

## PROPERTIES OF $J$ -SELF-ADJOINT OPERATORS

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*Abstract.* In this paper, we consider operators  $T \in \mathcal{L}(\mathcal{H})$  such that  $(JT)^* = JT$  for some anti-unitary  $J$  with  $J^2 = -I$ ; in this case, we say that  $T$  is  $J$ -self-adjoint. We show that the Aluthge transform of a  $J$ -self-adjoint operator is skew-complex symmetric. As an application, we prove that  $w$ -hyponormal operators which are  $J$ -self-adjoint must be normal. Moreover, we obtain that if  $T \in \mathcal{L}(\mathcal{H})$  is a  $J$ -self-adjoint operator with property  $(\beta)$ , then  $T + A$  is decomposable where  $A \in \mathcal{L}(\mathcal{H})$  is an algebraic operator commuting with  $T$ . We also give examples of  $J$ -self-adjoint operators.

### 1. Introduction

Let  $\mathcal{L}(\mathcal{H})$  be the algebra of all bounded linear operators on a separable complex Hilbert space  $\mathcal{H}$ . If  $T \in \mathcal{L}(\mathcal{H})$ , we write  $\rho(T)$ ,  $\sigma(T)$ ,  $\sigma_p(T)$ ,  $\sigma_a(T)$ ,  $\sigma_{comp}(T)$ ,  $\sigma_{su}(T)$ ,  $\sigma_{le}(T)$ ,  $\sigma_{re}(T)$ , and  $\sigma_e(T)$  for the resolvent set, spectrum, point spectrum, approximate point spectrum, compression spectrum, surjective spectrum, left essential spectrum, right essential spectrum, and essential spectrum of  $T$ , respectively.

An operator  $J : \mathcal{H} \rightarrow \mathcal{H}$  is said to be *anti-unitary* if  $J$  is anti-linear and  $J^*J = JJ^* = I$ , where  $J^*$  stands for the adjoint of  $J$ , which is uniquely determined by the relation  $\langle J^*x, y \rangle = \overline{\langle x, Jy \rangle}$  for  $x, y \in \mathcal{H}$ . We say that an operator  $T \in \mathcal{L}(\mathcal{H})$  is  *$J$ -self-adjoint* if there exists an anti-unitary operator  $J : \mathcal{H} \rightarrow \mathcal{H}$  satisfying  $J^2 = -I$  and  $(JT)^* = JT$ .

An anti-linear operator  $C : \mathcal{H} \rightarrow \mathcal{H}$  is said to be a *conjugation* if  $C^2 = I$  and  $C$  is isometric, i.e.,  $\langle Cx, Cy \rangle = \langle y, x \rangle$  for all  $x, y \in \mathcal{H}$ . If  $C : \mathcal{H} \rightarrow \mathcal{H}$  is a conjugation, then the operator matrix  $\mathcal{J}$  on  $\mathcal{H} \oplus \mathcal{H}$  given by

$$\mathcal{J} = \begin{pmatrix} 0 & -C \\ C & 0 \end{pmatrix}$$

is anti-unitary and  $\mathcal{J}^2 = -I$ .

We say that  $T \in \mathcal{L}(\mathcal{H})$  is *complex symmetric with conjugation  $C$*  if  $T^* = CTC$  for some conjugation  $C$ . The class of complex symmetric operators contains all normal

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operators, Hankel operators, compressed Toeplitz operators, algebraic operators of order 2, and some Volterra integration operator, and there are a lot of consequences and applications about complex symmetric operators (see [14], [15], [16], [19], [20], [21], [22], [29], etc.). If  $T$  is complex symmetric with conjugation  $C$ , then  $C$  is anti-unitary with  $C^* = C$  and  $(CT)^* = CT$ . An operator  $T \in \mathcal{L}(\mathcal{H})$  is called *skew-complex symmetric* if  $T^* = -CTC$  for some conjugation  $C$ .

If  $T = U|T|$  denotes the polar decomposition of an operator  $T \in \mathcal{L}(\mathcal{H})$ , the *Aluthge transform* of  $T$  is defined as  $\tilde{T} := |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}}$ . This transform has several properties which are transmitted to the original operators. For example, by [23, Corollary 1.16], if  $\tilde{T}$  has a nontrivial invariant subspace, then so does  $T$ . Thus, many authors have been interested in this operator transform and its applications (see [3], [4], [6], [7], [17], [18], [23], [24], etc.).

For  $0 < p < \infty$ , we say that an operator  $T \in \mathcal{L}(\mathcal{H})$  is *p-hyponormal* if  $(T^*T)^p \geq (TT^*)^p$ . In particular, 1-hyponormal operators and  $\frac{1}{2}$ -hyponormal operators are called *hyponormal* and *semi-hyponormal*, respectively. We call  $T \in \mathcal{L}(\mathcal{H})$  *w-hyponormal* if  $|\tilde{T}| \geq |T| \geq |(\tilde{T})^*|$ . An operator  $T \in \mathcal{L}(\mathcal{H})$  is said to be *paranormal* if  $\|T^2x\| \geq \|Tx\|^2$  for all unit vectors  $x \in \mathcal{H}$ . *p*-Hyponormal operators are *w*-hyponormal and *w*-hyponormal operators are *paranormal* (see [12]). In addition, if  $T \in \mathcal{L}(\mathcal{H})$  is *p*-hyponormal, then  $\tilde{T}$  is  $(p + \frac{1}{2})$ -hyponormal (see [3]). Thus, if  $T \in \mathcal{L}(\mathcal{H})$  is *w*-hyponormal, then  $\tilde{T}$  is semi-hyponormal and  $\tilde{\tilde{T}}$  is hyponormal.

In this paper, we show that the Aluthge transform of a *J*-self-adjoint operator is skew-complex symmetric. As an application, we prove that *w*-hyponormal operators which are *J*-self-adjoint must be normal. Moreover, we obtain that if  $T \in \mathcal{L}(\mathcal{H})$  is a *J*-self-adjoint operator with property  $(\beta)$ , then  $T + A$  is decomposable where  $A \in \mathcal{L}(\mathcal{H})$  is an algebraic operator commuting with  $T$ . We also give examples of *J*-self-adjoint operators.

## 2. Preliminaries

An operator  $T \in \mathcal{L}(\mathcal{H})$  is said to have the *single-valued extension property*, abbreviated SVEP, if for every open subset  $G$  of  $\mathbb{C}$ , the only analytic solution  $f : G \rightarrow \mathcal{H}$  of the equation  $(T - z)f(z) \equiv 0$  on  $G$  is the zero function on  $G$ . For  $T \in \mathcal{L}(\mathcal{H})$  and  $x \in \mathcal{H}$ , the *local resolvent set*  $\rho_T(x)$  of  $T$  at  $x$  is defined to be the union of every open set  $G$  in  $\mathbb{C}$  for which there exists an analytic function  $f : G \rightarrow \mathcal{H}$  such that  $(T - z)f(z) \equiv x$  on  $G$ . Since the analytic function  $g(z) := (T - z)^{-1}x$  on  $\rho(T)$  satisfies that  $(T - z)g(z) \equiv x$  on  $G$  for every open set  $G$  in  $\mathbb{C}$  containing  $\rho(T)$ , it holds that  $\rho(T) \subset \rho_T(x)$  and any analytic function  $f$  appearing in the definition of  $\rho_T(x)$  can be regarded as an extension of  $g$ . It is well known that if  $T$  has the single-valued extension property, then the function  $g$  is uniquely extended to  $\rho_T(x)$ . We denote the complement of  $\rho_T(x)$  by  $\sigma_T(x)$ , called the *local spectrum* of  $T$  at  $x$ , and define the *local spectral subspace* of  $T$  by  $\mathcal{H}_T(F) = \{x \in \mathcal{H} : \sigma_T(x) \subset F\}$  for each subset  $F$  of  $\mathbb{C}$ .

An operator  $T \in \mathcal{L}(\mathcal{H})$  is said to have *Bishop's property*  $(\beta)$  if for every open subset  $G$  of  $\mathbb{C}$  and every sequence  $f_n : G \rightarrow \mathcal{H}$  of  $\mathcal{H}$ -valued analytic functions such

that  $(T - z)f_n(z)$  converges uniformly to 0 in norm on compact subsets of  $G$ , then  $f_n(z)$  converges uniformly to 0 in norm on compact subsets of  $G$ . We say that  $T \in \mathcal{L}(\mathcal{H})$  has *Dunford's property (C)* if  $\mathcal{H}_T(F)$  is closed for each closed subset  $F$  of  $\mathbb{C}$ . From [8] or [27], we know that

$$\text{Bishop's property } (\beta) \Rightarrow \text{Dunford's property (C)} \Rightarrow \text{SVEP}$$

and each of the converse implications fails to hold, in general.

