

ON POWER DRAZIN NORMAL AND DRAZIN QUASI-NORMAL HILBERT SPACE OPERATORS

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Abstract. A Drazin invertible Hilbert space operator $T \in B(\mathcal{H})$, with Drazin inverse T_d , is (n, m) -power D-normal, $T \in [(n, m)DN]$, if $[T_d^n, T^{*m}] = T_d^n T^{*m} - T^{*m} T_d^n = 0$; T is (n, m) -power D-quasinormal, $T \in [(n, m)DQN]$, if $[T_d^n, T^{*m}T] = 0$. Operators $T \in [(n, m)DN]$ have a representation $T = T_1 \oplus T_0$, where T_1 is similar to an invertible normal operator and T_0 is nilpotent. Using this representation, we have a keener look at the structure of $[(n, m)DN]$ and $[(n, m)DQN]$ operators. It is seen that $T \in [(n, m)DN]$ if and only if $T \in [(n, m)DQN]$, and if $[T, X] = 0$ for some operators $X \in B(\mathcal{H})$ and $T \in [(1, 1)DN]$, then $[T_d^*, X] = 0$. Given simply polar operators $S, T \in [(1, 1)DN]$ and an operator $A = \begin{pmatrix} T & C \\ 0 & S \end{pmatrix} \in B(\mathcal{H} \oplus \mathcal{H})$, $A \in [(1, 1)DN]$ if and only if C has a representation $C = 0 \oplus C_{22}$.

1. Introduction

Let $B(\mathcal{H})$ denote the algebra of operators, i.e. bounded linear transformations, on a complex infinite dimensional Hilbert space \mathcal{H} into itself. For $S, T \in B(\mathcal{H})$, let $[S, T] = ST - TS$ denote the commutator of S, T . An operator $A \in B(\mathcal{H})$ is normal if $[A^*, A] = 0$. The spectral mapping theorem guarantees the existence of normal n th roots of a normal operator $A \in B(\mathcal{H})$; however, normal A may have other non-normal n th roots. If $T \in B(\mathcal{H})$ is an n th root of a normal operator $A \in B(\mathcal{H})$, then an application of the Fuglede theorem [9, 10] to $[T^n, T] = 0$ implies $[T^n, T^*] = 0$. Conversely, $[T^n, T^*] = 0$ implies T^n is normal. Recall, [3], that $T \in B(\mathcal{H})$ is Drazin invertible if there exists an operator $T_d \in B(\mathcal{H})$ such that

$$[T_d, T] = 0, T_d^2 T = T_d, T^{p+1} T_d = T^p$$

for some integer $p \geq 1$. The operator T_d is then the Drazin inverse of T and p is the Drazin index of T . A generalization of $[T^n, T^*] = 0$ is obtained upon replacing T by T_d : T is Drazin normal, $T \in [DN]$, if $[T_d, T^*] = 0$ [2] and T is (n, m) -Drazin normal, for some integer $m \geq 1$, $T \in [(n, m)DN]$, if $[T_d^n, T^{*m}] = 0$ [13].

It is clear that if we let the positive integer k denote the *least common multiple* of n and m , $k = \text{LCM}(n, m)$, then $T \in [(n, m)DN]$ implies T_d^k is normal. As an n th root of

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a normal operator, T_d , has a well defined structure [6, 8, 12]. Add to this the fact that as a Drazin invertible operator, T has a direct sum decomposition of type $T = T_1 \oplus T_0$, T_1 invertible and T_0 nilpotent (of some order), and T_d has a decomposition $T_d = T_1^{-1} \oplus 0$, it follows that T_1 is similar to a normal operator [14]. Using this characterisation, we study the structure of $[(n, m)DN]$ operators in this note to prove that the (so called) class $[(n, m)DQN]$ of (n, m) D-quasinormal operators T , $[T_d^n, T^{*m}T] = 0$, studied by [2, 13] coincides with the class of $[(n, m)DN]$ operators. It is seen that $T \in [(n, m)DN] \wedge [(n + 1, m)DN]$ (resp., $T \in [(n, m)DN] \wedge [(n, m + 1)DN]$) if and only if $T \in [(k, m)DN]$ (resp., $T \in [(n, k)DN]$) for all integers $k \geq 1$; an m -partially isometric $[(n, m)DN]$ contraction is the direct sum of a unitary with a nilpotent; $[T, X] = 0$ implies $[T_d^*, X] = 0$ for $T \in [DN]$ and $X \in B(\mathcal{H})$. More generally, if $A, B \in B(\mathcal{H})$ are such that $TA = BT$ for an operator $T \in [DN]$, and if either of the hypotheses $AT = TB$ and $T_d(A - B) = (B - A)T_d$ is satisfied, then $T_d^*A = BT_d^*$ and $AT_d^* = T_d^*B$. Given operators $S, T \in [(n, m)DN]$, we prove a sufficient condition for the upper triangular operator $A = \begin{pmatrix} T & C \\ 0 & S \end{pmatrix}$ to be an $[(n, m)DN]$ operator; it is seen that this condition is necessary too in the case in which $n = m = 1$, and both S and T have a simple pole at 0.

