \tag{3.7}$$

and choosing  $\omega = \pm i$  we get

$$(S_{111} - S_{211}^*P_1) - (S_{111}^* - P_1^*S_{211}) = 0. \tag{3.8}$$

Therefore, from (3.7) and (3.8) we get

$$S_{111} = S_{211}^*P_1,$$

where  $P_1$  is unitary. Similarly, we can show that

$$S_{211} = S_{111}^*P_1.$$

Therefore,  $R = 0$ . Since  $(S_1, S_2, P)$  is a  $\Gamma_3$ -contraction,  $\|S_2\| \leq 3$  and hence  $\|S_{211}\| \leq 3$ . Also since  $(S_1, S_2, P)$  is a  $\Gamma_3$ -contraction, by Lemma 2.5 of [7]  $(\frac{2}{3}S_1, \frac{1}{3}S_2)$  is a  $\Gamma_2$ -contraction and hence  $(\frac{2}{3}S_{111}, \frac{1}{3}S_{211})$  is a  $\Gamma_2$ -contraction. Therefore, by part-(3) of Theorem 2.4,  $(S_{111}, S_{211}, P_1)$  is a  $\Gamma_3$ -unitary.

Now we apply Proposition 1.3.2 of [4] to the positive semi-definite matrix in the left hand side of (3.6). This Proposition states that if  $R, Q \geq 0$  then  $\begin{bmatrix} R & X \\ X^* & Q \end{bmatrix} \geq 0$  if and only if  $X = R^{1/2}KQ^{1/2}$  for some contraction  $K$ .

Since  $R = 0$ , we have  $X = 0$ . Therefore,

$$\omega(S_{112} - S_{221}^*P_2) + \bar{\omega}(S_{121}^* - P_1^*S_{212}) + \beta^2(S_{212} - S_{121}^*P_2) + \bar{\beta}^2(S_{221}^* - P_1^*S_{112}) = 0,$$

for all  $\omega, \beta \in \mathbb{T}$ . Choosing  $\beta^2 = \pm 1$  we get

$$\omega(S_{112} - S_{221}^*P_2) + \bar{\omega}(S_{121}^* - P_1^*S_{212}) = 0,$$

for all  $\omega \in \mathbb{T}$ . With the choices  $\omega = 1, i$ , this gives

$$S_{112} = S_{221}^* P_2.$$

Therefore, we also have

$$S_{121}^* = P_1^* S_{212}.$$

Similarly, we can prove that

$$S_{212} = S_{121}^* P_2, \quad S_{221}^* = P_1^* S_{112}.$$

Thus, we have the following equations

$$S_{112} = S_{221}^* P_2 \qquad S_{121}^* = P_1^* S_{212} \qquad (3.9)$$

$$S_{212} = S_{121}^* P_2 \qquad S_{221}^* = P_1^* S_{112}. \qquad (3.10)$$

Thus from (3.9),  $S_{121} = S_{212}^* P_1$  and together with the first equation in (3.2), this implies that

$$S_{212}^* P_1^2 = S_{121} P_1 = P_2 S_{121} = P_2 S_{212}^* P_1$$

and hence

$$S_{212}^* P_1 = P_2 S_{212}^*. \qquad (3.11)$$

From equations in (3.3) and (3.11) we have that

$$S_{212} P_2 = P_1 S_{212}, \quad S_{212} P_2^* = P_1^* S_{212}.$$

Thus

$$S_{212} P_2 P_2^* = P_1 S_{212} P_2^* = P_1 P_1^* S_{212} = S_{212},$$

$$S_{212} P_2^* P_2 = P_1^* S_{212} P_2 = P_1^* P_1 S_{212} = S_{212},$$

and so we have

$$P_2 P_2^* S_{212} = S_{212} = P_2^* P_2 S_{212}^*.$$

This shows that  $P_2$  is unitary on the range of  $S_{212}^*$  which can never happen because  $P_2$  is completely non-unitary. Therefore, we must have  $S_{212}^* = 0$  and so  $S_{212} = 0$ . Similarly we can prove that  $S_{112} = 0$ . Also from (3.9),  $S_{121} = 0$  and from (3.10),  $S_{221} = 0$ . Thus with respect to the decomposition  $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_2$

$$S_1 = \begin{bmatrix} S_{111} & 0 \\ 0 & S_{122} \end{bmatrix}, \quad S_2 = \begin{bmatrix} S_{211} & 0 \\ 0 & S_{222} \end{bmatrix}.$$

So,  $\mathcal{H}_1$  and  $\mathcal{H}_2$  reduce  $S_1$  and  $S_2$ . Also  $(S_{122}, S_{222}, P_2)$ , being the restriction of the  $\mathbb{E}$ -contraction  $(S_1, S_2, P)$  to the reducing subspace  $\mathcal{H}_2$ , is an  $\Gamma_3$ -contraction. Since  $P_2$  is completely non-unitary,  $(S_{122}, S_{222}, P_2)$  is a completely non-unitary  $\Gamma_3$ -contraction.



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