We say that an operator  $T \in \mathcal{L}(\mathcal{H})$  is *decomposable* provided that for every open cover  $\{G_1, G_2\}$  of  $\mathbb{C}$ , there are  $T$ -invariant subspaces  $\mathcal{M}_1$  and  $\mathcal{N}$  such that  $\mathcal{H} = \mathcal{M}_1 + \mathcal{M}_2$ ,  $\sigma(T|_{\mathcal{M}_1}) \subset G_1$ , and  $\sigma(T|_{\mathcal{M}_2}) \subset G_2$ . An operator  $T$  is said to have the *decomposition property* ( $\delta$ ) if for any open cover  $\{G_1, G_2\}$  of  $\mathbb{C}$ , each vector  $x \in \mathcal{H}$  is written as  $x = x_1 + x_2$  where  $(T - z)f_1(z) \equiv x_j$  on  $\mathbb{C} \setminus \overline{G_j}$ , with  $\mathcal{H}$ -valued analytic function  $f_j$  on  $\mathbb{C} \setminus \overline{G_j}$ , for  $j = 1, 2$ . We remark that  $T \in \mathcal{L}(\mathcal{H})$  is decomposable precisely when  $T$  has properties  $(\beta)$  and  $(\delta)$ , i.e., both  $T$  and  $T^*$  have Bishop's property  $(\beta)$  (see [1], [8], or [27]).

### 3. Main results

In this section, we prove that every  $J$ -self-adjoint operator has skew-complex symmetric Aluthge transform and give several applications of this result. We begin with the following lemma.

LEMMA 3.1. Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint. Then the following statements hold:

- (i)  $T^*$  is  $J^*$ -self-adjoint;
- (ii)  $TJ^* = JT^*$  and  $J^*T = T^*J$ ;
- (iii) If  $T = U|T|$  is the polar decomposition, then  $\ker(T) = \ker(U^*J^*) = \ker(U^*J)$ .

*Proof.* (i) Since  $T$  is  $J$ -self-adjoint, we have

$$TJ = J^*(JT)J = J^*(JT)^*J = J^*(T^*J^*)J = J^*T^*,$$

i.e.,  $(J^*T^*)^* = J^*T^*$ . Since  $J^*$  is anti-unitary with  $J^{*2} = -I$ , the adjoint  $T^*$  is  $J^*$ -self-adjoint.

(ii) It follows from (i) that

$$TJ^* = -J(JT)J^* = -JT^*J^{*2} = JT^*$$

and

$$J^*T = -J^*(TJ)J = -J^{*2}T^*J = T^*J.$$

(iii) If  $U^*J^*x = 0$ , then (i) implies that

$$Tx = (TJ)J^*x = J^*T^*J^*x = J^*|T|U^*J^*x = 0.$$

Hence, we get that  $\ker(T) \supset \ker(U^*J^*)$ .

Conversely, if  $Tx = 0$ , then  $0 = JT_x = T^*J^*x$  by (i). Since  $\ker(T^*) = \ker(U^*)$ , we obtain that  $U^*J^*x = 0$ , and so  $\ker(T) \subset \ker(U^*J^*)$ . Thus  $\ker(T) = \ker(U^*J^*)$ .

If  $U^*Jx = 0$ , then  $Jx \in \ker(U^*) = \ker(T^*)$ , i.e.,  $T^*Jx = 0$ . Since  $T^* = JTJ$  and  $J^2 = -I$ , it follows that  $0 = T^*Jx = JTJ^2x = -JT_x$ , which ensures that  $T_x = 0$ . This means that  $\ker(T) \supset \ker(U^*J)$ . By applying this procedure reversely, we can show that  $\ker(T) \subset \ker(U^*J)$ .  $\square$

We say that an anti-linear operator  $W : \mathcal{H} \rightarrow \mathcal{H}$  is a *partial conjugation* if it is a conjugation on  $\ker(W)^\perp$ . In the following theorem, we provide a representation for the polar decomposition of  $J$ -self-adjoint operators.

**THEOREM 3.2.** Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint. If  $T = U|T|$  is the polar decomposition, then  $|T| = J|T^*|J^*$  and  $U$  is a  $J^*$ -self-adjoint operator factorized as  $U = JW$  where  $W := J^*U = U^*J$  is a partial conjugation supported by  $\overline{\text{ran}(|T|)}$  such that  $|T|W = W|T|$ .

*Proof.* Observe that

$$T = J^*T^*J^* = J^*|T|U^*J^*.$$

Since  $U^*U$  is the orthogonal projection of  $\mathcal{H}$  onto  $\overline{\text{ran}(|T|)}$ , we get that

$$T = J^*(U^*U)|T|U^*J^* = (J^2J^*U^*J)(JU|T|U^*J^*) = (JU^*J)(J|T^*|J^*).$$

Set  $V := JU^*J$  and  $P := J|T^*|J^*$ . Since  $P \geq 0$  and

$$P^2 = J|T^*|^2J^* = (JT)(T^*J^*) = T^*J^*JT = |T|^2,$$

we have  $|T| = P = J|T^*|J^*$ . In addition, since  $V^* = J^*UJ^*$  and  $U^*UU^* = U^*$ , we see that

$$VV^*V = (JU^*J)(J^*UJ^*)(JU^*J) = J(U^*UU^*)J = JU^*J = V,$$

which implies that  $V$  is a partial isometry. According to Lemma 3.1, we know that  $\ker(V) = \ker(U^*J) = \ker(T)$ , and thus  $U = V = JU^*J$ . In other words,  $U$  is  $J^*$ -self-adjoint. If  $W := J^*U = U^*J$ , then  $U = JW$  and it follows from Lemma 3.1 that

$$|T|W = |T|U^*J = T^*J = J^*T = J^*U|T| = W|T|.$$

Moreover,  $W^* = W$  and  $W^2 = U^*J^*J^*U = U^*U$  is the orthogonal projection of  $\mathcal{H}$  onto  $\overline{\text{ran}(|T|)}$ , and so  $W$  is isometric on  $\overline{\text{ran}(|T|)}$ . Since

$$\ker(W)^\perp = \ker(J^*U)^\perp = \ker(U)^\perp = \ker(|T|)^\perp = \overline{\text{ran}(|T|)},$$

we conclude that  $W$  is a partial conjugation supported by  $\overline{\text{ran}(|T|)}$ .  $\square$

**COROLLARY 3.3.** Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint. Then  $T$  is normal if and only if  $|T|J = J|T|$ .

*Proof.* Let  $T = U|T|$  be the polar decomposition. By Theorem 3.2, it holds that  $|T| = J|T^*|J^*$  and  $U = JW$  where  $W := J^*U = U^*J$  is a partial conjugation supported by  $\text{ran}(|T|)$  such that  $|T|W = W|T|$ . Hence, if  $T$  is normal, then  $|T| = |T^*| = J^*|T|J$ , or equivalently,  $|T|J = J|T|$ .

Conversely, if  $|T|J = J|T|$ , then

$$\begin{aligned} |T^*|^2 &= U|T|^2U^* = J(W|T|^2W)J^* = J(W^2|T|^2)J^* \\ &= J|T|^2J^* = |T|^2JJ^* = |T|^2, \end{aligned}$$

and thus  $T$  is normal.  $\square$

In [15, page 3916], S. Garcia and M. Putinar pointed out that each partial conjugation can be extended to a conjugation; in detail, if  $W$  is a partial conjugation on  $\mathcal{H}$ , then  $C := W \oplus W'$  acting on  $\mathcal{H} = \ker(W)^\perp \oplus \ker(W)$  is a conjugation on the entire space  $\mathcal{H}$ , where  $W'$  is any partial conjugation supported by  $\ker(W)$ . This fact leads to the following decomposition of  $J$ -self-adjoint operators.

**COROLLARY 3.4.** If  $T \in \mathcal{L}(\mathcal{H})$  is a  $J$ -self-adjoint operator, then it is decomposed as  $T = V|T|$  where  $V$  is a unitary operator that is  $J^*$ -self-adjoint; furthermore, the map  $C := J^*V = V^*J$  is a conjugation such that  $|T|C = C|T|$ .