2. Results

Throughout the following, S, T shall denote operators in $B(\mathcal{H})$, n and m shall denote positive integers, and I shall denote the identity map. The spectrum of T will be denoted by $\sigma(T)$ and $\text{iso}\sigma(T)$ shall denote the isolated points of the spectrum of T . Many of the properties of $[(n, m)DN]$ operators lie on the surface. For example, $T \in [(n, m)DN]$ implies $T^k \in [(n, m)DN]$ for all integers $k \geq 1$, since

$$(T^k)_d = T_d^k, [T_d^n, T^{*m}] = 0 \implies [T_d^{kn}, T^{*mk}] = 0.$$

If $S, T \in [(n, m)DN]$ and $[S, T] = 0 = [S^*, T]$, then $(TS)_d = T_d S_d = S_d T_d = (ST)_d$,

$$[T_d^n, T^{*m}] = 0 = [S_d^n, S^{*m}] \implies [(TS)_d^n, (TS)^{*m}] = 0,$$

and this (result) in turn implies (for tensor product $T \otimes S$ of T and S) that

$$[(T \otimes S)_d^n, (T \otimes S)^{*m}] = [(T_d^n \otimes I)(I \otimes S_d^n), (T^{*m} \otimes I)(I \otimes S^{*m})] = 0.$$

For an understanding of some of the not so apparent structural properties of operators $T \in [(n, m)DN] \vee [(n, m)DQN]$, we start by recalling that T is Drazin invertible if and only if T has finite ascent and finite descent [3, 15]. Equivalently, T is Drazin invertible if and only if $0 \in \text{iso}\sigma(T)$ and there exists an integer $p \geq 1$, called the Drazin index of T , such that

$$\mathcal{H} = T^p(\mathcal{H}) \oplus T^{-p}(0) = \mathcal{H}_1 \oplus \mathcal{H}_0, T = T|_{T^p(\mathcal{H})} \oplus T|_{T^{-p}(0)} = T_1 \oplus T_0.$$

Here, T_1 is (evidently) invertible and T_0 is p -nilpotent. (In the case in which $0 \notin \sigma(T)$, we allow ourselves a misuse of language and let T^{-1} denote the Drazin inverse of T). Denoting as before the Drazin inverse of T by T_d , T_d has a direct sum representation

$$T_d = T_1^{-1} \oplus 0 \in B(\mathcal{H}_1 \oplus \mathcal{H}_0)$$

[3, Theorem 2.2.3]. Evidently,

$$\begin{aligned}
 T \in [(n, m)DN] &\iff [T_d^n, T^{*m}] = 0 \\
 &\iff [T_1^{-n} \oplus 0, T_1^{*m} \oplus T_0^{*m}] = 0 \\
 &\iff [T_1^{-n}, T_1^{*m}] \oplus 0 = 0 \\
 &\iff [T_1^n, T_1^{*m}] = 0.
 \end{aligned}$$

Hence:

PROPOSITION 2.1. $T \in [(n, m)DN]$ if and only if $T_1 \in [(n, m)DN]$.

The following theorem provides further information on the structure of $[(n, m)DN]$ operators T .

THEOREM 2.2. For every $T \in [(n, m)DN]$, there exists a direct sum decomposition $\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_0$ of \mathcal{H} and a decomposition $T = T|_{\mathcal{H}_1} \oplus T|_{\mathcal{H}_0} = T_1 \oplus T_0$ of T such that T is similar to the direct sum of a normal operator in $B(\mathcal{H}_1)$ with a nilpotent operator (of the order of the Drazin index of T) and T_d is similar to a normal operator.