*Proof.* From Theorem 3.2, write  $T = U|T|$  where  $U = JW$  and  $W$  is a partial conjugation, supported by  $\text{ran}(|T|)$ , commuting with  $|T|$ . Take a partial conjugation  $W'$  with support  $\ker(W)$  so that  $C = W \oplus W'$  is a conjugation on  $\mathcal{H} = \ker(W)^\perp \oplus \ker(W) = \text{ran}(|T|) \oplus \ker(|T|)$ . Set  $V := JC$ . Then  $V^*V = CJ^*JC = I$  and  $VV^* = JCCJ^* = I$ , and thus  $V$  is unitary. Since  $C^* = C$ , we have  $C = J^*V = V^*J$ , i.e.,  $V$  is  $J^*$ -self-adjoint. Writing  $|T| = |T| \oplus 0$  on  $\mathcal{H} = \text{ran}(|T|) \oplus \ker(|T|)$ , we obtain that

$$T = U|T| = JW|T| = JC|T| = V|T|.$$

Moreover, since  $|T|W = W|T|$ , the conjugation  $C$  commutes with  $|T|$ .  $\square$

Let  $T \in \mathcal{L}(\mathcal{H})$  be a  $J$ -self-adjoint operator having polar decomposition  $T = U|T|$ . Under the same notations as in Theorem 3.2 and Corollary 3.4, note that

$$\tilde{T} = |T|^{\frac{1}{2}}U|T|^{\frac{1}{2}} = |T|^{\frac{1}{2}}(JW)|T|^{\frac{1}{2}} = |T|^{\frac{1}{2}}(JC)|T|^{\frac{1}{2}} = |T|^{\frac{1}{2}}V|T|^{\frac{1}{2}}. \tag{1}$$

In the following theorem, we prove that the Aluthge transform of a  $J$ -self-adjoint operator is skew-complex symmetric.

**THEOREM 3.5.** If  $T \in \mathcal{L}(\mathcal{H})$  is  $J$ -self-adjoint, then its Aluthge transform  $\tilde{T}$  is skew-complex symmetric.

*Proof.* Suppose that  $T$  is  $J$ -self-adjoint. Corollary 3.4 permits us to factorize  $T$  as  $T = V|T|$  where  $V$  is a unitary operator which is  $J^*$ -self-adjoint and  $C = J^*V$  is a conjugation commuting with  $|T|$ . Since  $C|T| = |T|C$  and  $C^2 = I$ , it follows by (1) that

$$\begin{aligned} C\tilde{T}C &= |T|^{\frac{1}{2}}CVC|T|^{\frac{1}{2}} = |T|^{\frac{1}{2}}CJ|T|^{\frac{1}{2}} = |T|^{\frac{1}{2}}CJ(-J^2)|T|^{\frac{1}{2}} \\ &= -|T|^{\frac{1}{2}}CJ^*|T|^{\frac{1}{2}} = -|T|^{\frac{1}{2}}V^*|T|^{\frac{1}{2}} = -(\tilde{T})^*, \end{aligned}$$

which completes the proof.  $\square$

From Theorem 3.5, we assert that every  $w$ -hyponormal operator that is  $J$ -self-adjoint must be normal.

**COROLLARY 3.6.** Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint. Then  $T$  is  $w$ -hyponormal if and only if it is normal.

*Proof.* If  $T$  is normal, then it is clearly  $w$ -hyponormal. Conversely, assume that  $T$  is  $w$ -hyponormal. Since  $\tilde{T}$  is semi-hyponormal, the square  $(\tilde{T})^2$  is  $w$ -hyponormal by [6]. Since  $T$  is  $J$ -self-adjoint, it follows from Theorem 3.5 that  $\tilde{T}$  is skew-complex symmetric and so its square  $(\tilde{T})^2$  is complex symmetric. According to [29, Theorem 3.2], the only complex symmetric  $w$ -hyponormal operators are normal operators. Hence,  $(\tilde{T})^2$  must be normal. From [5], the Aluthge transform  $\tilde{T}$  is normal, and so is  $T$  by [7].  $\square$

We now apply Theorem 3.5 to derive local spectral properties of  $J$ -self-adjoint operators.

**LEMMA 3.7.** Let  $T \in \mathcal{L}(\mathcal{H})$ . If  $T$  has property  $(\beta)$  (resp. property  $(\delta)$ ) if and only if  $\tilde{T}$  has property  $(\beta)$  (resp. property  $(\delta)$ ).

*Proof.* It is not difficult to show that if  $A, B \in \mathcal{L}(\mathcal{H})$ , then  $AB$  has property  $(\beta)$  if and only if  $BA$  does. Hence, taking  $A = U|T|^{\frac{1}{2}}$  and  $B = |T|^{\frac{1}{2}}$ , we see that  $T$  has property  $(\beta)$  if and only if  $\tilde{T}$  does. Moreover, since  $T^* = |T|^{\frac{1}{2}}(|T|^{\frac{1}{2}}U^*)$  has property  $(\beta)$  exactly when  $(\tilde{T})^* = (|T|^{\frac{1}{2}}U^*)|T|^{\frac{1}{2}}$  has property  $(\beta)$ , the duality of properties  $(\beta)$  and  $(\delta)$  completes the proof.  $\square$

Recall that  $A \in \mathcal{L}(\mathcal{H})$  is said to be *algebraic* if  $p(A) = 0$  for some nonconstant polynomial  $p$ .

**THEOREM 3.8.** Let  $T \in \mathcal{L}(\mathcal{H})$  be a  $J$ -self-adjoint operator. If  $T$  has property  $(\beta)$ , then  $T + A$  is decomposable where  $A$  is an algebraic operator in  $\mathcal{L}(\mathcal{H})$  commuting with  $T$ .

*Proof.* Note that  $(\tilde{T})^2$  is complex symmetric by Theorem 3.5. According to Lemma 3.7, the Aluthge transform  $\tilde{T}$  has property  $(\beta)$ . Since  $(\tilde{T})^2$  has property  $(\beta)$  from [27, Theorem 3.3.9], it follows that  $(\tilde{T})^2$  is decomposable by [20]. Since  $(\tilde{T})^2$  and  $(\tilde{T})^{2*}$  have property  $(\beta)$ , we get that  $\tilde{T}$  and  $\tilde{T}^*$  satisfy the same property using [27, Theorem 3.3.9] again. Therefore, Lemma 3.7 implies that  $T$  and  $T^*$  have property  $(\beta)$ .

Next, take any algebraic operator  $A \in \mathcal{L}(\mathcal{H})$  such that  $AT = TA$ , and let  $p(z) = (z - \gamma_1)(z - \gamma_2) \cdots (z - \gamma_k)$  be a nonconstant polynomial such that  $p(A) = 0$ . Suppose that  $\{f_n\}$  is any sequence of analytic functions on an open set  $G$  such that

$$\lim_{n \rightarrow \infty} \|(T + A - z)f_n(z)\| = 0$$

uniformly on compact sets in  $G$ . Setting

$$p_0(z) = 1 \text{ and } p_j(z) = (z - \gamma_1)(z - \gamma_2) \cdots (z - \gamma_j) \text{ for } j = 1, 2, \dots, k,$$

we will verify that

$$\lim_{n \rightarrow \infty} \|p_j(A)f_n(z)\| = 0 \text{ uniformly on compact sets in } G \tag{2}$$

for all  $j = 0, 1, 2, \dots, k$ . Equation (2) holds obviously for  $j = k$ . If (2) is true for some integer  $j$  with  $1 \leq j \leq k$ , then

$$\begin{aligned} 0 &= \lim_{n \rightarrow \infty} \|p_{j-1}(A)(T + A - \gamma_j + \gamma_j - z)f_n(z)\| \\ &= \lim_{n \rightarrow \infty} \|(T + \gamma_j - z)p_{j-1}(A)f_n(z)\| \end{aligned}$$

uniformly on compact sets in  $G$ . Since  $T$  has property  $(\beta)$ , so does  $T + \gamma_k$ , and thus  $\lim_{n \rightarrow \infty} \|p_{j-1}(A)f_n(z)\| = 0$  uniformly on compact sets in  $G$ . Thus, by induction, we conclude that (2) holds for all  $j = 0, 1, 2, \dots, k$ . In particular,  $\lim_{n \rightarrow \infty} \|f_n(z)\| = 0$  uniformly on compact sets in  $G$ . Accordingly,  $T + A$  has property  $(\beta)$ . Since  $T^*$  has property  $(\beta)$  and  $A^*$  is an algebraic operator commuting with  $T^*$ ,  $T^* + A^*$  has property  $(\beta)$ . Hence,  $T + A$  is decomposable.  $\square$

For an operator  $T \in \mathcal{L}(\mathcal{H})$  and a vector  $x \in \mathcal{H}$ , the *local spectral radius* of  $T$  at  $x$  is defined as

$$r_T(x) := \limsup_{n \rightarrow \infty} \|T^n x\|^{\frac{1}{n}}.$$

It is known that  $r(T) = \max\{r_T(x) : x \in \mathcal{H}\}$  for any  $T \in \mathcal{L}(\mathcal{H})$ , where  $r(T)$  denotes the spectral radius of  $T$  (see [27, Proposition 3.3.14]). An operator  $T \in \mathcal{L}(\mathcal{H})$  is called *power regular* if  $\lim_{n \rightarrow \infty} \|T^n x\|^{\frac{1}{n}}$  exists for every  $x \in \mathcal{H}$ . We say that an element  $x \in \mathcal{H}$  is a *cyclic vector* for an operator  $T \in \mathcal{L}(\mathcal{H})$  if the linear span of the orbit  $\{T^n x : n = 0, 1, 2, \dots\}$  is dense in  $\mathcal{H}$ .

**COROLLARY 3.9.** Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint. If  $T$  has property  $(\beta)$ , then the following assertions hold:

- (i) Both  $T$  and  $T^*$  are power regular. Moreover,  $r_T(x) = \lim_{n \rightarrow \infty} \|T^n x\|^{\frac{1}{n}}$  and  $r_{T^*}(x) = \lim_{n \rightarrow \infty} \|T^{*n} x\|^{\frac{1}{n}}$  for all  $x \in \mathcal{H}$ .
- (ii) If  $x \in \mathcal{H}$  is a cyclic vector for  $T^*$ , then  $\sigma_{T^*}(x) = \sigma(T^*)$  and  $r_{T^*}(x) = r(T^*)$ .

*Proof.* Since both  $T$  and  $T^*$  have property  $(\beta)$  from Theorem 3.8, the result (i) follows by [27, Proposition 3.3.17]. Moreover, since  $T^*$  has Dunford’s property (C), we obtain (ii) using [27, page 238].  $\square$

The *mean transform* of an operator  $T \in \mathcal{L}(\mathcal{H})$ , firstly introduced in [26], is defined as  $\widehat{T} := \frac{1}{2}(U|T| + |T|U)$  where  $T = U|T|$  is the polar decomposition. There are several connections between  $T$  and  $\widehat{T}$  (see [24] for more details). In the following proposition, we give some local spectral relation between  $J$ -self-adjoint operators and their mean transforms.

PROPOSITION 3.10. Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint with  $|T|J|T| = |T|^2J$ . If  $T$  has property  $(\beta)$ , then both  $\widehat{T}$  and  $(\widehat{T}^*)$  have property  $(\beta)$ .

*Proof.* According to Theorem 3.2, the polar decomposition of  $T$  is given by  $T = U|T|$  where  $|T| = J|T^*|J^*$  and  $U = JW$  for some partial conjugation  $W$  commuting with  $T$ . Since  $|T|J|T| = |T|^2J$ , it holds that

$$|T|U|T| = |T|JW|T| = |T|J|T|W = |T|^2JW = |T|^2U.$$

Due to [24], it follows that  $\widehat{T}$  has property  $(\beta)$ .

Now, let  $\{f_n\}$  be a sequence of  $\mathcal{H}$ -valued functions analytic on an open set  $G$  such that  $\lim_{n \rightarrow \infty} \|(\widehat{T^*} - z)f_n(z)\| = 0$  uniformly on compact sets in  $G$ . Since  $W = J^*U = U^*J$ ,  $|T^*| = J^*|T|J$ , and  $W|T| = |T|W$ , we obtain that

$$\begin{aligned} J^*\widehat{T}J^* &= \frac{1}{2}(W|T|J^* + J^*|T|JWJ^*) = \frac{1}{2}(|T|WJ^* + |T^*|WJ^*) \\ &= \frac{1}{2}(|T|U^* + |T^*|U^*) = (\widehat{T^*}). \end{aligned}$$

Hence

$$0 = \lim_{n \rightarrow \infty} \|J((\widehat{T^*} - z)J(J^*f_n(z)))\| = \lim_{n \rightarrow \infty} \|(\widehat{T} + \bar{z})(J^*f_n(z))\|$$

uniformly on compact sets in  $G$ . For each  $n$ , define the function  $g_n(\zeta) = J^*f_n(-\bar{\zeta})$  for  $\zeta \in -G^* := \{-\bar{z} : z \in G\}$ . Then  $\lim_{n \rightarrow \infty} \|(\widehat{T} - \zeta)g_n(\zeta)\| = 0$  uniformly on compact sets in  $-G^*$ . Note that each  $g_n$  is analytic on the open set  $-G^*$ ; indeed, if  $\zeta_0 \in -G^*$ , then  $-\bar{\zeta}_0 \in G$ . Writing  $f_n(z) = \sum_{n=0}^\infty (z + \bar{\zeta}_0)^n a_n$  on a neighborhood of  $-\bar{\zeta}_0$  contained in  $G$ , where  $\{a_n\} \subset \mathcal{H}$ , we see that for  $\zeta \in -G^*$ ,

$$g_n(\zeta) = J^*f_n(-\bar{\zeta}) = J^*\left(\sum_{n=0}^\infty (-\bar{\zeta} + \bar{\zeta}_0)^n a_n\right) = \sum_{n=0}^\infty (-1)^n (\zeta - \zeta_0)^n J^*a_n.$$

This means that  $g_n$  is analytic at every point  $\zeta_0$  in  $-G^*$ . Since  $\widehat{T}$  has property  $(\beta)$ , we get that  $\lim_{n \rightarrow \infty} \|g_n\| = 0$  uniformly on compact sets in  $G$ , which ensures that  $\{f_n\}$  converges in norm to 0 uniformly on compact sets in  $G$ . Thus,  $(\widehat{T^*})$  has property  $(\beta)$ .  $\square$

We next examine Dunford’s property  $(C)$  of  $J$ -self-adjoint operators.

PROPOSITION 3.11. If  $T \in \mathcal{L}(\mathcal{H})$  is  $J$ -self-adjoint, then the following properties hold:

- (i)  $\sigma_T(x) = -(\sigma_{T^*}(Jx))^*$  for all  $x \in \mathcal{H}$ .
- (ii)  $J\mathcal{H}_T(F) = \mathcal{H}_{T^*}(-F^*)$  for any subset  $F$  of  $\mathbb{C}$ .

*Proof.* (i) Let  $x \in \mathcal{H}$  be given and let  $G$  be any open set in  $\mathbb{C}$ . If  $f : G \rightarrow \mathcal{H}$  is an analytic function such that  $(T - z)f(z) = x$  for all  $z \in G$ , then

$$Jx = J(T - zJJ^*)f(z) = (T^* + \bar{z})J^*f(z)$$



for  $z \in G$ , i.e.,

$$(T^* - \zeta)J^*f(-\bar{\zeta}) = Jx \tag{3}$$

for  $\zeta \in -G^*$ . Since  $J^*f(-\bar{\zeta})$  is analytic for  $\zeta \in -G^*$  (see the proof of Theorem 3.10), we have  $-(\rho_T(x))^* \subset \rho_{T^*}(Jx)$  for all  $x \in \mathcal{H}$ . Hence

$$(\sigma_{T^*}(Jx))^* \subset \mathbb{C} \setminus (-\rho_T(x)) = -(\mathbb{C} \setminus \rho_T(x)) = -\sigma_T(x) \tag{4}$$

for all  $x \in \mathcal{H}$ . Since  $T^*$  is  $J^*$ -self-adjoint by Lemma 3.1, we obtain from (4) that  $(\sigma_T(J^*x))^* \subset -\sigma_{T^*}(x)$  for all  $x \in \mathcal{H}$ . Replacing  $x$  with  $Jx$  and taking complex conjugate, we get that

$$\sigma_T(x) \subset -(\sigma_{T^*}(Jx))^* \tag{5}$$

for all  $x \in \mathcal{H}$ . Thus, we complete the proof from (4) and (5).