Proof. Assuming p to be the Drazin index of T , define the (closed) subspaces \mathcal{H}_1 and \mathcal{H}_0 and the operators T_1 and T_0 as above. Then

$$\mathcal{H} = \mathcal{H}_1 \oplus \mathcal{H}_0, T = T_1 \oplus T_0 \in B(\mathcal{H}_1 \oplus \mathcal{H}_0)$$

(with T_1 invertible and T_0 p -nilpotent). Let $s = \text{LCM}(n, m)$. Then

$$[T_d^n, T^{*m}] = 0 \implies [T_d^n, T_d^{*m}] = 0 \implies [T_d^s, T_d^{*s}] = 0,$$

i.e., T_d^s is normal. Since

$$T_d^s \text{ is normal} \iff T_1^{-s} \text{ is normal} \iff T_1^s \text{ is normal,}$$

it follows from [14] that there exists an invertible normal operator $N_1 \in B(\mathcal{H}_1)$ and an invertible operator $S_1 \in B(\mathcal{H}_1)$ such that $T_1 = S_1^{-1}N_1S_1$. Letting $S = S_1 \oplus I|_{\mathcal{H}_0}$ and $N = N_1^{-1} \oplus 0$, we have $T_d = S^{-1}NS$.

Theorem 2.2 leads to the simplification of the proofs of a number of results from [2, 13]. Postponing this exercise for the time being, we start here with the following proposition which (contrary to the claim in [13, 2]) proves that the classes $[(n, m)DN]$ and $[(n, m)DQN]$ of Hilbert space operators coincide.

PROPOSITION 2.3. $T \in [(n, m)DQN] \iff T \in [(n, m)DN]$.

Proof. Following the notation above,

$$\begin{aligned}
 T \in [(n, m)DQN] &\iff [T_d^n, T^{*m}T] = 0 \\
 &\iff [T_1^{-n} \oplus 0, (T_1^{*m} \oplus T_0^{*m})(T_1 \oplus T_0)] = 0 \\
 &\iff [T_1^{-n}, T_1^{*m}T_1] = 0 \\
 &\iff [T_1^{-n}, T_1^{*m}] = 0 \\
 &\iff [T_1^{-n} \oplus 0, T_1^{*m} \oplus T_0^{*m}] = 0 \\
 &\iff [T_d^n, T^{*m}] = 0 \\
 &\iff T \in [(n, m)DN].
 \end{aligned}$$

This completes the proof.

REMARK 2.4. Defining the invertible operator S as in the proof of Theorem 2.2, it is seen that the operators $T \in [(n, m)DQN] \vee [(n, m)DN]$ are similar to the direct sum of a normal operator with a nilpotent operator. Hence, for operators $T \in [(n, m)DQN] \vee [(n, m)DN]$, both T and T^* satisfy Bishop–Eschmeier–Putinar properties $(\beta)_\varepsilon$ and (β) . (The interested reader will find all pertinent information related to these properties, and results on operators satisfying these properties, in references [7, 11, 4].) In particular, such operators T are decomposable (hence have the single-valued extension property). Furthermore, because of similarity to the direct sum of a normal and a nilpotent operator, points $\lambda \in \text{iso}\sigma(T)$ for such T are poles of the resolvent of the operator: simple poles if $\lambda \neq 0$ and a pole of order p at 0. In consequence, operators T satisfy most, generalized and classical, Browder and Weyl type theorems. (See [1] for information on Browder and Weyl type theorems.)

By definition, $T \in [(n, m)DN] \wedge [(n + 1, m)DN]$ if and only if

$$\begin{aligned}
 T_d(T_d^n T^{*m}) &= (T^{*m} T_d^n) T_d = (T_d^n T^{*m}) T_d \\
 \iff T_1^{-(n+1)} T_1^{*m} &= (T_1^{*m} T_1^{-n}) T_1^{-1} = (T_1^{-n} T_1^{*m}) T_1^{-1} \\
 \iff [T_1^{-1}, T_1^{*m}] &= 0 \iff T_1 \in [(1, m)DN] \\
 \iff T \in [(1, m)DN];
 \end{aligned}$$

again, $T \in [(n, m)DN] \wedge [(n, m + 1)DN]$ if and only if

$$\begin{aligned}
 (T^{*m} T_d^n) T^* &= (T_d^n T^{*m}) T^* = T^{*(m+1)} T_d^n \\
 \iff T_1^{*m} T_1^{-n} T_1^* &= T_1^{-n} T_1^{*(m+1)} = T_1^{*(m+1)} T_1^{-n} \\
 \iff [T_1^{-n}, T_1^*] &= 0 \iff T_1 \in [(n, 1)DN] \\
 \iff T \in [(n, 1)DN].
 \end{aligned}$$

Hence:

PROPOSITION 2.5. $T \in [(n, m)DN] \wedge [(n + 1, m)DN]$ if and only if $T \in [(k, m)DN]$ and $T \in [(n, m)DN] \wedge [(n, m + 1)DN]$ if and only if $T \in [(n, k)DN]$ for all integers $k \geq 1$.