(ii) Suppose that  $F$  is a subset of  $\mathcal{H}$ . If  $x \in \mathcal{H}_T(F)$ , then

$$-(\sigma_{T^*}(Jx))^* = \sigma_T(x) \subset F$$

by (i). Since  $\sigma_{T^*}(Jx) \subset -F^*$ , it holds that  $Jx \in \mathcal{H}_{T^*}(-F^*)$ , and so

$$J\mathcal{H}_T(F) \subset \mathcal{H}_{T^*}(-F^*).$$

Applying the above argument to the adjoint  $T^*$ , we deduce the inclusion

$$J^*\mathcal{H}_{T^*}(-F^*) \subset \mathcal{H}_T(F).$$

Therefore,  $J\mathcal{H}_T(F) = \mathcal{H}_{T^*}(-F^*)$ .  $\square$

**COROLLARY 3.12.** Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint. Then  $T$  has Dunford’s property (C) if and only if its adjoint  $T^*$  does.

*Proof.* Assume that  $T \in \mathcal{L}(\mathcal{H})$  is a  $J$ -self-adjoint operator satisfying Dunford’s property (C). Let  $F$  be any closed subset of  $\mathbb{C}$ . Then  $\mathcal{H}_T(-F^*)$  is closed. Since  $\mathcal{H}_{T^*}(F) = J\mathcal{H}_T(-F^*)$  from Proposition 3.11 and  $J$  is anti-unitary, the subspace  $\mathcal{H}_{T^*}(F)$  is closed. Hence, we conclude that  $T^*$  has Dunford’s property (C). The converse also holds by Lemma 3.1.  $\square$

We say that an operator  $T \in \mathcal{L}(\mathcal{H})$  has *Dunford’s boundedness condition (B)* if it has the single-valued extension property and there exists a constant  $K > 0$  such that  $\|x_1\| \leq K\|x_1 + x_2\|$  for any  $x_1, x_2 \in \mathcal{H}$  with  $\sigma_T(x_1) \cap \sigma_T(x_2) = \emptyset$ , where  $K$  is independent of  $x_1$  and  $x_2$ .

**COROLLARY 3.13.** Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint. Then the following assertions hold:

(i)  $T$  has Dunford’s boundedness condition (B) if and only if  $T^*$  does.

(ii) If  $T$  has the single-valued extension property and possesses the property that  $\sigma_T(P_Fx) \subset \sigma_T(x)$  for all  $x \in \mathcal{H}$  and each closed set  $F$  in  $\mathbb{C}$ , where  $P_F$  denotes the orthogonal projection of  $\mathcal{H}$  onto  $\mathcal{H}_T(F)$ , then both  $T$  and  $T^*$  have Dunford’s boundedness condition (B).

*Proof.* (i) It suffices to prove one implication. If  $T$  has Dunford’s boundedness condition (B), choose a constant  $K > 0$  such that  $\|x_1\| \leq K\|x_1 + x_2\|$  for any  $x_1, x_2 \in \mathcal{H}$  with  $\sigma_T(x_1) \cap \sigma_T(x_2) = \emptyset$ . Let  $y_1$  and  $y_2$  be arbitrary vectors in  $\mathcal{H}$  with  $\sigma_{T^*}(y_1) \cap \sigma_{T^*}(y_2) = \emptyset$ . It follows from Proposition 3.11 that  $\sigma_T(J^*y_1) \cap \sigma_T(J^*y_2) = \emptyset$ , and thus  $\|J^*y_1\| \leq K\|J^*y_1 + J^*y_2\|$ . This implies that

$$\|y_1\| = \|J^*y_1\| \leq K\|J^*(y_1 + y_2)\| = K\|y_1 + y_2\|.$$

In addition, we can obtain that  $T^*$  has the single-valued extension property. Thus,  $T^*$  satisfies Dunford’s boundedness condition (B).

(ii) Let  $x_1, x_2 \in \mathcal{H}$  be such that  $\sigma_T(x_1) \cap \sigma_T(x_2) = \emptyset$ . Set  $F_j = \sigma_T(x_j)$  for  $j = 1, 2$ . By the hypothesis, we have  $\sigma_T(P_{F_2}x_1) \subset \sigma_T(x_1) = F_1$ . Moreover, it is obvious that  $\sigma_T(P_{F_2}x_1) \subset F_2$  by the definition of  $P_{F_2}$ . Hence

$$\sigma_T(P_{F_2}x_1) \subset F_1 \cap F_2 = \sigma_T(x_1) \cap \sigma_T(x_2) = \emptyset.$$

Since  $T$  has the single-valued extension property, we get that  $P_{F_2}x_1 = 0$  by [27, Proposition 1.2.16], that is,  $x_1 \perp \mathcal{H}_T(F_2)$ . But  $\sigma_T(x_2) = F_2$ , and so  $x_2$  clearly belongs to  $\mathcal{H}_T(F_2)$ . Then  $\langle x_1, x_2 \rangle = 0$ , which implies that  $\|x_1 + x_2\| \geq \|x_1\|$ . Thus,  $T$  has Dunford’s boundedness condition (B), and so does  $T^*$  from (i).  $\square$

For an operator  $T \in \mathcal{L}(\mathcal{H})$ , the *quasinilpotent part* of  $T$  is defined by

$$H_0(T) := \{x \in \mathcal{H} : \lim_{n \rightarrow \infty} \|T^n x\|^{\frac{1}{n}} = 0\}.$$

**COROLLARY 3.14.** Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint. If  $H_0(T - \lambda)$  is closed for all  $\lambda \in \mathbb{C}$ , then  $T^*$  has the single-valued extension property and  $\mathcal{H}_{T^*}(\{\lambda\})$  is closed for each  $\lambda \in \mathbb{C}$ .

*Proof.* Suppose that  $T$  is  $J$ -self-adjoint and  $H_0(T - \lambda)$  is closed for each  $\lambda \in \mathbb{C}$ . Since  $T$  has the single-valued extension property by [1, Theorem 2.31], so does  $\tilde{T}$  by some application of the proof of Lemma 3.7. As in the proof of Theorem 3.8, we see that  $T^*$  has the single-valued extension property. Fix any  $\lambda \in \mathbb{C}$ . From [2, Theorem 1.5], we get that  $\mathcal{H}_T(\{\lambda\}) = H_0(T - \lambda)$ . Proposition 3.11 implies that

$$\mathcal{H}_{T^*}(\{\lambda\}) = J\mathcal{H}_T(\{-\bar{\lambda}\}) = JH_0(T + \bar{\lambda}).$$

Since  $H_0(T + \bar{\lambda})$  is closed and  $J$  maps a closed subspace onto a closed one, we conclude that the local spectral subspace  $\mathcal{H}_{T^*}(\{\lambda\})$  is closed.  $\square$

Similarly to complex symmetric operators, there exist connections between the spectra of a  $J$ -self-adjoint operator and its adjoint. Given any set  $E$  in  $\mathbb{C}$ , write  $E^* := \{\bar{z} : z \in E\}$  and  $-E := \{-z : z \in E\}$ .

**PROPOSITION 3.15.** Let  $T \in \mathcal{L}(\mathcal{H})$  be  $J$ -self-adjoint. Then

$$\sigma_\Delta(T^*) = -\sigma_\Delta(T)^* \tag{6}$$

where  $\sigma_\Delta = \{\sigma_p, \sigma_a, \sigma_{comp}, \sigma_{su}, \sigma_{le}, \sigma_{re}, \sigma_e, \sigma\}$ .