Proposition 2.5 generalizes [13, Propositions 2.5 –2.9]. We remark here that the hypotheses T is injective in [13, Proposition 2.6] and T^* is injective in [13, Proposition 2.9] are redundant.

An operator $A \in B(\mathcal{H})$ is an m -partial isometry for some integer $m \geq 1$ if $A^m A^{*m} A^m = A^m$. An invertible m -partial isometry is unitary. Hence, for operators $T \in [(n, m)DN]$ for which T is an m -partial isometry, T_1^m is a unitary, $\sigma(T) \subseteq \partial\mathbb{D} \cup \{0\}$ and $T = T_1 \oplus T_0$, where $\partial\mathbb{D}$ denote the boundary of the unit disc in \mathbb{C} , T_1 is similar to a unitary operator [14] and T_0 is nilpotent. Furthermore, since $T_1^{*m} = T_1^{-m}$,

$$\begin{aligned} T \in [(n, m)DN] &\iff [T_1^{-n}, T_1^{*m}] = 0 \\ &\iff T_1^{-n-m} T_1^{*m} = T_1^{*2m} T_1^{-n} = T_1^{*m} T_1^{-n-m} \\ &\iff T \in [(m+n, m)DN]. \end{aligned}$$

It is evident that an m -partially isometric operator $T \in [(n, m)DN]$ for $m = 1$ is the direct sum of a unitary operator with a nilpotent: a similar conclusion holds for a general m in the case in which T is a contraction.

Recall that every contraction $A \in B(\mathcal{H})$ has a direct sum decomposition $A = A_u \oplus A_c$ into its unitary and cnu (=completely non-unitary) parts. A is a cnu C_0 contraction if $\|A^{*n}x\| \rightarrow 0$ as $n \rightarrow \infty$ for all $x \in \mathcal{H}$ [10, Page 110]. The operator A is k -paranormal for some integer $k \geq 2$ if $\|Ax\|^k \leq \|A^kx\| \|x\|^{k-1}$ for all $x \in \mathcal{H}$. It is known, see [5, Page 319], that k -paranormal contractions have C_0 cnu parts.

PROPOSITION 2.6. *If $T \in [(n, m)DN]$ is an m -partially isometric operator, then $T \in [(1, 1)DN]$ (equivalently, $T \in [DN]$) and T has a representation $T = U \oplus T_0$, where $U \in B(\mathcal{H}_1)$ is a unitary and $T_0 \in B(\mathcal{H}_0)$ is a nilpotent.*

Proof. If $T \in [(n, m)DN]$ is m -partially isometric, then (see above) T_1^m is unitary. This, since T is a contraction implies T_1 is a contraction, implies

$$\|T_1x\|^m \leq \|x\|^m = \|T_1^m x\| \|x\|^{m-1}$$

for all $x \in \mathcal{H}_1$. Consequently, T_1 is m -paranormal. Since T_1 has a non-trivial C_0 cnu part forces T_1^m to have a non-trivial C_0 cnu part, we must have that T_1 is unitary. Hence $T = U \oplus T_0$ for some unitary U and nilpotent $T_0 \in B(\mathcal{H}_0)$. Finally,

$$T_1^{*2} = T_1^{-1} T_1^* = T_1^* T_1^{-1} \iff [T_1^{-1}, T_1^*] = 0 \iff [T_d, T^*] = 0,$$

i.e., $T \in [(1, 1)DN]$.

Commutativity properties. For operators $T \in [DN]$ (equivalently, $T \in [(1, 1)DN]$), T_d is normal, hence if $[T_d, A] = 0$ for an operator $A \in B(\mathcal{H})$, then $[T_d^*, A] = 0$ (by the Fuglede theorem [9, 10]). Again, if $T \in [DN]$ is injective, then it is necessarily invertible and $T_d = T^{-1}$. Hence, T is normal and if $[T, A] = 0$ for some operator $A \in B(\mathcal{H})$, then $[T^*, A] = 0 = [T_d^*, A]$. The operator $T \in [DN]$ is in general not normal, and $TA = AT$ does not always imply $T^*A = AT^*$; however, $[T, A] = 0$ and $T \in [DN]$ implies $[T_d^*, A] = 0$, as the following argument shows. The operator $T \in [DN]$ has

a direct sum representation $T = T_1 \oplus T_0$, T_1 invertible normal and T_0 nilpotent, and the Drazin inverse T_d has a direct sum representation $T_d = T_1^{-1} \oplus 0$. Letting A have the corresponding matrix representation $A = [A_{ij}]_{i,j=1}^2$, it is seen that $[T, A] = 0$ forces $A_{12} = A_{21} = 0$, and then

$$[T, A] = 0 \implies [T_d, A] = 0 \iff [T_1, A_{11}] = 0 \iff [T_1^*, A_{11}] = 0 \iff [T_d^*, A] = 0.$$