*Proof.* We first deal with the left essential spectrum. If  $\alpha \in \sigma_{le}(T)$ , then there is a sequence  $\{x_n\}$  of unit vectors in  $\mathcal{H}$  such that  $x_n \rightarrow 0$  weakly and  $\lim_{n \rightarrow \infty} \|(T - \alpha)x_n\| = 0$ . Observe that

$$\begin{aligned} 0 &= \lim_{n \rightarrow \infty} \|J(T - \alpha)x_n\| = \lim_{n \rightarrow \infty} \|J(T - \alpha J J^*)x_n\| \\ &= \lim_{n \rightarrow \infty} \|(T^* J^* - \overline{\alpha} J^2 J^*)x_n\| = \lim_{n \rightarrow \infty} \|(T^* + \overline{\alpha})J^*x_n\|. \end{aligned}$$

It is evident that  $\|J^*x_n\| = \|x_n\| = 1$  for all  $n$  and  $J^*x_n \rightarrow 0$  weakly, and so  $-\overline{\alpha} \in \sigma_{le}(T^*)$ , meaning that

$$-\sigma_{le}(T)^* \subset \sigma_{le}(T^*). \tag{7}$$

Since  $T^*$  is  $J^*$ -self-adjoint by Lemma 3.1, equation (7) holds when we replace  $T$  with  $T^*$ , which yields that

$$\sigma_{le}(T^*) \subset -\sigma_{le}(T)^*. \tag{8}$$

From (7) and (8), it follows that

$$\sigma_{le}(T^*) = -\sigma_{le}(T)^*.$$

By a similar method, one can see that (6) is also true for the cases  $\sigma_\Delta = \sigma_p, \sigma_{ap}$ . Since  $\sigma_{comp}(A^*) = \sigma_p(A)^*$ ,  $\sigma_{su}(A^*) = \sigma_a(A)^*$ , and  $\sigma_{re}(A^*) = \sigma_{le}(A)^*$  where  $A$  is any operator in  $\mathcal{L}(\mathcal{H})$ , we obtain (6) for  $\sigma_\Delta = \sigma_\Delta = \sigma_{comp}, \sigma_{su}, \sigma_{re}$ . Moreover, since  $\sigma_e(A) = \sigma_{le}(A) \cup \sigma_{re}(A)$  and  $\sigma(A) = \sigma_a(A) \cup \sigma_{comp}(A)$  for any operator  $A \in \mathcal{L}(\mathcal{H})$ , equation (6) holds for  $\sigma_\Delta = \sigma_e, \sigma$ . So, we complete the proof.  $\square$

**COROLLARY 3.16.** If  $T \in \mathcal{L}(\mathcal{H})$  is  $J$ -self-adjoint, then the following properties hold:

- (i)  $\sigma_{comp}(T) = -\sigma_p(T)$ ,  $\sigma_{su}(T) = -\sigma_a(T)$ , and  $\sigma_{re}(T) = -\sigma_{le}(T)$ .
- (ii)  $\sigma(T) = -\sigma(T)$  and  $\sigma_e(T) = -\sigma_e(T)$ .
- (iii)  $\sigma(T) = \sigma_a(T) \cup (-\sigma_p(T)) = \sigma_p(T) \cup (-\sigma_a(T)) = \sigma_p(T) \cup \sigma_{su}(T)$ .
- (iv)  $\sigma_e(T) = \sigma_{le}(T) \cup (-\sigma_{le}(T)) = \sigma_{re}(T) \cup (-\sigma_{re}(T))$ .
- (v)  $\ker(T - \alpha) = J \ker(T^* + \overline{\alpha})$  for each  $\alpha \in \mathbb{C}$ .
- (vi)  $\ker(T^2 - \alpha) = J^* \ker(T^{*2} - \overline{\alpha})$  for each  $\alpha \in \mathbb{C}$ .

*Proof.* (i) Proposition 3.15 implies that

$$\sigma_{comp}(T) = -\sigma_{comp}(T^*)^* = -\sigma_p(T).$$

Similarly, we get the remaining identities in (i).

(ii) We obtain from Proposition 3.15 that

$$\sigma_e(T) = -\sigma_e(T^*)^* = -\sigma_e(T) \text{ and } \sigma(T) = -\sigma(T^*)^* = -\sigma(T).$$

(iii) By (i), it follows that

$$\sigma(T) = \sigma_a(T) \cup \sigma_{comp}(T) = \sigma_a(T) \cup (-\sigma_p(T)).$$

Hence, the proof is complete due to (ii).

(iv) Since  $\sigma_e(T) = \sigma_{le}(T) \cup \sigma_{re}(T)$  and  $\sigma_{re}(T) = -\sigma_{le}(T)$  by (i), we deduce the result.

(v) As an application of the proof of Proposition 3.15, we see that

$$J^* \ker(T - \alpha) \subset \ker(T^* + \bar{\alpha}), \text{ i.e., } \ker(T - \alpha) \subset J \ker(T^* + \bar{\alpha})$$

for  $\alpha \in \mathbb{C}$ . Since  $T^*$  is  $J^*$ -self-adjoint by Lemma 3.1, it also holds that

$$J \ker(T^* + \bar{\alpha}) \subset \ker(T - \alpha)$$

for  $\alpha \in \mathbb{C}$ , which verifies (v).

(vi) Let  $\alpha \in \mathbb{C}$  be arbitrary. If  $x \in \ker(T^2 - \alpha)$ , then

$$\bar{\alpha}Jx = J(\alpha x) = JT^2x = (JT)Tx = T^*(J^*T)x = T^{*2}Jx$$

by Lemma 3.1, and so  $Jx \in \ker(T^{*2} - \bar{\alpha})$ . Hence  $J \ker(T^2 - \alpha) \subset \ker(T^{*2} - \bar{\alpha})$ . Similarly, we get that  $J^* \ker(T^{*2} - \bar{\alpha}) \subset \ker(T^2 - \alpha)$ . Therefore it holds that  $\ker(T^2 - \alpha) = J^* \ker(T^{*2} - \bar{\alpha})$ .  $\square$

### 4. Examples

In this section, we give several examples and study their spectral properties of  $J$ -self-adjoint operators. In particular, we find  $J$ -self-adjoint operators that are not complex symmetric (see Proposition 4.5 and Example 4.6). We first consider  $2 \times 2$  operator matrices which are  $\mathcal{J}$ -self-adjoint where

$$\mathcal{J} = \begin{pmatrix} 0 & -C \\ C & 0 \end{pmatrix}$$

for some conjugation  $C : \mathcal{H} \rightarrow \mathcal{H}$ .

PROPOSITION 4.1. Let  $T = \begin{pmatrix} T_1 & T_2 \\ T_3 & T_4 \end{pmatrix}$  be a  $2 \times 2$  operator matrix in  $\mathcal{L}(\mathcal{H} \oplus \mathcal{H})$ ,

and let  $\mathcal{J} = \begin{pmatrix} 0 & -C \\ C & 0 \end{pmatrix}$  where  $C$  is any conjugation on  $\mathcal{H}$ . Then  $T$  is  $\mathcal{J}$ -self-adjoint if and only if both  $T_2$  and  $T_3$  are complex symmetric with the conjugation  $C$  and  $T_4 = -CT_1^*C$ . In particular, if all of  $T_1$ ,  $T_2$ , and  $T_3$  are complex symmetric with the same conjugation  $C$ , then  $T = \begin{pmatrix} T_1 & T_2 \\ T_3 & -T_1 \end{pmatrix}$  is  $\mathcal{J}$ -self-adjoint.

*Proof.* It is easy to see that  $T$  is  $\mathcal{J}$ -self-adjoint if and only if  $T^* = \mathcal{J}T\mathcal{J}$ , namely

$$\begin{pmatrix} T_1^* & T_3^* \\ T_2^* & T_4^* \end{pmatrix} = \begin{pmatrix} -CT_4C & CT_3C \\ CT_2C & -CT_1C \end{pmatrix}. \tag{9}$$

Since  $T_4^* = -CT_1C$  is equivalent to  $T_1^* = -CT_4C$ , equation (9) holds exactly when both  $T_2$  and  $T_3$  are complex symmetric with conjugation  $C$  and  $T_4 = -CT_1^*C$ .  $\square$

COROLLARY 4.2. Let  $T_1 \in \mathcal{L}(\mathcal{H})$  be a normal operator, and let  $A \in \mathcal{L}(\mathcal{H})$  be a nonzero operator such that  $AT_1 = T_1A = 0$ . Then the operator matrix

$$\begin{pmatrix} T_1 & A \\ T_1 & -T_1 \end{pmatrix}$$

is decomposable.