This conclusion does not extend to $T \in [DN]$ such that $TA = BT$ for some operators $A, B \in B(\mathcal{H})$.

EXAMPLE 2.7. Define operators $T, A, B \in B(\mathbb{C}^4)$ by

$$T = M \oplus N, A = \begin{pmatrix} A_1 & A_3 \\ 0 & A_2 \end{pmatrix}, B = \begin{pmatrix} B_1 & B_3 \\ 0 & 0 \end{pmatrix},$$

where $M, N, A_i (1 \leq i \leq 3), B_i (i = 1, 3)$ are the $B(\mathbb{C}^2)$ operators

$$M = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, N = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, A_1 = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, A_2 = \begin{pmatrix} 0 & -1 \\ 0 & 0 \end{pmatrix},$$

$$A_3 = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}, B_1 = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}, B_3 = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}.$$

Then

$$T_d = M_d \oplus 0, [T_d, T^*] = 0 (\iff T \in [DN]), \text{ and } TA = BT,$$

but

$$T^*A \neq BT^* \text{ and } T_d^*A \neq BT_d^*.$$

Additional hypotheses are required for $T \in [DN]$ and $TA = BT$ to imply $T_d^*A = BT_d^*$. The following theorem considers a couple of such hypotheses.

THEOREM 2.8. *Given operators $A, B, T \in B(\mathcal{H})$ such that $AT = TB$, if $T \in [DN]$ and either of the hypotheses $BT = TA$ and $(A - B)T_d = T_d(B - A)$ is satisfied, then $T_d^*A = BT_d^*$ and $T_d^*B = AT_d^*$.*

Proof. If $T \in [DN]$, then $T_d T^* = T^* T_d$, $T \in B(\mathcal{H}_1 \oplus \mathcal{H}_0)$ has a decomposition $T = T_1 \oplus T_0$, T_1 is invertible normal, T_0 is nilpotent, and $T_d = T_1^{-1} \oplus 0 \in B(\mathcal{H}_1 \oplus \mathcal{H}_0)$. Let $A, B \in B(\mathcal{H}_1 \oplus \mathcal{H}_0)$ have the matrix representations

$$A = [A_{ij}]_{i,j=1}^2 \text{ and } B = [B_{ij}]_{i,j=1}^2.$$

Then $AT = TB$ implies

$$A_{11}T_1 = T_1B_{11}, A_{12}T_2 = T_1B_{12}, A_{21}T_1 = T_2B_{21}, A_{22}T_2 = T_2B_{22}.$$

Since $T_2^p = 0$ for some integer $p \geq 1$ and T_1 is invertible

$$A_{12}T_2 = T_1B_{12} \implies T_1^p B_{12} = 0 \iff B_{12} = 0 \text{ and}$$

$$A_{21}T_1 = T_2B_{21} \implies A_{21}T_1^p = 0 \iff A_{21} = 0.$$

(a) Assume to start with that $BT = TA$. Then

$$\begin{aligned} B_{21}T_1 = 0 &\iff B_{21} = 0 \implies B = B_{11} \oplus B_{22}, \\ T_1A_{12} = 0 &\iff A_{12} = 0 \implies A = A_{11} \oplus A_{22}, \end{aligned}$$

$B_{11}T_1 = T_1A_{11}$ (and $B_{22}T_2 = T_2A_{22}$). Hence, since T_1 is normal,

$$\begin{aligned} (A_{11} + B_{11})T_1 = T_1(A_{11} + B_{11}) &\iff (A_{11} + B_{11})T_1^* = T_1^*(A_{11} + B_{11}) \text{ and} \\ (A_{11} - B_{11})T_1 = -T_1(A_{11} - B_{11}) &\iff (A_{11} - B_{11})T_1^* = -T_1^*(A_{11} - B_{11}). \end{aligned}$$

Consequently,

$$\begin{aligned} A_{11}T_1^* = T_1^*B_{11} &\iff AT_d^* = T_d^*B \text{ and} \\ B_{11}T_1^* = T_1^*A_{11} &\iff BT_d^* = T_d^*A. \end{aligned}$$

(b) If instead $(A - B)T_d = T_d(B - A)$, then

$$-B_{21}T_1^{-1} = 0 \iff B_{21} = 0, \quad -T_1^{-1}A_{12} = 0 \iff A_{12} = 0$$

and

$$\begin{aligned} (A_{11} - B_{11})T_1^{-1} = -T_1^{-1}(A_{11} - B_{11}) &\iff (A_{11} - B_{11})T_1 = -T_1(A_{11} - B_{11}) \\ &\iff (A_{11} - B_{11})T_1^* = -T_1^*(A_{11} - B_{11}). \end{aligned}$$

Since we already have $(A_{11}T_1 = T_1B_{11} \iff) A_{11}T_1^* = T_1^*B_{11}$, once again we have $AT_d^* = T_d^*B$ and $BT_d^* = T_d^*A$.

Theorem 2.8 is an improved version of [13, Theorem 4.4]: it tells us that hypothesis (4.1) and any one of the hypotheses (4.2) and (4.3) of [13, Theorem 4.4] guarantees the validity of the theorem.

If $S, T \in [(n, m)DN]$ and $\text{LCM}(n, m) = k$, then S_d^k and T_d^k are normal, hence $T_dA = AS_d$ for an operator $A \in B(\mathcal{H})$ implies $T_d^kA - AS_d^k = 0 = T_d^{*k}A - AS_d^{*k}$. This, however, does not guarantee $T_d^*A - AS_d^* = 0$ (contrary to the claim made in [13, Theorem 4.3]).

EXAMPLE 2.9. For operators $S, T \in B(\mathbb{C}^2)$, let

$$S = T = \begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix}.$$

Then

$$T_d = \begin{pmatrix} 1 & -1 \\ 1 & 0 \end{pmatrix}, \quad T_d^2T^{*3} = T^{*3}T_d^2$$

(so that $S = T \in [(2, 3)DN]$). Since $T_d^{*3} = -I$, $T_d^{*3}A = AT_d^{*3}$ for all $A \in B(\mathbb{C}^2)$. If, however, we let $A = T$, then

$$[T_d, A] = 0 \text{ and } T_d^*A \neq AT_d^*.$$

Observe that $T_d = T^{-1}$, hence $TA = AT$ and $T^*A \neq AT^*$.

The following theorem considers operators $S, T \in [(n, m)DN]$ such that S, T are intertwined by a quasiaffinity (i.e., an injective operator with a dense range) to prove that S, T are similar to the perturbation of a normal operator by nilpotent operators.

THEOREM 2.10. *If $S, T \in B(\mathcal{H})$ are such that X is a quasiaffinity, S and T are $[(n, m)DN]$ operators and $SX = XT$, then there exist a normal operator N , nilpotent operators S_0 and T_0 , and invertible operators $A, B \in B(\mathcal{H})$ such that $S = A^{-1}(N \oplus S_0)A$ and $T = B^{-1}(N \oplus T_0)B$.*

Proof. There exist positive integers p, q such that

$$S = S_1 \oplus S_0 \in B(S^q(\mathcal{H}) \oplus S^{-q}(0)), \quad T = T_1 \oplus T_0 \in B(T^p(\mathcal{H}) \oplus T^{-p}(0)),$$

where S_0 is q nilpotent, T_0 is p nilpotent, $S_1 = A_1^{-1}N_1A_1$ and $T_1 = A_2^{-1}N_2A_2$ for some normal operators $N_1 \in B(S^q(\mathcal{H}))$ and $N_2 \in B(T^p(\mathcal{H}))$, and invertible operators $A_1 \in B(S^q(\mathcal{H}))$ and $A_2 \in B(T^p(\mathcal{H}))$. Define the invertible operators $A, B_1 \in B(\mathcal{H})$ by

$$A = A_1 \oplus I|_{S^{-q}(0)}, \quad B_1 = A_2 \oplus I|_{T^{-p}(0)}.$$

Then

$$A^{-1}(N_1 \oplus S_0)A_1X = XB_1^{-1}(N_2 \oplus T_0)B_1 \iff (N_1 \oplus S_0)Y = Y(N_2 \oplus T_0),$$

where we have set $AXB_1^{-1} = Y$. Evidently, $Y : T^p(\mathcal{H}) \oplus T^{-p}(0) \rightarrow S^q(\mathcal{H}) \oplus S^{-q}(0)$ is a quasiaffinity. Let Y have the matrix representation $Y = [Y_{ij}]_{i,j=1}^2$. Then, since N_1, N_2 are invertible and S_0, T_0 are nilpotent, a straightforward argument shows that

$$Y_{12} = Y_{21} = 0, \quad Y = Y_{11} \oplus Y_{22}, \quad Y_{11} \text{ and } Y_{22} \text{ are quasiaffinities.}$$