*Proof.* Since every normal operator is complex symmetric by [14], choose a conjugation  $C$  on  $\mathcal{H}$  satisfying  $CT_1C = T_1^*$ . Then

$$T = \begin{pmatrix} T_1 & 0 \\ T_1 & -T_1 \end{pmatrix}$$

is  $\mathcal{J}$ -self-adjoint with  $\mathcal{J} = \begin{pmatrix} 0 & -C \\ C & 0 \end{pmatrix}$ . In addition, it is easy to see that  $T$  has property  $(\beta)$ . Since  $N := \begin{pmatrix} 0 & A \\ 0 & 0 \end{pmatrix}$  is nilpotent of order 2 and  $NT = TN$ , we complete the proof from Theorem 3.8.  $\square$

According to Proposition 4.1, one can construct  $J$ -self-adjoint operators using complex symmetric operators. In order to give concrete examples, consider weighted composition operators on the Hilbert-Hardy space  $H^2$  of the open unit disk  $\mathbb{D}$ . The Hardy space  $H^2$  is regarded as a closed subspace of  $L^2 = L^2(\partial\mathbb{D}, m)$  where  $m$  denotes the (normalized) Lebesgue measure on the unit circle  $\partial\mathbb{D}$ . For an analytic function  $f$  on  $\mathbb{D}$  and an analytic self-map  $\varphi$  of  $\mathbb{D}$ , the operator  $W_{f,\varphi} : H^2 \rightarrow H^2$  given by  $W_{f,\varphi}h = f \cdot (h \circ \varphi)$  is called a *weighted composition operator*. In particular,  $C_\varphi := W_{1,\varphi}$  is said to be a *composition operator*. If  $\varphi$  is any analytic self-map of  $\mathbb{D}$  and  $f \in H^2$  for which  $W_{f,\varphi}$  is bounded on  $H^2$ , then  $W_{f,\varphi}^*K_\beta = \overline{f(\beta)}K_{\varphi(\beta)}$  for  $\beta \in \mathbb{D}$ , where  $K_\beta := \frac{1}{1-\beta z}$  so-called the *reproducing kernel* of  $H^2$  at a point  $\beta$  in  $\mathbb{D}$ . We refer the readers to [9], [10], [11], [19], and [28] for more details on weighted composition operators on  $H^2$ . In [19], the authors characterized complex symmetric weighted composition operators on  $H^2$  with a specific conjugation. Using this characterization, we give the following example.

EXAMPLE 4.3. Let  $\mathcal{C} : H^2 \rightarrow H^2$  be the conjugation given by  $\mathcal{C}h = \widehat{h}$  where  $\widehat{h}(z) := \overline{h(\overline{z})}$  for  $z \in \mathbb{D}$ . Suppose that  $\psi_j(z) = a_j + \frac{b_j z}{1-a_j z}$  and  $g_j(z) = \frac{c_j}{1-a_j z}$  with constants  $a_j \in \mathbb{D}$  and  $b_j, c_j \in \mathbb{C}$  for  $j = 1, 2$ . Then each  $W_{g_j, \psi_j}$  is complex symmetric with conjugation  $\mathcal{C}$  by [19, Theorem 3.3]. Hence, given analytic self-map  $\varphi$  of  $\mathbb{D}$  and  $f \in H^2$  for which  $W_{f,\varphi}$  is bounded on  $H^2$ , Proposition 4.1 implies that  $\begin{pmatrix} W_{f,\varphi} & W_{g_1, \psi_1} \\ W_{g_2, \psi_2} & -\mathcal{C}W_{f,\varphi}^* \mathcal{C} \end{pmatrix}$  is  $\mathcal{J}$ -self-adjoint with respect to  $\mathcal{J} = \begin{pmatrix} 0 & -\mathcal{C} \\ \mathcal{C} & 0 \end{pmatrix}$ . Since  $\mathcal{C}K_\beta = K_{\overline{\beta}}$  for each point  $\beta$  in  $\mathbb{D}$ , we compute that

$$\mathcal{C}W_{f,\varphi}^* \mathcal{C}K_\beta = \mathcal{C}W_{f,\varphi}^* K_{\overline{\beta}} = \mathcal{C}(\overline{f(\overline{\beta})})K_{\varphi(\overline{\beta})} = \overline{\widehat{f}(\beta)}K_{\widehat{\varphi}(\beta)} = W_{\widehat{f}, \widehat{\varphi}}^* K_\beta$$

for  $\beta \in \mathbb{D}$ . Since the linear span of reproducing kernels is dense in  $H^2$ , we have  $\mathcal{C}W_{f,\varphi}^* \mathcal{C} = W_{\hat{f},\hat{\varphi}}^*$ . Thus

$$\begin{pmatrix} W_{f,\varphi} & W_{g_1,\psi_1} \\ W_{g_2,\psi_2} & -W_{\hat{f},\hat{\varphi}}^* \end{pmatrix}$$

is  $\mathcal{J}$ -self-adjoint.

If  $\varphi(z) = \frac{az+b}{cz+d}$  is a linear fractional self-map of  $\mathbb{D}$  where  $a, b, c, d$  are complex numbers with  $ad - bc \neq 0$ , then Cowen’s adjoint formula states that  $C_\varphi^* = T_g C_\sigma T_h^*$  where  $g(z) = \frac{1}{-bz+d}$ ,  $\sigma(z) = \frac{\bar{a}z - \bar{c}}{-bz+d}$ , and  $h(z) = cz + d$  (see [9]). Taking  $f \equiv 1$  in Example 4.3, we obtain the following  $\mathcal{J}$ -self-adjoint block matrix of operators:

$$\begin{pmatrix} C_\varphi & W_{g_1,\psi_1} \\ W_{g_2,\psi_2} & -C_\varphi^* \end{pmatrix} \tag{10}$$

where  $\varphi$  is any analytic self-map of  $\mathbb{D}$ . If  $\varphi$  is a linear self-map of  $\mathbb{D}$ , then Cowen’s adjoint formula allows us to replace  $C_\varphi^*$  in (10) with some weighted composition operator.

EXAMPLE 4.4. Assume that  $\varphi(z) = \frac{az+b}{cz+d}$  where  $|a| + |b| \leq 1$ . Then  $\varphi$  is an analytic self-map of  $\mathbb{D}$ . Since  $\hat{\varphi}(z) := \overline{\varphi(\bar{z})} = \bar{a}z + \bar{b}$ , apply Cowen’s adjoint formula to  $C_{\hat{\varphi}}^*$ , as follows:

$$C_{\hat{\varphi}}^* = T_g C_\sigma = W_{g,\sigma}$$

with  $g(z) = \frac{1}{1-bz}$  and  $\sigma(z) = \frac{az}{1-bz}$ . Therefore, the block matrix of weighted composition operators  $\begin{pmatrix} C_\varphi & W_{g_1,\psi_1} \\ W_{g_2,\psi_2} & -W_{g,\sigma} \end{pmatrix}$  is  $\mathcal{J}$ -self-adjoint, where the maps  $\psi_j$  and  $g_j$  as well as the anti-unitary  $\mathcal{J}$  are defined as in Example 4.3. In particular, substituting  $W_{g_j,\psi_j} = I$  for  $j = 1, 2$  (i.e.,  $a_j = 0$  and  $b_j = c_j = 1$ ), we get that

$$\begin{pmatrix} C_\varphi & I \\ I & -W_{g,\sigma} \end{pmatrix} = \begin{pmatrix} C_{az+b} & I \\ I & W_{\frac{-1}{1-bz}, \frac{az}{1-bz}} \end{pmatrix}$$

is  $\mathcal{J}$ -self-adjoint.

We next find  $J$ -self-adjoint operators that are not complex symmetric.

COROLLARY 4.5. Suppose that  $C$  is a conjugation on  $\mathcal{H}$  and  $A$  is any operator in  $\mathcal{L}(\mathcal{H})$  such that  $\mathcal{E}_p(A) \neq -\mathcal{E}_p(A)$  where  $\mathcal{E}_p(A) := \sigma_p(A)^* \cup (-\sigma_p(A^*))$ . Then the operator matrix

$$T = \begin{pmatrix} A & 0 \\ 0 & -CA^*C \end{pmatrix}$$

is  $J$ -self-adjoint but not complex symmetric.