Furthermore,

$$S_0Y_{22} = Y_{22}T_0$$

(so that indeed $p = q$) and

$$N_1Y_{11} = Y_{11}N_2 \iff N_1^*Y_{11} = Y_{11}N_2^*.$$

But then N_1 and N_2 are unitarily equivalent normal operators, i.e., there exists a unitary U and a normal operator N such that $N_1 = N$ and $N_2 = U^*NU$. Now define the operator B by $B = UA_2 \oplus I|_{T^{-p}(0)}$. Then $S = A^{-1}(N \oplus S_0)A$ and $T = B^{-1}(N \oplus T_0)B$.

If $S, T \in [(n, m)DN]$, then $S \oplus T \in [(n, m)DN]$. This fails for upper triangular operator matrices (with a non-trivial entry in the $(1, 2)$ -place).

EXAMPLE 2.11. Consider operators $T, C \in B(\mathbb{C}^2)$ and $A \in B(\mathbb{C}^4)$ defined by $T = \begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix}$ (as in Example 2.9) and

$$C = \begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix}, \quad A = \begin{pmatrix} T & C \\ 0 & T \end{pmatrix}.$$

Then $T \in [(2,3)DN]$ and $A_d = \begin{pmatrix} T_d & X \\ 0 & T_d \end{pmatrix}$, where $X = \begin{pmatrix} 0 & 0 \\ -1 & 0 \end{pmatrix}$. A simple calculation shows that $A \notin [(2,3)DN]$.

If $S, T \in B(\mathcal{H})$ are $[(n,m)DN]$ operators such that S has Drazin index q and T has Drazin index p , then $S = S_1 \oplus S_0 \in B(S^q(\mathcal{H}) \oplus S^{-q}(0))$ and $T = T_1 \oplus T_0 \in B(T^p(\mathcal{H}) \oplus T^{-p}(0))$. Let $C : S^q(\mathcal{H}) \oplus S^{-q}(0) \rightarrow T^p(\mathcal{H}) \oplus T^{-p}(0)$ have the matrix representation $C = [C_{ij}]_{i,j=1}^2$. Then the operator $A = \begin{pmatrix} T & C \\ 0 & S \end{pmatrix}$, is Drazin invertible with Drazin inverse $A_d = \begin{pmatrix} T_d & X \\ 0 & S_d \end{pmatrix}$, where X is the operator

$$\begin{aligned} X &= \left[\sum_{j=0}^{q-1} T_d^{j+2} C S^j \right] (I - S S_d) + (I - T T_d) \left[\sum_{j=0}^{p-1} T^j C S_d^{j+2} \right] - T_d C S_d \\ &= \begin{pmatrix} -T_1^{-1} C_{11} S_1^{-1} & \sum_{j=0}^{q-1} T_1^{-j-2} C_{12} B_2^j \\ \sum_{j=0}^{p-1} T_2^j C_{21} S_1^{-j-2} & 0 \end{pmatrix} \end{aligned}$$

[3, 2.3.12 Theorem, Page 29]. The following theorem considers the case $n = m = p = q = 1$ to give a necessary and sufficient condition for $A \in [DN]$.

THEOREM 2.12. *Given operators $S, T \in B(\mathcal{H})$ such that $S, T \in [DN]$, S and T have Drazin index 1 and $C : S(\mathcal{H}) \oplus S^{-1}(0) \rightarrow T(\mathcal{H}) \oplus T^{-1}(0)$ has the matrix representation $C = [C_{ij}]_{i,j=1}^2$, a necessary and sufficient condition for the operator $A \in B(\mathcal{H} \oplus \mathcal{H})$ to be a $[DN]$ operator is that $C = 0 \oplus C_{22}$.*

Proof. If S, T have Drazin index 1, then $S = S_1 \oplus 0$, $T = T_1 \oplus 0$, S_1 and T_1 are normal invertible and the operator X (above) has the form

$$X = \begin{pmatrix} -T_1^{-1} C_{11} S_1^{-1} & T_1^{-2} C_{12} \\ C_{21} S_1^{-2} & 0 \end{pmatrix}.$$

Given $S, T \in [DN]$, $A \in [DN]$ if and only if

$$\begin{aligned} [A_d, A^*] = 0 &\iff \begin{pmatrix} T_d T^* + X C^* & X S^* \\ S_d C^* & S_d S^* \end{pmatrix} = \begin{pmatrix} T^* T_d & T^* X \\ C^* T_d & C^* X + S^* S_d \end{pmatrix} \\ &\iff S_d C^* = C^* T_d, X S^* = T^* X, X C^* = 0 = C^* X. \end{aligned}$$

The equality

$$\begin{aligned} S_d C^* = C^* T_d &\iff S_1^{-1} C_{11}^* = C_{11} T_1^{-1}, S_1^{-1} C_{21}^* = 0 = C_{12}^* T_1^{-1} \\ &\iff S_1^{-1} C_{11}^* = C_{11}^* T_1^{-1}, C_{12} = C_{21} = 0; \end{aligned}$$

$$\begin{aligned} X S^* = T^* X &\iff T_1^{-1} C_{11} S_1^{-1} S_1^* = T_1^* T_1^{-1} C_{11} S_1^{-1}, C_{21} S_1^{-2} S_1^* = 0 = T_1^* T_1^{-2} C_{12} \\ &\iff C_{12} = C_{21} = 0, T_1^{-1} C_{11} S_1^* S_1^{-1} = T_1^{-1} T_1^* C_{11} S_1^{-1} \\ &\iff C_{12} = C_{21} = 0, C_{11} S_1^* = T_1^* C_{11}. \end{aligned}$$

Considering finally the equalities $XC^* = 0 = C^*X$, if $C_{12} = C_{21} = 0$ and $C_{11}^*T_1 = S_1C_{11}^*$, then

$$\begin{aligned} XC^* = 0 = C^*X &\iff T^{-1}C_{11}S_1^{-1}C_{11}^* = 0 = C_{11}^*T_1^{-1}C_{11}S_1^{-1} \\ &\iff C_{11}S_1^{-1}C_{11}^* = 0 = C_{11}^*T_1^{-1}C_{11} \\ &\iff T_1^{-1}C_{11}C_{11}^* = 0 = C_{11}^*C_{11}S_1^{-1} \\ &\iff C_{11} = 0. \end{aligned}$$

It being straightforward to verify that $XC^* = 0 = C^*X$ and $C_{11} = 0$ implies $C_{12} = C_{21} = 0$ and $C_{11}^*T_1 = S_1C_{11}^*$, it follows that a necessary and sufficient condition for $A \in [DN]$ is that $C = 0 \oplus C_{22} \in B(S(\mathcal{H}) \oplus S^{-1}(0), T(\mathcal{H}) \oplus T^{-1}(0))$.

The proof above, in particular our consideration of the equation $XS^* = T^*X$, exploited the fact that S_1 and T_1 are normal. Since this no longer holds for $S, T \in [(n, m)DN]$ for general $n, m > 1$, the necessity of the condition $C = 0 \oplus C_{22}$ is not clear (for the general case). The following theorem, however, shows that this condition is sufficient. Let S have Drazin index q , T have Drazin index p , and let $C \in B(S^q(\mathcal{H}) \oplus S^{-q}(0), T^p(\mathcal{H}) \oplus T^{-p}(0))$ have the direct sum decomposition $C = 0 \oplus C_{22}$.

THEOREM 2.13. *If $S, T \in [(n, m)DN]$, then $A \in [(n, m)DN]$.*

Proof. The hypothesis $C = 0 \oplus C_{22}$ forces $X = 0$, and then $A_d^n = T_d^n \oplus S_d^n$. Define the operator L by

$$L = 0 \oplus \sum_{j=0}^{m-1} S_2^{*j} C_{22}^* T_2^{*(m-1-j)}.$$

Then

$$\begin{aligned} A_d^n A^{*m} &= \begin{pmatrix} T_d^n T^{*m} & 0 \\ S_d^n L & S_d^n S^{*m} \end{pmatrix} = T_d^n T^{*m} \oplus S_d^n S^{*m} = T^{*m} T_d^n \oplus S^{*m} S_d^n \\ &= \begin{pmatrix} T^{*m} T_d^n & 0 \\ L T_d^n & S^{*m} S_d^n \end{pmatrix} = A^{*m} A_d^n, \end{aligned}$$

i.e., $A \in [(n, m)DN]$.

Theorem 2.13 is a generalized version of [13, Theorem 2.7] (which contrary to the claim made by the authors does not prove the necessity of the stated conditions).

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