*Proof.* We obtain from Proposition 4.1 that  $T = \begin{pmatrix} A & 0 \\ 0 & -CA^*C \end{pmatrix}$  is  $\mathcal{J}$ -self-adjoint where  $\mathcal{J} = \begin{pmatrix} 0 & -C \\ C & 0 \end{pmatrix}$ . Since  $(CA^*C)^* = CAC$ , one can see that

$$\begin{cases} \sigma_p(T) = \sigma_p(A) \cup (-\sigma_p(CA^*C)) \\ \sigma_p(T^*) = \sigma_p(A^*) \cup (-\sigma_p(CAC)). \end{cases}$$

We will use

$$\sigma_p(CBC) = \sigma_p(B)^* \tag{11}$$

where  $B$  is any operator in  $\mathcal{L}(\mathcal{H})$ . Indeed, if  $\alpha \in \sigma_p(CBC)$ , then  $(CBC - \alpha)x = 0$  for some nonzero vector  $x \in \mathcal{H}$ , and so  $0 = C(CBC - \alpha)x = (B - \bar{\alpha})Cx$ . Since  $C$  is a conjugation,  $Cx$  must be a nonzero vector in  $\mathcal{H}$ , so that  $\bar{\alpha} \in \sigma_p(B)$ . Hence  $\sigma_p(CBC) \subset \sigma_p(B)^*$ . Replacing  $B$  with  $CBC$ , we get that  $\sigma_p(B) \subset \sigma_p(CBC)^*$ . Thus  $\sigma_p(CBC) = \sigma_p(B)^*$ . According to (11), we obtain that

$$\begin{cases} \sigma_p(T) = \sigma_p(A) \cup (-\sigma_p(A^*)^*) \\ \sigma_p(T^*) = \sigma_p(A^*) \cup (-\sigma_p(A)^*), \end{cases}$$

which implies that  $\sigma_p(T)^* \neq \sigma_p(T^*)$  by the given hypothesis. By [20, Lemma 4.1], we can draw the conclusion that  $T$  is not complex symmetric.  $\square$

The following example illuminates Corollary 4.5.

EXAMPLE 4.6. Let  $A := S + \alpha$  for some nonzero  $\alpha \in \mathbb{C}$  where  $S$  is a unilateral shift on  $\mathcal{H}$ . Since  $\sigma_p(A) = \emptyset$  and  $\sigma_p(A^*) = \sigma_p(S^* + \bar{\alpha})$  is the open disk of radius 1 centered at  $\bar{\alpha}$ , we have  $\mathcal{E}_p(A) \neq -\mathcal{E}_p(A)$  where  $\mathcal{E}_p(A)$  is given as in Corollary 4.5. Hence, it follows from Corollary 4.5 that the operator matrix  $T = \begin{pmatrix} A & 0 \\ 0 & -CA^*C \end{pmatrix}$  is  $J$ -self-adjoint but not complex symmetric, where  $C$  is any conjugation on  $\mathcal{H}$ .

For  $u \in L^\infty = L^\infty(\partial\mathbb{D}, m)$ , the Toeplitz operator  $T_u$  is defined by

$$T_uh = P_+(uh) \text{ for } h \in H^2$$

where  $P_+$  stands for the orthogonal projection of  $L^2$  onto the Hardy space  $H^2$ . In the following theorem, we show that every  $J$ -self-adjoint Toeplitz operator has no eigenvalues.

THEOREM 4.7. Let  $u \in L^\infty$  be nonconstant. If  $T_u$  is  $J$ -self-adjoint, then the following assertions hold:

- (i)  $\sigma_p(T_u) = \emptyset$ ; hence, both  $T_u$  and  $T_u^*$  have the single-valued extension property.
- (ii)  $\sigma(T_u) = \sigma_e(T_u)$ .

*Proof.* (i) Since  $T_u^*$  is  $J^*$ -self-adjoint by Lemma 3.1 and the single-valued extension property holds for each operator in  $\mathcal{L}(\mathcal{H})$  whose point spectrum has empty interior (see [27, page 15]), it is enough to prove that  $\sigma_p(T_u) = \emptyset$ . We want to show that  $\sigma_p(T_u^2) = \sigma_p(T_{u^2}) = \emptyset$ , which yields that  $\sigma_p(T_u) = \emptyset$  by the spectral mapping theorem. If  $\ker(T_u^2 - \alpha) \neq \{0\}$  for some  $\alpha \in \mathbb{C}$ , then  $\ker(T_u^{*2} - \bar{\alpha}) \neq \{0\}$  by Corollary 3.16, which contradicts to the Coburn alternative theorem. Hence, we have that  $\ker(T_u^2 - \alpha) = \{0\}$  for all  $\alpha \in \mathbb{C}$ , meaning that  $\sigma_p(T_u^2) = \emptyset$ . Since  $\sigma_p(T_u) = \emptyset$  by the spectral mapping theorem, the Toeplitz operator  $T_u$  has the single-valued extension property. Since  $\sigma_p(T^*) = -\sigma_p(T)^* = \emptyset$ , the adjoint  $T_u^*$  has the single-valued extension property, too.

(ii) Since  $T_u$  is  $J$ -self-adjoint and  $T_u^*$  is  $J^*$ -self-adjoint, it follows from (i) that  $\sigma_p(T_u) = \sigma_p(T_u^*) = \emptyset$ . This yields that

$$\sigma(T_u) = \sigma_e(T_u) \cup \sigma_p(T_u) \cup \sigma_p(T_u^*) = \sigma_e(T_u),$$

as we desired.  $\square$

From Theorem 4.7, we find skew-diagonal block Toeplitz operators with the single-valued extension property.

**COROLLARY 4.8.** Let  $u$  and  $v$  be nonconstant functions in  $L^\infty$ . If  $T_u$  and  $T_v$  are commuting Toeplitz operators which are complex symmetric with the same conjugation, then  $T = \begin{pmatrix} 0 & T_u \\ T_v & 0 \end{pmatrix}$  is a  $J$ -self-adjoint operator with the single-valued extension property and

$$\sigma(T) = \sigma_a(T) = -\sigma_a(T) = \bigcup \{ \sigma_T(x) : x \in \mathcal{H} \} = \bigcup \{ -\sigma_T(x) : x \in \mathcal{H} \}.$$

*Proof.* From Proposition 4.1, the block Toeplitz operator  $T = \begin{pmatrix} 0 & T_u \\ T_v & 0 \end{pmatrix}$  is  $J$ -self-adjoint. We know from [27, Theorem 3.3.9] that if  $T^2$  has the single-valued extension property, then so does  $T$ . Thus, we consider the square

$$T^2 = \begin{pmatrix} T_u T_v & 0 \\ 0 & T_v T_u \end{pmatrix}.$$

Since  $T_u$  and  $T_v$  commute, one of the following statements holds:

- (i) both  $T_u$  and  $T_v$  are analytic;
- (ii) both  $T_u$  and  $T_v$  are co-analytic;
- (iii) there are  $\alpha, \beta \in \mathbb{C}$ , not both zero, such that  $\alpha u + \beta v$  is constant on  $\partial\mathbb{D}$ .

If (i) holds, then  $T_u T_v = T_{uv}$  is subnormal, which ensures from [25] that  $T^2$  has the single-valued extension property. If (ii) happens, then  $T^{2*}$  has property  $(\beta)$  by [25], and so is  $T^2$  due to Theorem 3.8. Since property  $(\beta)$  guarantees the single-valued extension property, the square  $T^2$  has the single-valued extension property. Suppose that (iii) holds, and set  $\alpha u + \beta v \equiv \gamma$  on  $\partial\mathbb{D}$ . Here, we may assume that  $\beta \neq 0$ . Then

$$\sigma_p(T_u T_v) = \sigma_p(T_{uv}) = \sigma_p(T_{\frac{1}{\beta} u(\gamma - \alpha u)}) = q(\sigma_p(T_u))$$



where  $q(\lambda) = \frac{1}{\beta}\lambda(\gamma - \alpha\lambda)$ . Since  $u$  is a nonconstant function in  $L^\infty$  such that  $T_u$  is complex symmetric, it follows from Theorem 4.7 that  $\sigma_p(T_u) = \emptyset$ , and so we have  $\sigma_p(T_u T_v) = q(\sigma_p(T_u)) = \emptyset$ . Hence,  $T_u T_v$  has the single-valued extension property, implying that  $T^2$  has the single-valued extension property, and so does  $T$  as remarked above.

Since  $T$  and  $T^*$  have the single-valued extension property, [27, Proposition 1.3.2] yields that

$$\sigma(T) = \sigma_a(T) = \sigma_{su}(T) = \bigcup \{ \sigma_T(x) : x \in \mathcal{H} \}.$$

In addition, we obtain from Corollary 3.16 that  $\sigma_a(T) = -\sigma_{su}(T)$ , which completes the proof.  $\square$

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